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Stress: A Potential Role in Neurodegenerative Disease

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13. Abstract (Maximum 200 Words) (abstract should contain no proprietary or confidential information)

Soldiers deployed to high altitude terrain or exposed to chemical toxins that induce ischemia or impaired oxidative metabolism in the central nervous system (CNS) encounter sustained cellular hypoxia. This can compromise CNS function and lead to permanent neuronal injury, which is a precursor for neurodegenerative disorders such as Alzheimer's disease. The proposed research is designed to determine the role of stress-activated signal transduction systems in regulating a cellular phenotype that is tolerant to hypoxic stress. We hypothesize that *de novo* gene expression is a major component of the adaptative/protective response to hypoxia, and that the p38 kinase stress-activated pathway plays a major role in this response. We present novel preliminary findings, which show that genes involved in cell proliferation and differentiation are regulated by hypoxia and p38. We hypothesize that these genes and the genes that encode immediate early transcription factors, and the hypoxia-sensitive potassium channels are regulated by p38 during hypoxia and play a major role in protecting neurons from hypoxia injury and neurodegenerative disease. Studies are performed in PC12 cells, which are extremely tolerant to reduced oxygen and a widely used model for elucidating the molecular mechanisms of neural function.

The objectives of the proposed research are: 1) Identify the p38 isoforms that are activated by hypoxia. Determine the effects of hypoxia on the protein kinases and small G-proteins that lie upstream of p38. 2) Determine the role of the p38 kinase pathway on the unique hypoxia-induced regulation of cyclin A, and immediate early genes in the *fos* and *jun* families. 3) Determine the role of the p38 kinase pathway in regulating the hypoxia-induced expression of the oxygen-sensitive Kv1.2 potassium channel.

14. SUBJECT TERMS

hypoxia, p38 kinase pathways, cyclin A, immediate early genes, potassium channels, signal transduction, pheochromocytoma cells, neurodegenerative disease

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## 5. Introduction

The research in this project was undertaken to determine the role of stress-activated signal transduction systems in regulating a cellular phenotype that can survive and function during hypoxic stress. The general hypothesis is that reduced O<sub>2</sub> can lead to reduced cellular metabolism, which in turn can result in neuronal damage and degeneration. The primary objective of our research was to identify the signaling mechanisms and gene regulatory processes that are activated by hypoxia and regulate cellular function. The central hypothesis was that *de novo* gene expression is a major component of the adaptative/protective response to hypoxia, and that the stress-activated pathways (p38 kinase) play an active role in this response. Our findings from the USAMRMC supported research (1999-2002) show that exposure to hypoxia leads to a complex pattern of signal transduction that involves the p38 kinase pathway and other critical signal transduction systems. Moreover we were able to identify genes that are activated by reduced O<sub>2</sub>. Expression of these genes governs the overall cellular response to hypoxia including their ability to perform specific hypoxia-related functions. Studies were performed in PC12 cells, which are extremely tolerant to reduced oxygen and a widely used model for elucidating the molecular mechanisms of neural function.

The objectives of the proposed research were: 1) Identify the p38 isoforms that are activated by hypoxia. Determine the effects of hypoxia on the protein kinases and small G-proteins that lie upstream of p38. 2) Determine the role of the p38 kinase pathway on the unique hypoxia-induced regulation of cyclin A, and immediate early genes in the *fos* and *jun* families. 3) Determine the role of the p38 kinase pathway in regulating the hypoxia-induced expression of the oxygen-sensitive Kv1.2 potassium channel. During the course of the research, studies were also performed in related areas because of our increasing understanding and knowledge of the molecular mechanisms that regulate the cellular response to hypoxia. During the course of the research, some of the planned experiments were altered slightly to take advantage of the expanding knowledge of hypoxia-regulated signal transduction and gene regulation.

## 6. Body

During the course of the grant, work was done on each of the declared objectives. The overall breath of the performed and reported research was substantially greater than that originally proposed. The most significant results from this research is described below.

*Study 1.* We conducted an experimental study to determine the role of the p38 kinase pathway in regulating the cellular response to hypoxia. This pathway plays a critical role in responding to cellular stress and survival (Widmann et al., 1999; Su and Karin, 1996). We performed experiments in PC12 cells to determine if p38 kinase, a poorly characterized stress-related pathway, is activated by hypoxia. There are five separate isoforms of p38 kinase ( $\alpha$ ,  $\beta_1$ ,  $\beta_2$ ,  $\delta$ , and  $\gamma$ ). We performed experiments to determine if any of these isoforms are activated by hypoxia. We found that exposure to moderate hypoxia (5% O<sub>2</sub>) progressively stimulated phosphorylation and activation of the p38 $\alpha$  and p38 $\gamma$  only. We also found that prolonged hypoxia induced phosphorylation and activation of p42/p44 mitogen-activated protein kinase (MAPK). Interestingly, hypoxia also down regulated cyclin D1, a gene known to be regulated negatively by the p38 kinase pathway and involved in regulation of cell proliferation and differentiation (Lavoie et al., 1996). The activation of cyclin D1 by hypoxia was partially blocked by a pharmacological agent (SB203580) that inhibits the p38 kinase pathway. Studies were then undertaken to determine which isoform of p38 kinase is responsible for regulation of cyclin D1. We found that overexpression of a kinase-inactive form of p38 $\gamma$  was able to significantly inhibit the activation of cyclin D1 by hypoxia. This is potentially an important finding for understanding the basic mechanisms by which PC12 cells develop a tolerance to hypoxia. In an on-going study we have evidence that a cyclin A-like protein is upregulated by hypoxia. One of the goals of our future research is to characterize this regulation. It is possible that cyclin D1 and other cyclins (cyclin A) are involved in regulation of the hypoxia tolerant phenotype. If so,

activation of the p38 pathway and subsequent activation of cyclins might provide protection against the harmful effects of prolonged hypoxia on neurons. This turned out not to be the case. Although cyclin A is regulated by hypoxia, it does not appear to involve p38 kinase nor does it appear to provide protection against hypoxia (unreported). **Journal of Biological Chemistry 274: 23570-23576, 1999.**

*Study 2:* We examined the role of the MAPK pathway on activation of EPAS1, a recently discovered hypoxia-activated transcription factor (Tian et al., 1997). We found that EPAS1 (which has 48% homology with HIF1 $\alpha$ , another hypoxia-activated transcription factor) is phosphorylated in PC12 cells during hypoxia, and that MAPK, but not p38 kinase, is involved in the activation of EPAS1. Pretreatment of PC12 cells with an inhibitor (PD98059) of MEK, an intermediate enzyme in the MAPK pathway, completely blocked hypoxia-activation (transactivation of a reporter gene that contained EPAS1 binding sites, i.e. HREs) of EPAS1. Interestingly, pharmacological blockade of MEK with PD98059 failed to prevent phosphorylation of EPAS1 during hypoxia in PC12 cells. This indicates that other kinases which are downstream from MAPK are involved in the hypoxia-induced activation of EPAS1. We also found that dominant-negative disruption of ras, the customary entry point into the MAPK pathway, did not prevent phosphorylation of MAPK or the trans-activation of the HRE-reporter gene. Thus, hypoxia activates MAPK in a ras independent manner. Moreover, pharmacological blockade of calmodulin blocked both the hypoxia-induced phosphorylation of MAPK and the EPAS1 trans-activation of the HRE reporter gene. Thus it appears that multiple signal transduction pathways including p38 kinase, MAPK and calcium/calmodulin are involved in the regulation of hypoxia-responsive genes in PC12 cells. **Journal of Biological Chemistry 274: 33709-33713, 1999.**

*Study 3.* One of the early events in O<sub>2</sub> chemosensitivity in neurons is inhibition of an O<sub>2</sub>-sensitive K channel. Characterization of the molecular composition of the native O<sub>2</sub>-sensitive K channels in chemosensitive cells is important to understand the mechanisms that couple cellular function to O<sub>2</sub> tension. To gain insights into how cells respond to reduced O<sub>2</sub> tension, we studied the function of the Kv1.2 channel in the O<sub>2</sub>-sensitive PC12 cell line. Whole-cell patch clamp recordings showed that the O<sub>2</sub>-sensitive K current in PC12 cells is inhibited by charybdotoxin, a blocker of Kv1.2 channels. PC12 cells express the Kv1.2  $\alpha$ -subunit of K channels: Western blot analysis with affinity-purified anti-Kv1.2 antibody revealed a band at 80Kd. Specificity of this antibody was established in Western blot and immunohistochemical studies. Anti-Kv1.2 dialysed through the patch pipette completely blocked the K<sub>O2</sub> current, while the anti-Kv2.1 and irrelevant antibodies had no effect. The O<sub>2</sub> sensitivity of recombinant Kv1.2 and Kv2.1 channels was studied in *Xenopus* oocytes. Hypoxia inhibited the Kv1.2 current only. These findings show that the K<sub>O2</sub> channel in PC12 cells belongs to the Kv1 subfamily of K channels and that the Kv1.2  $\alpha$ -subunit is important in conferring O<sub>2</sub> sensitivity to this channel. **Journal of Physiology 524:783-793, 2000.**

*Study 4:* The p38 signalling pathway is part of the MAPK superfamily and is activated by various stressors such as hypoxia. Our previous studies revealed that PC12 cells express two P38 isoforms that are activated by hypoxia. PC12 cells also synthesize and secrete catecholamines, including dopamine, in response to hypoxia. We have now used this system to study the interaction between D2-dopamine receptor signaling and the p38 stress-activated protein kinases. Our results show that two D2 receptor antagonists, butachamol and sulpiride, enhance hypoxia-induced phosphorylation of p38 $\gamma$ , but not p38 $\alpha$ . This effect persists in protein kinase A (PKA)-deficient PC12 cells, demonstrating that p38 $\gamma$  modulation by the D2 receptor is independent of the cAMP/PKA pathway. We further show that removal of extracellular calcium blocks the hypoxia-induced increase in p38 $\gamma$  activity. These results are the first to demonstrate that p38 $\gamma$  can be regulated by the D2 receptor and calcium following hypoxic exposure. **Cellular Signalling 12: 463-467, 2000.**

*Study 5:* The Effects of hypoxia on the stress- and mitogen-activated protein kinase (SAPK and MAPK) signaling pathways were studied in PC12 cells. Exposure to moderate hypoxia (5% O<sub>2</sub>) was found to progressively stimulate phosphorylation and activation of p38 $\gamma$  in particular, and also p38 $\alpha$ , two isoforms of the p38 family of stress activated protein kinases. In contrast, hypoxia had no effect on enzyme activity of p38 $\beta$ , p38 $\delta$  or JNK, another stress-activated protein kinase. Prolonged hypoxia also induced phosphorylation and activation of p42/p44 MAPK, although this activation was modest when compared to NGF and UV-induced activation. We further showed that activation of p38 $\gamma$  and MAPK during hypoxia requires calcium, as treatment with Ca free media or calmodulin antagonists blocked the activation of p38 $\gamma$  and MAPK. These studies demonstrate that an extremely typical physiological stress (hypoxia) causes selective activation of specific elements of the SAPKs and MAPKs, and identifies Ca/Calmodulin as a critical upstream activator. **Oxygen Sensing: Molecule to Man, edited by S. Lahiri, Kluwer Academic Press/Plenum Publishers, 2000.**

*Study 6:* Transplantation of dopamine-secreting cells harvested from fetal mesencephalon directly into the striatum has had limited success as a therapy for Parkinson's Disease. A major problem is that the majority of the cells dies during the first 3 weeks following transplantation. Hypoxia in the tissue surrounding the graft is a potential cause of the cell death. We have used subtractive cDNA libraries and microarray analysis to identify the gene expression profile that regulates tolerance to hypoxia. An improved understanding of the molecular basis of hypoxia-tolerance may allow investigators to engineer cells that can survive in the hypoxic environment of the brain parenchyma following transplantation. **Parkinson's and Related Disorders 7: 273-281, 2000.**

*Study 7:* We investigated the effect of hypoxia on glutamate metabolism and uptake in rat pheochromocytoma (PC12) cells. Various key enzymes relevant to glutamate production metabolism and transport were coordinately regulated by hypoxia. PC12 cells express two glutamate-metabolizing enzymes, glutamine synthetase (GS) and glutamate decarboxylase (GAD), as well as the glutamate-producing enzyme, phosphate-activated glutaminase (PAG). Exposure to hypoxia for 6h or longer increased expression of GS mRNA and protein and enhanced GS enzymatic activity. In contrast, hypoxia led to an increase in GAD65 and GAD67 protein levels and GAD enzymatic activity. PC12 cells express three Na-dependent glutamate transporters; EAAC1, GLT-1 and GLAST. Hypoxia increased EAAC1 and GLT-1 protein levels, but had no effect of GLAST. Chronic hypoxia significantly enhanced the Na-dependent component of glutamate transport. Furthermore, chronic hypoxia decreased cellular content of glutamate, and increased cellular glutamine. Taken together, the hypoxia-induced changes in enzymes related to glutamate metabolism and transport are consistent with a decrease in extracellular concentration of glutamate. This may have a role in protecting PC12 cells from the cytotoxic effects of glutamate during chronic hypoxia. **Journal of Neurochemistry 76: 1935-1948, 2001.** (This study was not part of the original proposal, but we discovered that adenosine plays a potentially important role in neuronal protection against hypoxia injury and is directly related to the overall objective of the proposed research.)

*Study 8:* The PYK2 tyrosine kinase can be activated by both calcium-dependent and calcium-independent mechanisms. Exposure to moderate hypoxia (5% O<sub>2</sub>) induced a rapid and persistent tyrosine phosphorylation of PYK2 in pheochromocytoma (PC12) cells. Hypoxia and KCl-depolarization increased the phosphotyrosine content of PYK2 by 2-fold and 4-fold, respectively. Both of these effects were abolished in the absence of extracellular calcium. There was a modest activation of MAPK in parallel with the onset of PYK2 phosphorylation. However, there was no detectable activation of either JNK or c-src, two other known downstream targets of PYK2. Thus, exposure to hypoxia may selectively target specific subsets of PYK2 signaling pathways. **Cellular Signalling 14:133-137, 2002.**

*Study 9:* The mechanisms by which cells adapt and respond to changes in oxygen tension remain largely unknown. Our laboratory has utilized the PC12 cell line to study both biophysical and molecular

responses to hypoxia. This chapter summarizes our findings. We found that membrane depolarization at occurred when PC12 cells were exposed to reduced  $O_2$  was mediated by a specific potassium channel, the Kv1.2 channel. The membrane depolarization led to increased  $Ca^{2+}$  conductance through a voltage-sensitive channel, which in turn mediates the release of the neurotransmitters dopamine, adenosine, glutamate and GABA. In addition, increased intracellular  $Ca^{2+}$  and other signaling systems regulate hypoxia-induced gene expression, which contributes to the adaptive response to reduced  $O_2$ . We identified several critical signaling pathways that regulate a complex gene expression profile in PC12 cells during hypoxia. These include the cAMP-protein kinase A,  $Ca^{2+}$ -calmodulin, p42/44 mitogen-activated protein kinase (MAPK), stress-activated protein kinase (SAPK; p38 kinase), and the phosphatidylinositol 3-kinase-AKT as regulators of gene expression. Several of these pathways regulate hypoxia-specific transcription factors that are members of the Hypoxia-Induced Factor (HIF) family. Recently, we have successfully used subtractive cDNA libraries and microarray analysis to identify the genomic profile that mediates the cellular response to hypoxia. This work is in press in the **Annals of the New York Academy of Science**.

**Kv1.2 and Membrane Excitability during Hypoxia.** Oxygen-sensitive  $K^+$  channels are important elements in the cellular response to hypoxia. Although much progress has been made in identifying their molecular composition, the site of interaction with  $O_2$  has not yet been identified. Our laboratory has proposed that the Kv1.2 alpha subunit comprises the hypoxia-sensitive  $K^+$  channel in PC12 cells. Heterologous expression of Kv1.2 subunits, but not Kv2.1 subunits, produces  $O_2$  sensitive  $K^+$  currents in *Xenopus* oocytes. To elucidate the molecular mechanisms for the  $O_2$ -sensitivity of Kv1.2 channels, we analyzed the response to hypoxia of chimeric channels consisting of Kv1.2 and Kv2.1 polypeptides. Expression of chimeric Kv2.1 channels each containing the S4, the S1-S3 or the S6-COOH segment of Kv1.2 polypeptide resulted in a K current insensitive to hypoxia. In contrast, transferring the S5-S6 segment of Kv1.2 into Kv2.1 produced an  $O_2$ -sensitive K current. Finally, mutating the proposed redox-sensitive methionine residue (M380) of Kv1.2 polypeptide did not affect  $O_2$ -sensitivity. Thus, the S5-S6 segment of Kv1.2 polypeptide confers its hypoxic inhibition in a methionine-independent manner. (Submitted for publication).

**Activation Mechanism for HIF-2 $\alpha$ .** The hypoxia-inducible factor (HIF) activates the expression of genes that contain a hypoxia response element (HRE). The alpha subunit of the HIF transcription factors are degraded by proteasome pathways during normoxia, but stabilized under hypoxic conditions. It has previously been established that cobalt causes accumulation of HIF-2 $\alpha$  and HIF-1 $\alpha$ . However, little is known about the mechanism by which cobalt mimics hypoxia and stabilizes these transcription factors. We show here that cobalt binds directly to HIF-2 $\alpha$  *in vitro* with a high affinity and in an oxygen-dependent manner. We found that HIF-2 $\alpha$ , which had been stabilized with a proteasome inhibitor, could bind to cobalt whereas hypoxia stabilized HIF-2 $\alpha$  could not. Mutations within the oxygen-dependent degradation domain of HIF-2 $\alpha$  prevented cobalt binding and led to accumulation of HIF-2 $\alpha$  during normoxia. This suggests that transition metal such as iron may play a role in regulation of HIF-2 $\alpha$  *in vivo*. (Submitted for publication)

**Study 10:** Subtractive suppression hybridization was used to generate a cDNA library enriched in cDNA sequences corresponding to mRNA species that are specifically upregulated by hypoxia (6 hr, 1%  $O_2$ ) in the oxygen-responsive pheochromocytoma cell line. The dual specificity protein tyrosine phosphatase MAP kinase phosphatase-1 (MKP-1) was highly represented in this library. Clones were arrayed on glass slides to create a hypoxia-specific cDNA microarray chip. Microarray, northern blot and western blot analyses confirmed that MKP-1 mRNA and protein levels were upregulated by hypoxia by approximately 8-fold. The magnitude of the effect of hypoxia on MKP-1 was approximately equal to that induced by KCl depolarization, and much larger than the effects of either epidermal growth factor or nerve growth factor on MKP-1 mRNA levels. In contrast to the calcium-dependent induction of MKP-1 by KCl depolarization, the effect of hypoxia on MKP-1 persisted under calcium-free conditions. Cobalt and



deferroxamine also increased MKP-1 mRNA levels, suggesting that HIF proteins may play a role in the regulation of MKP-1 by hypoxia. Pretreatment of cells with SB203580, which inhibits p38 kinase activity, significantly reduced the hypoxia-induced increase in MKP-1 RNA levels. Thus, hypoxia robustly increases MKP-1 levels, at least in part through a p38 kinase-mediated mechanism. **J. Biol. Chem 276: 44405-44412, 2001.**

*Study 11:* Hypoxia regulates glutamate metabolism and membrane transport in rat PC12 cells. We investigated the effect of hypoxia on glutamate metabolism and uptake in rat pheochromocytoma (PC12) cells. Various key enzymes relevant to glutamate production, metabolism and transport were coordinately regulated by hypoxia. PC12 cells express two glutamate-metabolizing enzymes, glutamine synthetase (GS) and glutamate decarboxylase (GAD), as well as the glutamate-producing enzyme, phosphate-activated glutaminase (PAG). Exposure to hypoxia (1% O<sub>2</sub>) for 6 h or longer increased expression of GS mRNA and protein and enhanced GS enzymatic activity. In contrast, hypoxia caused a significant decrease in expression of PAG mRNA and protein, and also decreased PAG activity. In addition, hypoxia led to an increase in GAD65 and GAD67 protein levels and GAD enzymatic activity. PC12 cells express three Na<sup>2+</sup>-dependent glutamate transporters; EAAC1, GLT-1, and GLAST. Hypoxia increased EAAC1 and GLT-1 protein levels, but had no effect on GLAST. Chronic hypoxia significantly enhanced the Na<sup>+</sup>-dependent component of glutamate transport. Furthermore, chronic hypoxia decreased cellular content of glutamate, but increased that of glutamine. Taken together, the hypoxia-induced changes in enzymes related to glutamate metabolism and transport are consistent with a decrease in the extracellular concentration of glutamate. This may have a role in protecting PC12 cells from the cytotoxic effects of glutamate during chronic hypoxia. **Neurochemistry 76: 1935-1948.**

**A short invited News and Views article written by the Principal Investigator (David E. Millhorn) describing the effect of hypoxia on signal transduction pathways and gene regulatory mechanisms is also attached.**

**A detailed description of protocols, methods and experimental approaches is provided in the attached journal articles. Statistical application and data analysis is also provided in the attached articles.**

## **8. KEY RESEARCH ACCOMPLISHMENTS:**

- First evidence that the p38 kinase signal transduction pathway is activated by hypoxia.
- Activation of the p38 kinase pathway is isoform specific; only the  $\alpha$  and  $\gamma$  isoforms of this enzyme are activated by hypoxia.
- Cyclin D1 is inhibited by hypoxia via the p38 kinase pathway. Cyclin A is not a target of p38 kinase during exposure to hypoxia.
- We were first to show that the mitogen-activated protein kinase (MAPK) is also activated by hypoxia in PC12 cells. (The MAPK system is a parallel system to the p38 kinase pathway).
- We were first to show that the hypoxia induced transcription factor EPAS1 is phosphorylated during hypoxia and that this phosphorylation leads to transactivation of genes that contain the HRE sequences.
- We also showed that the calcium/calmodulin pathway interacts with the MAPK pathway to phosphorylate and activate EPAS 1.

## 8. REPORTABLE OUTCOMES:

- Eleven full journal, six abstracts and four review articles/chapters were published. These are provided in the appendix to this progress report.
- P. William Conrad received his Ph.D. degree in June 2000. His research during the 1999-2000 was supported in part by this grant. He is first author on the two attached research papers.
- Karen Seta and S. Kobayashi finished their post-doctoral fellowships.
- D. Millhorn was named Director of the Genome Research Institute and Chairman of the new Department of Genome Science at the University of Cincinnati.

## 9. CONCLUSIONS:

Significant progress was made on objectives 1 and 2 of the Statement of Work. The primary findings were that the p38 and MAPK signal transduction pathways play a primary role in regulating the response to hypoxia in PC12 cells. We further showed that these pathways activate downstream targets (cyclin D1, cyclin A-like, and EPAS1) that are potential mediators of hypoxia tolerance. We conclude that the p38 kinase and MAPK pathways play a primary role in conferring a hypoxia tolerant phenotype to PC12 cells, a model cell line for neurons.

We further characterized the roles of the stress-activated and mitogen-activated protein kinase pathways in regulating the cellular response to hypoxia. We also demonstrated that the glutamate synthesizing pathways and membrane transporters are regulated in PC12 cells during chronic hypoxia exposure. This regulation leads to decreased extracellular concentrations of glutamate, which is a cellular excitotoxin. We propose that the regulation of these pathways and glutamate synthesis and release protects cells against the harmful effects of hypoxia. In addition, we showed that the Kv1.2 potassium channel regulates membrane potential during hypoxia, and that the  $\alpha$ -subunit is primarily responsible for this important function. Our research provides important insights concerning the molecular mechanisms involved in tolerance to hypoxia, which may play an important role in neurodegenerative diseases. Finally we have begun gene expression profile studies which will give additional insights concerning the broad spectrum of genes that regulate hypoxia tolerance. This led to the identification of MKP-1 as a potential important mediator of hypoxia response in PC12 cells. Investigation of MKP-1 showed that it is regulated by P38 kinase and that it modulates the MAPK pathway which is also regulated by reduced O<sub>2</sub>.

The cellular response to hypoxia is extremely complex and involves numerous different signaling pathways and genes. Our research underscores the potential role of hypoxia in mediating alterations in cell function which might lead to a variety of phenotypes including ones that are highly susceptible to injuries that could result in neurodegeneration.

This research provides new insights concerning the molecular mechanisms that regulate certain key genes in response to a reduction in oxygen tension. Gene regulation and protein synthesis are important elements in the cellular response to hypoxia. The cellular responses to hypoxia are necessary for maintaining oxygen homeostasis and for survival in low oxygen environments. The number one killer in the western hemisphere is ischemia/hypoxia/anoxia that results from vascular-related diseases. Information garnered from these studies will aid in developing strategies for new therapeutics involving cell survival in hypoxic environments.



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## 11. APPENDICES:

(see attached journal articles)

A detailed description of protocols, methods and experimental approaches is provided in the attached journal articles. Statistical application and data analysis is also provided in the attached articles.

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**Conrad, P.W., R. Rust, J. Han, D.E. Millhorn and D. Beitner-Johnson.** Selective activation of p38 $\alpha$  and p38 $\gamma$  by hypoxia: Role in regulation of cyclin D1 by hypoxia in PC12 cells. *J. Biol. Chem.* 274:23570-23576, 1999.

**Conrad, P.W., T. Freeman, D. Beitner-Johnson and D.E. Millhorn.** EPAS1 trans-activation during hypoxia requires MAPK p42/p44 MAPK. *Journal of Biological Chemistry* 274: 33709-33713, 1999.

**Conforti, L., I. Bodi, J.W. Nesbit and D.E. Millhorn.** O<sub>2</sub>-sensitive K channels in PC12 cells : role of the Kv1.2  $\alpha$ -subunit in mediating the hypoxic response. *Journal of Physiology* 524:783-793, 2000.

**Conrad, P., D.E. Millhorn and D. Beitner-Johnson.** Novel regulation of p38 $\gamma$  by dopamine D2 receptors during hypoxia. *Cellular Signalling* 12: 463-467, 2000.

**Kobayashi, S. and D.E. Millhorn.** Hypoxia regulates glutamate metabolism and membrane transport in rat PC12 cells. *J. Neurochem.* 76:1935-1948, 2001.

**Beitner-Johnson, D., K. Seta, Y. Yuan, R. Rust, P.W. Conrad, S. Kobayashi, and D.E. Millhorn.** Identification of hypoxia-responsive genes in a dopaminergic cell line by subtractive cDNA libraries and microarray analysis. *Parkinson's and Related Diseases* 7:273-281, 2001.

**Yuan, Y., D. Beitner-Johnson, and D.E. Millhorn.** Cobalt mimics hypoxia by directly binding to hypoxia inducible factor 2 $\alpha$ . *Biochem and Biophys Res Comm* 288:849-854,2001.

**Seta, K.A., R. Kim, H.-W. Kim, D.E. Millhorn, and D. Beitner-Johnson.** Hypoxia-induced regulation of MKP-1: Identification by subtractive suppression hybridization and cDNA microarray analysis. *J Biol. Chem.* 276:44405-44412, 2001.

**Beitner-Johnson, D., T. Ferguson, R.T. Rust, S. Kobayashi, and D.E. Millhorn.** Calcium-dependent activation of PYK2 by hypoxia. *Cellular Signalling* 14:133-854,2002.

**Conforti, L., K. Takimoto, M. Petrovic, O. Pongs and D.E. Millhorn.** Critical role of the port region of the oxygen-sensitive Kv1.2 channels in mediating the hypoxic response. (submitted)

**Seta, K., H. Kim, T. Ferguson, R. Kim, P. Pathrose, Y. Yuan, G. Lu, Z. Spicer, and D.E. Millhorn.** Genomic and physiological analysis of oxygen sensitivity and hypoxia tolerance in PC12 cells. *Ann. NY Acad Sci* (in press).

#### **Reviews and Chapters:**

**Sharp F., A. Lu, Y. Tang, D.E. Millhorn.** Multiple molecular penumbras following focal cerebral ischemia. *Journal of Cerebral Blood Flow and Metabolism* 20:1011-1032, 2000.

**Millhorn, D.E., D. Beitner-Johnson, L. Conforti, P. Conrad, S. Kobayashi, Y. Yuan and R. Rust.** Gene regulation during hypoxia in excitable oxygen-sensing cells: Depolarization-transcription coupling In *Oxygen Sensing: Molecule to Man*, edited by S. Lahiri, Kluwer Academic Press/Plenum Publishers, 2000, pp. 131-142.

**Seta, K., H.-W. Kim, T. Ferguson, R. Kim, P. Pathrose, Y. Yuan, G. Lu, Z. Spicer, D.E. Millhorn.** Genomic and physiological analysis of oxygen sensitivity and hypoxia tolerance in PC12 cells. Ed. L. Eiden and D. O'Connor. *Annals of the NY Acad of Sciences.* 2001.

**Conrad, PW, L Conforti, S. Kobayashi, D. Beitner-Johnson, R. Rust, Y. Yang, H. Kim, R. Kim, K. Seta, and D.E. Millhorn.** The molecular basis of O<sub>2</sub>-sensing and hypoxia tolerance in pheochromocytoma cells. *Comparative Biochemistry and Physiology* 128: 187-204, 2001.

#### **Abstracts**

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## Selective Activation of p38 $\alpha$ and p38 $\gamma$ by Hypoxia

### ROLE IN REGULATION OF CYCLIN D1 BY HYPOXIA IN PC12 CELLS\*

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Hypoxic/ischemic trauma is a primary factor in the pathology of a multitude of disease states. The effects of hypoxia on the stress- and mitogen-activated protein kinase signaling pathways were studied in PC12 cells. Exposure to moderate hypoxia (5% O $_2$ ) progressively stimulated phosphorylation and activation of p38 $\gamma$  in particular, and also p38 $\alpha$ , two stress-activated protein kinases. In contrast, hypoxia had no effect on enzyme activity of p38 $\beta$ , p38 $\delta$ , or on c-Jun N-terminal kinase, another stress-activated protein kinase. Prolonged hypoxia also induced phosphorylation and activation of p42/p44 mitogen-activated protein kinase, although this activation was modest compared with nerve growth factor- and ultraviolet light-induced activation. Hypoxia also dramatically down-regulated immunoreactivity of cyclin D1, a gene that is known to be regulated negatively by p38 at the level of gene expression (Lavoie, J. N., L'Allemain, G., Brunet, A., Muller, R., and Pouyssegur, J. (1996) *J. Biol. Chem.* 271, 20608–20616). This effect was partially blocked by SB203580, an inhibitor of p38 $\alpha$  but not p38 $\gamma$ . Overexpression of a kinase-inactive form of p38 $\gamma$  was also able to reverse in part the effect of hypoxia on cyclin D1 levels, suggesting that p38 $\alpha$  and p38 $\gamma$  converge to regulate cyclin D1 during hypoxia. These studies demonstrate that an extremely typical physiological stress (hypoxia) causes selective activation of specific p38 signaling elements; and they also identify a downstream target of these pathways.

Mammalian cell function is critically dependent on a continuous supply of oxygen. Even brief periods of oxygen deprivation (hypoxia/ischemia) can result in profound cellular and tissue damage. Thus, it is vital that organisms meet changes in O $_2$  tension with appropriate cellular adaptations; however, the specific intracellular pathways by which this occurs are not well delineated. The stress- and mitogen-activated protein kinase (SAPK $^1$  and MAPK) pathways play a critical role in responding to cellular stress and promoting cell growth and sur-

vival (1, 2). We therefore investigated the effect of hypoxia on the SAPK and MAPK signaling pathways.

SAPKs and MAPKs are the downstream components of three-member protein kinase modules (3). Five homologous subfamilies of these kinases have been identified, and the three major families include p38/SAPK2/RK, JNK/SAPK, and p42/p44 MAPKs/ERKs (1–6). In general, the stress-activated protein kinases (p38 and JNK) are activated primarily by noxious environmental stimuli such as ultraviolet light, osmotic stress, inflammatory cytokines, and inhibition of protein synthesis (7–10). However, increasing evidence suggests that, at least under certain conditions, these pathways can also be activated by mitogenic and neurotrophic factors (11, 12). In contrast, p42/p44 MAP kinases are stimulated primarily by mitogenic and differentiative factors in a Ras-dependent manner (5, 13, 14), although these enzymes can also be activated by certain environmental stressors (1–3). Thus, we hypothesized that hypoxia, a prevalent physiological stressor in many disease states, may regulate the activity of the SAPK and MAPK signaling pathways.

The pheochromocytoma cell line PC12 is a catecholaminergic, excitable cell type that has been used widely as an *in vitro* model for neural cells (15). Upon prolonged exposure to nerve growth factor (NGF), PC12 cells decrease proliferation and extend neurite-like processes (15). It has also been shown that PC12 cells are an O $_2$ -sensitive cell type that provides a useful system to study the effects of hypoxia on catecholaminergic gene expression (16–21). PC12 cells are exquisitely sensitive to hypoxia in that very small reductions in atmospheric O $_2$  (from 21 to 15% O $_2$ ) dramatically induce tyrosine hydroxylase gene expression and mRNA stability (16, 17). Hypoxia also induces activation of the cAMP response element-binding protein (CREB) and *c-fos* transcription factors in this cell type (17, 20, 21). In addition, PC12 cells tolerate moderate hypoxia well in that they maintain greater than 95% cell viability for up to 72 h of exposure to hypoxia (5% O $_2$ , ~50 mm Hg) (22). Finally, PC12 cells also express hypoxia-regulated ion channels, as shown by the finding that PC12 cells depolarize during hypoxia via an oxygen-regulated K $^+$  current (23, 24) and secrete dopamine and norepinephrine (25, 26). Thus, this cell type is an ideal system in which to study regulation of intracellular signaling systems by hypoxia.

In the current studies, we have used this cell line to investigate the effect of hypoxia on the SAPK and MAPK signaling pathways. We show that hypoxia selectively activates the p38 $\gamma$  and p38 $\alpha$  isoforms of the p38 pathway in this cell type. The p38 $\gamma$  subtype in particular is most strongly targeted by hypoxia. Furthermore, we identify cyclin D1, a gene that has been

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$^1$  The abbreviations used are: SAPK, stress activated protein kinase; MAPK, mitogen activated protein kinase; JNK, c-Jun N-terminal kinase; ERK, extracellular signal-regulated kinase; NGF, nerve growth

factor; PBS, phosphate-buffered saline; MOPS, 4-morpholinepropane-sulfonic acid; PDZ, PSD-95, Discs-Large, ZO-1; RK, reactivating kinase; MAPKAP, mitogen-activated protein kinase activated protein.

shown previously to be regulated by p38 (27), as a downstream target of hypoxia-induced activation of both p38 and p38 $\gamma$ .

#### EXPERIMENTAL PROCEDURES

**Reagents and Antibodies**—SB203580 and NGF were obtained from Calbiochem. Ionomycin, sorbitol, and anti-FLAG M2 antibody were obtained from Sigma. Anti-p38 (C-20), anti-JNK1 (C-17), and anti-ERK2 (C-14) antibodies, protein G-coupled agarose for immunoprecipitations, and anti-cyclin D1 (C-20) antibodies for Western blotting were from Santa Cruz Biotechnology (Santa Cruz, CA). Protein A-coupled Sepharose was obtained from Amersham Pharmacia Biotech. MAPKAP kinase-2 assay kits and myelin basic protein were from Upstate Biotechnology, Inc. (Lake Placid, NY), and c-Jun (1-79) was from Santa Cruz Biotechnology. Phospho- and total p38 and phospho- and total p42/p44 MAPK antibodies were obtained from New England Biolabs (Beverly, MA). All cell culture media and reagents were obtained from Life Technologies, Inc.

**Cell Culture**—PC12 cells were cultured in Dulbecco's modified Eagle's medium/Ham's F-12 medium supplemented with 20 mM HEPES (pH 7.4), 10% fetal bovine serum, and with penicillin (100 units/ml) and streptomycin (100  $\mu$ g/ml). Prior to experimentation, cells were grown to approximately 85% confluence in 35- or 60-mm tissue culture dishes (Corning) in an environment of 21% O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub>. Hypoxia was achieved by exposing cells to 5% O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub> for various times in an O<sub>2</sub>-regulated incubator (Forma Scientific, Marietta, OH). In previous studies, we have shown that the partial pressure of O<sub>2</sub> in the media of cells exposed to 5% O<sub>2</sub> is in the range of 50–55 mm Hg (16).

Stable PC12 cell lines were created by transfecting cells with either FLAG-tagged p38 $\gamma$ AF in pcDNA3 (28) or the empty pcDNA3 vector, using Trans-Fast reagent (Promega, Madison, WI), at a charge ratio of 1:1, according to the manufacturer's recommended conditions. Individual clones expressing the kinase-inactive form of p38 $\gamma$  (p38 $\gamma$ AF) were selected in the presence of G418 (0.4 mg/ml). Clones were screened for p38 $\gamma$ AF expression by immunoblotting whole cell lysates with an anti-FLAG antibody, as described below.

**Western Blotting**—After exposure to hypoxia, cells were washed with ice-cold phosphate-buffered saline (PBS) and harvested by adding 0.2 ml/35-mm dish of a lysis buffer containing 10 mM Tris (pH 7.4), 1% Triton X-100, 0.2 mM sodium vanadate, 10 mM sodium fluoride, 1 mM EDTA, 1 mM phenylmethanesulfonyl fluoride, 2  $\mu$ g/ml leupeptin, and 2  $\mu$ g/ml aprotinin. Lysates were sonicated for 1 s with a microultrasonic cell disrupter (Kontes, Vineland, NJ) and then centrifuged for 10 min at 14,000  $\times$  g at 4  $^{\circ}$ C to remove the Triton-insoluble fraction. The protein concentration was determined by the method of Bradford (Bio-Rad), and gel samples were prepared by adding sample buffer containing final concentrations of 50 mM Tris (pH 6.7), 2% SDS, 2%  $\beta$ -mercaptoethanol, and bromophenol blue as a marker. Gel samples were boiled for 2 min, and then 20–100  $\mu$ g of protein was loaded on 7.5% or 9% SDS-polyacrylamide gels. Proteins were transferred to nitrocellulose membranes (Schleicher & Schuell) using standard electroblotting procedures. Nitrocellulose membranes were blocked with 5% nonfat dry milk or 5% bovine serum albumin, for phosphotyrosine immunoblots. Blocking solutions were prepared in a buffer containing 10 mM sodium phosphate (pH 7.2), 140 mM NaCl, and 0.1% Tween 20 (PBST).

Blots were immunolabeled overnight at 4  $^{\circ}$ C with antibodies recognizing the dual phosphorylation motif at Thr<sup>180</sup> and Tyr<sup>182</sup> of p38 (1:500) or with an antibody that equally recognizes phospho- and dephospho-p38 (1:3,000). The phosphorylation state of p42/p44 MAPK was evaluated using an antibody that specifically recognizes phospho-Tyr<sup>204</sup> MAPK (1:1,000) or an antibody that equally recognizes phospho- and dephospho-MAPK (1:1,000). Cyclin D1 expression was analyzed using an anti-cyclin D1 antibody (1:2,500). FLAG-tagged p38 protein kinases were detected with an anti-FLAG M2 monoclonal antibody (1:500). Immunoblots were washed in several changes of PBST at room temperature and then incubated with anti-rabbit Ig linked to horseradish peroxidase or, for FLAG and cyclin D1, an anti-mouse Ig linked to horseradish peroxidase (Amersham Pharmacia Biotech). Immunoreactivity was detected with enhanced chemiluminescence (Amersham Pharmacia Biotech) according to the manufacturer's recommended conditions and quantified using densitometric analysis with an ImagePro digital analysis system (Media Cybernetics, Silver Springs, MD). Immunoreactivity for all proteins evaluated was linear over at least a 3-fold range of protein concentrations.

**Immune Complex Kinase Assays**—For MAPK and SAPK assays, cells were grown to 70% confluence on 35-mm tissue culture dishes. For p38 kinase assays, cells on 35-mm dishes were transiently transfected with

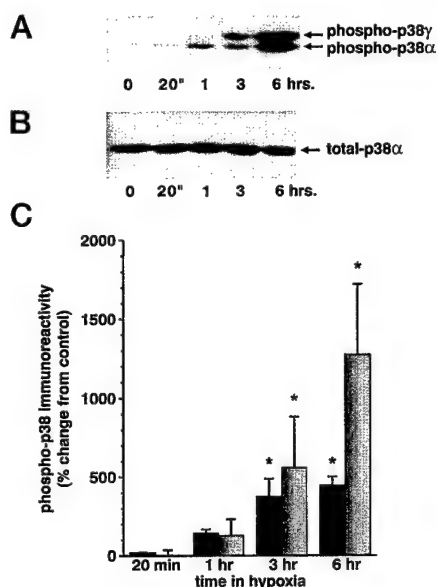
5  $\mu$ g of FLAG-p38, FLAG-p38 $\beta$ , FLAG-p38 $\delta$ , FLAG-p38 $\gamma$ , FLAG-p38 $\delta$ , or pcDNA3, using Trans-Fast reagent at a charge ratio of 1:1, according to the manufacturer's recommended conditions. These constructs have been described previously (5, 28–30). Cells were then exposed to normoxia, hypoxia, or UV light (300 J/m<sup>2</sup>), or sorbitol (300 mM) 48 h after transfection. Cells were then washed with ice-cold PBS and harvested by adding 0.3 ml of buffer A (50 mM Tris (pH 7.4), 2 mM EDTA, 2 mM EGTA, 0.5 mM sodium vanadate, 10 mM  $\beta$ -glycerophosphate, 1% Triton X-100, 1 mM phenylmethanesulfonyl fluoride, 2  $\mu$ g/ml leupeptin, 2  $\mu$ g/ml aprotinin, and 0.1% (v/v)  $\beta$ -mercaptoethanol). Cell lysates were centrifuged for 10 min at 14,000  $\times$  g at 4  $^{\circ}$ C to pellet the Triton-insoluble fraction. FLAG-tagged p38 isoforms were immunoprecipitated from 200  $\mu$ g of total cellular protein using 10  $\mu$ g of anti-FLAG M2 monoclonal antibody coupled to agarose and followed by rocking at 4  $^{\circ}$ C for 2–24 h. Immunoprecipitation of MAPK or JNK was achieved by adding 0.5  $\mu$ g of ERK2 or 1  $\mu$ g of JNK antibody to lysates containing 500  $\mu$ g of total cellular protein and rocking at 4  $^{\circ}$ C for 2–4 h. 50  $\mu$ l of a 10% (w/v) suspension of protein A-Sepharose beads was then added, and the reaction slurry was allowed to rock at 4  $^{\circ}$ C for 2–24 h. The immunoprecipitation complex was washed twice with 0.5 ml of ice-cold fresh buffer A, twice with PBS, and twice with kinase assay buffer (containing 20 mM MOPS (pH 7.2), 25 mM  $\beta$ -glycerophosphate, 5 mM EGTA, 1 mM sodium orthovanadate, and 1 mM dithiothreitol). In addition to buffer A described above, the kinase assay reaction mixture contained final concentrations of 7.5 mM MgCl<sub>2</sub>, 50  $\mu$ M ATP containing 20  $\mu$ Ci of [ $\gamma$ -<sup>32</sup>P]ATP and either 10  $\mu$ g of myelin basic protein for p38 and p42/p44 MAPK assays, or 10  $\mu$ g of c-Jun (1–79) for JNK assay, in a final volume of 100  $\mu$ l. Reactions were initiated by the addition of 10  $\mu$ l of [ $\gamma$ -<sup>32</sup>P]ATP (NEN Life Science Products) and incubated for 20 min shaking at 30  $^{\circ}$ C. Reactions were stopped by the addition of Laemmli SDS sample buffer containing  $\beta$ -mercaptoethanol and bromophenol blue. Samples were boiled for 2 min and run on either 15% SDS-polyacrylamide gels for analysis of p38 and p42/p44 MAPK or 9% SDS-polyacrylamide gels for JNK enzyme activity. Kinase activity was measured as the amount of <sup>32</sup>P incorporation into the specific substrate proteins as quantified by PhosphorImager analysis (Molecular Dynamics, Sunnyvale, CA). MAPKAP kinase-2 assays were performed essentially as described previously (20) except that cell lysates were rapidly frozen in a dry ice/ethanol bath to facilitate cell lysis. Lysates were then thawed and processed for MAPKAP kinase-2 activity using an immunoprecipitation kinase kit (Upstate Biotechnology Inc.) according to the manufacturer's recommended conditions.

**Flow Cytometry**—Flow cytometry was performed as described previously (31). PC12 cells were grown to approximately 70% confluence on 35-mm tissue culture dishes. After normoxic or hypoxic treatment for 24 h, cells were harvested by adding 150  $\mu$ l of 0.05% trypsin. 1 ml of a solution containing 10% fetal bovine serum in PBS was added to quench the trypsin. Cells were then centrifuged and resuspended in 100  $\mu$ l of a freezing buffer containing 250 mM sucrose, 5% dimethyl sulfoxide, and 40 mM sodium citrate. Cells were stored at –80  $^{\circ}$ C until preparation for flow cytometry. 50  $\mu$ l from each cell sample was aliquoted and then lysed by the addition of 100  $\mu$ l of a solution containing 0.5% Nonidet P-40 and 0.5 mM EDTA in PBS. 1  $\mu$ l of RNase (10 mg/ml, Qiagen, Santa Clarita, CA) was also added, and the cell mixture was then rocked for 15 min at room temperature. The samples from each tube were added to 1 ml of a solution containing 50  $\mu$ g/ml propidium iodide in PBS. Samples were analyzed on a Coulter Epics XL (Beckman-Coulter Co., Miami, FL) and analyzed using a WinCycle software package (Phoenix Flow Systems, San Diego).

#### RESULTS

Hypoxia is an extremely common physiological stressor. To investigate the effects of hypoxia on the stress- and mitogen-activated signaling pathways, PC12 cells were exposed to 5% O<sub>2</sub> for various times, between 20 min and 6 h. Whole cell lysates were subjected to SDS-polyacrylamide gel electrophoresis and then immunoblotted with an antibody specific for Thr<sup>180</sup>/Tyr<sup>182</sup>-phosphorylated p38 $\alpha$ . Phosphorylation at these sites is both necessary and sufficient for enzymatic activation of p38 $\alpha$  (5). It can be seen in Fig. 1A that exposure to hypoxia progressively induced phospho-p38 immunoreactivity in two closely migrating bands. Phospho-p38 blots were then stripped and reblotted with an antibody that equally recognizes phospho- and dephospho-p38 $\alpha$  (i.e. total p38 $\alpha$ ). Fig. 1B shows that the lower phospho-p38 immunoreactive protein shown in Fig.



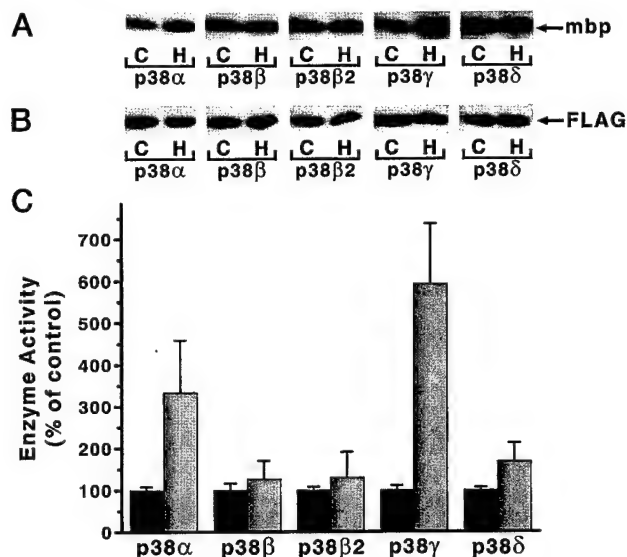


**FIG. 1. Effect of hypoxia on p38 $\alpha$  and p38 $\gamma$  phosphorylation state.** PC12 cells were exposed to hypoxia (5% O<sub>2</sub>) for various times between 0 and 6 h, as indicated. *Panel A*, representative immunoblot illustrating the effect of hypoxia on phospho-p38 $\alpha$  and phospho-p38 $\gamma$  immunoreactivity. *Panel B*, the blot shown in *panel A* was stripped and reprobed with an antibody that equally recognizes phospho- and dephospho-p38. *Panel C*, immunoreactivity levels of phospho-p38 $\alpha$  (black bars) and phospho-p38 $\gamma$  (shaded bars) are expressed as average percent change from control  $\pm$  S.E. and represent six dishes in each group, performed in two separate experiments. Phospho-p38 immunoreactivity was quantified by densitometry (\* $p$  < 0.01, by  $\chi^2$  test).

1A corresponded to p38 $\alpha$ , as determined by alignment of films using luminescent markers affixed directly to the blot. As shown in Fig. 1B, hypoxia did not alter the total amount of p38 $\alpha$  protein. Of the time points examined, maximal hypoxia-induced phosphorylation of p38 $\alpha$  occurred at 6 h, where there was an average 4.5-fold increase in p38 $\alpha$  phosphoimmunoreactivity (Fig. 1C). The upper phospho-p38 immunoreactive band was identified as the p38 $\gamma$  isoform, as described below. Phosphoimmunoreactivity of p38 $\gamma$  was increased more strongly by hypoxia, with an average of 12.7-fold increase over control levels by a 6-h exposure to hypoxia (Fig. 1C). These results suggest that both p38 $\alpha$  and p38 $\gamma$  are activated by hypoxia. Phosphorylation of p38 $\alpha$  and p38 $\gamma$  declined somewhat but was still elevated above control levels up to 24-h exposure to hypoxia (data not shown).

The upper phospho-p38 immunoreactive band shown in Fig. 1A was identified as p38 $\gamma$  by stripping and reblotting with a specific antibody raised against full-length recombinant p38 $\gamma$  (28), an isoform of p38 also known as ERK6 and SAPK3 (32, 33). Alignment of the resulting films showed that p38 $\gamma$  comigrated exactly with the upper phospho-p38 immunoreactive protein (data not shown). Although p38 $\beta$  and p38 $\delta$  were also expressed in PC12 cells, neither of these proteins comigrated with p38 $\gamma$ , as determined using specific antibodies for the p38 $\beta$  and p38 $\delta$  subtypes (data not shown).

To characterize further the effects of hypoxia on p38 enzyme activity, PC12 cells were transfected with FLAG epitope-tagged versions of p38 $\alpha$ , p38 $\beta$ , p38 $\beta$ <sub>2</sub>, p38 $\gamma$ , or p38 $\delta$ . Cells were then exposed to either normoxia (21% O<sub>2</sub>) or hypoxia (5% O<sub>2</sub>, 6 h). The various kinases were then immunoprecipitated with an anti-FLAG antibody, and immune complex kinase assays were performed, as described under "Experimental Procedures." As shown in Fig. 2A, hypoxia stimulated both p38 $\alpha$  and p38 $\gamma$  enzyme activity. In contrast to these results, hypoxia did not significantly alter p38 $\beta$ , p38 $\beta$ <sub>2</sub>, or p38 $\delta$  enzyme activity.



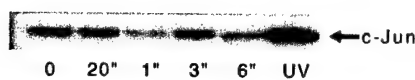
**FIG. 2. Effect of hypoxia on enzyme activity of the various p38 isoforms.** PC12 cells were transfected with FLAG-p38 $\alpha$ , FLAG-p38 $\beta$ , FLAG-p38 $\beta$ <sub>2</sub>, FLAG-p38 $\gamma$ , FLAG-p38 $\delta$ , or the pCDNA3 vector. After 48 h, cells were exposed to either control conditions (C, 21% O<sub>2</sub>) or hypoxia (H, 5% O<sub>2</sub>, 6 h). *Panel A*, enzyme activity of various p38 isoforms was determined in immune complex kinase assays by the amount of <sup>32</sup>P incorporation into myelin basic protein (mbp) as described under "Experimental Procedures." *Panel B*, whole cell lysates were immunoblotted for FLAG as described under "Experimental Procedures." *Panel C*, protein kinase activity of the various p38 isoforms after exposure to normoxia (black bars) or hypoxia (shaded bars) is expressed as average percent of control  $\pm$  S.E. and represents six to nine dishes in each group, performed in at least two separate experiments.

Hypoxia-induced changes in enzyme activity were not the result of differences in transfection efficiency as cell lysates blotted with anti-FLAG show equal amounts of the transfected protein (Fig. 2B). It can be seen that the effect of hypoxia on the p38 $\gamma$  isoform is by far the most robust (average 5.9-fold activation, Fig. 2C).

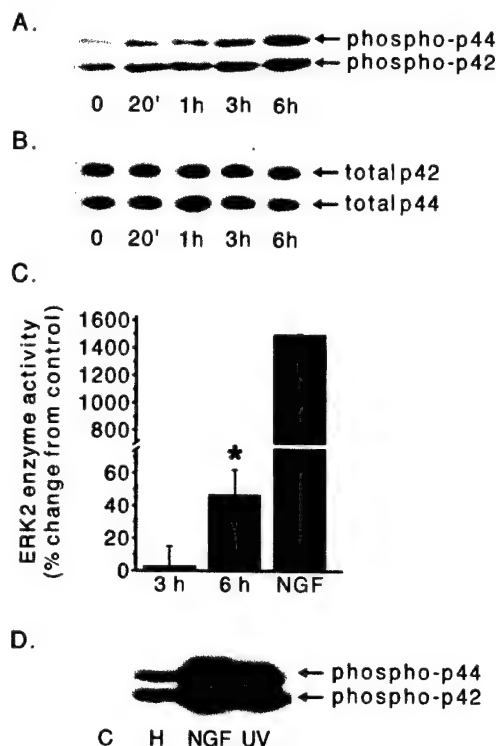
We next evaluated the effect of hypoxia on JNK, another SAPK. PC12 cells were exposed to hypoxia for various times, from 20 min to 6 h, and JNK enzyme activity was measured in an immune complex kinase assay, as described under "Experimental Procedures." Unlike its effects on p38, hypoxia did not alter JNK enzyme activity significantly, whereas exposure of cells to UV light increased JNK activity markedly (Fig. 3).

To determine the effect of hypoxia on p42/p44 MAPK, PC12 cells were again exposed to either normoxia (21% O<sub>2</sub>) or hypoxia (5% O<sub>2</sub>) for various times, between 20 min and 6 h. Samples of whole cell lysates were immunoblotted with either an antibody specific for tyrosine-phosphorylated (activated) p42/p44 MAPK or an antibody that equally recognizes phospho- and dephospho-p42/p44 MAPK (total MAPK). Hypoxia had no significant effect on the levels of phospho-p42/p44 MAPK at the earliest time points studied. However, exposure to hypoxia for 6 h caused an increase in the tyrosine phosphorylation of p42/p44 MAPK (Fig. 4, A and C). The total amount of p42/p44 MAPK was not affected by hypoxia, as shown in Fig. 4B. MAPK enzyme activity was measured directly by immune complex kinase assay. Fig. 4C shows that p42 MAPK enzyme activity, like the MAPK phosphorylation state, increased after 6 h of hypoxia. To compare the effects of hypoxia with the prototypical activators of MAPK, we also evaluated p42/p44 MAPK phosphorylation in response to NGF and UV light. In contrast to the rather modest effect of hypoxia, these stimuli caused a robust phosphorylation of p42/p44 MAPK (Fig. 4D).

The downstream transcription factors and protein kinases



**FIG. 3. Lack of effect of hypoxia on JNK activity.** PC12 cells were exposed to either hypoxia (5%  $O_2$ ) for various times between 0 and 6 h, as indicated, or to 300  $J/m^2$  UV light for 30 min. JNK was immunoprecipitated by the addition of 1  $\mu$ g of anti-JNK1 polyclonal antibody as described under "Experimental Procedures." JNK enzyme activity was determined in an immune complex kinase assay by the amount of  $^{32}P$  incorporation into c-Jun as quantified by PhosphorImager. Similar results were found in three separate experiments representing three dishes in each group.



**FIG. 4. Hypoxia modestly activates p42/p44 MAPK.** PC12 cells were exposed to hypoxia (5%  $O_2$ ) for various times between 0 and 6 h, as indicated. In panels A and B, lysates were subjected to SDS-polyacrylamide gel electrophoresis and immunoblotted with antibodies specific for either Tyr<sup>204</sup>-phosphorylated p42/p44 MAPK or total (phospho- and dephospho-) MAPK, as described under "Experimental Procedures." Panel A, representative immunoblot showing phospho-p42/p44 MAPK kinase immunoreactivity at the various time points studied. Panel B, representative immunoblot showing total MAPK at the various time points studied. Results similar to those shown in panels A and B were observed in three separate experiments. Panel C, MAPK enzyme activity was determined in an immune complex kinase assay by the amount of  $^{32}P$  incorporation into myelin basic protein as quantified by PhosphorImager. Data shown are representative of those obtained in two separate experiments and represent six dishes in each group. Panel D, representative immunoblot of Tyr<sup>204</sup>-phosphorylated p42/p44 MAPK immunoreactivity in lysates of PC12 cells exposed to normoxia (C, 21%  $O_2$ ), hypoxia (H, 5%  $O_2$ ), NGF (50 ng/ml), or 300  $J/m^2$  UV light (30 min). Similar results were found in two separate experiments representing six dishes in each group.

that are targeted by the p38 family are beginning to be elucidated (1–3, 34–43); however, very little is known about the specific genes that are regulated in response to activation of the p38 pathways. The cyclin D1 gene is one known target of p38, as Lavoie *et al.* (27) have shown that cyclin D1 gene expression is regulated negatively by p38 in CCI39 cells. We therefore tested whether hypoxia regulated cyclin D1 levels in PC12 cells. We found that exposure to hypoxia (0, 3, 6, or 24 h at 5%  $O_2$ ) progressively down-regulated cyclin D1 levels, with an 81% decrease of cyclin D1 from control levels observed at 24 h (Table I). Pretreatment of cells with SB203580, a relatively selective inhibitor of p38 (43, 44), was able to reverse in part the down-

TABLE I

Effect of hypoxia on cyclin D1 immunoreactivity in PC12 cells

Cells were exposed to hypoxia (5%  $O_2$ ) for various times between 0 and 24 h, as indicated. Cyclin D1 immunoreactivity was quantitated by densitometry.

Time in hypoxia (5% $O_2$ )	Cyclin D1 immunoreactivity <sup>a</sup>
h	%
0	100 (5)
3	*45.9 $\pm$ 3.4 (5)
6	*29.2 $\pm$ 3.0 (5)
24	*18.8 $\pm$ 2.0 (8)

<sup>a</sup> Values are the average percent of control  $\pm$  S.E. (n). \* indicates  $p < 0.05$  by  $\chi^2$  test.

regulation of cyclin D1 by hypoxia in a dose-dependent manner (Fig. 5A). These results are expressed quantitatively in Fig. 5B, where it can be seen that pretreatment with SB203580 resulted in a partial, but statistically significant, recovery of cyclin D1 toward control levels. The inhibitory effect of SB203580 on hypoxic regulation of cyclin D1 was observed at low doses (0.3–1  $\mu$ M) as was its inhibitory effect on anisomycin-activated MAPKAP kinase-2. MAPKAP kinase-2 is a protein kinase that is specifically phosphorylated and activated by the p38 family of protein kinases (Fig. 5C). The fact that SB203580 only partially reversed the effects of hypoxia may be because this drug does not inhibit the p38 $\gamma$  isoform (45–47), as discussed further below.

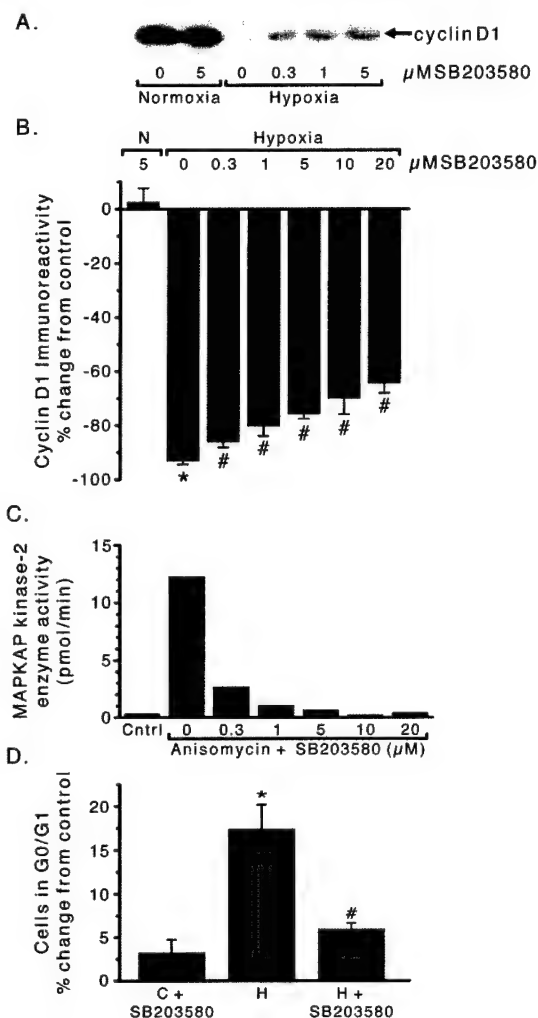
Cyclin D1 is a G<sub>1</sub> cyclin whose synthesis and associated cyclin-dependent kinase activity are generally required for progression through the G<sub>1</sub> phase of the cell cycle (48, 49). Our finding that hypoxia induces a down-regulation of cyclin D1 suggested that hypoxia may cause cells to accumulate in the G<sub>0</sub>/G<sub>1</sub> phase of the cell cycle. We therefore evaluated the relative percentage of cells in the various phases of the cell cycle in PC12 cells that were exposed to either normoxia or hypoxia for 24 h. Cells were stained with propidium iodide and analyzed by flow cytometry. It can be seen in Fig. 5D that hypoxia caused a 17.4% increase in the number of cells in G<sub>0</sub>/G<sub>1</sub>. Furthermore, pretreatment with SB203580 followed by a 24-h exposure to hypoxia was able to reverse in part this accumulation in G<sub>0</sub>/G<sub>1</sub>.

Our results show that hypoxia activates both p38 $\alpha$  and p38 $\gamma$ ; however, the p38 $\gamma$  isoform is insensitive to inhibition by SB203580 (45–47). This raised the possibility that p38 $\gamma$  might also contribute to the inhibition of cyclin D1 by hypoxia (*i.e.* the portion of the effect that was not inhibited by SB203580). To test this hypothesis, we generated stably transfected PC12 cell lines that express p38 $\gamma$ AF, a kinase-inactive mutant of p38 $\gamma$ . Overexpression of a similar mutant (Y185F) has been shown previously to inhibit endogenous p38 $\gamma$  enzyme activity effectively (32). Fig. 6 shows that, compared with vector-transfected cells, the hypoxia-induced decrease in cyclin D1 is partially reversed in the p38 $\gamma$ AF cell line. These results were confirmed in two separate clones and show that p38 $\gamma$ , like p38 $\alpha$ , is involved in the down-regulation of cyclin D1 during hypoxia; however, pretreatment of p38 $\gamma$ AF-expressing cells with SB203580 did not result in a further impairment of the effect of hypoxia on cyclin D1 expression (data not shown).

## DISCUSSION

The signaling pathways involved in cellular responses and adaptations to hypoxia are very poorly understood. The PC12 cell line is a neural-like cell line that has been shown to respond to very small reductions in  $O_2$  levels with changes in ion conductances (23, 24), protein phosphorylation (20, 22), and gene expression (16–21). These studies were aimed at identifying specific intracellular signaling pathways that are regulated by hypoxia in this cell type. We have shown that moder-

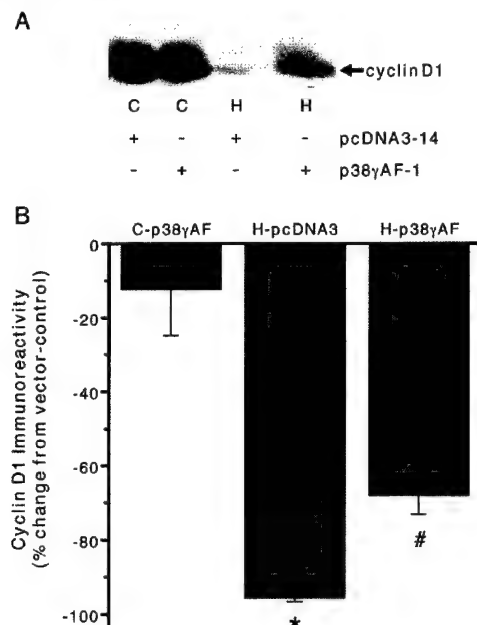




**FIG. 5. Hypoxia inhibits cyclin D1 and causes accumulation at G<sub>0</sub>/G<sub>1</sub> via a partially p38-dependent mechanism.** PC12 cells were exposed to either normoxia (C, 21% O<sub>2</sub>) or hypoxia (H, 5% O<sub>2</sub>) for 24 h in the presence or absence of increasing amounts of SB203580, as indicated. *Panel A*, representative immunoblot showing the effects of hypoxia and p38 inhibition on cyclin D1 immunoreactivity. *Panel B*, immunoreactivity levels of cyclin D1 are expressed as the average percent change from control  $\pm$  S.E. and represent 6–12 dishes in each group performed in at least two separate experiments. \* indicates significant difference from control, and # indicates significant difference from hypoxia plus vehicle,  $p < 0.05$ , by  $\chi^2$  test. *Panel C*, cells were exposed to either vehicle (Cntrl) or anisomycin (10  $\mu$ M) for 20 min, in the presence of various levels of SB203580, as indicated. MAPKAP kinase-2 enzyme activity was measured in immune complex kinase assays, as described. *Panel D*, cells were pretreated with vehicle or 20  $\mu$ M SB203580 and then exposed to normoxia or hypoxia for 24 h. Cells were stained with propidium iodide and analyzed by flow cytometry as described under "Experimental Procedures." Data are expressed as the percent change from control  $\pm$  S.E. and represent seven dishes in each group, performed in two separate experiments. \* indicates significant difference from control, and # indicates significant difference from hypoxia plus vehicle,  $p < 0.05$ , by  $\chi^2$  test.

ate hypoxia (5% O<sub>2</sub>) selectively activates p38 $\gamma$  and p38 $\alpha$ , but not other isoforms of the p38 family of SAPKs. Furthermore, activation of both p38 $\gamma$  and p38 $\alpha$  is involved in the down-regulation of cyclin D1 during hypoxia. In contrast, another major SAPK, JNK, was not affected by hypoxia.

The p38 family of protein kinases consists of several isoforms, including p38 $\alpha$ , p38 $\beta$ , p38 $\delta$ , p38 $\gamma$ /SAPK3/ERK6, and p38 $\delta$ /SAPK4 (4, 10, 28–30, 32, 33, 45, 50, 51). These kinases are activated by a variety of stressors, including osmotic stress, UV light, inhibition of protein synthesis, and inflammatory cytokines; however, the mechanism by which these diverse



**FIG. 6. Role of p38 $\gamma$  in the hypoxia-induced decrease in cyclin D1.** PC12 cells stably transfected with a kinase-inactive form of p38 $\gamma$  or the empty expression vector pcDNA3 were exposed to hypoxia for 24 h, as indicated. *Panel A*, representative immunoblot showing the effect of p38 $\gamma$  inhibition on the hypoxia-induced decrease in cyclin D1. *Panel B*, immunoreactivity levels of cyclin D1 are expressed as average percent change from control  $\pm$  S.E. and represent six dishes in each group performed in two separate experiments. \* indicates significant difference from control-pcDNA3,  $p < 0.05$ , by  $\chi^2$  test, and # indicates significant difference from hypoxia-pcDNA3,  $p < 0.05$ , by  $\chi^2$  test.

stimuli activate p38 kinases is not known. Our results demonstrate, for the first time, that physiological levels of hypoxia selectively activate p38 $\gamma$  and p38 $\alpha$ . Phosphorylation of p38 has been shown to occur after ischemia in heart and kidney (52). Taken together with our findings, it is possible that the hypoxic component of ischemia, rather than the other types of substrate depletion (glucose, ATP, etc.), results in the activation of p38 $\alpha$  and p38 $\gamma$ .

The p38 $\gamma$  isoform was most strongly targeted by hypoxia in PC12 cells. The molecular basis of this selectivity is not known, and in general, previous studies have found the closely related isoforms to be activated coordinately by various stressors (29, 46, 50, 51). Recent evidence suggests, however, that there may be unique physiological roles for p38 $\gamma$ . It has been shown that the carboxyl-terminal sequence -KEXTL of p38 $\gamma$  interacts with the PDZ domain of  $\alpha$ -syntrophin, a substrate that is phosphorylated by p38 $\gamma$  (53). Interestingly, p38 $\gamma$  is the only member of the currently known MAPK families to have a carboxyl-terminal PDZ domain binding sequence and is likely to interact with other PDZ domain-containing proteins. Many proteins with PDZ domains are localized to specific subcellular locations, such as synapses (54, 55). p38 $\gamma$  is enriched in skeletal muscle (28, 32, 51) but is also expressed at moderate levels throughout the central nervous system (51). Our results showing that hypoxia preferentially activates p38 $\gamma$  in a neural-like cell line suggests possible specialized roles for this enzyme in excitable cells.

The other major stress-activated signaling pathway acts through the JNK family of protein kinases (1–3). Like p38, the JNK family is activated by a number of stressors but is distinctive in its ability to phosphorylate the transcription factor c-Jun (6, 8). It has been reported previously that ischemia/reperfusion in the kidney and hypoxia/reoxygenation in cardiac myocytes induce activation of JNK (52, 56). These groups found

JNK activity to be activated by the reoxygenation event but not during the initial hypoxia or ischemia. It has also been reported recently that severe hypoxia ( $pO_2 \leq 0.01\%$ ) transiently activated JNK in human squamous carcinoma cells (57). In contrast, we found that neither hypoxia nor hypoxia plus reoxygenation (data not shown) between 20 min and 6 h stimulated JNK enzyme activity in PC12 cells. Clearly, various stressors can have different effects, depending on the specific cell type and its environment. The differential effects of hypoxia on p38 and JNK contribute to a small but growing number of stimuli that selectively activate p38 but not JNK (58).

Hypoxia (6 h, 5%  $O_2$ ) also caused a modest activation of p42/p44 MAPK in PC12 cells. It has been reported previously that HeLa cells respond to severe hypoxia with a rapid (within 15 min) but transient activation of p42/p44 MAPK (59). In PC12 cells, hypoxia induced a relatively small and delayed activation of p42/p44 MAPK compared with the robust and rapid activation induced by NGF or UV exposure.

It is of considerable interest to determine which downstream genes are regulated by p38 $\alpha$  and p38 $\gamma$  in response to hypoxia. A number of downstream kinases, including MAPKAP kinase-2/3 (34, 35), MAPK signal-integrating kinase (MNK) (36), and p38-regulated/activated protein kinase (PRAK) (37), as well as transcription factors and ternary complex factors, including C/EBP-homologous protein (CHOP), switch-activating protein (Sap1), myocyte-enhancer factor 2A (MEF2A), and MEF2C have been shown to be phosphorylated and activated by the p38 family of protein kinases (38–42); however, the specific genes that are regulated in response to activation of p38 and these transcription factors remain largely unknown. One gene that has been shown to be regulated by p38 is cyclin D1 (27). Activation of p38 strongly inhibits cyclin D1 gene expression in CCL39 cells (27). Likewise, hypoxia down-regulates cyclin D1 expression in PC12 cells. We showed further that p38 $\alpha$  is involved in this hypoxia-induced decrease in cyclin D1 levels, as the effect is partially blocked by low doses of SB203580, a relatively selective inhibitor of p38 (43, 44). The failure of SB203580 to reverse this effect completely may be because of activation of p38 $\gamma$ , which is insensitive to inhibition by SB203580 (45–47). p38 $\gamma$  is also involved in the regulation of cyclin D1, as overexpression of a kinase-inactive mutant (p38 $\gamma$ AF) partially reverses the decrease in cyclin D1 during hypoxia. However, pretreatment of PC12 cells overexpressing p38 $\gamma$ AF with SB203580 did not result in a further reversal of the effects of hypoxia on cyclin D1 expression (data not shown). It is not clear why SB203580 would be ineffective in this cell line, but it is possible that p38 $\gamma$ AF expression could impair both p38 $\alpha$  and p38 $\gamma$  function. Because both p38 $\alpha$  and p38 $\gamma$  have been shown to have identical upstream activators (46), p38 $\gamma$ AF may sequester activated MAP kinase kinase-3 (MKK3) and/or MKK6, thereby impairing the activity of any of its downstream p38 kinases. Alternatively, the stably transfected p38 $\gamma$ AF cells, because they are cultured in the presence of the selection drug (G418) may differ from the parental cell line in a number of ways that are difficult to assess.

Cyclin D1 has been implicated in regulating progression through the G<sub>1</sub> phase of the cell cycle (48, 49). The hypoxia-induced inhibition of cyclin D1 correlates with an increased accumulation of cells in G<sub>0</sub>/G<sub>1</sub> after exposure to hypoxia. This accumulation was also shown to be partially blocked by cotreatment of cells with SB203580. It is important to note that although there is a relative increase in the accumulation of cells in the G<sub>0</sub>/G<sub>1</sub> phase, we did not observe a corresponding decrease in cell cycle progression during hypoxia. In fact, preliminary findings suggest that hypoxia may induce prolifera-

tion as measured by [<sup>3</sup>H]thymidine incorporation,<sup>2</sup> as has been reported in other cell lines (60–62). Such seemingly contradictory findings (a concomitant decrease in cyclin D1 levels with cellular proliferation) are not entirely incompatible. For example, cyclin D1 has been shown to be critical for growth factor-mediated proliferation (63). The role of cyclin D1 in hypoxia-induced proliferation, which likely proceeds via a different mechanism, is not known. In addition, hypoxia does not decrease the immunoreactivity of other major cyclins, including the S phase cyclin, cyclin A (data not shown), as would be predicted during inhibition of cell cycle progression. Furthermore, it has been shown that NGF induces cyclin D1 expression in PC12 cells (64). This increase in cyclin D1 is associated with a G<sub>1</sub> phase block and a decrease in proliferation, as PC12 cells begin to differentiate (65). Finally, cyclin D1 is now known to have other functions, separate from regulation of cyclin-dependent kinases. For example, cyclin D1 can associate with histone acetyltransferase, p300/CBP-associated protein (P/CAF) and facilitate estrogen receptor function (66). Thus, cyclin D1 levels do not always correlate with cell cycle progression, especially in this cell type. Clearly, further studies are required to elucidate the mechanism of hypoxia-induced regulation of cell cycle progression in PC12 cells.

Taken together, these studies demonstrate that hypoxia, an extremely typical physiological stress, causes specific regulation of the SAPK and MAPK signaling pathways. We also show that one isoform of p38, p38 $\gamma$ , is particularly strongly activated by hypoxia. This is, to our knowledge, one of the first demonstrations of selective activation of a particular subtype of a p38 family protein kinase. Furthermore, cyclin D1 levels are regulated by hypoxia via both p38 $\alpha$  and p38 $\gamma$ . Future studies are aimed at delineating the specific mechanisms by which a reduction in  $O_2$  levels causes regulation of these pathways.

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## EPAS1 *trans*-Activation during Hypoxia Requires p42/p44 MAPK\*

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Hypoxia is a common environmental stress that regulates gene expression and cell function. A number of hypoxia-regulated transcription factors have been identified and have been shown to play critical roles in mediating cellular responses to hypoxia. One of these is the endothelial PAS-domain protein 1 (EPAS1/HIF2- $\alpha$ /HLF/HRF). This protein is 48% homologous to hypoxia-inducible factor 1- $\alpha$  (HIF1- $\alpha$ ). To date, virtually nothing is known about the signaling pathways that lead to either EPAS1 or HIF1- $\alpha$  activation. Here we show that EPAS1 is phosphorylated when PC12 cells are exposed to hypoxia and that p42/p44 MAPK is a critical mediator of EPAS1 activation. Pretreatment of PC12 cells with the MEK inhibitor, PD98059, completely blocked hypoxia-induced *trans*-activation of a hypoxia response element (HRE) reporter gene by transfected EPAS1. Likewise, expression of a constitutively active MEK1 mimicked the effects of hypoxia on HRE reporter gene expression. However, pretreatment with PD98059 had no effect on EPAS1 phosphorylation during hypoxia, suggesting that MAPK targets other proteins that are critical for the *trans*-activation of EPAS1. We further show that hypoxia-induced *trans*-activation of EPAS1 is independent of Ras. Finally, pretreatment with calmodulin antagonists nearly completely blocked both the hypoxia-induced phosphorylation of MAPK and the EPAS1 *trans*-activation of HRE-Luc. These results demonstrate that the MAPK pathway is a critical mediator of EPAS1 activation and that activation of MAPK and EPAS1 occurs through a calmodulin-sensitive pathway and not through the GTPase, Ras. These results are the first to identify a specific signaling pathway involved in EPAS1 activation.

Regulation of gene expression is a primary response by which cells adapt to changes in the environment. The mechanisms involved in regulation of gene expression in response to hypoxia are beginning to be understood. Transcription factors that are activated by hypoxia include the hypoxia-inducible factor (HIF1- $\alpha$ ),<sup>1</sup> *c-fos*, and CREB (1–4). HIF1- $\alpha$  has been

shown to be critical for hypoxia-induced regulation of a number of genes, including glycolytic enzymes, vascular endothelial growth factor, and erythropoietin (5–7). Recently, endothelial PAS-domain protein 1 (EPAS1, also known as HIF2- $\alpha$ , HLF, and HRF) was identified as a hypoxia-inducible transcription factor (8–10). EPAS1 is a basic helix-loop-helix transcription factor, which shares 48% sequence identity with HIF1- $\alpha$  (8). EPAS1 protein levels, like HIF1- $\alpha$  levels, are relatively low under basal conditions and accumulate upon exposure of cells to hypoxia (11). These factors then translocate to the nucleus and *trans*-activate target genes containing the sequence 5'-GCCCTACGTGCTGTCTCA-3', which is commonly referred to as the hypoxia response element (HRE) (8, 12).

EPAS1 is expressed in many tissues and is particularly abundant in the type I oxygen-sensing cells of the carotid body (13). Type I cells act as the primary O<sub>2</sub> sensors in mammals and are responsible for matching changes in arterial pO<sub>2</sub> with appropriate changes in respiration (14). Our laboratory has used PC12 cells as a model system to study the biophysical and molecular properties of oxygen-sensing cells (15). There are a number of phenotypic similarities between type I and PC12 cells, including the presence of O<sub>2</sub>-sensitive K<sup>+</sup> channels, which are inhibited by hypoxia (16, 17). In addition, both PC12 cells and type I cells respond to hypoxia with an increase in tyrosine hydroxylase gene expression (18, 19). Finally, both cell types depolarize and secrete the neurotransmitter dopamine in response to hypoxia (20–22). We have therefore utilized PC12 cells to study the regulation of EPAS1.

The specific signaling pathways that are involved in HIF1- $\alpha$  and EPAS1 activation are almost completely unknown. In our previous studies, we measured the effects of hypoxia on the mitogen and stress-activated protein kinase pathways (MAPKs and SAPKs) (23). We found that moderate hypoxia (5% O<sub>2</sub>) activates p42/p44 MAPK, two closely related protein kinases that can lead to the phosphorylation and activation of a number of transcription factors (24). We therefore hypothesized that the MAPK pathway may be important for EPAS1 activation during hypoxia. Results from the current study show that the MAPK pathway is critical for EPAS1 activation, as the specific MEK1 inhibitor, PD98059, prevents EPAS1 *trans*-activation of the HRE. Interestingly, PD98059 had no effect on EPAS1 protein levels, suggesting that the MAPK pathway is involved in the *activation* of EPAS1, rather than the *accumulation* of EPAS1. We also show, for the first time, that EPAS1 itself is phosphorylated during hypoxia. However, EPAS1 is not directly phosphorylated by MAPK, suggesting that MAPK mediates its effects indirectly, possibly by recruiting other proteins critical for EPAS1 *trans*-activation. Finally, we show that MAPK-activation of EPAS1 during hypoxia occurs via a calmodulin-sensitive pathway and not through a Ras-dependent mechanism.

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<sup>1</sup> The abbreviations used are: HIF1- $\alpha$ , hypoxia-inducible factor; CREB, cyclic-AMP response element-binding protein; EPAS1, endothelial PAS-domain protein; HLF, HIF-like factor; HRF, HIF-related factor; HRE, hypoxia response element; PC12, pheochromocytoma; MAPK, mitogen-activated protein kinase; SAPK, stress-activated protein kinase.

nase; DMEM, Dulbecco's modified Eagle's medium; NGF, nerve growth factor; CMZ, calmidazolium chloride; CBP, CREB-binding protein; VHL, von Hippel Lindau; PAGE, polyacrylamide gel electrophoresis.



## EXPERIMENTAL PROCEDURES

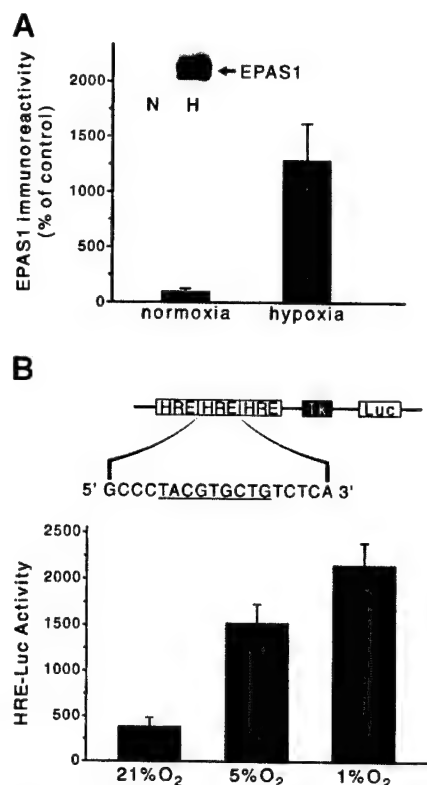
**Cell Culture and Materials**—PC12 cells were cultured in Dulbecco's modified Eagle's medium (DMEM)/Ham's F-12 (Life Technologies, Inc.) supplemented with 20 mM HEPES, pH 7.4, 10% fetal bovine serum (Life Technologies, Inc.), and with penicillin (100 units/ml) and streptomycin (100  $\mu$ g/ml). Prior to experimentation, cells were grown to approximately 85% confluence in 35- or 60-mm tissue culture dishes (Corning), or in 24-well plates for luciferase assays, in an environment of 21% O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub>. Hypoxia was achieved by exposing cells to various levels (10, 5, and 1%) of O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub> for various times in an O<sub>2</sub>-regulated incubator (Forma Scientific, Marietta, OH).

PD98059 was obtained from New England Biolabs (Beverly, MA). EPAS1 polyclonal antibody, the HRE-Luc reporter gene, and the EPAS1 cDNA were generous gifts from Dr. Steven L. McKnight (University of Texas Southwestern, Dallas, TX). pFC-MEK1 was obtained from Stratagene (La Jolla, CA). Additional EPAS1 polyclonal antibody was obtained from Novus Biologicals (Littleton, CO), and similar results were obtained with both antibodies. RasN-17 was a gift from Dr. J. Silvio Gutkind (National Institutes of Health, NIDR, Bethesda, MD). A *c-fos*-luciferase fusion reporter gene (*fos*-Luc) was constructed from a *c-fos*- $\beta$ -galactosidase fusion gene construct, kindly provided by Dr. Tom Curran (St. Jude's Children's Research Hospital, Memphis, TN). The  $\beta$ -galactosidase coding region was excised from the *fos-lacZ* plasmid (26) with *Nco*I and *Bam*HI and replaced with the luciferase coding region from the pGL3-basic plasmid (Promega, Madison, WI). W13 was obtained from RBI (Natick, MA). Calmidazolium chloride was obtained from Calbiochem.

**Reporter Gene Assays**—PC12 cells were transfected with the hypoxia response element-luciferase (HRE-Luc) reporter gene using the Transfast transfection reagent according to the manufacturers recommended conditions (Promega). This reporter gene has been described previously (8, 12). PC12 cells seeded in 24-well plates at 60% confluence were transfected in triplicate with 3  $\mu$ l of Transfast and 250 ng of HRE-Luc per well. In some experiments, 25–100 ng of EPAS1, pFC-MEK1, or RasN-17 was cotransfected with the HRE-Luc. In each transfection, pcDNA3 vector DNA was added to bring the total amount of DNA to 1  $\mu$ g of DNA/well. Cells were switched to serum-free medium for 18 h prior to the start of the experiment. The following day (48 h post-transfection), PC12 cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>) for 6 h. In other experiments, the effect of NGF on a *c-fos* reporter gene was evaluated. In these experiments, cells were cotransfected with 250 ng of the *c-fos*-luciferase reporter gene and varying amounts of an N-17 Ras expression plasmid in 24-well plates. After 48 h, cells were incubated with nerve growth factor (50 ng/ml, Alomone Labs, Jerusalem, Israel) for 6 h. To perform luciferase assays, cells were washed with phosphate-buffered saline and lysed in 200  $\mu$ l of cell culture lysis reagent (Promega). Cell extracts were sonicated for 1 s with a microultrasonic cell disrupter (Kontes, Vineland, NJ). Twenty  $\mu$ l of cell extracts were then aliquoted into luminometer tubes (Promega). Fifty  $\mu$ l of luciferin substrate (Promega) was added to each tube and samples were analyzed in a luminometer (Turner Designs). We found previously that hypoxia inhibits expression of cytomegalovirus- $\beta$ -galactosidase, Rous sarcoma virus- $\beta$ -galactosidase, and SV40- $\beta$ -galactosidase reporter genes.<sup>2</sup> Therefore, as in previous studies, luciferase activity was normalized to micrograms of protein per well (3). Protein samples varied by less than 15% between samples.

**Western Blotting**—Western blotting was performed as described previously (3, 23). For phospho-MAPK blots, membranes were immunolabeled with antibodies recognizing phospho-Tyr<sup>204</sup> MAP kinase (1:1000, New England Biolabs). EPAS1 protein expression was assayed using a rabbit polyclonal antibody directed against amino acids 1–10 of the EPAS1 protein at a dilution of 1:1000.

**Phosphorylation and Immunoprecipitation**—Experiments were performed essentially as described by Jewell-Motz *et al.* (27). Briefly, PC12 cells plated onto 100-mm dishes were washed twice with phosphate-free DMEM and then incubated at 37 °C in phosphate-free DMEM (Life Technologies, Inc.) for 30 min. Phosphate-free medium (5 ml/dish) containing 1 mCi/ml of [<sup>32</sup>P]orthophosphate and either Me<sub>2</sub>SO or PD98059 (50  $\mu$ M) was added to the cells. After preincubation for 1.5 h, cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>, 6 h). Cells were harvested by washing with ice-cold phosphate-buffered saline and scraping in 1 ml of a lysis buffer containing 25 mM Tris, pH 7.4, 1% Triton X-100, 0.5 mM sodium vanadate, 25 mM  $\beta$ -glycerophosphate, 1 mM EDTA, 2 mM EGTA, 1 mM phenylmethylsulfonyl fluoride, 2  $\mu$ g/ml leupeptin, and 2  $\mu$ g/ml aprotinin. Whole cell lysates were precleared with 5  $\mu$ l of rabbit IgG (Sigma) and 50  $\mu$ l of a 10% (w/v) suspension of protein A-Sepharose beads. EPAS1 was immunoprecipitated using 10  $\mu$ g of an EPAS1 poly-



**FIG. 1. EPAS1 protein accumulates and is activated by hypoxia.** PC12 cells were exposed to normoxia (21% O<sub>2</sub>) or hypoxia (1% O<sub>2</sub>, 6 h) followed by SDS-PAGE and immunoblotting with an  $\alpha$ -EPAS1 antibody. **A**, immunoblot showing the effect of hypoxia on EPAS1 immunoreactivity. Results are representative of  $n = 6$  performed in two separate experiments. **B**, PC12 cells were seeded in 24-well dishes and transfected with the HRE-Luc reporter gene (250 ng/dish). 48-h post-transfection, cells were exposed to normoxia, or increasing levels of hypoxia, as indicated, and then assayed for luciferase activity as described under "Experimental Procedures." Data are representative of results performed in three experiments.

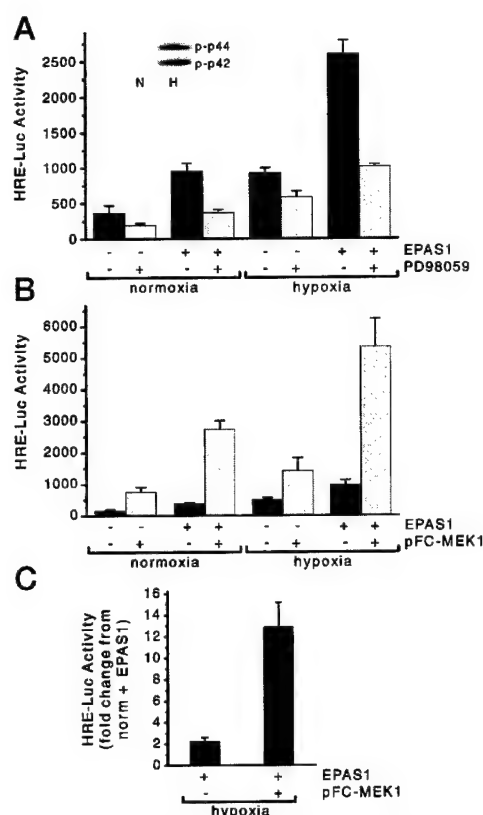
clonal antibody (Novus Biologicals) followed by the addition of 50  $\mu$ l of a 10% (w/v) suspension of protein A-Sepharose beads. The reaction slurry was allowed to rock at 4 °C for 2 h. Immunoprecipitates were washed three times with lysis buffer and then subjected to 7.5% PAGE analysis. The gel was dried and analyzed using a phosphorimager (Molecular Dynamics, Sunnyvale, CA).

## RESULTS

As a first step toward characterizing the regulation of EPAS1 in PC12 cells, we evaluated EPAS1 protein levels following exposure to hypoxia. Fig. 1A shows that exposure to hypoxia (1% O<sub>2</sub>) for 6 h resulted in a 12-fold increase in EPAS1 protein levels. It has been established previously that EPAS1 can *trans*-activate an HRE-Luc reporter gene (8). We found that titrating the level of hypoxia from 21% O<sub>2</sub> to 1% O<sub>2</sub> resulted in a dose-dependent increase in HRE-luciferase activity (Fig. 1B).

We have shown recently that hypoxia specifically regulates certain members of the SAPK and MAPK family (23). We reported that moderate hypoxia (5% O<sub>2</sub>) induced a modest phosphorylation of MAPK. Fig. 2A shows results obtained when PC12 cells were exposed to more severe hypoxia (1% O<sub>2</sub>), which caused a pronounced phosphorylation of p42/p44 MAPK. Because the MAPK pathway is known to regulate a number of transcription factors, including *c-fos*, *jun*-B, CREB, and Elk-1 (28–30), we hypothesized that the MAPK pathway might be important for EPAS1 activation during hypoxia. To test this hypothesis, PC12 cells were cotransfected with the HRE-Luc reporter gene and a plasmid encoding the human EPAS1 cDNA or the empty expression vector, pcDNA3. Cells were then pretreated with either PD98059 (50  $\mu$ M) or vehicle and exposed to

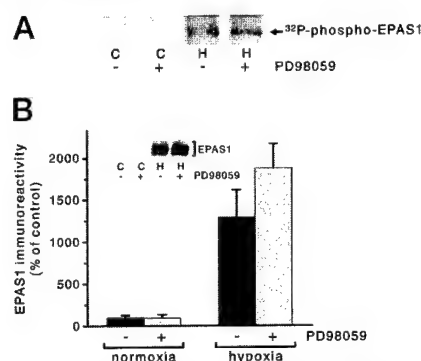
<sup>2</sup> D. Beitner-Johnson and D. E. Millhorn, unpublished observations.



**FIG. 2. p42/p44 MAPK is critical for EPAS1 trans-activation.** PC12 cells were exposed to either normoxia (21%  $O_2$ ) or hypoxia (1%  $O_2$ ). **A**, inset panel is a representative immunoblot (from  $n = 6$ ) showing phospho-p42/p44 MAPK immunoreactivity following normoxia (N, 21%  $O_2$ ) or hypoxia (H, 1%  $O_2$ , 6 h). PC12 cells were plated in 24-well dishes and transfected with the HRE-Luc reporter gene (250 ng/well) and either the EPAS1 cDNA (25 ng/well) or the empty expression vector, pCDNA3, as indicated. 48-h post-transfection, cells were exposed to normoxia or hypoxia (1%  $O_2$ , 6 h) in the presence or absence of PD98059 (50  $\mu M$ ), as indicated. Lysates were assayed for luciferase activity as described under "Experimental Procedures." Data are representative of results obtained in four different experiments. **B**, PC12 cells were transfected with the HRE-Luc reporter gene (250 ng/well), a constitutively active MEK1 construct (pFC-MEK1, 25 ng/well), the EPAS1 cDNA (25 ng/well), or the empty expression vector, pCDNA3, as indicated. Representative experiment showing the effect of constitutively active MEK1 on EPAS1 trans-activation of the HRE reporter gene. Data are from one of three experiments. **C**, data are expressed as fold change from normoxia + EPAS1 and show the relative effect of constitutively active MEK1 on EPAS1-stimulated HRE-Luc activity.

normoxia or hypoxia (1%  $O_2$ ) for 6 h. As reported by others (8) we found that expression of EPAS1 increased HRE-Luc activity under both normoxic and hypoxic conditions (Fig. 2A). We also found that inhibition of MEK1, by PD98059, completely blocked the effect of hypoxia on both basal and EPAS1-stimulated HRE-Luc activity (Fig. 2A). These results strongly suggest that the MEK1-MAPK signaling pathway is critical for mediating EPAS1 activation of HRE-dependent gene expression.

To test this, we measured the effect of expressing a constitutively active MEK1 (pFC-MEK1) on basal and hypoxia-induced HRE-Luc activity. MEK1 is a dual specificity protein kinase that directly phosphorylates and activates MAPK (24). Fig. 2B shows that expression of pFC-MEK1-enhanced basal HRE-Luc activity during both normoxia and hypoxia. However, when coexpressed with EPAS1, pFC-MEK1 caused a much larger increase in the trans-activation of the HRE-Luc (Fig. 2B). The relative increase in HRE-Luc activity in the presence of pFC-MEK1 and EPAS1 was 13-fold higher than cells transfected with EPAS1 and exposed to normoxia (Fig. 2C). In contrast, transfection with EPAS1 alone, followed by hypoxia,



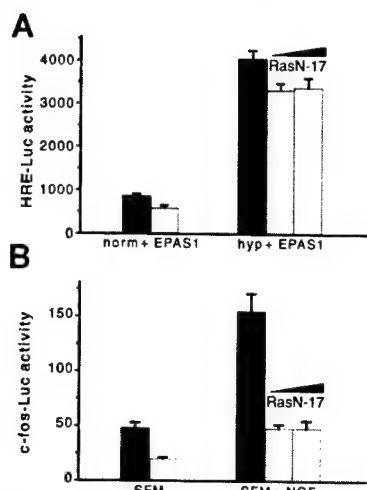
**FIG. 3. EPAS1 protein is phosphorylated and accumulates independently of MAPK.** **A**, PC12 cells were plated in 100-mm dishes and labeled with [ $^{32}P$ ]orthophosphate for 2 h. Cells were then exposed to either normoxia or hypoxia (1%  $O_2$ , 6 h) in the presence or absence of PD98059 (50  $\mu M$ ). EPAS1 was then immunoprecipitated from whole cell lysates and subjected to SDS-PAGE. A representative phosphorimage is shown. **B**, PC12 cells were plated onto 35-mm tissue culture dishes and then exposed to normoxia (21%  $O_2$ ) or hypoxia (1%  $O_2$ , 6 h) in the presence or absence of PD98059 (50  $\mu M$ ). Following exposure, whole cell lysates were subjected to SDS-PAGE and immunoblotted with an  $\alpha$ -EPAS1 antibody. The inset panel is a representative immunoblot showing the effect of hypoxia  $\pm$  PD98059 on EPAS1 immunoreactivity. Immunoreactivity levels of EPAS1 in the absence (black bars) or presence (shaded bars) of PD98059 are shown. Data are expressed as average percent change from control  $\pm$  S.E. and represent  $n = 6$  dishes performed in two separate experiments.

resulted in only a 2-fold increase in HRE-Luc activity (Fig. 2C).

The increase in EPAS1 immunoreactivity induced by hypoxia was accompanied by a shift in the mobility of the EPAS1 protein (see Fig. 1, inset), suggesting that EPAS1 itself might be phosphorylated during hypoxia. To test this possibility, PC12 cells were pretreated with either PD98059 or vehicle, then metabolically labeled with [ $^{32}P$ ]orthophosphate. Following normoxic or hypoxic exposure, EPAS1 was immunoprecipitated from whole cell lysates, and its phosphorylation state was evaluated by SDS-PAGE and PhosphorImager analysis. Fig. 3A shows that hypoxia does indeed induce phosphorylation of EPAS1. However, EPAS1 phosphorylation was not blocked by PD98059, in contrast to the effects of hypoxia on trans-activation of the HRE-Luc reporter gene by EPAS1. We also tested whether MAPK was involved in the induction of EPAS1 immunoreactivity by hypoxia. In these experiments, PC12 cells were pretreated with PD98059 or vehicle prior to exposure to hypoxia. Whole cell lysates were then immunoblotted for EPAS1. Fig. 3B shows that, while hypoxia induced a 13-fold increase in EPAS1 protein levels, inhibition of MEK1 with PD98059 had no effect on the hypoxia-induced accumulation of EPAS1.

Ras is an upstream activator of MAPK (24, 31). In order to test whether Ras was involved in the EPAS1 trans-activation of the HRE-Luc, PC12 cells were cotransfected with the EPAS1 expression plasmid, the HRE-Luc plasmid, and increasing amounts of a dominant-negative Ras expression plasmid, RasN-17. Fig. 4A shows that increasing amounts of RasN-17 had no effect on the EPAS1 trans-activation of HRE-Luc. However, coexpression of the same amounts of RasN-17 did block activation of a *c-fos-luc* reporter gene by nerve growth factor (NGF) in PC12 cells (Fig. 4B). Thus, EPAS1 activation by hypoxia occurs via a Ras-independent mechanism.

Hypoxia results in depolarization and calcium influx into PC12 cells during hypoxia (17, 32). Consistent with these findings, Egea *et al.* (33, 34) have shown that depolarization of PC12 cells results in MAPK activation via a calmodulin-dependent mechanism. Thus, we hypothesized that calmodulin could be involved in the activation of MAPK and EPAS1 during hypoxia. Fig. 5A shows that pretreatment of PC12 cells with



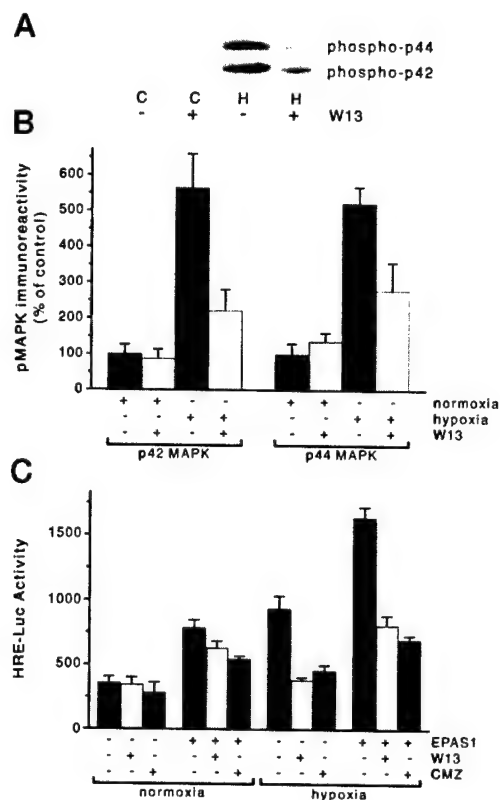
**FIG. 4. Hypoxic activation of the HRE is Ras-independent.** PC12 cells were plated in 24-well dishes and transfected with either the HRE-Luc reporter gene (250 ng/well) or the c-fos-Luc reporter gene (250 ng/well), the EPAS1 cDNA (25 ng/well), and either 50 or 100 ng of the RasN-17, as indicated. pcDNA3 was added where necessary to yield 1  $\mu$ g of DNA/well. **A**, representative experiment showing the effect of dominant-negative Ras on EPAS1 *trans*-activation of HRE-Luc. 48 h post-transfection, cells were exposed to normoxia or hypoxia and then assayed for luciferase activity, as described under "Experimental Procedures." Data shown are representative of three different experiments. **B**, representative experiment showing the effect of dominant-negative Ras on c-fos-Luc activity following NGF treatment. 48 h post-transfection, cells were exposed to vehicle or NGF (50 ng/ml) for 6 h and then assayed for luciferase activity, as described under "Experimental Procedures." Data are from one of three separate experiments.

the calmodulin antagonist, W13 (20  $\mu$ g/ $\mu$ l), caused a pronounced reduction in hypoxia-induced MAPK phosphorylation. These results are shown quantitatively in Fig. 5B. We also found that treatment with either W13, or calmidazolium chloride (CMZ, 1  $\mu$ M), another calmodulin antagonist, inhibited both endogenous HRE activity, as well as the EPAS1 *trans*-activation of the HRE reporter gene (Fig. 5C). Thus, MAPK activation of EPAS1 occurs via a calmodulin-dependent pathway, rather than through the proto-typical mediator, Ras.

#### DISCUSSION

Regulation of gene expression by hypoxia is mediated by a number of signal transduction pathways (15, 35). MAPK is known to be critical for the *trans*-activation of many genes and mediates its effects primarily through the phosphorylation of downstream transcription factors (24, 25, 31). The current study shows, for the first time, that EPAS1 is phosphorylated during hypoxia and that the MAPK pathway is critical for EPAS1 *trans*-activation during hypoxia in PC12 cells. While our findings suggest that phosphorylation is an important regulatory step for EPAS1 activation, others have also shown that redox-sensitive changes are critical to the formation of the EPAS1 DNA-binding complex (36). It is likely that EPAS1 activation results from the integration of multiple signals and that the importance of specific signals varies in a cell type-specific manner.

We found that MAPK is required for EPAS1 *trans*-activation of the HRE-Luc reporter gene, as this was completely blocked by PD90859, a selective inhibitor of MEK1 (37), and enhanced by constitutively activated MEK1. However, neither hypoxia-induced phosphorylation nor accumulation of EPAS1 protein was inhibited by PD90859. Thus, although MAPK is critical for hypoxic regulation of EPAS1 function, it is not the kinase that phosphorylates EPAS1 during hypoxia. These results suggest that multiple MAPK-dependent and MAPK-independent signals are required for EPAS1 activation. One MAPK-independent signal leads to accumulation of the EPAS1 protein, presum-



**FIG. 5. MAPK phosphorylation and EPAS1 activity is calmodulin-dependent.** PC12 cells were exposed to normoxia (21% O<sub>2</sub>) or hypoxia (1% O<sub>2</sub>, 6 h) in the presence or absence of the calmodulin antagonists W13 (20  $\mu$ g/ $\mu$ l) or calmidazolium (1  $\mu$ M). **A**, representative immunoblot showing the effect of W13 on phospho-MAPK immunoreactivity. **B**, immunoreactivity levels of phospho-MAPK following hypoxic exposure in the absence or presence of W13. Data are expressed as average percent change from control  $\pm$  S.E. and represent  $n = 6$  dishes analyzed in two separate experiments. **C**, representative experiment showing the effect of W13 and CMZ on EPAS1 *trans*-activation of the HRE-Luc gene. PC12 cells were seeded in 24-well dishes and transfected with the HRE-Luc reporter gene (250 ng/well), the EPAS1 cDNA (25 ng/well), or the empty expression vector, pcDNA3, as indicated. Cells were pretreated with W13 (20  $\mu$ g/ $\mu$ l), CMZ (1  $\mu$ M), or vehicle and then exposed to normoxia or hypoxia. Two other experiments gave similar results.

ably by inhibition of ubiquitin-proteasome degradation (38). A second MAPK-independent signal leads to the phosphorylation of EPAS1. Recent evidence by others suggests that multiple signals are involved in regulation of EPAS1 and identifies two domains of EPAS1 that are required for its activation during hypoxia. One of the critical EPAS1 domains is an internal domain that extends from amino acids 450–571 and shares homology with the oxygen-dependent domain of HIF1- $\alpha$  (36, 39, 40). Both the EPAS1 (450–571) and the HIF1- $\alpha$  (oxygen-dependent domain) domains were identified as being critical for the induction of their respective proteins during hypoxia. The second important EPAS1 regulatory domain is a C-terminal activation domain (amino acids 824–876), which is the site of post-translational modification in EPAS1 during hypoxia (36). It is therefore tempting to speculate that phosphorylation of EPAS1 occurs within the C-terminal activation domain of the protein. However, the functional consequences of EPAS1 phosphorylation are unknown and will require further investigation.

The mechanism of MAPK-dependent activation of EPAS1 is unknown. The fact that EPAS1 phosphorylation persists in the presence of PD90859 suggests that the MAPK pathway does not directly target EPAS1, but instead targets other protein(s) that are critical for the formation of the EPAS1 DNA-binding complex. Others have shown that CREB-binding protein (CBP) interacts with HIF1- $\alpha$  and EPAS1 and potentiates the activa-



tion of these proteins (36, 41). Janknecht *et al.* (42) have shown that C-terminal regions of CBP can be phosphorylated by MAPK *in vitro*. Furthermore, Liu *et al.* (43) showed that MAPK can directly regulate the transcriptional activity of CBP following NGF stimulation in PC12 cells. Thus, CBP might be a target of hypoxia-activated MAPK, which could then recruit EPAS1 to the DNA-binding complex. In addition to CBP, the von Hippel Lindau (VHL) tumor suppressor gene product has been shown recently to be involved in the regulation of HIF1- $\alpha$  and EPAS1 protein levels (44). Interestingly, pVHL was also shown to be present in the HIF1- $\alpha$  DNA-binding complex (44). Finally, it has been proposed that several "general transcription factors" are present in the EPAS1 DNA-binding complex (36). These proteins are also potential targets of MAPK regulation. Thus, it is likely that the MAPK-dependent activation of EPAS1 *trans*-activation involves the recruitment of proteins other than EPAS1 to the DNA-binding complex.

The prototypical mechanism of activation of MAPK is via activation of the Ras-Raf-MEK pathway (31). However, some stimuli, such as endothelin-1 and bacterial lipopolysaccharide, have been shown to activate MAPK in a Ras-independent manner (45, 46). Our results indicate that hypoxia is similar to these stimuli, as expression of a dominant-negative Ras had no effect on the ability of EPAS1 to *trans*-activate the HRE-Luc reporter gene.

Since EPAS1 activation was Ras-independent, it was of interest to identify the upstream activators that lead to MAPK and EPAS1 activation. Egea *et al.* (33, 34) have shown that, following depolarization of PC12 cells, MAPK is activated via a calmodulin-sensitive pathway. Exposure of PC12 cells to hypoxia also causes depolarization and calcium influx, via the inhibition of an oxygen-sensitive K<sup>+</sup> channel (17, 32). Our results demonstrate that calmodulin is critical to the activation of MAPK and EPAS1 during hypoxia. Calmodulin is known to activate a number of proteins, including the calcium/calmodulin-dependent family of protein kinases and the calcium/calmodulin-dependent protein phosphatase, calcineurin (47, 48). Future experiments are aimed at defining the mechanism by which calmodulin activates MAPK under conditions of hypoxia. Finally, while the results of Egea *et al.* (33) bear some similarity to our own, their study showed that Ras was critical to the depolarization-induced activation of MAPK and that Ras activation likely resulted from phosphorylation of the epidermal growth factor receptor. In contrast to these results, we have found that MAPK activation by hypoxia was Ras-independent, and we were unable to demonstrate phosphorylation of the epidermal growth factor receptor by hypoxia.<sup>3</sup> These contrasting results illustrate that there may be important differences between hypoxia-induced depolarization and KCl-induced depolarization. In conclusion, our results show that MAPK and calmodulin are critical mediators of hypoxia-induced signal transduction and transcription factor activation. The importance of this calmodulin-dependent pathway is likely to be unique to PC12 cells and other excitable cells, as nonexcitable cells (HEP3B, HEPG2) do not depolarize when exposed to a hypoxic environment.

These results provide the first evidence to define a specific signaling pathway that leads to EPAS1 activation. We show that the MAPK pathway is a critical mediator of EPAS1 activation and that activation of MAPK and EPAS1 occurs through a calmodulin-sensitive pathway, but not through the GTPase, Ras. Further studies are aimed at determining the molecular mechanism by which MAPK regulates EPAS1 function and identifying the endogenous kinase that phosphorylates EPAS1. Such studies will facilitate our understanding of how excitable cells adapt and respond to low oxygen levels. We also show, for

the first time, that EPAS1 is phosphorylated during hypoxia and that this phosphorylation is independent of MAPK.

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<sup>3</sup> T. L. Freeman, unpublished observation.

## O<sub>2</sub>-sensitive K<sup>+</sup> channels: role of the Kv1.2 $\alpha$ -subunit in mediating the hypoxic response

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1. One of the early events in O<sub>2</sub> chemoreception is inhibition of O<sub>2</sub>-sensitive K<sup>+</sup> (K<sub>O<sub>2</sub></sub>) channels. Characterization of the molecular composition of the native K<sub>O<sub>2</sub></sub> channels in chemosensitive cells is important to understand the mechanism(s) that couple O<sub>2</sub> to the K<sub>O<sub>2</sub></sub> channels.
2. The rat pheochromocytoma PC12 clonal cell line expresses an O<sub>2</sub>-sensitive voltage-dependent K<sup>+</sup> channel similar to that recorded in other chemosensitive cells. Here we examine the possibility that the Kv1.2  $\alpha$ -subunit comprises the K<sub>O<sub>2</sub></sub> channel in PC12 cells.
3. Whole-cell voltage-clamp experiments showed that the K<sub>O<sub>2</sub></sub> current in PC12 cells is inhibited by charybdotoxin, a blocker of Kv1.2 channels.
4. PC12 cells express the Kv1.2  $\alpha$ -subunit of K<sup>+</sup> channels: Western blot analysis with affinity-purified anti-Kv1.2 antibody revealed a band at ~80 kDa. Specificity of this antibody was established in Western blot and immunohistochemical studies. Anti-Kv1.2 antibody selectively blocked Kv1.2 current expressed in the *Xenopus* oocyte, but had no effect on Kv2.1 current.
5. Anti-Kv1.2 antibody dialysed through the patch pipette completely blocked the K<sub>O<sub>2</sub></sub> current, while the anti-Kv2.1 and irrelevant antibodies had no effect.
6. The O<sub>2</sub> sensitivity of recombinant Kv1.2 and Kv2.1 channels was studied in *Xenopus* oocytes. Hypoxia inhibited the Kv1.2 current only.
7. These findings show that the K<sub>O<sub>2</sub></sub> channel in PC12 cells belongs to the Kv1 subfamily of K<sup>+</sup> channels and that the Kv1.2  $\alpha$ -subunit is important in conferring O<sub>2</sub> sensitivity to this channel.

The ability to sense and respond to reduced oxygen (O<sub>2</sub>) tension (hypoxia) is essential for the survival of mammalian cells. Specialized cells in the body (O<sub>2</sub>-sensitive or chemoreceptor cells) can quickly sense and respond to O<sub>2</sub> deprivation. These O<sub>2</sub>-sensitive cells are present in a variety of tissues including the carotid body (a small organ located near the bifurcation of the common carotid artery), the pulmonary vasculature, and pulmonary neuroepithelial bodies (small organs distributed widely throughout the airway mucosa). Stimulation of these cells results in cardiovascular and pulmonary responses that optimize the delivery of O<sub>2</sub> to vital organs, thereby preventing global or localized O<sub>2</sub> deficits that can produce irreversible cellular damage (Weir & Archer, 1995; Lahiri, 1997). Despite their critical homeostatic role, the mechanisms by which O<sub>2</sub>-sensitive cells detect a change in O<sub>2</sub> tension (P<sub>O<sub>2</sub></sub>) and transduce this signal into the appropriate biological response remain unknown.

The presence of O<sub>2</sub>-sensitive K<sup>+</sup> (K<sub>O<sub>2</sub></sub>) channels has been shown in different chemosensitive cells (Lopez-Barneo,

1996). Inhibition of the K<sub>O<sub>2</sub></sub> channel activity is an important early event in the process of O<sub>2</sub> chemoreception, which leads eventually to cell depolarization, Ca<sup>2+</sup> influx, neurotransmitter release, muscle contraction, regulation of protein kinases, and alterations in gene expression (Czyzyk-Krzeska *et al.* 1992; Bunn & Poyton, 1996; Lopez-Barneo, 1996; Beitner-Johnson & Millhorn, 1998). Therefore, K<sub>O<sub>2</sub></sub> channels have been proposed as key elements in the detection of changes in O<sub>2</sub> availability by chemosensitive cells.

Although K<sub>O<sub>2</sub></sub> channels in chemosensitive cells have been investigated extensively using electrophysiological techniques, there is relatively little information about their molecular identity. In most O<sub>2</sub>-sensitive cells the K<sub>O<sub>2</sub></sub> channels are voltage dependent: slow-inactivating voltage-dependent K<sup>+</sup> (K<sub>V</sub>) channels in pulmonary artery smooth muscle cells, rabbit carotid body type I cells and pulmonary neuroepithelial body cells and Ca<sup>2+</sup>-activated K<sup>+</sup> channels (K<sub>Ca</sub>) in rat type I cells (Peers, 1990; Archer *et al.* 1996; Osipenko *et al.* 1997). However, in rat carotid body type I

cells a background  $K^+$  current ( $K_{\text{leak}}$ ) is also inhibited by hypoxia (Buckler, 1997). The rat pheochromocytoma (PC12) cell line has been used as a model system for studying  $O_2$ -chemosensory mechanisms (Czyzyk-Krzeska *et al.* 1994; Norris & Millhorn, 1995; Bright *et al.* 1996; Zhu *et al.* 1997; Taylor & Peers, 1998). Importantly, PC12 cells express a slow-inactivating voltage-dependent  $K_{O_2}$  channel that is inhibited by hypoxia (Zhu *et al.* 1996; Conforti & Millhorn, 1997). Hence, this cell line provides a unique and useful model for combining electrophysiological studies with molecular biological experiments designed to clarify the molecular nature of voltage-dependent  $K_{O_2}$  channels and basic  $O_2$ -sensing mechanisms (Conforti *et al.* 1998).

Voltage-dependent  $K^+$  ( $K_V$ ) channels are complex hetero-oligomeric proteins formed by four  $\alpha$  pore-forming subunits and auxiliary  $\beta$ -subunits (Jan & Jan, 1997). The genes that encode the  $K_V$   $\alpha$ -subunits have been classified into at least six subfamilies: *Shaker* (Kv1.1–1.7), *Shab* (Kv2.1–2.2), *Shaw* (Kv3.1–3.4), *Shal* (Kv4.1–4.3), Kv5.1 and Kv6.1 (Pongs, 1992). In addition, a novel family of electrically silent  $K_V$   $\alpha$ -subunits was recently identified (Patel *et al.* 1997). A  $K^+$  channel composed of a silent *Shab*-like  $\alpha$ -subunit (Kv9.3) cloned from rat pulmonary artery and Kv2.1 has been proposed as a possible  $K_{O_2}$  channel in pulmonary artery smooth muscle cells (Patel *et al.* 1997). Others have suggested that pulmonary artery smooth muscle cells express different  $K_{O_2}$  channels formed by either Kv2.1 or Kv1.5  $\alpha$ -subunits, which display different functional roles in the cellular response to hypoxia (Archer *et al.* 1998). In addition, other  $K_V$  channel subtypes have been implicated in the cellular response to hypoxia in pulmonary artery smooth muscle cells and other  $O_2$ -sensitive cell types (Vega-Saenz de Miera & Rudy, 1992; Conforti & Millhorn, 1997; Wang *et al.* 1997; Hulme *et al.* 1999; Perez-Garcia *et al.* 1999). Thus, the identity of the  $O_2$ -sensitive  $K^+$  channels remains unclear.

The present study was undertaken to elucidate the molecular identity of the  $K_{O_2}$  channel in PC12 cells. The current findings provide evidence that the  $O_2$ -sensitive  $K^+$  channel present in PC12 cells belongs to the Kv1 subfamily of  $K_V$  channels and that the Kv1.2  $\alpha$ -subunit is an important  $O_2$ -sensitive component of this channel.

## METHODS

### PC12 clonal cell line

PC12 cells, obtained from American Type Culture Collection, were grown in Dulbecco's Modified Eagle's–Ham's F-12 medium (DMEM–F-12) supplemented with 10% fetal bovine serum (FBS), 100 units  $ml^{-1}$  penicillin, and 100  $\mu g\ ml^{-1}$  streptomycin. Cells were maintained in an incubator in which the environment (21%  $O_2$ , 5%  $CO_2$ , remainder  $N_2$ ; 37 °C) was strictly maintained. Cells used for electrophysiological experiments were dissociated with 0.25% trypsin plus 1 mM EDTA and plated at low density (ca 100 000  $ml^{-1}$ ) on glass coverslips and were used 1–3 days after plating.

### Expression of $K_V$ channels in *Xenopus* oocytes

*Xenopus* oocytes were injected with cRNAs obtained as run-off transcripts of Kv1.2 (HBK5 cloned in pcDNA3 plasmid vector) and Kv2.1 cDNAs (DRK1 cloned in pBluescript-SK<sup>+</sup> plasmid vector). The double-stranded DNA templates were linearized and *in vitro* transcribed to cRNAs with mMessage mMachine kits (for T7 or SP6 promoter; from Ambion), according to the manufacturer's protocol. After the transcription reaction was complete, the template DNA was degraded and cRNA was recovered by phenol–chloroform extraction followed by ethanol precipitation. The size of the *in vitro* transcription product, its quantity, and its quality were evaluated by denaturing agarose gel electrophoresis. The cRNAs were stored in RNase-free water at –80 °C.

Stage IV–V oocytes were isolated as follows. Frogs were anaesthetized with 0.2% tricaine methanesulphonate (MS 222). Clumps of oocytes were removed and washed in  $Ca^{2+}$ -free ND-96 solution containing (mM): 82.5 NaCl, 2.0 KCl, 1.0  $MgCl_2$ , and 5.0 Hepes; pH 7.5. After removal of the oocytes, the frogs were allowed to recover and returned to their tanks. Single oocytes were dissociated with 3 mg  $ml^{-1}$  type II collagenase in  $Ca^{2+}$ -free ND-96 solution at 20 °C. After digestion, the follicular layer was removed mechanically with a fire-polished Pasteur pipette. cRNA (50 nl; 0.2  $\mu g\ \mu l^{-1}$ ) was injected into the oocyte with a Drummond 510 microdispenser via a sterile glass pipette with a tip of 20–30  $\mu m$ . After injection the oocytes were maintained in a solution of the following composition (mM): 96 NaCl, 2.0 KCl, 1.0  $MgCl_2$ , 1.8  $CaCl_2$ , 5 Hepes, 2.5 sodium pyruvate, and 0.5 theophylline, with 100 units  $ml^{-1}$  penicillin and 100  $\mu g\ ml^{-1}$  streptomycin; pH 7.5. Injected oocytes were stored in an incubator at 19 °C and were used for electrophysiological experiments after 24 h. We followed the methods previously described by Stuhmer & Parekh (1995).

### Electrophysiology

Details of our patch-clamp station and the whole-cell and single-channel methods were published previously (Zhu *et al.* 1996; Conforti & Millhorn, 1997). Experiments were performed using Axopatch 200A (for whole-cell and single-channel voltage-clamp) and Axoclamp 2A (for two-electrode voltage-clamp) amplifiers (Axon Instruments). The digitized signals were stored and analysed on a personal computer using pCLAMP 5.5.1 and 6.0.3 software (Axon Instruments). Experiments were conducted at room temperature (25 °C).

Whole-cell voltage-clamp experiments were performed according to standard procedures (Hamill *et al.* 1981). The composition of the external solution was (mM): 140 NaCl, 2.8 KCl, 2.0  $CaCl_2$ , 2.0  $MgCl_2$ , 10 Hepes, and 10 glucose; pH 7.4. The composition of the pipette solution was (mM): 140 potassium gluconate, 1  $CaCl_2$ , 11 EGTA, 2  $MgCl_2$ , 3 ATP-sodium, and 10 Hepes; pH 7.2. ATP was included in the pipette solution to exclude the contribution of ATP-sensitive  $K^+$  channels and to compensate for reduced cellular energy metabolism during hypoxia.  $K^+$  currents were recorded by depolarizing voltage steps to +50 mV (800 ms duration) from a holding potential of –70 mV. Steady-state current amplitude was measured at the end of the test pulse. Current inhibition is reported as relative changes in current amplitude from the control (normoxia) values. For whole-cell experiments using antibodies, electrodes were dipped in an antibody-free solution and then back filled with the pipette solution containing the antibody of interest. Anti-Kv1.2 antibody was used at 0.03  $\mu g\ ml^{-1}$ . This concentration was calculated as required for a 1:1 interaction with the number of  $K^+$  channels in a single PC12 cell (calculated by dividing the single-

channel conductance into the maximal K<sub>V</sub> conductance). Higher concentrations of anti-Kv1.2 antibody (0.3 µg ml<sup>-1</sup>) induced nearly complete inhibition of the K<sup>+</sup> current. Anti-Kv2.1 antibody was used at 1:125 dilution. This concentration was previously used to block Kv2.1 channels in pulmonary artery smooth muscle cells (Archer *et al.* 1998). Electrodes had a resistance of 1–3 MΩ, which permits dialysis of the antibody into the cell (Vassilev *et al.* 1988; Naciff *et al.* 1996).

Whole-cell current from injected *Xenopus* oocytes was recorded using the two-electrode voltage-clamp technique, as previously described (Stuhmer & Parekh, 1995). The composition of the external solution was (mM): 115 NaCl, 2 KCl, 1.8 CaCl<sub>2</sub>, and 10 Hepes; pH 7.2 (Stuhmer *et al.* 1989). The two electrodes had a resistance of 1–2 MΩ and were filled with 3 mM KCl. Whole-cell leak and capacitative currents were subtracted using currents elicited by small hyperpolarizing pulses (*P*/4). Currents were digitized between 0.5 and 5 kHz after being filtered between 0.2 and 1 kHz. For experiments using anti-Kv1.2 antibody, oocytes were injected with 0.01 µg anti-Kv1.2 antibody (in 50 nl) 2 h before recording. This amount of anti-Kv1.2 antibody was calculated to result in an intracellular concentration similar to that obtained in PC12 cells, assuming a cell volume 10<sup>6</sup> times higher in oocytes compared to PC12 cells.

Single-channel (cell-attached) voltage-clamp experiments were performed in *Xenopus* oocytes from which the vitelline membrane had been manually removed after shrinkage in a hyperosmotic medium (mM): 200 potassium aspartate, 20 KCl, 1.0 MgCl<sub>2</sub>, 5 EGTA, and 10 Hepes; pH 7.3. Microelectrodes with resistances of 3–5 MΩ were prepared, fire-polished, and coated with Sylgard (Dow Corning). The external solution composition was (mM): 140 KCl, 2.0 MgCl<sub>2</sub>, 10 Hepes, and 5 EGTA; pH 7.3. The pipette solution composition was (mM): 140 NaCl, 2.8 KCl, 5 Hepes, and 1 EGTA; pH 7.3. Ensemble-averaged currents and open channel probability (*NP*<sub>o</sub>) were calculated using pCLAMP 6.0.3 software, as previously described (Conforti & Millhorn, 1997). Single-channel conductance was measured with ramp pulse depolarization from -60 mV (holding potential) to +50 mV (0.14 mV ms<sup>-1</sup>), as previously described (Conforti & Millhorn, 1997).

#### Exposure of cells to hypoxia

During electrophysiological experiments the effect of hypoxia was studied by switching from a perfusion medium bubbled with air (21% O<sub>2</sub>) to a medium equilibrated with 10% O<sub>2</sub> (balanced N<sub>2</sub>) or 100% N<sub>2</sub> with 5 mM sodium dithionite (Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub>; an O<sub>2</sub> chelator). The corresponding mean O<sub>2</sub> partial pressures (*P*<sub>O<sub>2</sub></sub>) in the chamber, measured with an O<sub>2</sub>-sensitive electrode, were 150 mmHg (21% O<sub>2</sub>), 80 mmHg (10% O<sub>2</sub>) and 0 mmHg (N<sub>2</sub> + Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub>) (Zhu *et al.* 1996).

#### Western blotting

PC12 cell total lysate was prepared according to standard procedures. PC12 cells were harvested by resuspending them in lysis buffer containing (mM): 50 Hepes, 10 EDTA, 100 NaCl, and 1 PMSF with 1% Triton X-100, 2 µg ml<sup>-1</sup> leupeptin, and 2 µg ml<sup>-1</sup> aprotinin. After sonication and centrifugation, the protein content was measured using the Bio-Rad method. Aliquots of cell proteins (40 µg) were fractionated on 6% SDS-polyacrylamide gels and transferred to nitrocellulose membranes. Non-specific protein-binding sites were blocked by incubation in PBST (phosphate-buffered saline (PBS) with 0.1% Tween-20) with 3% non-fat dry milk for 1 h at room temperature. The blots were incubated with the first antibodies (1:200 dilution) overnight, at 4°C. After

washing 3–4 times, the strips were incubated for 1 h at room temperature with affinity-purified horseradish peroxidase-conjugated goat anti-rabbit antibodies at 1:2000 (DAKO, Denmark). Bands were visualized using Enhanced Chemiluminescence (ECL, Amersham Life Science Inc.) exposed to X-ray film. Prestained molecular mass standards were used to assess the apparent molecular mass.

#### Immunohistochemistry

PC12 cells were grown on slides for 3 days and fixed with 2% paraformaldehyde for 20 min. After washing with PBS, cells were permeabilized and blocked with PBS containing 0.2% Triton X-100 and 10% normal goat serum (NGS). Slides were incubated with anti-Kv1.2 antibody (2 µg ml<sup>-1</sup> in 1% NGS in PBS) overnight at room temperature. Immunoreactivity was visualized with a fluorescein-conjugated goat anti-rabbit secondary antibody (ICN/Cappel). The fluorescence background was assessed by applying the same protocol to cells that were incubated overnight in 1% NGS in PBS.

#### Source and specificity of anti-Kv1.2 and anti-Kv2.1 antibodies

Affinity purified anti-Kv1.2 antibody (Alomone Labs) was prepared against the C-terminal part of the rat Kv1.2 protein, specifically amino acids 417–498 (Stuhmer *et al.* 1989). This sequence is specific for Kv1.2 except for 11 amino acids, which are similar to those of Kv1.1. The company specification indicates that there is no cross-reactivity with Kv1.1. Cross-reactivity with another member of the Kv1 subfamily, Kv1.3, was tested in Western blot experiments with Kv1.3 antigen, a glutathion-S-transferase (GST) fusion protein with the C-terminal 471–523 amino acids of the Kv1.3 protein. Anti-Kv1.3 antibody and its corresponding antigen were obtained from Alomone Labs. Specificity of anti-Kv1.2 antibody was also established in an immunohistochemical study using Kv1.2 antibody pre-incubated with a ten-fold molar excess of the antigen at room temperature for 1 h. The antigen for Kv1.2, a GST fusion protein containing the epitope against which the antibody was raised, was also obtained by Alomone Labs. The specific ability of anti-Kv1.2 antibody to block Kv1.2 current was established in *Xenopus* oocytes.

Polyclonal antibody against Kv2.1 was obtained from Upstate Biotechnology Incorporated. It is prepared against the C-terminal part of rat Kv2.1, amino acids 837–853 (Sharma *et al.* 1993).

#### Data analysis

All data are presented as means ± S.E.M. Statistical analyses were performed using Student's *t* test (paired or unpaired); *P* < 0.05 was defined as significant.

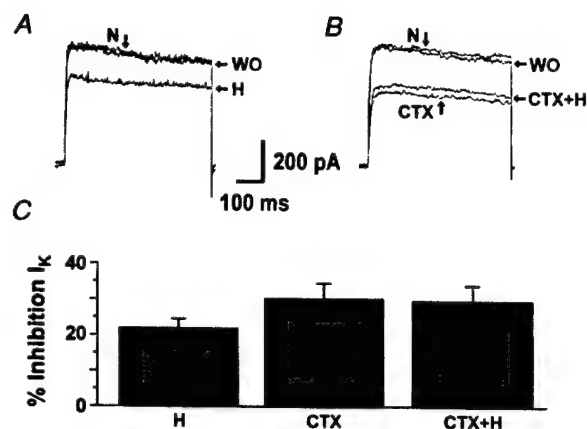
#### Chemicals

Sodium dithionite and charybdotoxin were obtained from Sigma Chemical Co.

## RESULTS

#### The K<sub>O<sub>2</sub></sub> channel in PC12 cells belongs to the Kv1 subfamily of K<sub>V</sub> channels

PC12 cells express a slow-inactivating K<sub>V</sub> current (*I<sub>K</sub>*) that is inhibited by hypoxia (Fig. 1A). Potassium currents recorded in a normoxic environment (N; 21% O<sub>2</sub>) were inhibited by 22 ± 3% (*n* = 6) by hypoxia (H = 10% O<sub>2</sub>), as previously shown (Zhu *et al.* 1996). Charybdotoxin (CTX), a

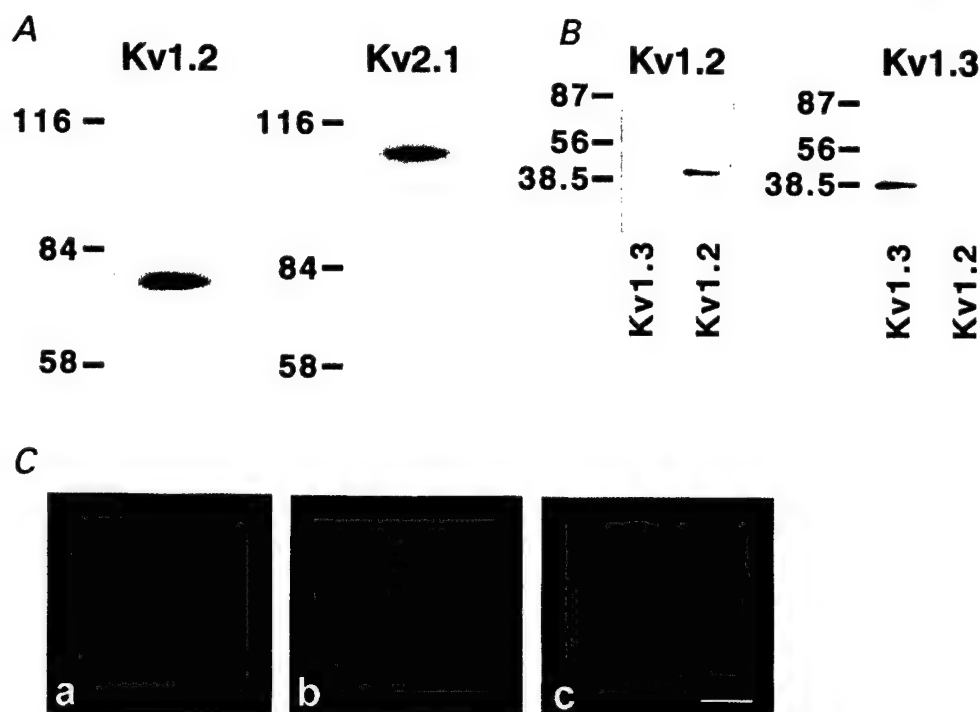


**Figure 1.** Sensitivity to charybdotoxin (CTX) of the  $K_{O_2}$  current recorded in PC12 cells

$K^+$  currents ( $I_K$ ) were elicited by depolarizing voltage steps to +50 mV from a holding potential of -70 mV (every 5 s) in the whole-cell configuration. *A*, effect of hypoxia (H; 10%  $O_2$ ) in absence of CTX. Control currents were recorded in normoxia (N; 21%  $O_2$ ). WO indicates currents recorded after returning to normoxia. *B*, effect of hypoxia in the presence of CTX (20 nM). After steady-state inhibition of the  $K^+$  current by CTX was reached, cells were exposed to H in the presence of CTX. WO indicates currents recorded after returning to normoxia without CTX. *C*, mean  $K^+$  current inhibition by hypoxia alone (H,  $n = 6$ ), CTX alone ( $n = 7$ ) and hypoxia in the presence of CTX (CTX+H,  $n = 7$ ).

potent blocker of Kv1.2 and Kv1.3, was used to ascertain the molecular nature of the  $K_{O_2}$  channel in PC12 cells (Grissmer *et al.* 1994; Russell *et al.* 1994). The effect of CTX on the  $K^+$  current and the hypoxic inhibition of the  $K^+$  current in the presence of CTX were studied in whole-cell configuration (Fig. 1*B*). Potassium currents recorded in a

normoxic environment (N) were inhibited by  $31 \pm 6\%$  ( $n = 7$ ) by CTX (20 nM). This amount of  $K^+$  current inhibition by CTX is not statistically different from that induced by hypoxia only. Subsequent exposure of these cells to hypoxia in the presence of CTX (CTX+H) did not induce further inhibition ( $n = 7$ ). These responses were reversible



**Figure 2.** Expression of the Kv1.2 and Kv2.1  $\alpha$ -subunits of  $K^+$  channels in PC12 cells and specificity of anti-Kv1.2 antibody

*A*, Kv1.2 and Kv2.1 polypeptide expression in PC12 cell total lysate. Immunoblots of rat PC12 cell protein (40  $\mu$ g) were incubated with affinity-purified anti-Kv1.2 or anti-Kv2.1 antibodies. Molecular mass markers are indicated on the left in kilodaltons (kDa). *B*, immunoblots of GST-fusion proteins (50 ng) for Kv1.3 and Kv1.2 (indicated at the bottom of the blot) were incubated with anti-Kv1.2 antibody (left panel) or anti-Kv1.3 antibody (right panel). *C*, immunostaining of PC12 cells with anti-Kv1.2 antibody. Panel *a*, background staining of PC12 cells that were subjected to all steps in the staining protocol, except that the primary antibody was omitted. Panel *b*, labelling of PC12 cell membranes with anti-Kv1.2 antibody. Panel *c*, immunostaining of PC12 cell with anti-Kv1.2 antibody pre-incubated with the antigen against which the antibody is directed. The intensity of the fluorescent signal is comparable to the background fluorescence observed in panel *a*. Scale bar = 20  $\mu$ m and applies to all panels in *C*.



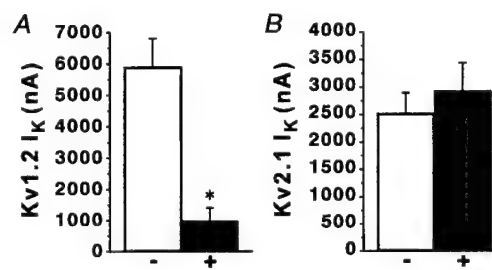
upon returning to toxin-free normoxic conditions. These data show that CTX is able to inhibit O<sub>2</sub>-sensitive K<sup>+</sup> channels, implying that the O<sub>2</sub>-sensitive K<sup>+</sup> current in PC12 cells is carried by *Shaker*-type K<sub>V</sub> channels.

#### Importance of the Kv1.2 $\alpha$ -subunit in the response to hypoxia in PC12 cells

We showed previously that exposure of PC12 cells to prolonged hypoxia increased the expression of the Kv1.2 gene, which in turn correlated with an enhanced O<sub>2</sub> sensitivity of the K<sub>V</sub> current (Conforti & Millhorn, 1997). PC12 cells also express the Kv2.1  $\alpha$ -subunit which according to previous data is not O<sub>2</sub> sensitive in these cells (Conforti & Millhorn, 1997). Expression of Kv1.2 and Kv2.1  $\alpha$ -proteins was determined by immunoblot analysis (Fig. 2A). Western blot analysis with an affinity-purified antibody against Kv1.2 revealed a single band of ~80 kDa. Antibodies against Kv2.1 detected a single band of ~110 kDa. A similar band has been previously identified as the Kv2.1  $\alpha$ -subunit in PC12 cells (Sharma *et al.* 1993). The specificity of anti-Kv2.1 antibody has been previously demonstrated (Archer *et al.* 1998). The specificity of Kv1.2 antibody was established by immunoblot and immunohistochemical analyses. Anti-Kv1.2 antibody recognizes a single band of the predicted molecular mass in PC12 cell total lysate (Fig. 2A). This antibody did not cross-react with Kv1.3, another member of the Kv1 subfamily of K<sub>V</sub> channels (Fig. 2B). Anti-Kv1.2 antibody recognized the Kv1.2 fusion protein, and did not cross-react with Kv1.3 antigen. The Kv1.3 antigen was recognized only by the anti-Kv1.3 antibody. The specificity of anti-Kv1.2 antibody was confirmed in immunohistochemical experiments. The intense, uniform labelling of the PC12 cell with anti-Kv1.2 antibody is shown in Fig. 2C*b*. Background fluorescence in the absence of Kv1.2 antibody is shown in panel *a*. Comparable background fluorescence was observed when the anti-Kv1.2 antibody was pre-incubated with an excess of the matching Kv1.2 fusion protein (panel *c*), indicating the specificity of the antibody for Kv1.2. The ability of anti-Kv1.2 antibody to selectively block Kv1.2 channels was assessed in *Xenopus* oocytes (Fig. 3). Recombinant Kv1.2 current amplitude was significantly decreased in oocytes injected with anti-Kv1.2 antibody. A significant Kv1.2 current inhibition ranging from 44 to 82% was observed at different voltages (-10, 0, 20 and 50 mV) in a total of 13 oocytes. The same concentration of anti-Kv1.2 antibody did not reduce K<sup>+</sup> current amplitude measured at 0 and 20 mV in oocytes expressing Kv2.1 channels (*n* = 14). Figure 3 compares the effect of anti-Kv1.2 antibody on the K<sup>+</sup> current measured in oocytes expressing Kv1.2 channels with oocytes from the same batch expressing Kv2.1 channels.

We next tested the hypothesis that the K<sub>O<sub>2</sub></sub> channel in PC12 cells is composed of Kv1.2  $\alpha$ -subunit(s) by comparing the efficiency of anti-Kv1.2 and anti-Kv2.1 antibodies in blocking the K<sub>O<sub>2</sub></sub> current. Whole-cell voltage-clamp experiments were performed with anti-Kv1.2 or anti-Kv2.1

antibodies delivered to the cell by dialysis through the patch pipette. Figure 4 shows representative experiments performed in the presence (A) of anti-Kv1.2 antibody in the pipette. The left panel shows K<sup>+</sup> currents recorded in normoxia upon breaking into the whole-cell configuration (N<sub>0</sub>). Within 8–10 min after breaking into the whole-cell configuration, dialysis of anti-Kv1.2 antibody (Kv1.2 Ab) through the patch pipette resulted in a  $32 \pm 6\%$  (*n* = 6) decrease in K<sup>+</sup> current amplitude. Subsequent exposure to hypoxia (H, 10% O<sub>2</sub>) did not inhibit the K<sup>+</sup> current. The averaged inhibition of the K<sup>+</sup> current by hypoxia in cells dialysed with antibody against Kv1.2 was  $4 \pm 3\%$  (*n* = 6; Fig. 4C). Identical experiments were performed with anti-Kv2.1 antibody in the patch pipette (Fig. 4B). Within 8–10 min after breaking into whole-cell configuration dialysis of anti-Kv2.1 antibody (Kv2.1 Ab) through the patch pipette resulted in a  $39 \pm 3\%$  (*n* = 3) decrease in K<sup>+</sup> current amplitude. Subsequent exposure to hypoxia (H, 10% O<sub>2</sub>) inhibited the K<sup>+</sup> current by  $24 \pm 2\%$  (*n* = 3). This amount of inhibition is significantly different from that observed in cells dialysed with anti-Kv1.2 antibody (*P* < 0.01). Control experiments using an irrelevant antibody (rabbit anti-sheep IgG) in the pipette are shown in Fig. 4C. Ten minutes after breaking into the whole-cell configuration, no decrease in K<sup>+</sup> current amplitude was observed, but application of hypoxia caused a reversible inhibition of the K<sup>+</sup> current ( $26 \pm 1\%$ , *n* = 3). This level of K<sup>+</sup> current inhibition is not statistically different from the hypoxic inhibition in the presence of anti-Kv2.1 antibody and is also comparable to that induced by hypoxia in the absence of irrelevant antibody in the patch pipette (Fig. 1A; Zhu *et al.* 1996). These data suggest that the Kv1.2  $\alpha$ -subunit, but not Kv2.1, is critical in the response of PC12 cells to hypoxia.



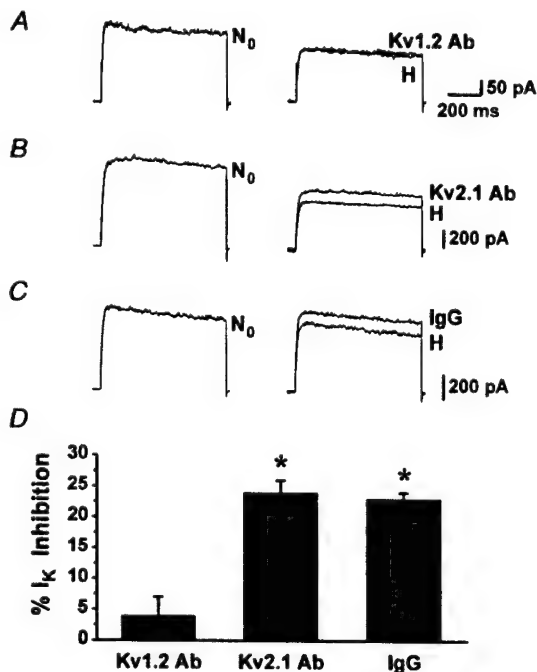
**Figure 3. Effect of anti-Kv1.2 antibody on recombinant Kv1.2 and Kv2.1 channels**

A, anti-Kv1.2 antibody blocks K<sup>+</sup> current (*I<sub>K</sub>*) in oocytes expressing Kv1.2 channels. Kv1.2 currents were recorded in control oocytes (-, *n* = 6) and oocytes injected with anti-Kv1.2 antibody (0.01  $\mu$ g in 50 nl) 2 h before recording (+, *n* = 4). \* *P* < 0.01 using Student's unpaired *t* test. B, lack of effect of anti-Kv1.2 antibody on K<sup>+</sup> currents in oocytes expressing Kv2.1 channels. Kv2.1 currents were recorded in control oocytes (-, *n* = 5) and anti-Kv1.2-injected oocytes (+, *n* = 6). *I<sub>K</sub>* were elicited with voltage steps from a holding potential of -80 mV to between -10 and 0 mV in two-electrode voltage-clamp experiments.

### O<sub>2</sub> sensitivity of Kv1.2 channels expressed in *Xenopus* oocytes

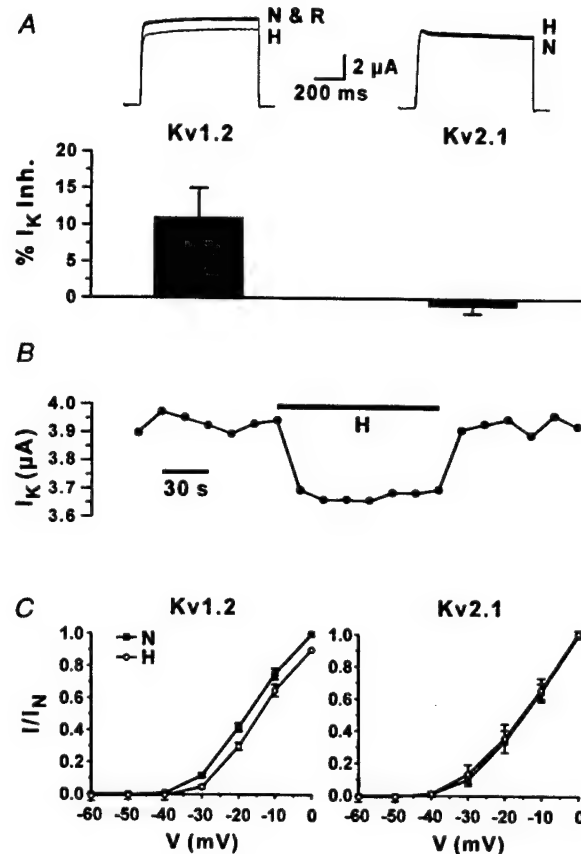
Because of the complex heteromeric structure of native K<sup>+</sup> channels, we studied the Kv1.2 channel responses to changes in P<sub>O<sub>2</sub></sub> in the *Xenopus* oocytes. This expression system provides a means of expressing K<sub>V</sub> channels of known composition. The sensitivity of Kv1.2 channels to hypoxia was compared to that of Kv2.1 channels, which are also expressed in PC12 cells (Sharma *et al.* 1993; Conforti & Millhorn, 1997). Run-off transcripts of cRNA were prepared and microinjected into *Xenopus* oocytes. Control oocytes were injected with the same volume (50 nl) of water. Electrophysiological experiments were performed 1–2 days after injection. Application of depolarizing voltage steps elicited outward K<sup>+</sup> currents only in oocytes injected with K<sup>+</sup> channel cRNAs (data not shown). The effect of hypoxia on the expressed K<sub>V</sub> channels was studied by exposing the injected oocytes to an anoxic recording medium (100% N<sub>2</sub> and 5 mM sodium dithionite, N<sub>2</sub>S<sub>2</sub>O<sub>4</sub>, an O<sub>2</sub> chelator; Fig. 5). Anoxia inhibited the K<sup>+</sup> current carried by Kv1.2 channels by 11 ± 4% (*n* = 8), and had no effect or slightly increased

the K<sup>+</sup> current carried by Kv2.1 channels (*n* = 8). The hypoxic inhibition of Kv1.2 current was reversed upon returning to normoxia (Fig. 5A and B). The time course of the hypoxic response of Kv1.2 channels is shown in Fig. 5B (representative of 4 separate experiments). Inhibition of the K<sup>+</sup> current occurs at the onset of the anoxic medium and K<sup>+</sup> current returns to control values upon re-introduction of the normoxic medium. The effect of anoxia on the current–voltage relationships for K<sup>+</sup> current in Kv1.2 and Kv2.1



**Figure 4.** Effect of hypoxia on the K<sup>+</sup> current after selective block of the Kv1.2 and Kv2.1 channels by their corresponding specific antibodies

K<sup>+</sup> currents (*I<sub>K</sub>*) were elicited with voltage steps from a holding potential of −70 mV to +50 mV (every 5 s) in experiments performed in presence of anti-Kv1.2 antibody (A), anti-Kv2.1 antibody (B) or irrelevant antibody (C) in the pipette. The representative K<sup>+</sup> current traces were recorded in normoxia (21% O<sub>2</sub>) upon breaking into the whole-cell configuration (left panel, N<sub>0</sub>), in normoxia 8–10 min into the whole-cell configuration (right panel, labelled with the name of the antibody used in each experiment), and after exposure to hypoxia (10% O<sub>2</sub>, H). D, mean current inhibition by hypoxia in the presence of each antibody. \* *P* ≤ 0.001.



**Figure 5.** Oxygen sensitivity of Kv1.2 and Kv2.1 currents in *Xenopus* oocytes

A, hypoxic inhibition of K<sup>+</sup> currents (*I<sub>K</sub>*) in oocytes injected with Kv1.2 or Kv2.1 cRNAs. K<sup>+</sup> currents were recorded in control conditions (N; 21% O<sub>2</sub>), after 2 min exposure to anoxia (H), and after returning to normoxia (R) with the two-electrode voltage-clamp technique. Currents were elicited with depolarizing voltage steps from a holding potential of −80 mV to 0 mV. The averaged data (*n* = 8) are shown in the bottom panel. B, time course of the effect of anoxia on the K<sup>+</sup> current amplitude in Kv1.2-injected oocytes. Depolarizing voltage steps were applied every 15 s (same protocol as in A). Bar (H) corresponds to the time of perfusion with the anoxic medium. C, current–voltage relationship of Kv1.2 (left) and Kv2.1 (right) cRNA-injected oocytes. K<sup>+</sup> currents were induced by depolarizing voltage steps from −60 to 0 mV (10 mV increments; holding potential 80 mV). Currents were measured in normoxia (21% O<sub>2</sub>) and 2 min after exposure to anoxia (H). *I<sub>N</sub>* corresponds to the maximum *I<sub>K</sub>* measured in normoxia. Values are reported as mean ± s.e.m. (*n* = 5 for Kv1.2, *n* = 3 for Kv2.1)



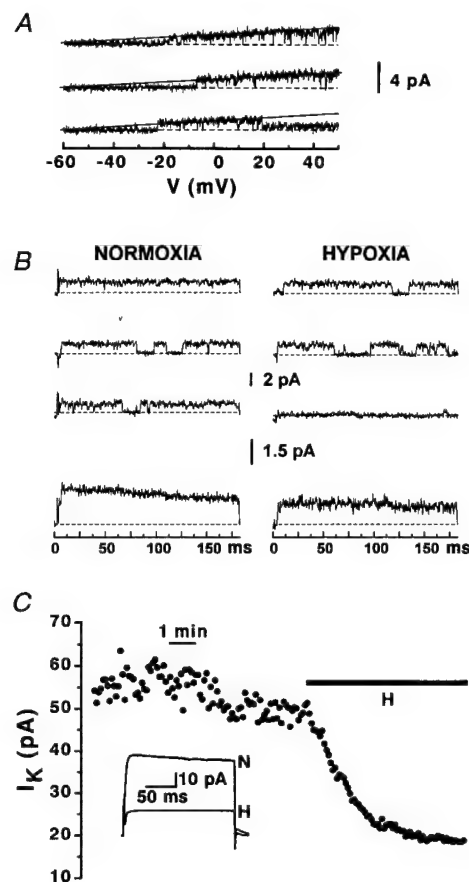
cRNA-injected oocytes is shown in Fig. 5C. The current–voltage ( $I$ – $V$ ) relationships were measured in normoxia (N), after 2 min exposure to anoxia (H) and 2 min after returning to the normoxic medium (R). For the sake of clarity, the  $I$ – $V$  relationship after returning to normoxia is not shown in the figure. Anoxia induced inhibition of K<sup>+</sup> current at each potential only in oocytes injected with Kv1.2 ( $n = 5$ ). No response, or a slight irreversible increase in K<sup>+</sup> current was observed in Kv2.1-injected oocytes ( $n = 3$ ).

The single-channel properties of Kv1.2 channels and their response to hypoxia (10% O<sub>2</sub>) were studied in the cell-attached configuration (Fig. 6). The single-channel  $I$ – $V$  relationship for the Kv1.2 channels is shown in Fig. 6A. These channels have slope conductance of 18 pS (2.8 mM external K<sup>+</sup> concentration), which is comparable to the conductance of the K<sub>O<sub>2</sub></sub> channel measured in PC12 cells (Conforti & Millhorn, 1997). Application of depolarizing voltage steps to +50 mV induced a slow-inactivating K<sup>+</sup> current that was inhibited by hypoxia ( $n = 4$ ). The effect of hypoxia on the activity of a slow-inactivating single channel of 2 pA unitary current is shown in Fig. 6B. In this experiment, exposure to hypoxia (10% O<sub>2</sub>) induced a 33% inhibition of the ensemble-averaged current amplitude and a 16% reduction in  $NP_o$  (unitary current amplitude was unchanged). When multiple Kv1.2 channels were present in a patch it was possible to record an outward K<sup>+</sup> current that resembled a macroscopic K<sup>+</sup> current ( $n = 3$ ). The time course of the effect of hypoxia (10% O<sub>2</sub>) on the Kv1.2 current amplitude in cell-attached patches is shown in Fig. 6C. Perfusion with hypoxic medium resulted in the immediate inhibition of the K<sup>+</sup> current. The inhibition reached steady-state values after *ca* 2 min of exposure to hypoxia. Currents recorded in normoxia (N) and in hypoxia (H) after steady-state inhibition was reached are reported as an inset. The mean K<sup>+</sup> current inhibition by hypoxia in cell-attached experiments was  $65 \pm 10\%$  ( $n = 7$ ).

## DISCUSSION

The mechanisms by which O<sub>2</sub>-sensitive cells detect a change in O<sub>2</sub> tension ( $P_{O_2}$ ) and transduce this signal into the appropriate functional response remain unknown. However, it has become evident that O<sub>2</sub>-sensitive K<sup>+</sup> (K<sub>O<sub>2</sub></sub>) channels are key elements in the detection of changes in O<sub>2</sub> availability by excitable O<sub>2</sub>-sensitive cells (Lopez-Barneo, 1996). Currently, neither the molecular composition of these important channels nor the mechanism(s) by which they respond to changes in  $P_{O_2}$  are known. The observation that the hypoxic inhibition of K<sub>O<sub>2</sub></sub> channel activity occurs in excised patches from carotid body type I cells, PC12 cells and central neurons suggests it might occur via membrane-associated events (Ganfornina & Lopez-Barneo, 1992; Conforti & Millhorn, 1997; Haddad & Jiang, 1997). Various membrane-bound molecules have been proposed as the O<sub>2</sub> sensor, including NADPH-oxidase, metal-binding proteins and the auxiliary  $\beta$ -subunit of K<sub>v</sub> channels (Acker, 1994;

Haddad & Jiang, 1997; Gulbis *et al.* 1999). It has also been proposed that O<sub>2</sub> could interact directly with the K<sub>O<sub>2</sub></sub> channel itself by modifying the redox state of amino acid residues in the pore-forming  $\alpha$ -subunits and inducing a change in the channel molecular conformation (Ruppersberg



**Figure 6.** Response to hypoxia of Kv1.2 channels in *Xenopus* oocytes

A, conductance of the Kv1.2 channels. The  $I$ – $V$  curves were obtained with ramp pulse depolarization from a holding potential of -60 mV to +50 mV, 800 ms duration. Experiments were performed in high K<sup>+</sup> bath solution and 2.8 mM K<sup>+</sup> pipette solution. Dashed lines represent the zero current. The recordings were fitted with a straight line, which had a slope value of 18 pS. B, the top panels show representative traces recorded during step depolarizing pulses (from a holding potential of -60 mV to +50 mV) in normoxia and 2 min after exposure to hypoxia (10% O<sub>2</sub>). Leak and capacitive currents were subtracted from the record. The upward current deflections from the zero line (dashed) correspond to the opening of the channel. The corresponding ensemble-averaged currents (from 100 consecutive traces) are shown in the bottom panels. C, time course of the hypoxic inhibition of K<sup>+</sup> current recorded in a cell-attached patch containing multiple Kv1.2 channels. Bar indicates the time of perfusion with the hypoxic medium (10% O<sub>2</sub>). K<sup>+</sup> currents were induced by depolarizing voltage steps from a holding potential of -60 mV to +50 mV. The averaged currents from 100 consecutive traces recorded in normoxia (N) and after steady-state  $I_K$  inhibition by hypoxia (H) are shown as an inset.

*et al.* 1991; Lopez-Barneo, 1996). Thus, elucidation of the molecular nature of  $K_{O_2}$  channels in different  $O_2$ -sensitive cells is an important step towards understanding their role in  $O_2$  sensing. The current research provides evidence that the  $K_{O_2}$  channel in the  $O_2$ -sensitive PC12 clonal cell line is a  $K_V$  channel composed of Kv1.2  $\alpha$ -subunit(s). To our knowledge, this is the first direct evidence of the  $O_2$  sensitivity of native Kv1.2  $\alpha$ -subunits of  $K^+$  channels.

We previously reported that the  $K_{O_2}$  current in PC12 cells has slow-inactivating kinetics, is insensitive to  $Ca^{2+}$  and holding voltage, and is blocked by 5 mM externally applied TEA (Zhu *et al.* 1996). High doses of extracellular TEA are required for blockade of Kv1.2, 1.3 and 1.5 channels (Mathie *et al.* 1998). The current data confirm that the  $K_{O_2}$  channel in PC12 cells belongs to the Kv1 subfamily of  $K^+$  channels. The  $K_{O_2}$  current in PC12 cells is inhibited by charybdotoxin, a potent blocker of Kv1.2 and Kv1.3 and large-conductance  $Ca^{2+}$ -activated  $K^+$  ( $K_{Ca}$ ) channels. Although  $K_{Ca}$  channels are present in PC12 cells, we have shown previously that, under our experimental conditions, their contribution to the total outward current is negligible (Zhu *et al.* 1996). We have also shown that the  $K_{Ca}$  channels in PC12 cells are not inhibited by hypoxia (Conforti & Millhorn, 1997).

Additional evidence supports the conclusion that the  $K_{O_2}$  channel in PC12 cells is formed by Kv1.2  $\alpha$ -subunit(s). We showed previously that the gene encoding the Kv1.2  $\alpha$ -subunit is selectively stimulated during prolonged exposure to hypoxia, and that the increased expression of the Kv1.2  $\alpha$ -subunit gene correlated with an enhanced response to hypoxia (Conforti & Millhorn, 1997). On the other hand, the Kv2.1  $\alpha$ -subunit is also expressed in PC12 cells but its expression does not increase during prolonged hypoxia (Conforti & Millhorn, 1997). Here we showed that Kv1.2 and Kv2.1  $\alpha$ -proteins are expressed in PC12 cells. Western blot analysis with the antibody against Kv1.2 revealed a single band of ~80 kDa. A band of similar size has been identified as a Kv1.2  $\alpha$ -subunit in Kv1.2 stably transfected cells and in pulmonary artery smooth muscle cells (Barry *et al.* 1995; Archer *et al.* 1998). The specificity of the anti-Kv1.2 antibody was established by us in immunohistochemical and Western blot experiments. We have also established the feasibility of using the anti-Kv1.2 antibody to selectively block the  $K^+$  current carried by Kv1.2 channels. To our knowledge, this is the first evidence that this antibody can be used as a selective blocker of its own channels. Therefore, we next tested the hypothesis that the  $K_{O_2}$  channel in PC12 cells is composed of Kv1.2  $\alpha$ -subunit(s) by using antibodies against Kv1.2 as blockers of this channel and by comparing these results with similar experiments performed in the presence of anti-Kv2.1 antibody. We used the anti-Kv1.2 antibody (the same antibody that was used for Western blotting) that binds to the  $O_2$ -sensitive  $\alpha$ -subunit to block  $K_{O_2}$  channel activity in PC12 cells. A similar approach has been used to establish the role of

Kv2.1 in setting the resting potential of pulmonary artery smooth muscle cells (Archer *et al.* 1998). In addition, the same approach was successfully used to modify ion channel activity in neuronal and skeletal muscle cells (Vassilev *et al.* 1988; Naciff *et al.* 1996). An irrelevant antibody, which was shown previously to have no effect on  $K^+$  and  $Ca^{2+}$  currents, was used as a negative control (Naciff *et al.* 1996). Dialysis of Kv1.2 and Kv2.1 antibodies through the patch pipette resulted in a decrease in  $K^+$  current, which occurred gradually and reached a maximum in 8–10 min. A similar time course was reported for the effect on  $K^+$  current of anti-annexin VI antibody delivered through the patch pipette (Naciff *et al.* 1996). Dialysis of PC12 cells with specific antibodies against the Kv1.2  $\alpha$ -subunit prevented the hypoxia-induced inhibition of voltage-activated  $K^+$  current. Cells that were dialysed with anti-Kv2.1 antibody maintained their response to hypoxia. This important finding suggests that a functional Kv1.2  $\alpha$ -subunit is necessary for the response of the  $K_{O_2}$  channel to hypoxia and that the Kv2.1 channels are not  $O_2$  sensitive.

The  $O_2$  sensitivity of Kv1.2 was also confirmed in *Xenopus* oocytes. The  $O_2$  sensitivity of Kv1.2 was compared to that of Kv2.1, which has been proposed as a possible  $O_2$ -sensitive  $K^+$  channel in pulmonary artery smooth muscle cells (Patel *et al.* 1997; Archer *et al.* 1998). The Kv2.1 channel is also expressed in PC12 cells, although the current and previous data indicate that it does not mediate the  $O_2$ -sensitive current in these cells (Sharma *et al.* 1993; Conforti & Millhorn, 1997). In *Xenopus* oocytes anoxia inhibited the  $K^+$  current carried by Kv1.2, and had no effect or even slightly increased the  $K^+$  current carried by Kv2.1. The hypoxic inhibition of Kv1.2 current was reversed upon returning to normoxia. Although a relatively small inhibition is induced by anoxia in intact oocytes injected with Kv1.2, the time course of the response highly correlates with the arrival of the anoxic medium to the perfusion chamber and the return of normoxic conditions. Moreover, the anoxic inhibition of the  $K^+$  current occurs over a whole range of potentials. The anoxia needed to inhibit the  $K^+$  current in intact oocytes was obtained with the use of the  $O_2$  chelator sodium dithionite, which is known to induce the formation of oxygen radicals (Archer *et al.* 1995). However, the inhibition of  $K^+$  current is most probably not due to the formation of oxygen radicals, since Kv1.2 and Kv2.1 channels expressed in *Xenopus* oocytes have been shown to be insensitive to reactive oxygen species (Duprat *et al.* 1995). Furthermore, the activity of Kv1.2 channels in cell-attached experiments is inhibited by exposure to hypoxia obtained by saturating the perfusion medium with 10%  $O_2$ , without any sodium dithionite present. Single-channel experiments in oocytes injected with Kv1.2 cRNA showed that exposure to hypoxia (10%  $O_2$ ; ~80 mmHg  $P_{O_2}$ ) induced an inhibition of Kv1.2 ensemble-averaged current, which was associated with a reduction in  $NP_0$  and no change in unitary current amplitude. Detailed single-channel

analysis was not possible because many patches contained a small conductance endogenous channel. The presence of endogenous delayed-rectifier K<sup>+</sup> channels in *Xenopus* oocytes has been reported (Lu *et al.* 1990). The single-channel experiments were performed using the same K<sup>+</sup> gradient used previously to study the K<sub>O<sub>2</sub></sub> channel in PC12 cells (Conforti & Millhorn, 1997). Thus, the Kv1.2 channel expressed in the oocyte had the same single-channel properties (conductance and inactivation kinetics) and displayed the same type of response to hypoxia as the K<sub>O<sub>2</sub></sub> channel in PC12 cells. The lower sensitivity of recombinant Kv1.2 current in *Xenopus* oocytes observed in the two-electrode voltage-clamp experiments might be due to the presence of the vitelline membrane, which is likely to constitute a barrier to O<sub>2</sub> diffusion. It was previously reported that the follicular tissues surrounding the *Xenopus* oocytes (including the vitelline membrane) reduce the access of various compounds to the oocyte plasma membrane (Stuhmer & Parekh, 1995; Madeja *et al.* 1997). Moreover, Madeja *et al.* have shown that the vitelline membrane alone can be responsible for a substantial portion of this barrier effect. The current experiments using cell-attached patches of oocytes free of the vitelline membrane showed that hypoxia is able to induce a higher I<sub>K</sub> inhibition than in intact oocytes. Although this might support the fact that the vitelline membrane itself might interfere with the O<sub>2</sub> sensitivity of the plasma membrane, the longer time course to reach steady-state inhibition induced by hypoxia in vitelline-free oocytes compared to intact oocytes and the irreversibility of the hypoxic effect suggests that other events might be activated during hypoxia in the absence of vitelline membrane, and that they eventually further inhibit the K<sup>+</sup> current. If this were the case, an alternative explanation of the lower O<sub>2</sub> sensitivity observed in oocytes compared to PC12 cells might reside in the different levels of expression of endogenous auxiliary subunits important in O<sub>2</sub> sensing. We have recent evidence that PC12 cells express Kvβ2 and Kvβ3 subunits (data not shown). It was recently suggested that Kvβ2 (which is known to associate to Kv1.2 channels) might have an important role in O<sub>2</sub> sensing (Gulbis *et al.* 1999). To our knowledge, the expression of these subunits in *Xenopus* oocytes has not been reported.

The experiments in *Xenopus* oocytes confirmed that the Kv1.2 channel is O<sub>2</sub> sensitive. In contrast, Kv2.1 channels expressed in oocytes were not inhibited by hypoxia. Our results in *Xenopus* oocytes contrast with those obtained using different expression systems. In monkey kidney COS cells Kv2.1 and Kv2.1–Kv9.3 currents were inhibited by hypoxia, while the Kv1.2 current was not O<sub>2</sub> sensitive (Patel *et al.* 1997). Recently, it has been shown that both Kv1.2 and Kv2.1 channels expressed in mouse L cells are inhibited by hypoxia (Hulme *et al.* 1999). The discrepancy between these expression systems might arise from the fact that they express different 'O<sub>2</sub> sensors' or different signalling pathways. Although the nature of the O<sub>2</sub> sensor

remains obscure, it appears that the *Xenopus* oocytes behave in a manner similar to the O<sub>2</sub>-sensitive PC12 cells. In both these cell types (PC12 cells and oocytes) only Kv1.2 channels are O<sub>2</sub> sensitive while Kv2.1 channels are not.

Relatively little is known about the subunits forming the O<sub>2</sub>-sensitive K<sup>+</sup> channels in other chemosensitive cells. Most of the information available is derived from pulmonary artery smooth muscle cells. These cells express voltage-dependent CTX-insensitive outwardly rectifying K<sup>+</sup> channels inhibited by hypoxia (Patel *et al.* 1997; Archer *et al.* 1998). The Kv2.1 and Kv2.1–Kv9.3 subunits, present in pulmonary artery, have been proposed to form the K<sub>O<sub>2</sub></sub> channel in this tissue (Patel *et al.* 1997; Archer *et al.* 1998). These subunits expressed in COS cells give rise to 8 and 14.5 pS K<sup>+</sup> channels (physiological K<sup>+</sup>), respectively, which are inhibited by hypoxia (Patel *et al.* 1997). The conductances of the Kv2.1 and Kv2.1–Kv9.3 channels contrast with the conductance of the K<sub>O<sub>2</sub></sub> channel in PC12 cells (20 pS). Archer *et al.* (1998) have proposed that pulmonary artery smooth muscle cells express two O<sub>2</sub>-sensitive K<sup>+</sup> channels: Kv2.1, which might be important for initiating the hypoxia-induced depolarization, and Kv1.5, which might be important in the hypoxia-induced increase in intracellular Ca<sup>2+</sup>. Although the presence of these subunits in pulmonary artery and their role in cell excitability have been well established, there is no direct evidence (e.g. loss of O<sub>2</sub> sensitivity upon selective blockade of these channels) that implicates them as O<sub>2</sub>-sensitive K<sup>+</sup> channels. The different pharmacological profile of the K<sub>O<sub>2</sub></sub> channel recorded in pulmonary artery smooth muscle cells (insensitive to CTX) compared to that of the K<sub>O<sub>2</sub></sub> channels in PC12 cells does not exclude the possibility that the K<sub>O<sub>2</sub></sub> channel in pulmonary artery might also include one or more Kv1.2 α-subunits (Patel *et al.* 1997; Archer *et al.* 1998). For example, the Kv1.5–K<sub>O<sub>2</sub></sub> channel might be a heteromultimer formed by Kv1.5 and Kv1.2 α-subunits. In fact, it has been shown that a single CTX-insensitive Kv1.5 α-subunit can render the Kv1.2–Kv1.5 heteromeric channel insensitive to CTX (Russell *et al.* 1994). Recently, it has been shown that expression of Kv1.2 and Kv1.5 α-subunits in pulmonary artery smooth muscle cells is downregulated by chronic hypoxia (Wang *et al.* 1997). The downregulation of expression of these subunits correlates with the decreased O<sub>2</sub> sensitivity of pulmonary artery in rats exposed to chronic hypoxia. The hypothesis that the Kv1.5–K<sub>O<sub>2</sub></sub> channel in pulmonary artery is indeed a heteromultimeric channel formed by Kv1.2 and Kv1.5 α-subunits, and that the Kv1.2 α-subunit is responsible for its O<sub>2</sub> sensitivity is supported by recent findings showing that co-expression of Kv1.2 and Kv1.5 α-subunits in mouse L cells give rise to O<sub>2</sub>-sensitive Kv1.2–Kv1.5 heteromeric channels, while homomeric Kv1.5 channels are not O<sub>2</sub> sensitive (Hulme *et al.* 1999).

In the current study, we have presented direct evidence which indicates that the Kv1.2 α-subunit is an important

component of native  $O_2$ -sensitive  $K^+$  channels expressed in chemosensitive cells. The same  $\alpha$ -subunit might also be an important component of native  $K_{O_2}$  channels in other chemosensitive cells. Although the  $Kv1.2$   $\alpha$ -subunit is expressed in many different tissues, it might play a special role in chemosensitive cells via a coupling with the  $O_2$  sensor. Alternatively, the  $Kv1.2$   $\alpha$ -subunit might be coupled to an  $O_2$ -sensitive signalling pathway activated only in chemosensitive cells.

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## Novel regulation of p38 $\gamma$ by dopamine D2 receptors during hypoxia

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### Abstract

The p38 signalling pathway is part of the MAPK superfamily and is activated by various stressors. Our previous results have shown that two p38 isoforms, p38 $\alpha$  and p38 $\gamma$ , are activated by hypoxia in the neural-like PC12 cell line. PC12 cells also synthesize and secrete catecholamines, including dopamine, in response to hypoxia. We have now used this system to study the interaction between D2-dopamine receptor signalling and the p38 stress-activated protein kinases. Our results show that two D2 receptor antagonists, butaclamol and sulpiride, enhance hypoxia-induced phosphorylation of p38 $\gamma$ , but not p38. This effect persists in protein kinase A (PKA)-deficient PC12 cells, demonstrating that p38 $\gamma$  modulation by the D2 receptor is independent of the cAMP/PKA signalling system. We further show that removal of extracellular calcium blocks the hypoxia-induced increase in p38 $\gamma$  activity. These results are the first to demonstrate that p38 $\gamma$  can be regulated by the D2 receptor and calcium following hypoxic exposure. © 2000 Elsevier Science Inc. All rights reserved.

**Keywords:** p38; MAPK; Dopamine; Hypoxia; Calcium

### 1. Introduction

Hypoxia is an extremely common physiological stress that is involved in a variety of pathological processes, including angiogenesis, tumour progression, and apoptosis. However, little is known about the specific intracellular pathways by which hypoxia triggers these events. The stress-activated protein kinases (SAPKs) regulate gene expression and cell function following exposure to various stressors [1,2]. The SAPKs consist of two homologous families of protein kinases, designated p38 (HOG/RK/SAPK2) and c-Jun N-terminal kinase (JNK/SAPK) [3]. Both the p38 and JNK pathways can be activated by osmotic stress, UV irradiation, and inflammatory cytokines [4–7]. However, JNK is unique in its ability to phosphorylate the transcription factor c-Jun [4]. In previous studies, we have shown that p38 $\alpha$ , p38 $\gamma$ , and p42/p44 MAPK are activated by hypoxia in pheochromocytoma (PC12) cells [8,9]. In contrast, the SAPKs p38 $\beta$ , p38 $\delta$ , and JNK are not regulated by exposure to low oxygen in this system [8].

Pheochromocytoma (PC12) cells are catecholaminergic cells derived from rat adrenal medullary tumours (for review see [10]). Upon stimulation with nerve growth factor (NGF), PC12 cells exit the cell cycle and extend neurites [10]. In the undifferentiated state, PC12 cells are exquisitely sensitive to changes in pO<sub>2</sub>. Following exposure to hypoxia, PC12 cells depolarize and secrete various neurotransmitters, including dopamine [11, 12]. In addition to neurosecretion, hypoxia stimulates various transcription factors [9,11,13] and regulates the expression of specific O<sub>2</sub>-responsive genes in this cell type [9,14,15]. Thus, we have utilized this cell line in order to characterize further the intracellular signalling mechanism(s) by which cells respond and adapt to hypoxia.

The upstream signalling cascades that regulate p38 function have only partially been characterized. It has been shown that the p38 kinases are phosphorylated and activated by upstream MAP kinase kinases (MKKs), including MKK3, MKK6, and possibly MKK4 [16]. Further upstream of the MKKs lie a number of signalling molecules that can potentially activate p38, including the Rho family of GTPases as well as cell surface receptors [17–19]. For example, stimulation of either the insulin-like growth factor I (IGF-I) receptor or the TrkB

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receptor can lead to p38 activation [18,19]. Recent reports have shown that dopamine (D1) receptors can also modulate the p38 signalling pathway [20]. Our laboratory has shown that upon exposure to hypoxia, PC12 cells secrete dopamine which feeds back through D2 receptors to modulate  $\text{Ca}^{2+}$  currents [21]. Thus, PC12 cells are a useful system in which to study the interaction of D2 receptors and the p38 signalling pathway.

In the current study, we demonstrate that two D2 antagonists, butaclamol and sulpiride, enhance p38 $\gamma$  phosphorylation during hypoxia and that the mechanism of this modulation is independent of PKA. We further show that hypoxia-induced phosphorylation of p38 $\gamma$  is dependent on intracellular  $\text{Ca}^{2+}$  levels. These results are, to our knowledge, the first to show that p38 $\gamma$  is regulated by  $\text{Ca}^{2+}$  and can be modulated by the endogenous release of dopamine.

## 2. Materials and methods

### 2.1. Cell culture and materials

PC12 cells were cultured in Dulbecco's modified Eagle's medium (DMEM)/Ham's F-12 (Life Technologies, Gaithersburg, MD) supplemented with 20 mM HEPES pH 7.4, 10% foetal bovine serum (Gibco, Gaithersburg, MD), and with penicillin (100 U/ml) and streptomycin (100  $\mu\text{g}/\text{ml}$ ). Prior to experimentation, cells were grown to approximately 85% confluence in 35-mm or 60-mm tissue culture dishes (Corning) in an environment of 21%  $\text{O}_2$ , 5%  $\text{CO}_2$ , balanced with  $\text{N}_2$ . Hypoxia was achieved by exposing cells to 5%  $\text{O}_2$ , 5%  $\text{CO}_2$ , balanced with  $\text{N}_2$  for various times in an  $\text{O}_2$ -regulated incubator (Forma Scientific, Marietta, OH). PKA-deficient PC12 cells (A123.7) were grown in Dulbecco's modified Eagle's medium with high glucose containing 20 mM HEPES pH 7.4, penicillin (100 U/ml), streptomycin (100  $\mu\text{g}/\text{ml}$ ), 10% foetal bovine serum, 5% horse serum and gentamycin (100  $\mu\text{g}/\text{ml}$ ) in an environment of 21%  $\text{O}_2$  and 10%  $\text{CO}_2$  [22]. Butaclamol and sulpiride were obtained from RBI (Natick, MA).

### 2.2. Western blotting

Western blotting was performed as described previously [8]. For phospho-p38 blots, membranes were immunolabelled with antibodies recognizing phosphotyrosine<sup>204</sup> p38 (1:1000, New England Biolabs, Beverly, MA).

### 2.3. Immune complex kinase assay

p38 $\gamma$  kinase assays were performed as described previously [8]. Prior to hypoxic exposure, PC12 cells were switched to either  $\text{Ca}^{2+}$ -containing media, or  $\text{Ca}^{2+}$ -free media (supplemented with 1 mM EGTA). After 1 h, cells were exposed to normoxia or hypoxia (5%  $\text{O}_2$ , 6 h). Cells were then lysed and p38 $\gamma$  activity was assayed as the amount of  $^{32}\text{P}$  incorporation into myelin basic

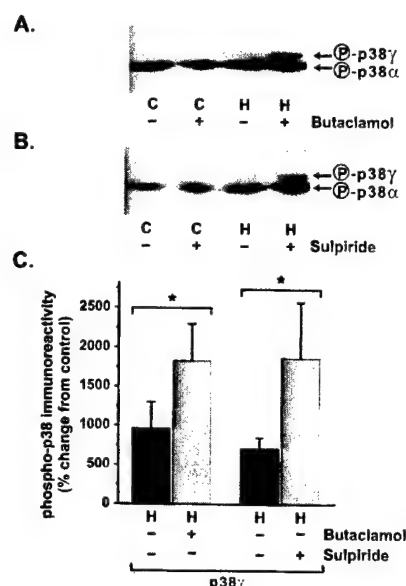


Fig. 1. The dopamine D2 receptor antagonists, butaclamol and sulpiride, enhance the hypoxia-induced phosphorylation of p38 $\gamma$ . PC12 cells were grown to approximately 80% confluence in 35-mm tissue culture dishes. Cells were pretreated for 1 h with either butaclamol (1  $\mu\text{M}$ ), sulpiride (10  $\mu\text{M}$ ), or vehicle (DMSO), followed by normoxic or hypoxic (5%  $\text{O}_2$ , 6 h) exposure. p38 $\alpha$  and p38 $\gamma$  phosphorylation state was assayed using anti-phospho-p38 antibodies, as described in Materials and methods. (a) Representative immunoblot showing the effect of butaclamol on p38 $\alpha$  (lower band) and p38 $\gamma$  (upper band) phosphorylation state. (b) Representative immunoblot showing the effect of sulpiride on p38 $\alpha$  (lower band) and p38 $\gamma$  (upper band) phosphorylation state. (c) Immunoreactivity of phospho-p38 $\gamma$  in the absence (black bars) or presence (shaded bars) of D2 antagonists is expressed as average percent change from control  $\pm$  S.E.M. from  $n = 6$  dishes in each group performed in two separate experiments. Phospho-p38 $\gamma$  immunoreactivity was quantified by densitometry (\* $p < .01$ , by  $\chi^2$  test).

protein, as quantified by Phosphorimager analysis (Molecular Dynamics, Sunnyvale, CA).

## 3. Results

There is increasing evidence that activation of cell surface receptors can modulate the p38 signalling pathway [18,19]. During hypoxia, PC12 cells secrete dopamine [11,12]. In previous studies, we have shown that dopamine feeds back through its receptors to modulate voltage-dependent  $\text{K}^+$  and  $\text{Ca}^{2+}$  currents in PC12 cells [21] and that hypoxia activates p38 $\alpha$  and p38 $\gamma$  in this cell type [8]. Thus, we have now investigated whether D2 receptors modulate p38 $\alpha$  or p38 $\gamma$  during hypoxia. PC12 cells were pretreated for 1 h with two dopamine D2 receptor antagonists, either butaclamol (1  $\mu\text{M}$ ) or sulpiride (10  $\mu\text{M}$ ), prior to exposure to hypoxia (5%  $\text{O}_2$ , 6 h). As shown in Fig. 1a and b, pretreatment with either of these two antagonists had no effect on p38 $\alpha$  phosphorylation (lower band), but increased the hypoxia-induced phosphorylation of p38 $\gamma$  (upper band). Using isoform-specific antibodies, in previous studies

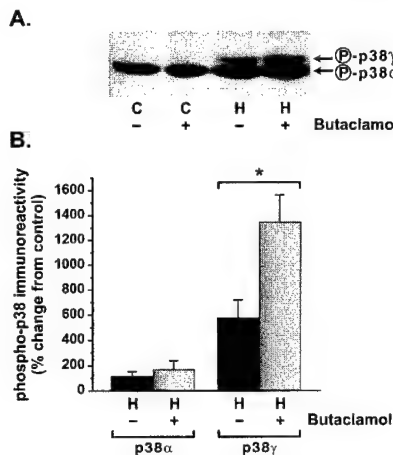


Fig. 2. D2 modulation of p38 $\gamma$  persists in PKA-deficient PC12 cells. PKA-deficient PC12 cells were grown to approximately 80% confluence in 35-mm tissue culture dishes. Cells were pretreated for 1 h with either butaclamol (1  $\mu$ M), or vehicle, followed by normoxic or hypoxic (5% O<sub>2</sub>, 6 h) exposure. p38 $\alpha$  and p38 $\gamma$  phosphorylation state was assayed using anti-phospho-p38 antibodies. (a) Representative immunoblot showing the effect of D2 antagonists on p38 $\alpha$  (lower band) and p38 $\gamma$  (upper band) phosphorylation state. (b) Immunoreactivity of p38 $\alpha$  and p38 $\gamma$  in the absence (black bars) or presence (shaded bars) of butaclamol are expressed as average percent change from control  $\pm$  S.E.M. and represents  $n = 6$  dishes in each group performed in two separate experiments. Phospho-p38 $\alpha$ /p38 $\gamma$  immunoreactivity was quantified by densitometry (\* $p < .01$ , by  $\chi^2$  test).

we have identified this upper band as p38 $\gamma$  and not one of the other p38 isoforms (p38 $\beta$ , p38 $\beta$ 2, p38 $\delta$ ) [8]. These results are shown quantitatively in Figure 1c, where it can be seen that butaclamol and sulpiride caused a significant increase in the level of p38 $\gamma$  phosphorylation during hypoxia.

Dopamine receptors are capable of mediating their effects through a number of different mechanisms. One of these is by coupling to adenylyl cyclase, which in turn regulates protein kinase A (PKA) [23]. In order to determine whether the D2-mediated effect on p38 $\gamma$  was mediated by PKA, we tested the ability of butaclamol to modulate p38 $\gamma$  in PKA-deficient PC12 cells (123.7 cells) [22]. We have previously confirmed that there is no detectable PKA enzyme activity in these cells [13]. Fig. 2a shows that the enhanced phosphorylation of p38 $\gamma$  persists in PKA-deficient PC12 cells. These results are shown quantitatively in Fig. 2b.

A second mechanism by which dopamine receptors mediate their effects is via the modulation of Ca<sup>2+</sup> currents [21,24,25]. In previous studies, we have shown that D2 receptor agonists attenuate the hypoxia-induced increase in intracellular Ca<sup>2+</sup> in PC12 cells [21]. These results demonstrate that the D2 receptor mediates at least some of its effects by the modulation of Ca<sup>2+</sup> currents. To test whether modulation of Ca<sup>2+</sup> current is the mechanism by which D2 receptors modulate p38 $\gamma$  phosphorylation, PC12 cells were pre-incubated for 1 h in either Ca<sup>2+</sup>-free media (supplemented with 1 mM

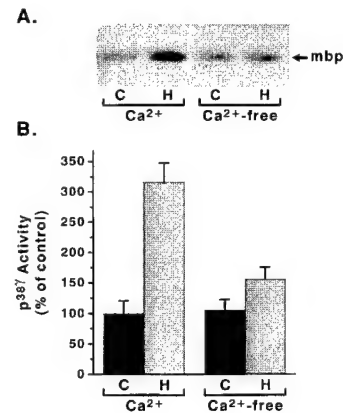


Fig. 3. p38 $\gamma$  activation by hypoxia is Ca<sup>2+</sup>-dependent. PC12 cells were transfected with either FLAG-p38 $\gamma$  or the pcDNA3 vector, as performed previously [8]. After 48 h, cells were switched to either Ca<sup>2+</sup>-supplemented or Ca<sup>2+</sup>-free media for 1 h. Cells were then exposed to either normoxia (C, 21% O<sub>2</sub>) or hypoxia (H, 5% O<sub>2</sub>, 6 h) and assayed for p38 $\gamma$  activity, as described in Materials and methods. (a) p38 $\gamma$  activity was determined as the amount of <sup>32</sup>P incorporation into myelin basic protein (mbp). (b) p38 $\gamma$  kinase activity during normoxia (black bars) or hypoxia (shaded bars) in the presence or absence of extracellular Ca<sup>2+</sup> are expressed as average percent of control  $\pm$  S.E.M. and represent  $n = 6$  dishes in each group, performed in two separate experiments.

EGTA) or standard medium (including Ca<sup>2+</sup>). Cells were then exposed to either normoxia or hypoxia (5% O<sub>2</sub>) for 6 h. p38 $\gamma$  enzyme activity was assayed by immune complex kinase assays, as performed previously [8]. Fig. 3a shows that p38 $\gamma$  is activated by hypoxia and that removal of extracellular Ca<sup>2+</sup> is able to abolish completely this hypoxia-induced activation. These results are shown quantitatively in Fig. 3b.

Our results demonstrate that p38 $\gamma$  phosphorylation is regulated by both the D2 receptor and intracellular Ca<sup>2+</sup> levels. Fig. 4 summarizes these results. Following hypoxic exposure, an O<sub>2</sub>-sensitive K<sup>+</sup> channel is inhibited [26]. This leads to membrane depolarization and influx of Ca<sup>2+</sup> through voltage-dependent Ca<sup>2+</sup> channels [26]. Increased intracellular Ca<sup>2+</sup> initiates signalling cascades leading to dopamine (DA) release and p38 $\gamma$  phosphorylation. DA then binds to the D2 receptor where it negatively regulates both a voltage-dependent K<sup>+</sup> current (I<sub>K</sub>) and a voltage-dependent Ca<sup>2+</sup> current (I<sub>Ca</sub>) [21]. The resulting decrease in intracellular free Ca<sup>2+</sup> negatively regulates Ca<sup>2+</sup>-activated signalling pathways, such as p38 $\gamma$ .

#### 4. Discussion

The goal of this study was to determine whether p38 $\alpha$  or p38 $\gamma$  phosphorylation is modulated by the dopamine D2 receptor. We found that D2 antagonists increase the hypoxia-induced phosphorylation of p38 $\gamma$ . We further show that the mechanism of this modulation occurs independently of PKA. Finally, we demonstrate that phos-

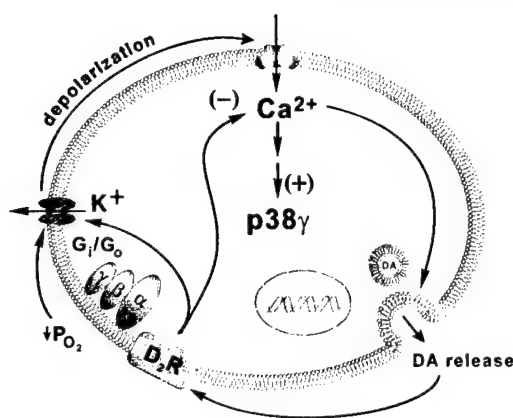


Fig. 4. Summary of the effects of hypoxia on D2 receptor, intracellular  $Ca^{2+}$ , and p38 $\gamma$ . Hypoxic exposure causes inhibition of an  $O_2$ -sensitive  $K^+$  current, resulting in membrane depolarization and influx of  $Ca^{2+}$ . Increased intracellular  $Ca^{2+}$  initiates signalling cascades leading to dopamine (DA) release and p38 $\gamma$  phosphorylation. Dopamine then binds to the D2 receptor where it negatively regulates both a voltage-dependent  $K^+$  current ( $I_K$ ) and a voltage-dependent  $Ca^{2+}$  current ( $I_{Ca}$ ). The resulting decrease in intracellular free  $Ca^{2+}$  negatively regulates  $Ca^{2+}$ -activated signalling pathways, such as p38 $\gamma$ .

phorylation of p38 $\gamma$  during hypoxia is dependent on intracellular  $Ca^{2+}$  levels.

The p38 family of protein kinases consists of five different isoforms and, like other signalling cascades, serves to integrate changes in the environment with changes in gene expression. Our results provide the first evidence showing that p38 $\gamma$  can be modulated by the dopamine D2 receptor. While others have shown that exogenous addition of D1 agonists can activate p38 $\alpha$  [20], our results are the first to show that endogenous release of dopamine during hypoxia acts in an autocrine/paracrine manner to modulate p38 $\gamma$  phosphorylation. It is interesting to note that p38 $\alpha$  phosphorylation is not modulated by D2 antagonists. As reported previously, p38 $\alpha$  phosphorylation by hypoxia is relatively modest when compared to that of p38 $\gamma$ . Thus, any modulation of p38 $\alpha$  by D2 antagonists probably falls within a range that is undetectable. Alternatively, it is conceivable that p38 $\alpha$  is regulated via a different (possibly  $Ca^{2+}$ -independent) mechanism than p38 $\gamma$ .

Previous results from our lab have shown that D2 agonists inhibit the hypoxia-induced increase in intracellular  $Ca^{2+}$  levels [21]. Thus, the presence of D2 antagonists, such as butaclamol, are likely to relieve this inhibition, resulting in greater  $Ca^{2+}$  influx and hence, greater activation of p38 $\gamma$ . We attempted to test this directly, by assessing  $Ca^{2+}$ -imaging with Fura-2 in the presence or absence of D2 antagonists. However, we were unable to detect an increase in intracellular  $Ca^{2+}$  upon the addition of D2 antagonists. The lack of an effect may be due to the fact that dopamine levels fail to reach a concentration capable of eliciting an effect. This is probably the result of dopamine washout, as the  $Ca^{2+}$  im-

aging is done in a constant perfusion apparatus. In contrast, the hypoxia-induced  $Ca^{2+}$  influx is easily measured because the perfusate can be continuously bubbled with  $N_2$  to maintain hypoxic conditions [21].

The fact that p38 $\gamma$  activation in the dopamine secreting PC12 cells is  $Ca^{2+}$ -dependent suggests that a similar mechanism may be at work in the excitable cells of the brain. Extensive studies have demonstrated that inhibition of calcium entry following an ischemic event is able to prevent subsequent neuronal loss [27,28]. Interestingly, D2 agonists have recently been shown to have neuroprotective effects [29,30]. Although the mechanism of this protection is unclear, inhibition of  $Ca^{2+}$  currents, such as occurs in PC12 cells, may be a critical component. Interestingly, chronic exposure of PC12 cells to moderate hypoxia (10%  $O_2$ , 24 h) abolishes the D2-mediated inhibition of  $Ca^{2+}$  current [31]. Thus, the D2 signalling system is responsive to both acute and chronic hypoxia in this cell type. Future experiments are aimed at more closely elucidating the mechanism of p38 $\gamma$  activation, as well as further characterizing the role of D2 receptors in modulating the molecular and cellular response to hypoxia.

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# Hypoxia regulates glutamate metabolism and membrane transport in rat PC12 cells

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## Abstract

We investigated the effect of hypoxia on glutamate metabolism and uptake in rat pheochromocytoma (PC12) cells. Various key enzymes relevant to glutamate production, metabolism and transport were coordinately regulated by hypoxia. PC12 cells express two glutamate-metabolizing enzymes, glutamine synthetase (GS) and glutamate decarboxylase (GAD), as well as the glutamate-producing enzyme, phosphate-activated glutaminase (PAG). Exposure to hypoxia (1% O<sub>2</sub>) for 6 h or longer increased expression of GS mRNA and protein and enhanced GS enzymatic activity. In contrast, hypoxia caused a significant decrease in expression of PAG mRNA and protein, and also decreased PAG activity. In addition, hypoxia led to an increase in GAD65 and GAD67 protein levels and GAD enzymatic activity. PC12 cells express three

Na<sup>+</sup>-dependent glutamate transporters; EAAC1, GLT-1 and GLAST. Hypoxia increased EAAC1 and GLT-1 protein levels, but had no effect on GLAST. Chronic hypoxia significantly enhanced the Na<sup>+</sup>-dependent component of glutamate transport. Furthermore, chronic hypoxia decreased cellular content of glutamate, but increased that of glutamine. Taken together, the hypoxia-induced changes in enzymes related to glutamate metabolism and transport are consistent with a decrease in the extracellular concentration of glutamate. This may have a role in protecting PC12 cells from the cytotoxic effects of glutamate during chronic hypoxia.

**Keywords:** glutamate decarboxylase, glutamate transporter, glutaminase, glutamine synthetase.

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Glutamate is the principal excitatory amino acid (EAA) in the mammalian central nervous system (CNS) (Watkins and Evans 1981). Glutamatergic neurons and synapses are distributed widely throughout the CNS (Orrego and Villaneuva 1993). Glutamate has a key physiological role in various physiological and pathological processes, including learning and memory, excitotoxicity following trauma-induced brain injury, hypoxia/ischemia, and possibly neurodegenerative disorders. It is generally thought that the release of EAA such as glutamate and the subsequent activation of *N*-methyl-D-aspartate receptors play major roles in neuronal excitotoxicity and death caused by excessive accumulation of intracellular Ca<sup>2+</sup> (Choi 1994; Szatkowski and Attwell 1994; Lipton 1999). If the extracellular glutamate concentration rises too high, neuronal death can result (Choi 1994; Szatkowski and Attwell 1994; Lipton 1999). Therefore, the resting concentration of extracellular glutamate must be kept very low to prevent neuronal damage.

Since high levels of extracellular glutamate have been implicated in neuronal damage in response to hypoxia, the status of glutamate metabolism during hypoxia is important.

Aberrant metabolism prolongs and enhances the harmful effects of glutamate. In brain, the metabolism of glutamate is compartmentalized into two theoretical pools, a large neuronal pool and a small glial pool (Kvamme 1998; Hertz *et al.* 1999). In general, released glutamate is thought to be recaptured by nerve terminals or transported into glial cells. In glial cells, glutamine synthetase (GS) converts glutamate

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**Abbreviations used:** CNS, central nervous system; DMEM, Dulbecco's modified Eagle's medium; EAA, excitatory amino acid; EAAT, excitatory amino acid transporter; GABA,  $\gamma$ -aminobutyric acid; GAD, glutamate decarboxylase; G3PDH, glyceraldehyde-3-phosphate dehydrogenase; GRE, glucocorticoid responsive element; GS, glutamine synthetase; MOPS, 3-[*N*-morpholino]propanesulfonic acid; PAG, phosphate-activated glutaminase; PBS, phosphate buffered saline; PMSF, phenylmethylsulfonyl fluoride; RT-PCR, reverse transcriptase polymerase chain reaction; SDS, sodium dodecyl sulfate.

to glutamine, which in turn enters specific neurons and serves as a precursor for the re-synthesis of glutamate via the action of phosphate-activated glutaminase (PAG). This pathway is termed the glutamate–glutamine cycle (Kvamme 1998; Hertz *et al.* 1999).

In neurons, glutamate can also be converted into  $\gamma$ -aminobutyric acid (GABA), by glutamate decarboxylase (GAD) (Erlander and Tobin 1991). GABA is the major inhibitory neurotransmitter in brain. There are two isoforms of GAD, GAD65 and GAD67, which are encoded by two different genes (Erlander *et al.* 1991; Michelsen *et al.* 1991). These two forms differ in their intraneural distribution, affinity for the coenzyme and in their function (Kaufman *et al.* 1991). Since GAD catalyzes the conversion of glutamate to GABA, the activity of GAD plays a role in controlling the intracellular levels of glutamate. However, virtually nothing is known about the effects of hypoxia on regulation of these enzymes.

Termination of glutaminergic neurotransmission is tightly controlled by the re-uptake of glutamate into both neurons and astrocytes (Takahashi *et al.* 1997; Palacin *et al.* 1998). Glutamate transporters help to maintain the extracellular glutamate concentration below neurotoxic levels and thereby help to prevent neuronal damage from excessive activation of glutamate receptors. To date, five members of the human family of excitatory amino acid transporters (EAAT) have been cloned (Palacin *et al.* 1998). The principal EAAT subtypes expressed in rat brain have been designated as EAAC1, GLT-1 and GLAST. The distribution of the various EAAT subtypes in brain reveals both discrete and overlapping localizations of the individual transporters (Palacin *et al.* 1998). GLT-1 and GLAST have been classified as astro-glial transporters due to their predominant and widespread expression in astrocytes. EAAC1, in contrast, is predominantly neuron-specific, with the exception of a small population of EAAC1-expressing cells that stain for glial cell fibrillary acidic protein (Palacin *et al.* 1998). Like the biosynthetic and metabolic enzymes in the glutaminergic pathway, very little is known about the effects of hypoxia on EAATs.

We have investigated the effects of hypoxia on various elements of the glutamate metabolic and reuptake systems in clonal rat pheochromocytoma (PC12) cells. PC12 cells, which are derived from chromaffin cells of the adrenal medulla, have been widely used as a model system for sympathetic ganglion-like cells (Green and Tischler 1976). We found that PC12 cells express many enzymes in the glutamate biosynthetic, metabolic and uptake pathways. Importantly, we found that exposure to hypoxia up-regulates the expression and function of GS and GADs, while concomitantly down-regulating those of PAG. Chronic hypoxia also up-regulates the expression of EAAC1 and GLT-1, but not GLAST. We further found that chronic hypoxia enhances the uptake of extracellular glutamate into

PC12 cells. We propose that the regulation of glutamate metabolism and uptake play significant roles in the cellular adaptations of PC12 cells to long-term hypoxia.

## Materials and methods

### Cell culture

PC12 cells were purchased from American Tissue Type Collection and grown in Dulbecco's modified Eagle's medium (DMEM, Gibco) that contained 15 mM HEPES buffer, 2 mM L-glutamine, 10% fetal bovine serum, penicillin/streptomycin (100  $\mu$ /mL, 100  $\mu$ g/mL). The cells were grown in an incubator with an environment of 21% O<sub>2</sub> and 5% CO<sub>2</sub> (remainder N<sub>2</sub>) at 37°C. The medium was changed twice weekly. PC12 cells were exposed to hypoxia (1% O<sub>2</sub> with 5% CO<sub>2</sub>) in an incubator (model 3159, Forma Scientific) in which the environment was maintained for the length of the experiment. One percent O<sub>2</sub> corresponds to the PO<sub>2</sub> value of 7.1 mmHg by calculation.

For a differentiation study, PC12 cells were incubated in DMEM medium which was supplemented with 50 ng/mL NGF. The supplemented medium was changed every other day.

### Northern blot analysis

Northern blot analyses were performed as previously described (Kobayashi and Millhorn 1999). Briefly, total cellular RNA was extracted from PC12 cells using TRI-REAGENT (Molecular Research Center). A 20- $\mu$ g aliquot of total RNA in 1  $\times$  MOPS, 0.4 M formaldehyde was heated up to 65°C for 15 min and then electrophoresed in a 1% formaldehyde gel (1  $\times$  MOPS buffer, 0.4 M formaldehyde, 1% agarose). Following electrophoresis, agarose gels were stained with SYBR Green (Molecular Probes) and the ribosomal bands were quantified using optimal density approach. The RNA was then transferred onto a nylon membrane (Hybond™ N+, Amersham) using 20  $\times$  SCC (3 mM sodium chloride/0.3 M sodium citrate) as the transfer buffer. The membranes were cross-linked using an UV cross-linker (Fisher). Membranes were stained with methylene blue to ensure quantitative transfer of the RNA to the membrane. Data from unevenly loaded membranes were discarded from further analyses. The membrane was prehybridized for 2 h in a solution (1% SDS and 0.1 M NaCl) and then hybridized overnight in a buffer (high efficiency hybridization system, Molecular Research Center) with 1.0  $\times$  10<sup>6</sup> cpm/mL of <sup>32</sup>P-labeled probe. Following hybridization, the membranes were washed three times at 55°C in 2  $\times$  SCC/0.1% SDS, and then exposed to a storage phosphor screen (Molecular Dynamics) for 4–5 h. The screen was scanned by an optical scanner (Storm™, Molecular Dynamics), and the signals were quantified using digital image analyzing software (ImageQuaNT™, Molecular Dynamics).

The cDNA probes for GS and PAG were prepared by RT-PCR followed by ligation of the products into a plasmid vector, pCR™2.1. Two isoenzymes have been identified for PAG (Curthoys and Watford 1995; Kvamme 1998). These isoforms, corresponding to the kidney/brain type and liver type of PAG, are encoded from two different genes (Curthoys and Watford 1995; Kvamme 1998). Since PAG expressed in neuronal tissues is the kidney/brain type (Curthoys and Watford 1995), only this subtype of PAG was examined in this study. Primers were constructed

based on the reported cDNA sequence in a rat (Van de Zande *et al.* 1988; Shapiro *et al.* 1991). The sequences of primers were as follows, GS: 5'-ACC CGT ACT CTG GAC TGT GAC-3' and 5'-GCC GAC GGT CTT CAA AGT AAC-3' (predicted length of the amplified DNA fragment is 895 bp); PAG: 5'-TGA CCT GGG AAC TGA GTA TGT-3' and 5'-CAG CAA ACA GGA GGT TTA TCA C-3' (788 bp). Both PCR products were sequenced and confirmed to be identical to the reported cDNA sequences. The probe was labeled using a random-primed DNA labeling kit (Prime-A-Gene™, Promega) and 2-[ $\alpha$ - $^{32}$ P]-deoxycytidine 5' triphosphate (Dupont NEN).

#### Reverse transcription-polymerase chain reaction

RT-PCR was performed using the GeneAmp RNA PCR kit (Perkin Elmer Cetus). In these experiments, 3  $\mu$ g of total RNA was reverse transcribed with 2.5  $\mu$ M Oligo dT (16 mer) primer, 1 mM dNTPs, 1 unit/ $\mu$ L RNase inhibitor, 2.5 unit/ $\mu$ L MuLV reverse transcriptase for 15 min at 42°C. Primers for PCR were constructed based on the reported cDNA sequence in rats (GAD65: Erlander *et al.* 1991; GAD67: Michelsen *et al.* 1991; EAAC1: Kanai *et al.* 1995; GLT-1: Pines *et al.* 1992; GLAST: Storck *et al.* 1992; glucocorticoid receptor: Miesfeld *et al.* 1986). The sequences and the predicted length of the amplified DNA products were as follows: GAD65: (5'-GCC ATC TCC AAC ATG TAC-3') and (5'-CCA GCT CCA AAC ACT ACT TAT C-3') (703 bp); GAD67: (5'-CAC CCG TGT TTG TTC TTA TG-3') and (5'-GCT CCA GGC ATT TGT TGA TC-3') (801 bp); EAAC1: (5'-TCC TGG GCC TGA TTA TCT TC-3') and (5'-CTA AGG CCA GGC ATC TAG AAC-3') (955 bp); GLT1: (5'-GTA TCG CCT GCT TGA TCT GTG-3') and (5'-TGT GCG GCA TAG ACA CAC TG-3') (754 bp); GLAST: (5'-GAA TGG CGG CCC TAG ATA G-3') and (5'-CCG GGT TAC CAG GAA GTA GAG-3') (707 bp); glucocorticoid receptor: (5'-CCT CTG GAG GAC AGA TGT AC-3') and (5'-GGT TTC CGC TTG ATT GTC-3') (876 bp).

DNA was amplified in the presence of 1.5 mM MgCl<sub>2</sub>, 1  $\times$  reaction buffer, 2.5 U AmpliTaq DNA polymerase. The PCR conditions were as follows: 2 min denaturation at 94°C, followed by 35 cycles consisting of 90 s at 94°C, 1 min at 54°C (for GAD65 and glucocorticoid receptor), at 55°C (for GAD67) or at 57°C (for EAAC1, GLT1 and GLAST), and then 90 s at 72°C. The samples were then kept at 72°C for 7 min. The products of RT-PCR were analyzed by electrophoresis on 1% agarose gels and verified by sequence analysis. Amplification of G3PDH, a housekeeping gene, was also performed as a control. The primers for the rat G3PDH gene were as follows: 5'-ACC ACA GTC CAT GCC ATC AC-3' (forward), 5'-TCC ACC ACC CTG TTG CTG TA-3' (reverse) (452 bp).

#### Immunoblot analysis

Immunoblot analyses were performed as previously reported (Kobayashi and Millhorn 1999). Briefly, PC12 cells were plated on 35-mm culture dishes and exposed to hypoxia (1% O<sub>2</sub> with 5% CO<sub>2</sub>) for various periods of time, as indicated. Cells were then washed with ice-cold phosphate-buffered saline (PBS) and harvested by scraping in 0.5 mL of a solution containing 0.25 M sucrose, 25 mM Tris pH 7.2, 25 mM NaCl and 5 mM MgCl<sub>2</sub>. Cells were collected by centrifugation for 5 min at 3000 g at 4°C. The supernatant was removed by aspiration and the cells were briefly

sonicated at 4°C with a microultrasonic cell disrupter (Kontes) in 0.2 mL of lysis buffer which contained 10 mM Tris (pH 7.4), 1% Triton X-100, 0.2 mM sodium vanadate, 10 mM sodium fluoride, 1 mM phenylmethylsulfonyl fluoride (PMSF), 2  $\mu$ g/mL leupeptin and 2  $\mu$ g/mL aprotinin. The protein concentration in the sample was determined by the method of Bradford (1974).

The cellular homogenates were boiled for 2 min in sample buffer containing 50 mM Tris pH 6.7, 2% SDS, 2%  $\beta$ -mercaptoethanol and bromophenol blue as a marker. Samples containing 40  $\mu$ g of protein were then run on SDS-polyacrylamide gels and transferred to nitrocellulose membranes (Schleicher and Schull). Membranes were blocked with 3% non-fat dry milk in a buffer containing 10 mM sodium phosphate (pH 7.2), 140 mM NaCl, and 0.1% Tween-20. Blots were then immunolabeled overnight at 4°C with antibodies against either GS (rabbit, polyclonal, 1  $\mu$ g/mL), PAG (rabbit, polyclonal, 1 : 200), GAD65 (goat, polyclonal, 1  $\mu$ g/mL), GAD67 (goat, polyclonal, 1  $\mu$ g/mL), EAAC1 (mouse, monoclonal, 2  $\mu$ g/mL), GLT-1 (rabbit, polyclonal, 1 : 1000) or GLAST (rabbit, polyclonal, 1 : 1000). Blots were then incubated with either anti-rabbit or anti-mouse secondary antibodies coupled to horseradish peroxidase at dilutions of 1 : 2000 or 1 : 5000, respectively. Immunolabeling was detected by enhanced chemiluminescence (Amersham). Immunoreactivity was quantified using densitometric analysis with an ImagePro digital analysis system (Media Cybernetics). At the dilutions of antibodies used, immunoreactivity for each protein was found to be a linear over a fivefold range of protein concentrations.

#### Enzyme activity assays

For enzyme activity assays, PC12 cells were plated on 35-mm culture dishes and exposed to hypoxia (1% O<sub>2</sub> with 5% CO<sub>2</sub>) for various periods of time. Cellular protein was extracted in 0.4 mL of 25 mM Tris, pH 7.5, 20 mM MgCl<sub>2</sub>, 0.1 mM EGTA, 0.2 mM PMSF, and 0.4 mg/mL Pefabloc SC (Boehringer Mannheim), using a microultrasonic cell disrupter (Kontes). Cellular homogenates were then centrifuged at 20 000 g for 20 min at 4°C. Supernatants were used for GS, PAG and GAD enzymatic assays.

Enzyme activity GS was assayed as previously reported (Pishak and Phillips 1979). GS activity was evaluated as conversion of [ $^{14}$ C]-glutamate to [ $^{14}$ C]-glutamine. GS activity was assayed in a final volume of 25  $\mu$ L containing 50 mM imidazole-HCl, pH 6.8, 15 mM MgCl<sub>2</sub>, 10 mM ATP, 10 mM L-[U- $^{14}$ C]glutamate (200 mCi/mmol), 4 mM NH<sub>4</sub>Cl, 1 mM 2-mercaptoethanol and 50  $\mu$ g of cellular protein. The reaction proceeded for 1 h at 37°C in a shaking water bath. Reactions were stopped by adding 100  $\mu$ L of ice-cold distilled water and placing the tubes immediately on ice for a period not exceeding 15 min. 15  $\mu$ L of supernatant was analyzed on Kodak cellulose-coated plastic TLC sheets (Kodak) in 2-propanol:formic acid:H<sub>2</sub>O (40 : 2 : 10) (Jones and Heathcote 1972). The sheets were then exposed to a storage phosphor screen (Molecular Dynamics) for 48 h. The screen was scanned by a phosphorimager (Storm™, Molecular Dynamics), and the radioactive spots corresponding to glutamine and glutamate were quantified using digital image analysis software (ImageQuaNT™, Molecular Dynamics).

PAG activity was analyzed as previously reported (Collins *et al.* 1994). PAG activity was estimated as conversion of [ $^{14}$ C]-glutamine to [ $^{14}$ C]-glutamate. PAG activity was assayed in a final volume of 25  $\mu$ L containing 37.5 mM potassium phosphate buffer, pH 8.2,

0.25 mM KCN and 15 mM L-[U- $^{14}$ C]glutamine (182 mCi/mmol). The reaction proceeded for 60 min at 30°C in a shaking water bath. The blank contained extraction buffer in place of cell extract. The reaction was terminated and transferred to Kodak cellulose-coated plastic TLC sheets as above. The sheets were then quantified by phosphorimager, as described for the GS enzyme activity assay.

GAD activity was analyzed as previously reported (Tursky and Bandzuchova 1999). The amount of [ $^{14}$ C]GABA formed from U[ $^{14}$ C]-glutamate was determined. The reaction was performed in a volume of 70  $\mu$ L in the following medium: 50 mM HEPES, pH 6.8, 20  $\mu$ M pyridoxal 5'-phosphate, 5 mM L-glutamate, pH 6.8, with U[ $^{14}$ C]-glutamate (200 mCi/mmol), and 100  $\mu$ g cell protein. The reaction was carried out under an atmosphere of nitrogen in a shaking water bath at 37°C for 60 min. Reactions were stopped by the addition of 50  $\mu$ L of 2.5% trichloroacetic acid. The reaction mixture was quantitatively transferred onto a Dowex 1 acetate column (3  $\times$  50 mm). GABA was eluted with 2.5 mL of double-distilled water into glass scintillation vials with a filter paper circle at the bottom. After evaporation of the water at 70°C, [ $^{14}$ C]-GABA levels on the filter papers were determined by liquid scintillation counting. The reaction mixture, which was deproteinized prior to the addition of U[ $^{14}$ C]-glutamate, was used to estimate blank values.

As preliminary studies, we measured the enzyme activities for GS, PAG and GAD at three different incubation times (30, 60 and 90 min), and found that the activity for each enzyme was linear with time over these time points.

#### Measurement of Na<sup>+</sup>-dependent glutamate transport experiments

The uptake of L-[ $^3$ H]-glutamate into PC12 cells was determined as previously reported (Dunlop *et al.* 1999). PC12 cells were initially grown in 35-mm dishes under normoxia. When cell confluency reached 70%, the dishes were either maintained in normoxia or transferred to an incubator set to maintain 1% O<sub>2</sub>. At the end of the incubation period (12 or 24 h of either normoxia or hypoxia), the cells were washed twice with 2 mL of either prewarmed (37°C) sodium containing HEPES-buffered solution (140 mM NaCl, 1.2 mM K<sub>2</sub>HPO<sub>4</sub>, 2.5 mM KCl, 1.2 mM CaCl<sub>2</sub>, 1.2 mM MgCl<sub>2</sub>, 10 mM glucose, 10 mM HEPES, 5 mM Tris base, pH 7.4) or choline containing solution (equimolar replacement of sodium with choline). PC12 cells were then incubated at 37°C with HEPES-buffered solution containing 50  $\mu$ M L-[ $^3$ H]-glutamate (60 Ci/mmol) for 10 min under normoxia. Uptake was terminated by placing the culture dishes on ice and rapidly removing the radioactive medium, followed by three washes with ice-cold choline-buffer. The cells were removed from the dishes by scraping with a thin plastic sheet into 500  $\mu$ L of 1 M NaOH solution, and then transferred to test tubes where they were disrupted by vortexing. Liquid scintillation counting was used to analyze levels of [ $^3$ H]-glutamate in 350  $\mu$ L aliquots of lysate. Uptake rates were calculated from the uptake of L-[ $^3$ H]-glutamate into the cells and the specific activity of the medium. The results were expressed as nmol/mg protein/10 min. Na<sup>+</sup>-dependent uptake was defined as the difference in radioactivity accumulated in Na<sup>+</sup>-containing buffer and in choline-containing buffer.

As a preliminary study, we examined the glutamate transport activity in the presence and absence of Na<sup>+</sup> at three different

incubation times (5, 10 and 15 min), and found that the activity was linear with time over this period.

#### Analysis of cellular glutamate and glutamine content in PC12 cells

PC12 cells were plated on 60-mm dishes and exposed to normoxia or 1% O<sub>2</sub> for 12 and 24 h. At the end of each incubation period, cells were mechanically harvested in 350  $\mu$ L of ice-cold PBS, placed into separate tubes and homogenized at 4°C with a micro-ultrasonic cell disrupter. Aliquots were reserved for the measurement of protein by the method of Bradford. Immediately after sonication, 300  $\mu$ L aliquots of the samples were deproteinized with 15  $\mu$ L 100% trichloroacetic acid. Samples were centrifuged at 25 000 g for 5 min, and 250  $\mu$ L of the deproteinized supernatant were immediately neutralized with 30  $\mu$ L of 3.3 N potassium hydroxide.

The amount of cellular glutamate and glutamine in PC12 cells was measured as previously reported (Lund 1986). All assay reagents were purchased as a kit (Sigma, glutamine/glutamate determination kit) and prepared according to the manufacture's instructions. Determination of L-glutamine is a two step reaction; first, L-glutamine is deaminated to L-glutamate. Second, L-glutamate is dehydrogenated to  $\alpha$ -ketoglutarate, which is accompanied by the reduction of NAD<sup>+</sup> to NADH. Aliquots of 250  $\mu$ L of samples were first incubated for 1 h at 37°C in a 0.1-M acetate buffer, pH 5.0, in the presence (sample GLN and GLU) or absence (sample GLU) of 1 U/mL glutaminase in a total volume of 1 mL. Aliquots of 500  $\mu$ L of these samples were then incubated for 40 min at room temperature in a buffer containing 50  $\mu$ M Tris, 1  $\mu$ M EDTA, 1.6  $\mu$ g/mL hydrazine, 1.5 mM NAD, 0.5 mM ADP and 12 U/mL glutamic dehydrogenase in a total volume of 1 mL. After this incubation, optical absorbance at 340 nm was measured spectrophotometrically to evaluate the conversion of NAD<sup>+</sup> to NADH, and thus the amount of glutamate that was oxidized. The endogenous L-glutamate concentration (sample GLU) was determined based on a standard curve using L-glutamine. The L-glutamine concentration was calculated by the difference between the endogenous L-glutamate concentration and the total L-glutamate concentration (sample GLN and GLU). The results were expressed as nmol/mg protein. Data were accumulated from five independent experiments.

#### Data analysis

The results were expressed as averages  $\pm$  SEM (*n*: number of observations). Analysis of variance followed by Student's *t*-test was used for evaluating the significance of the obtained data. Statistical significance was accepted at the conventional *p* < 0.05 level by two-tail evaluation.

#### Materials

L-[ $^{14}$ C]-Glutamate (200 mCi/mmol), L-[ $^{14}$ C]-glutamine (182 mCi/mmol), [ $^3$ H]-glutamate (60 Ci/mmol) were purchased from Moravsek Biochemicals. The primary antibody for rat EAAC1 was purchased from Chemicon International. Primary antibodies for GS, GAD65 and GAD67 were purchased from Santa-Cruz. Primary antibodies for GLT-1 and GLAST were purchased from Abcam. Primary antibody for PAG was a generous gift by Dr Curthoys (Department of Biochemistry and Molecular Biology, Colorado State University, CO).

## Results

### Exposure to hypoxia enhances the expression and function of glutamine synthetase in PC12 cells

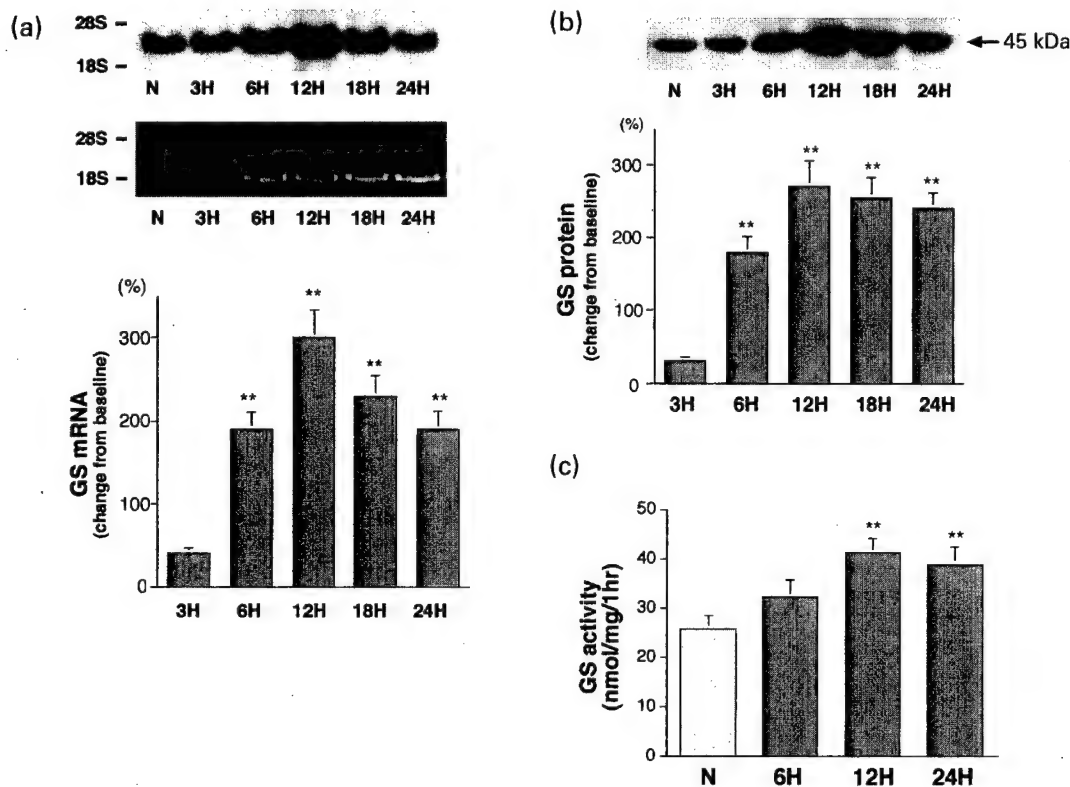
Glutamine synthetase (GS) converts glutamate to glutamine. northern blot analysis was used to determine if hypoxia regulates gene expression for GS in PC12 cells. In Fig. 1(a), it can be seen that progressive exposure to hypoxia (1% O<sub>2</sub> for 3, 6, 12, 18 or 24 h) gradually increased GS mRNA expression in PC12 cells. This effect was statistically significant at exposure times of 6 h and longer periods of hypoxia, and was maximal at 12 h. Figure 1(b) shows that the amount of GS protein, as determined by immunoblot analysis, was also significantly increased after 6 h of exposure to 1% O<sub>2</sub>.

We also tested the effect of hypoxia on GS enzyme activity in PC12 cells. Cells were incubated under normoxia or 1% O<sub>2</sub> for 6, 12 and 24 h, and the GS activity was measured. The GS activity was evaluated as conversion of

[<sup>14</sup>C]-glutamate to [<sup>14</sup>C]-glutamine. As shown in Fig. 1(c), we found that hypoxia significantly increased the GS activity after hypoxic exposure lasting 12 h ( $p < 0.01$ ). Thus, the mRNA and protein expression and enzymatic activity for GS were all increased in PC12 cells during hypoxia. This is consistent with an enhanced conversion of glutamate to glutamine, which in turn would decrease the intracellular levels of glutamate.

### Chronic exposure to hypoxia down-regulates phosphate-activated glutaminase in PC12 cells

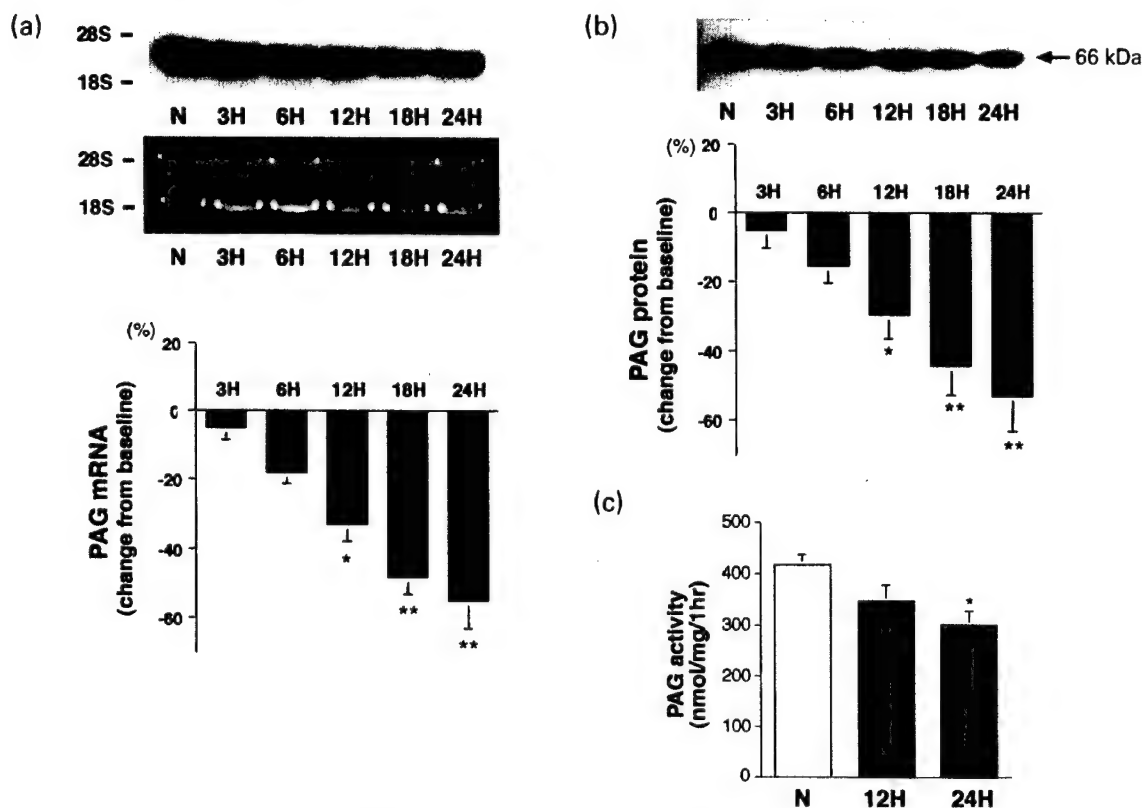
Phosphate-activated glutaminase (PAG) produces glutamate from glutamine. We performed northern blot and immunoblot analyses to determine the effect of hypoxia on PAG gene and protein expression in PC12 cells. The upper panel in Fig. 2(a) shows the effect of progressive exposure to hypoxia (1% O<sub>2</sub>) on PAG mRNA in PC12 cells. It can be seen that the level of PAG mRNA gradually decreased with prolonged hypoxia. The lower panel shows that the mean



**Fig. 1** Hypoxia enhances the expression and function of glutamine synthetase in PC12 cells. (a) The upper panel is a representative northern blot showing the effects of hypoxia on GS mRNA levels. PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for various times, between 3 and 24 h, as indicated. The lower panel shows the average percentage change from baseline  $\pm$  SEM (\*\* $p < 0.01$ ,  $n = 5$  for each group). (b) The upper panel is a representative immunoblot showing the effect of hypoxia on GS protein levels. PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for

various times, between 3 and 24 h, as indicated. The lower panel shows the average percentage change from baseline  $\pm$  SEM (\*\* $p < 0.01$ ). (c) The effect of hypoxia on GS enzyme activity in PC12 cells. PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for 6, 12, or 24 h, as indicated. GS enzyme activity was measured as conversion of [<sup>14</sup>C]-glutamate to [<sup>14</sup>C]-glutamine. Data are expressed as average activity (nmol/mg/h)  $\pm$  SEM, with  $n = 5$  in each group (\*\* $p < 0.01$ ,  $n = 5$ ).





**Fig. 2** Chronic hypoxia down-regulates phosphate-activated glutaminase in PC12 cells. (a) The upper panel is a representative northern blot showing the effects of hypoxia on PAG mRNA levels. PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for various times, between 3 and 24 h, as indicated. The lower panel shows the average percentage change from baseline  $\pm$  SEM (\*\* $p$  < 0.01,  $n$  = 5 for each group). (b) The upper panel is a representative immunoblot showing the effect of hypoxia on PAG protein levels. PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for

various times, between 3 and 24 h, as indicated. The lower panel shows the average percentage change from baseline  $\pm$  SEM (\* $p$  < 0.05, \*\* $p$  < 0.01,  $n$  = 5). (c) Effect of hypoxia on PAG enzyme activity in PC12 cells. PC12 cells were incubated in either normoxia (N) or 1% O<sub>2</sub> for 12 or 24 h. PAG enzyme activity was measured as conversion of [<sup>14</sup>C]-glutamine to [<sup>14</sup>C]-glutamate. Data are expressed as average activity (nmol/mg/h)  $\pm$  SEM, with  $n$  = 5 in each group (\* $p$  < 0.05).

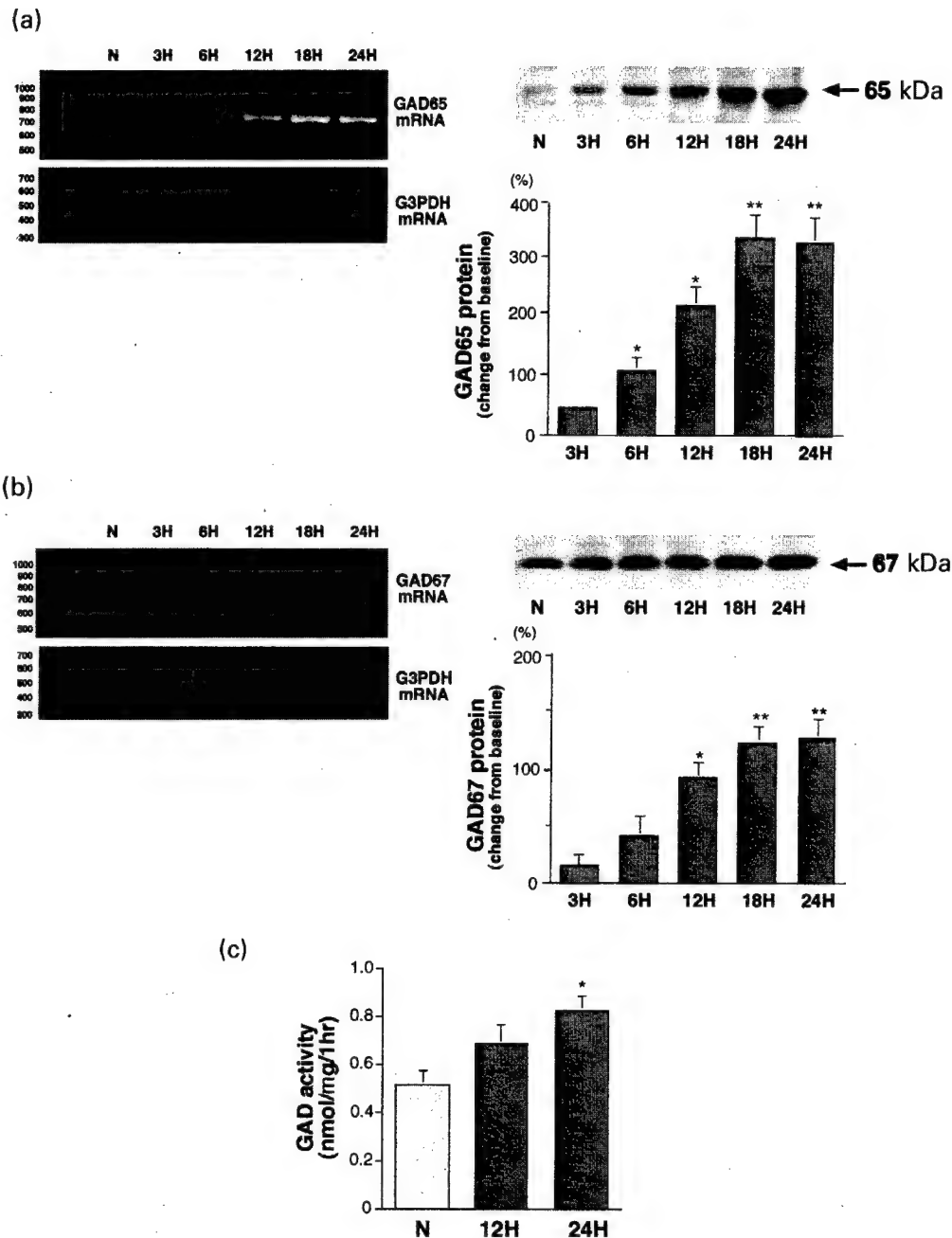
PAG mRNA level was significantly decreased after exposure to hypoxia for 12 h or longer (\* $p$  < 0.05, \*\* $p$  < 0.01). We next performed immunoblot analyses to measure total cellular PAG protein levels during hypoxia. Figure 2(b) shows that the amount of PAG protein also gradually decreased on a similar time course during exposure to 1% O<sub>2</sub> (\* $p$  < 0.05, \*\* $p$  < 0.01).

We also tested the effect of hypoxia on PAG enzyme activity in PC12 cells. Cells were incubated in either normoxia or 1% O<sub>2</sub> for 12 or 24 h, and the resulting PAG activity was measured. The PAG activity was estimated as conversion of [<sup>14</sup>C]-glutamine to [<sup>14</sup>C]-glutamate. PAG enzyme activity was also significantly inhibited after 24 h hypoxic exposure, as shown in Fig. 2(c) (\* $p$  < 0.05). Thus, mRNA and protein expression as well as enzymatic activity for PAG was inhibited during hypoxia. This would reduce the conversion of glutamine to glutamate which would make less glutamate through this pathway during chronic hypoxia.

It is known that PAG activity is inhibited by acidic pH (Curthoys and Watford 1995). We measured media pH under normoxia and during 1% O<sub>2</sub> for 12 and 24 h. Results show that media pH became acidic with time during 1% O<sub>2</sub> exposure (control: 7.31  $\pm$  0.02, 12 h: 7.20  $\pm$  0.03 and 24 h: 7.04  $\pm$  0.02,  $n$  = 4, respectively).

#### Chronic hypoxia up-regulates GAD65 and GAD67 expression in PC12 cells

Glutamate decarboxylase (GAD) catalyses the conversion of glutamate to GABA. There are two known isoforms, GAD65 and GAD67, which are encoded from two different genes (Erlander *et al.* 1991; Michelsen *et al.* 1991). We examined the effect of hypoxia on gene and protein expression for GAD65 and GAD67 (Fig. 3). Since preliminary studies revealed that neither GAD65 nor GAD67 mRNA was detectable by northern blot, RT-PCR analysis was used. It can be seen that both GAD65 and GAD67 mRNA were



**Fig. 3** Chronic hypoxia up-regulates GAD65 and GAD67 expression in PC12 cells. (a) The left panel shows the effect of hypoxia on GAD65 and G3PDH mRNA levels, as measured by RT-PCR. Ethidium bromide staining was used to visualize RT-PCR products on 1% agarose gels. The size of the marker DNA fragments in the 100 bp ladder (Promega) is shown in the left lanes. The predicted sizes of the GAD65 and G3PDH RT-PCR products were 703 bp and 452 bp, respectively. The right panel shows a representative immunoblot illustrating the effect of hypoxia on GAD65 protein levels. PC12 cells were exposed to either normoxia (N) or 1%  $O_2$  for various times, between 3 and 24 h, as indicated. The lower panel

shows the average percentage change from baseline  $\pm$  SEM (\* $p < 0.05$ , \*\* $p < 0.01$ ,  $n = 5$ ). (b) The left panel illustrates the effect of hypoxia on GAD67 and G3PDH mRNA levels, as measured by RT-PCR. A representative immunoblot is shown in the right panel, illustrating the effect of hypoxia on GAD67 protein levels. The lower panel shows the average percentage change from baseline  $\pm$  SEM (\* $p < 0.05$ , \*\* $p < 0.01$ ,  $n = 5$  for each group). (c) The effect of hypoxia on net GAD enzyme activity was evaluated as the conversion of [ $^{14}C$ ]-glutamate to [ $^{14}C$ ]-GABA. GAD activity was significantly increased after 24 h of exposure to 1%  $O_2$  (\*\* $p < 0.01$ ,  $n = 5$ ).

increased during exposure to 1% O<sub>2</sub> in a time-dependent manner (Figs 3a and b, left panels). In contrast, G3PDH mRNA levels remained constant for up to 24 h exposure to 1% O<sub>2</sub>, as determined by RT-PCR.

We also performed immunoblot analysis to determine the levels of GAD65 and GAD67 proteins in total cellular homogenates. The right panel in Fig. 3(a) shows that the amount of GAD65 protein gradually increased in a time-dependent manner during exposure to 1% O<sub>2</sub>. The effect of hypoxia on GAD65 protein was significant at 6 h and longer, and was maximally increased by 300% over basal levels at 18 h (right lower panel in Fig. 3a). GAD67 immunoreactivity was also gradually increased during hypoxia. Similar to the effect of hypoxia on GAD65, GAD67 immunoreactivity was significantly increased at 12 h and longer, and was maximally increased at 18 h (right lower panel in Fig. 3b). However, the hypoxia-induced increase in GAD65 was more marked than that of the GAD67 (about 120% increase over basal levels).

We next examined the effect of hypoxia on total GAD enzyme activity, which was evaluated as the conversion of [<sup>14</sup>C]-glutamate to [<sup>14</sup>C]-GABA. Figure 3(c) shows that the GAD activity was significantly increased after 24 h of exposure to 1% O<sub>2</sub> (\**p* < 0.05). This is consistent with our finding that chronic hypoxia up-regulates the GAD65 and GAD67 protein levels. A reduction in GAD activity would increase the conversion of glutamate to GABA, which would reduce intracellular glutamate levels.

#### Hypoxia up-regulates expression for EAAC1 and GLT-1, but not that for GLAST

RT-PCR and immunoblot studies were performed to examine if hypoxia regulates glutamate transporter gene and protein expression in PC12 cells. RT-PCR studies showed that PC12 cells express EAAC1, GLT-1 and GLAST during normoxic conditions (Fig. 4). RT-PCR studies further show that hypoxia induced increases in EAAC1 and GLT-1 mRNAs, but had no effect on GLAST or G3PDH mRNA levels. The effects of hypoxia on glutamate transporter immunoreactivity were similar to those on mRNA levels. It can be seen in Fig. 4 that the protein levels for EAAC1 and GLT-1 increased gradually during hypoxia, with a maximal effect at 18 h, but that GLAST levels remained relatively stable (Figs 4a, b and c, respectively). Thus, the various glutamate transporters are differentially regulated by chronic hypoxia in PC12 cells.

We also evaluated glutamate uptake, to determine the effect of chronic hypoxia on the function of glutamate transporters. We measured the cellular uptake of [<sup>3</sup>H]-glutamate from the extracellular media after 12 or 24 h of either normoxia or hypoxia. Experiments were performed in both the presence and absence of extracellular sodium in the media, to separate the Na<sup>+</sup>-dependent and Na<sup>+</sup>-independent components of glutamate transport. Exposure

to hypoxia (1% O<sub>2</sub>) significantly enhanced the total uptake (in the presence of sodium) of extracellular glutamate into PC12 cells at 12 and 24 h (*p* < 0.05, *p* < 0.01, respectively) (Fig. 5). However, Na<sup>+</sup>-independent uptake (in the absence of sodium) in hypoxia-exposed cells remained at basal levels. Thus, Na<sup>+</sup>-dependent uptake of glutamate was significantly enhanced when PC12 cells had been exposed to 1% O<sub>2</sub> for 12 h or longer, as shown in Fig. 5. These findings are consistent with our finding that EAAC1 and GLT-1 are up-regulated by chronic exposure to hypoxia.

The expression of glutamate transporter on PC12 cells has been previously studied (Ramachandran *et al.* 1993). They reported that glutamate transport activity and GLAST expression were found only in certain flattened cell mutants of PC12 cells. To rule out possible contamination of this flattened cells, we examined if our PC12 cells differentiate in the presence of NGF, which the flattened cells do not do. Our parent PC12 cells are of round or oval shape (Fig. 6a). In the presence of NGF, cells underwent morphologic differentiation starting on the 2nd day. The neuronal processes appeared and were further elongated day by day. Figure 6(b) shows differentiated PC12 cells which has been incubated with NGF for 7 days.

#### Cellular glutamate and glutamine during hypoxia in PC12 cells

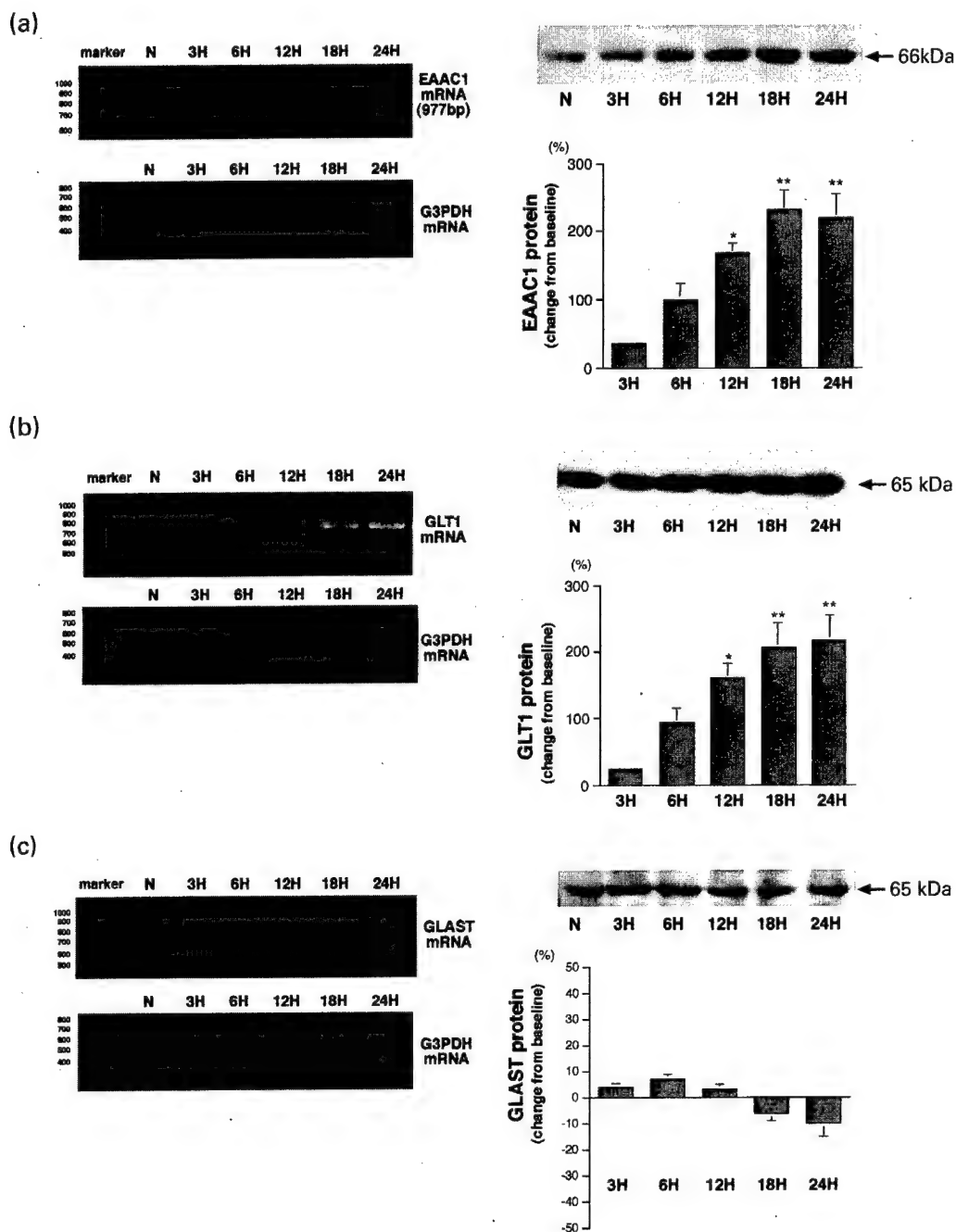
PC12 cells were exposed to normoxia or 1% O<sub>2</sub> for 12 or 24 h and the cellular content of glutamate and glutamine were measured (Table 1). Glutamate levels were significantly reduced after 24 h exposure to hypoxia (\*\**p* < 0.01, *n* = 5). In contrast, the amount of glutamine was significantly increased during the same period of hypoxia (\*\**p* < 0.01, *n* = 5) (Table 1).

On the other hand, we failed to measure a significant amount of glutamate in the extracellular fluid not only under normoxia but also under 1% O<sub>2</sub> for 12 or 24 h (data not shown).

**Table 1** Glutamate and glutamine content in PC12 cells (ng/mg protein)

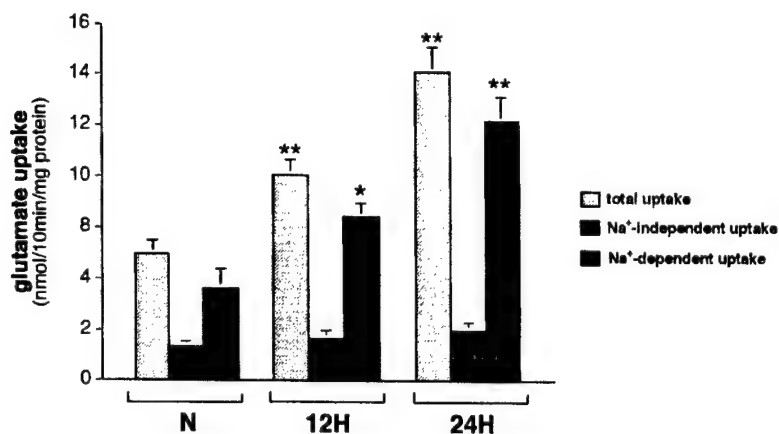
	Normoxia	12 h hypoxia	24 h hypoxia
Glutamate	81.1 ± 6.3 (5)	73.3 ± 4.6 (5)	60.1 ± 4.7 (5) <sup>a</sup>
Glutamine	129.4 ± 6.0 (5)	144.0 ± 9.0 (5)	166.5 ± 9.6 (5) <sup>a</sup>

PC12 cells were plated on 60-mm dishes and exposed to normoxia or 1% O<sub>2</sub> for 12 and 24 h. At the end of each incubation period, cells were collected and analyzed to measure the intracellular amount of glutamate and glutamine (see Materials and methods). The results were expressed as nmol/mg protein. Data were accumulated from five independent experiments. Means ± SEM are shown. <sup>a</sup>*p* < 0.01 from normoxia.

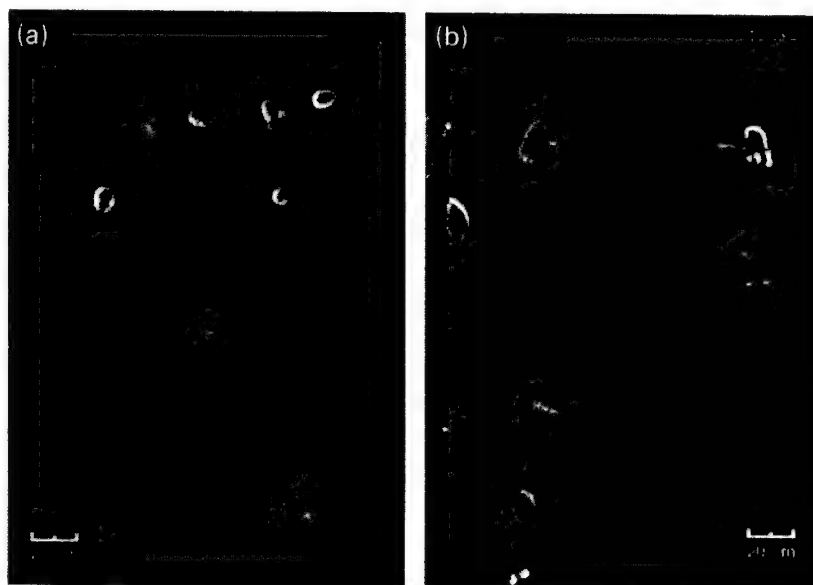


**Fig. 4** Hypoxia up-regulates expression for EAAC1 and GLT1, but not that for GLAST. (a) PC12 cells were exposed to either normoxia (N) or 1% O<sub>2</sub> for various times, between 3 and 24 h, as indicated. Left panel: a representative RT-PCR experiment shows the effect of hypoxia on EAAC1 and G3PDH mRNA levels. The predicted product sizes for EAAC1 and G3PDH were 955 bp and 452 bp, respectively. Right panel: representative immunoblot analysis showing the effect of hypoxia on EAAC1 protein levels during hypoxia. The lower panel shows the average percentage change from baseline ± SEM (\* $p < 0.05$ , \*\* $p < 0.01$ ,  $n = 5$ ). (b) Effect of hypoxia on GLT-1 mRNA and protein expression. Left panel: a representative RT-PCR experiment shows the effect of hypoxia on GLT1 and G3PDH mRNA levels. The predicted product sizes for EAAC1 and G3PDH were

754 bp and 452 bp, respectively. Right panel: representative immunoblot analysis showing the effect of hypoxia on GLT1 protein levels during hypoxia. The lower panel shows the average percentage change from baseline ± SEM (\* $p < 0.05$ , \*\* $p < 0.01$ ,  $n = 5$ ). (c) Lack of effect of chronic hypoxia on GLAST mRNA and protein. Left panel: a representative RT-PCR experiment shows the effect of hypoxia on GLAST and G3PDH mRNA levels. The predicted product sizes for EAAC1 and G3PDH were 707 bp and 452 bp, respectively. Right panel: representative immunoblot analysis showing the effect of hypoxia on GLAST protein levels during hypoxia. The lower panel shows the average percentage change from baseline ± SEM ( $n = 5$  at each time point).



**Fig. 5** Chronic hypoxia stimulates glutamate uptake into PC12 cells. [<sup>3</sup>H]-Glutamate uptake was measured from the extracellular media in the presence or absence of extracellular sodium after exposure to either normoxia (N), 12 or 24 h of hypoxia (1% O<sub>2</sub>). Exposure to hypoxia (1% O<sub>2</sub>) significantly enhanced the total uptake (in the presence of sodium) of extracellular glutamate at 12 and 24 h (\**p* < 0.05, \*\**p* < 0.01, *n* = 5).

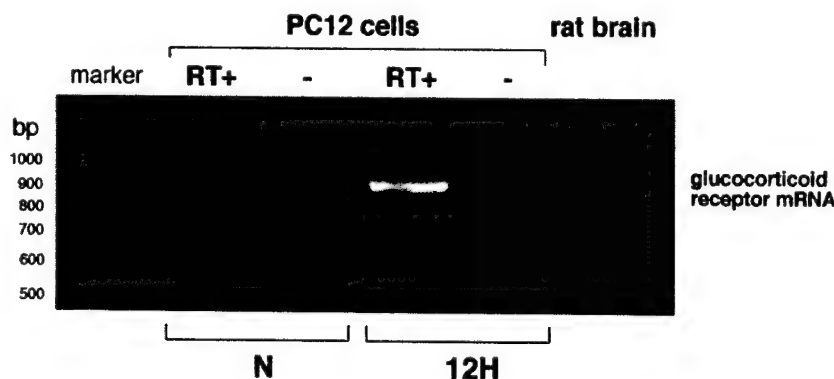


**Fig. 6** NGF-induced differentiation of PC12 cells. Parental PC12 cells (a) were incubated in DMEM medium which was supplemented with 50 ng/mL NGF. (b) Differentiated PC12 cells after 7 days treatment with NGF, which shows appearance of neuronal processes and neuron-like morphology.

#### Expression of glucocorticoid receptor in PC12 cells

It is generally accepted that the expression of GS is specific to glial cells in the CNS (Norenberg and Martinez-Hernandez 1979; Tansey *et al.* 1991). The promoter structure of rat GS includes a glucocorticoid-responsive element (GRE) and the expression of glucocorticoid

receptors is a critical factor for cell type-specific expression of the GS gene (Vardimon *et al.* 1999). RT-PCR was performed to examine the expression of glucocorticoid receptor levels in PC12 cells. The results show that PC12 cells express glucocorticoid receptor mRNA during normoxia and hypoxia (Fig. 7). The mRNA level for this



**Fig. 7** Expression of glucocorticoid receptor in PC12 cells. RT-PCR products derived from rat glucocorticoid receptor mRNA were visualized by ethidium bromide staining on 1% agarose gels. PC12 cells were found to express glucocorticoid receptor mRNA during both normoxia and hypoxia (the predicted product: 876 bp). False amplification of the genomic DNA was ruled out by performing RT-PCR without reverse transcriptase as negative controls (shown as RT-). Total RNA from rat whole brain was used as positive control for glucocorticoid receptor.



receptor was not significantly altered during 12 h hypoxia ( $n = 5$ ).

## Discussion

One of the most important findings in our current study was that PC12 cells express major enzymes relevant to glutamate metabolism, GS, PAG, GAD65 and GAD67. This is the first report that shows colocalization of GS and PAG in the same cells. GS is a key enzyme in the recycling of glutamine and plays a critical role in the regulation of the concentration of glutamate in neural tissues (Kvamme 1998). GS catalyses the synthesis of glutamine, and is thereby an important precursor in various biosynthetic pathways (Kvamme 1998). It is generally accepted that the expression of high levels of GS in neural tissues is specifically confined to glial cells (astrocytes and oligodendrocytes), and is absent from neurons (Norenberg and Martinez-Hernandez 1979; Tansey *et al.* 1991). Surprisingly, we found that PC12 cells express both GS and PAG, suggesting that PC12 cells have an intracellular glutamate–glutamine cycle.

We found that chronic exposure to hypoxia increased both GS mRNA and protein levels. It has been shown that GS gene expression can be regulated by agents such as glucocorticoids, cAMP, phorbol esters and growth factors (Lie-Venema *et al.* 1998). We found that there was a corresponding increase in GS enzyme activity in response to hypoxia. The effect of hypoxia on GS has been studied by many groups, but it is still of controversy. In *in vivo* studies, GS activity was shown to be enhanced in rat adult brain after 6 h of hypobaric hypoxia (Chandrasekaran *et al.* 1975), and in rat liver and muscles under intermittent hypobaric hypoxia (6 h per day for 7 days) (Vats *et al.* 1999). Another *in vivo* study showed that GS mRNA level, but not GS activity, was increased in rat brain during 3 h exposure to 8% O<sub>2</sub> and also during 6 h normoxic recovery period (Krajnc *et al.* 1996). One *in vitro* study showed that GS activity was increased in fetal mouse neuronal-glial mixed cell cultures not only during 24 h of 5% O<sub>2</sub> but also during 48 h posthypoxic recovery period (Sher and Hu 1990). More severe hypoxia (9 h anoxia) was found to decrease GS activity in primary culture of rat astro-glial cells, but to increase it above control during the posthypoxic period (Tholey *et al.* 1991). Therefore, it is likely that the effect of hypoxia on GS is influenced by many factors including materials (*in vivo* or *in vitro*), tissue or cell types, mRNA or enzyme activity, the level of hypoxia and also the period of hypoxia and posthypoxic recovery.

The regulatory elements of the rat GS gene have been characterized (Mill *et al.* 1991; Fahrner *et al.* 1993). The underlying cellular and/or molecular basis for the expression of GS in PC12 cells is uncertain. Several studies have shown that the induction of GS expression requires functional glucocorticoid receptors (Grossman *et al.* 1994).

The 5'-flanking region of the GS gene includes a GRE (Chandrasekhar *et al.* 1999). It has been shown that glucocorticoids induce transcription of the GS gene in glial cells, but not in neurons, and that expression of the glucocorticoid receptor protein is predominantly restricted to glial cells (Grossman *et al.* 1994). Using RT-PCR, we report that PC12 cells do express glucocorticoid receptor mRNA. Since the glucocorticoid receptor is a principal factor in regulating the expression of GS, the presence of glucocorticoid receptor in PC12 cells could mediate the expression of GS in this cell line.

The phosphate-activated glutaminase (PAG) was inhibited by chronic hypoxia, at the levels of mRNA, protein, and enzyme activity. The decreased PAG enzyme activity may partly include inhibition by acidic pH (Curthoys and Watford 1995), since we showed that media pH became acidic with time during hypoxia exposure which was most likely induced by increased production of lactate. The PAG is the predominant glutamine-utilizing enzyme of the brain as well as an important contributor to transmitter pools of glutamate (Curthoys and Watford 1995). In brain, the enzyme is more abundant in neurons than in glia. Two PAG isoenzymes have been identified (Curthoys and Watford 1995; Kvamme 1998). These isoenzymes, designated as the kidney/brain type and the liver type, are the products of different genes and have different structural and kinetic properties that contribute to their function and short-term regulation (Curthoys and Watford 1995). Our current study shows that PC12 cells express the kidney/brain-type PAG and that hypoxia down-regulates the expression and function of this enzyme. Although the kidney/brain-type PAG is induced by other stimuli, such as metabolic acidosis (Curthoys and Watford 1995), regulation of this enzyme by hypoxia has never been reported. Interestingly, a previous report showed that activity of liver-type PAG enzyme is enhanced during long-term hypoxia (Vats *et al.* 1999). It has been proposed that the principal mechanism for the long-term regulation of the liver-type PAG is due to changes in the rate of gene transcription, although the regulation of the kidney/brain-type PAG is predominantly at a post-transcriptional level via changes in mRNA stability (Hwang *et al.* 1991; Curthoys and Watford 1995). Therefore, it is likely that different regulatory mechanisms are involved in the hypoxia-induced changes in the expression of the two PAG isoforms.

The glutamate decarboxylase isoforms, GAD65 and GAD67, were both up-regulated at the mRNA and protein levels during chronic hypoxia in PC12 cells. We also showed that the enzymatic activity of GAD was enhanced during hypoxia. The increased GAD enzyme activity may partly include activation by decreased cellular ATP (Martin and Rimvall 1993). Our previous study showed that cellular ATP content was significantly reduced in PC12 cells which had been exposed to 5% O<sub>2</sub> for up to 48 h (Kobayashi

and Millhorn 2000). Two brain isoenzymes, GAD65 and GAD67, are encoded by two different genes and differ in their intraneuronal distribution and in their function (Erlander *et al.* 1991). Although enzymatic GAD activity has previously been measured in PC12 cells (Matsuoka *et al.* 1989), we found that PC12 cells express both the GAD65 and GAD67 isoforms. Co-localization of TH and GAD has been described in cultured striatal neurons (Max *et al.* 1996). GABA is the major inhibitory neurotransmitter in brain and its formation is the main function of GAD in this tissue. Although a recent paper provides indirect evidence that hypoxia enhances the GAD activity in rat hippocampal slices (Madl and Royer 2000), our study clearly shows that the expression of GAD65 and GAD67 are increased during hypoxia. It should be noted that the effect of hypoxia on GAD65 was more dramatic than that for GAD67. A recent paper reported that in a model of temporal lobe epilepsy, both forms of GAD were increased but the increase was more marked for GAD65 (Esclapez and Houser 1999). GAD67 and GAD65 may play a role in tonic and phasic inhibition, respectively (Erlander and Tobin (1991). The functional significance of the up-regulation of GAD65 and GAD67 during hypoxia is not clear. Since GAD catalyzes the conversion of glutamate to GABA, increased activity of GAD is consistent with a decrease in intracellular glutamate levels. Interestingly, a recent paper reported that astrocytes stably transfected with GAD enhanced survival of co-cultured PC12 cells under hypoglycemic conditions (Bellier *et al.* 2000).

We also examined the expression of glutamate transporters in PC12 cells. Both RT-PCR and immunoblot analyses showed that PC12 cells express three major subtypes of glutamate transporters in the brain, EAAC1, GLT-1 and GLAST. We found that hypoxia up-regulates EAAC1 and GLT-1, but had no effect on the expression of GLAST. We also found that the glutamate uptake into PC12 cells was predominantly dependent on extracellular  $\text{Na}^+$  under normoxic conditions. We further found that  $\text{Na}^+$ -dependent uptake of glutamate was significantly enhanced when cells had been pre-exposed to prolonged hypoxia. Thus, it is likely that the increased amounts of EAAC1 and GLT-1 were responsible for the enhanced uptake of glutamate during chronic hypoxia. It has been shown that the GLT-1 subtype accounts for the majority of glutamate transport activity in the brain and GLT-1 may represent as much as 1% of total brain protein (Danbolt *et al.* 1990). A previous study reported that glutamate transport activity and GLAST expression were found only in certain flattened cell mutants of PC12 cells (Ramachandran *et al.* 1993). The reason for the discrepancy between their results and ours is not clear. It may be possible that our PC12 cell strain is different from theirs and has a different pattern of gene expression. Although PC12 cells are clonal cell lines, many phenotypic variants of PC12 cells have been reported (Greene *et al.*

1991). The properties of PC12 cells depend on their sources. The consistency of cell lines is further compromised by spontaneous mutations and/or selection of subpopulations in different culturing conditions. These factors lead to production of phenotypic variants in clones used in different laboratories. Several previous studies have examined the control of glutamate transport during hypoxic/ischemic stress in neuronal tissues or cell cultures. Glutamate uptake activity was increased in rat cortical astrocytes during hypoxia (Sher and Hu 1990; Keheller *et al.* 1994; Stanimirovic *et al.* 1997), but these studies did not examine the expression of glutamate transporters. Our study reports that EAAC1 and GLT-1 levels are increased by hypoxia.

The effects of hypoxia on the intracellular content of glutamate and glutamine in PC12 cells were also evaluated. We found that hypoxia concomitantly *decreased* glutamate levels and *increased* glutamine levels. Our study indicates that PC12 cells possess the necessary elements for a glutamate-glutamine cycle. Chronic hypoxia induced a coordinate regulation in the activities of several key enzymes which are involved in the production and metabolism of glutamate in this cell type. Increased utilization of glutamate (enhanced metabolism by GS and GAD), accompanied by decreased production of glutamate (decreased generation by PAG), would result in an overall decrease in cellular capacity to form intracellular glutamate during chronic hypoxia. We also found that glutamate uptake activity was induced during chronic hypoxia. This would be expected to further decrease the extracellular glutamate levels. The functional significance of this coordinate pattern of regulation of glutamate metabolism and uptake during chronic hypoxia is not clear. However, the regulation of these cellular activities might be protective against hypoxia/ischemia-induced cell injuries. Many studies have shown that ischemic insults promote glutamate release and subsequent cellular degeneration (Choi 1994; Szatkowski and Attwell 1994; Lipton 1999). Several previous studies have reported that excess levels of extracellular glutamate are toxic in PC12 cells (Schubert *et al.* 1992; Froissard and Duval 1994; Pereira *et al.* 1998). Therefore, altered enzyme activities and glutamate transport during chronic hypoxia may enhance the survival of PC12 cells by reducing extracellular concentration of glutamate. These regulatory mechanisms may have a protective role to reduce the susceptibility of PC12 cells to hypoxia. Further studies are required to investigate whether results obtained with PC12 cells are relevant to an understanding of neuronal/glial interactions with regard to glutamate metabolism and transport.

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# Identification of hypoxia-responsive genes in a dopaminergic cell line by subtractive cDNA libraries and microarray analysis

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## Abstract

Transplantation of dopamine-secreting cells harvested from fetal mesencephalon directly into the striatum has had limited success as a therapy for Parkinson's disease. A major problem is that the majority of the cells die during the first 3 weeks following transplantation. Hypoxia in the tissue surrounding the graft is a potential cause of the cell death. We have used subtractive cDNA libraries and microarray analysis to identify the gene expression profile that regulates tolerance to hypoxia. An improved understanding of the molecular basis of hypoxia-tolerance may allow investigators to engineer cells that can survive in the hypoxic environment of the brain parenchyma following transplantation. © 2001 Published by Elsevier Science Ltd.

**Keywords:** Oxygen/pheochromocytoma; Genomics; Tyrosine hydroxylase; Carotid body; Type I cells

## 1. Introduction

Parkinson's disease (PD) is a neurodegenerative disorder that is characterized by the loss of dopaminergic neurons that project from the substantia nigra to the striatum. One of the most promising therapies involves the transplantation of dopamine-secreting cells harvested from fetal mesencephalon directly into the striatum [1–4]. Although this approach has been used successfully to alleviate PD-associated motor dysfunction both in patients and animal models, the majority of these cells die within a short-time following [5,6]. Recently a different approach was taken by Lopez-Barneo and associates who transplanted autografts, namely cell aggregates from the carotid body, into the putamen of monkeys which had been treated with 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) and rats which had been treated with 6-hydroxydopamine [7,8]. Each of these compounds induces degeneration of dopaminergic neurons, and these treatments have been used to mimic Parkinson's disease in experimental animals. These investigators found that the transplanted carotid body cells led to re-innervation of putamen and caudate nucleus with dopaminergic fibers, resulting in long-term amelioration of parkinsonian-like

motor symptoms in both monkey and rat. The carotid body is an oxygen-sensing organ that is located at the bifurcation of the common carotid artery, and contains a high concentration of cells (glomus cells) that synthesize and release dopamine in response to hypoxia. Not only are the carotid body cells able to survive in the hypoxic environment of the brain parenchyma, but hypoxia is a stimulus that actually enhances dopamine synthesis and release in these oxygen-sensing cells. Thus, tolerance to hypoxia is a beneficial property, which may enhance the survivability of transplanted cells.

Here we shall describe our effort to identify the molecular mechanisms that confer the oxygen-sensing and the hypoxia tolerant phenotype. Most of our work has been performed in pheochromocytoma (PC12) cells, which have an oxygen-sensing phenotype that is virtually indistinguishable from the carotid body cells [9–15]. A primary characteristic of oxygen-sensing cells is that gene expression patterns are altered during hypoxia. Here we summarize some of our work on signal transduction and gene regulation during hypoxia. We also describe our more recent work using a combination of subtracted cDNA libraries and microarray analysis to identify the gene expression profile that mediates a hypoxia-tolerant phenotype. From the work of Lopez-Barneo and associates, it is now clear that this is a critical consideration for the long-term viability of transplanted cells [7,8].

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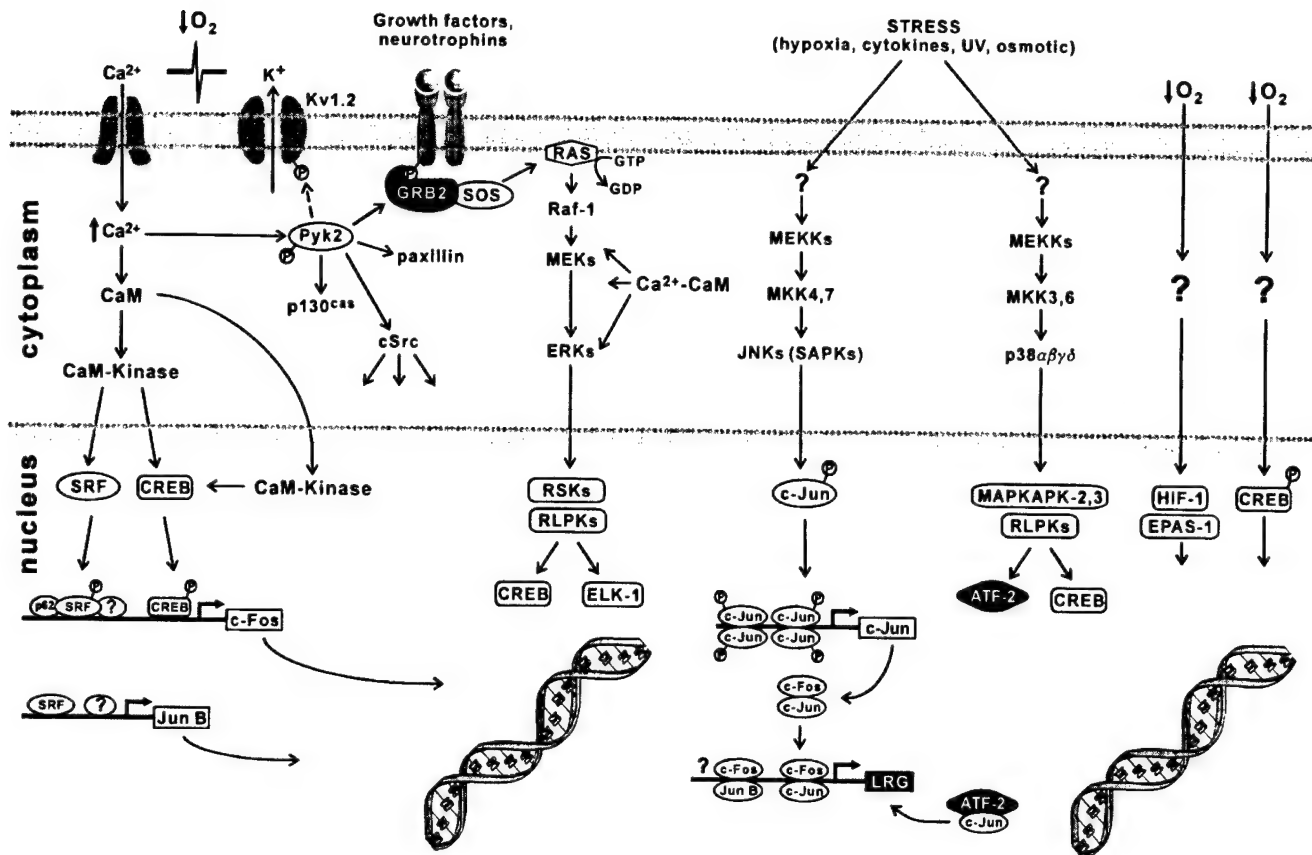


Fig. 1. Summary of certain intracellular signaling pathways and target genes that are regulated by hypoxia. In PC12 cells, exposure to hypoxia leads to a rapid inhibition of conductance of the  $K_v1.2$   $K^+$  channel. This is accompanied by depolarization and calcium influx, which, in turn, regulates a variety of calcium-dependent signaling pathways and gene expression. Certain members of the mitogen-activated protein kinase (MAPK) and p38 stress-activated protein kinase (SAPK) signaling pathways are also specifically activated by hypoxia. Transcription factors that are regulated by hypoxia include CREB, *c-fos*, *junB*, HIF-1 $\alpha$  and EPAS1.

## 2. Regulation of gene expression by hypoxia: a brief overview

Hypoxia induces tyrosine hydroxylase (TH) gene expression, and thereby stimulates dopamine biosynthesis in PC12 cells and carotid body type I [9,10]. We showed that TH gene expression is regulated by hypoxia at both the level of transcription and mRNA stability [10]. Moreover, we showed that hypoxia-induced transactivation of the TH gene requires protein binding to a putative Hypoxia Response Element (HRE) and a nearby Activator Protein 1 (AP1) site [11]. Supershift analyses revealed that binding of the transcription factors *JunB* and *c-fos* to the AP1 element is markedly increased during hypoxia [11]. Furthermore, mutation of the AP1 site prevented hypoxia-induced transcription of a reporter gene [11]. We also found that both *junB* and *c-fos* gene expression is regulated by reduced  $O_2$  in PC12 cells [16].

We have also made progress in understanding the signal transduction pathways that link reduced  $O_2$  to gene regulation in PC12 cells (Fig. 1). The earliest measured signaling event in response to hypoxia in both carotid body type I cells

and PC12 cells is inhibition of a specific potassium ( $K^+$ ) channel that mediates membrane depolarization [8,17]. We now have strong evidence that this  $K^+$  channel is  $K_v1.2$  [12,19]. The primary physiological consequence of the membrane depolarization that occurs during hypoxia is an increase in intracellular free calcium ( $Ca^{2+}$ ) levels [18], which can regulate gene expression via  $Ca^{2+}$ -dependent signal transduction pathways. For example, we found that hypoxia-induced gene expression for the immediate early genes, *c-fos* and *junB*, as well as expression of the TH and glucose transporter-1 (Glut-1) genes which require increased intracellular free  $Ca^{2+}$  [16,20]. There is evidence that the  $Ca^{2+}$ -dependent regulation of gene expression that occurs during hypoxia is mediated by either a  $Ca^{2+}$ -calmodulin protein kinase or a protein kinase C (PKC) pathway. Thus, membrane depolarization and increases in cytosolic  $Ca^{2+}$  are involved in regulation of gene expression during hypoxia in excitable cells such as PC12 cells and carotid body type I cells.

It is important to recognize that hypoxia is a metabolic stress that can impair normal cellular functions. This raises an important question, namely, how do cells adapt and

survive in low O<sub>2</sub> environments such as brain parenchyma? It is entirely possible that *de novo* gene expression plays a major role. For this reason, it is important to identify the signal transduction pathways and the specific target genes that might be involved in mediating this important adaptive mechanism. We focused our studies on the three parallel mitogen- and stress-activated protein kinase pathways, which include the mitogen-activated protein kinase (MAPK), c-jun N-terminal kinase (JNK), and the p38 kinase pathway. It has been previously shown that the survival of transplanted mesencephalic dopaminergic neurons is enhanced by growth factors [6]. We found both the MAPK and p38 (p38 $\alpha$  and p38 $\gamma$ ) protein kinase pathways, but not the JNK pathway, to be activated by hypoxia in PC12 cells [21,22]. Moreover, we also identified a novel cyclic AMP Response Element Binding protein (CREB) kinase that is stimulated by hypoxia [13].

A primary function of signal transduction pathways is to activate protein factors in the nucleus that are involved in regulation of transcription. In the case of hypoxia, our goal is to determine if the hypoxia-regulated signal transduction pathways lead to transactivation of genes that mediate hypoxia-tolerance in PC12 cells. A number of hypoxia-related transcription factors have been identified and have been shown to play a role in mediating the cellular response to hypoxia. These transcription factors include HIF-1 $\alpha$ , *c-fos*, *JunB* and CREB [11,13,23]. HIF-1 $\alpha$  has been shown to be critical for hypoxia-induced regulation of a number of genes including glycolytic enzymes, vascular endothelial growth factor (VEGF), and erythropoietin [24–26]. Recently, endothelial PAS-domain protein 1 (EPAS1) was identified as a hypoxia-inducible transcription factor [27,28]. EPAS1 (also termed HIF-2 $\alpha$ ) is a basic helix-loop-helix protein that shares 48% sequence identity with HIF1 $\alpha$ . EPAS1 protein levels, like HIF1 $\alpha$ , are relatively low under normoxic conditions and accumulate upon exposure of cells to hypoxia [22]. These factors then translocate to the nucleus and trans-activate target genes containing the sequence 5'-GCCCTACGTGCTGTCTCA-3', which is commonly referred to as the Hypoxia Response Element (HRE) [27,29]. The tissue distribution for HIF-1 $\alpha$  is rather broad, whereas the distribution of EPAS1 is much more restricted. Interestingly, EPAS1 is most intensely expressed in the carotid body [28]. This transcription factor is localized in both the catecholaminergic type 1 O<sub>2</sub>-sensing cells and in the endothelial cells of this highly vascularized organ [28]. EPAS1 is much more abundant than HIF1 $\alpha$  in both the carotid body oxygen-sensing cells and PC12 cells [22,28]. It has been hypothesized that EPAS1 in the carotid body senses hypoxia and translates this signal into an altered pattern of gene expression, leading to increases in circulating catecholamine levels [28].

We have therefore investigated the potential role of EPAS1 as a transactivator of hypoxia responsive genes in PC12 cells. We found that EPAS1 protein levels and phosphorylation state are dramatically increased in PC12 cells

that have been exposed to hypoxia [22]. Interestingly, the activation of EPAS1 during hypoxia appears to be mediated by the MAPK pathway via a calmodulin-sensitive pathway rather than through a classical Ras-dependent mechanism. It is also important to note that EPAS1 is not directly phosphorylated by MAPK, suggesting that the effects of MAPK are indirect, possibly through recruiting other proteins critical for activation of EPAS1. We have used an *in vitro* transcription system to investigate the role of EPAS1 in transactivation of TH expression [30]. We found that EPAS1 accumulation is required, but insufficient to induce transactivation of TH, which also requires EPAS1 phosphorylation. HIF1 $\alpha$  does not appear to be activated by hypoxia in PC12 cells.

Although a number of hypoxia signal transduction pathways and transcription factors have been identified, the role of these pathways and factors in mediating a cell phenotype that is tolerant to hypoxia remains unclear. This, in large part, is due to a lack of understanding of the full repertoire of genes required to confer this special phenotype. Relatively few hypoxia-regulated genes have been identified and studied. Thus, it is clear that a more comprehensive understanding of the molecular basis of hypoxia tolerance will require identification of the gene profile that regulates this adaptive response. Here, we shall describe some of our recent work using subtractive cDNA libraries and microarray analysis to identify the genes that regulate the cellular response to hypoxia.

### 3. Identification of hypoxia-responsive genes in a dopaminergic cell line using custom subtractive cDNA libraries and microarray analysis

It is likely that many genes will be regulated in response to a complex physiological stimulus like hypoxia. However, of the 10,000 to 30,000 genes estimated to be expressed in any given cell type [31,32], the majority are likely *not* to be regulated by the hypoxia. Thus, to improve the percentage of cDNA sequences on our arrays that are relevant to our studies, we have constructed custom subtractive cDNA libraries and arrayed these cDNA sequences on glass slides. Subtractive hybridization is a powerful technique that enables isolation of cDNAs that are differentially expressed in two populations of cells or tissues. Theoretically, most all of the genes regulated by hypoxia in this cell type should be included in these libraries, and most all of the genes that are not regulated by hypoxia should be excluded.

Briefly, in this approach, which is also termed 'subtractive suppression hybridization' (SSH), RNA from control ('driver') and hypoxia-exposed ('tester') samples is converted to cDNA by reverse transcription. The driver cDNA (in excess) and tester cDNAs are then hybridized together. The resulting hybrids, which are the cDNA

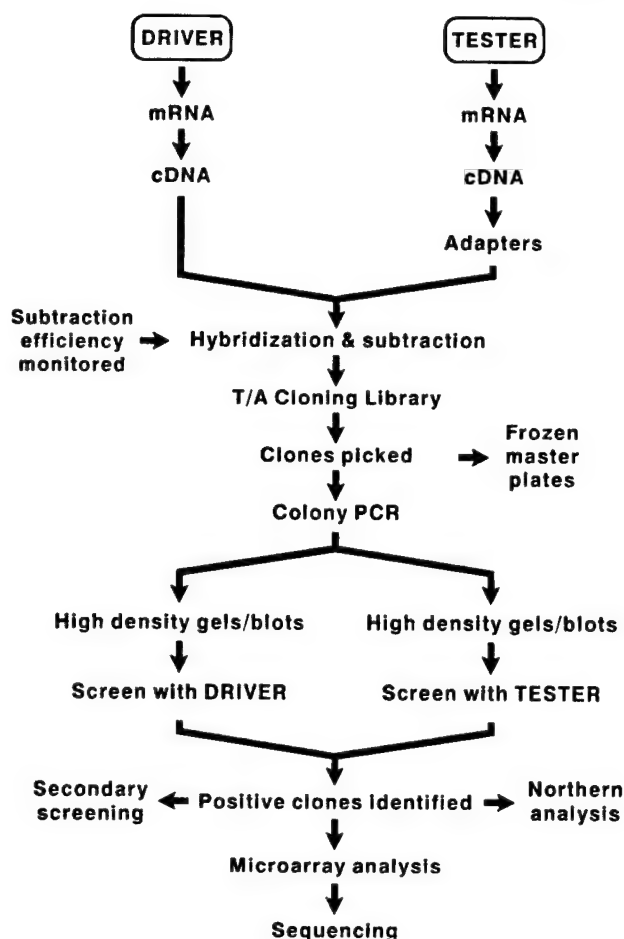


Fig. 2. Schematic overview of subtractive suppression hybridization (SSH) strategy. The major steps for making SSH cDNA libraries, using the Clontech PCR-Select methods are outlined. In brief, two populations of cDNA, termed 'driver' and 'tester' are co-hybridized. The cDNA sequences that are enriched in the 'tester' PC12 cells exposed population are retained in the SSH library, and the abundant cDNA sequences that are present equally in both population are excluded from the library.

sequences that are common between the two pools, are not amplified in subsequent steps and thereby 'subtracted out'. The remaining unhybridized cDNAs are unique to the tester (in this case, the hypoxia-exposed) pool. These cDNAs represent the 'transcriptome' for the experimental conditions, and therefore the specific genes whose expression is induced by hypoxia. This entire procedure can be performed in reverse to identify the genes that are *repressed* by exposure to hypoxia. A schematic flow diagram summarizing the overall approach used to make subtractive cDNA libraries is shown in Fig. 2.

We recently constructed a subtractive cDNA library to characterize the effects of hypoxia (6 h, 1% O<sub>2</sub>) on PC12 cells. This library is specifically enriched in cDNA sequences from genes that are more highly expressed after exposure to hypoxia. We found that a number of the resulting clones corresponded to genes that others and we have previously found to be regulated by hypoxia in PC12 and

other cell types. These include tyrosine hydroxylase, *junB*, and VEGF [9–11,33–35]. The subtractive library has relatively little redundancy; of 20 clones sequenced initially, only one sequence (tyrosine hydroxylase) was identified in more than one clone (two clones); the remainder of the genes sequenced were represented only once in this pool. This library yielded approximately 800 'hypoxia-regulated' cDNA clones. Thus, there are many more genes regulated by hypoxia than have been previously identified. However, this result is not surprising, considering the complex nature of the cellular response to hypoxia, which involves both chemoreceptor functions and cellular tolerance to low O<sub>2</sub>.

In addition to known hypoxia-regulated genes, this library also contains a number of known genes that have not previously been reported to be regulated by hypoxia. These sequences encode a wide range of proteins, including signaling molecules, structural proteins, and transcription factors. Interestingly, we also found a number of putative novel hypoxia-regulated genes in this library, in that they share little or no significant homology with any of the known sequences in the public databases.

To verify the differential expression of various cDNAs in the subtracted library, we performed 'virtual' Northern blots. Virtual Northern blots are made with cDNA generated by reverse transcription from RNA, and yield information similar to that provided by standard Northern blots [36,37]. Fig. 3A is a virtual Northern blot hybridized with a <sup>32</sup>P-labeled VEGF cDNA probe. VEGF expression has been shown to be dramatically induced by hypoxia in PC12 and other cell types [33]. It can be seen that VEGF levels are higher in the hypoxia-exposed (unsubtracted) sample compared to the control (unsubtracted) sample. Furthermore, VEGF expression is greatly enriched in the forward-subtracted library. Importantly, this technique also *excludes* abundant sequences that are not differentially expressed between the two mRNA populations from the libraries. Thus, highly expressed genes that are not regulated (i.e. housekeeping genes), such as glyceraldehyde-3-phosphate dehydrogenase (G3PDH) should be excluded from the subtracted pool. In Fig. 3B, G3PDH levels were analyzed by PCR in cDNA samples from the final (subtracted) cDNA library and in the original (unsubtracted) cDNA pool. Samples were subjected to various numbers of PCR cycles, as indicated in Fig. 3B. It can be seen that G3PDH is readily detectable in the original (unsubtracted) cDNA sample, but is completely absent in the subtracted sample (even after 33 PCR cycles). G3PDH is a highly abundant gene that we have previously shown is *not* regulated in PC12 cells by exposure to hypoxia [38]. Thus, this gene was accurately excluded from the subtracted cDNA library.

As illustrated above, the subtractive cDNA library approach has enabled us to isolate bona fide hypoxia-regulated genes. This technology also provides a powerful tool to identify novel gene targets regulated by hypoxia. The cDNAs isolated with this method are subsequently used to construct custom cDNA microarrays.

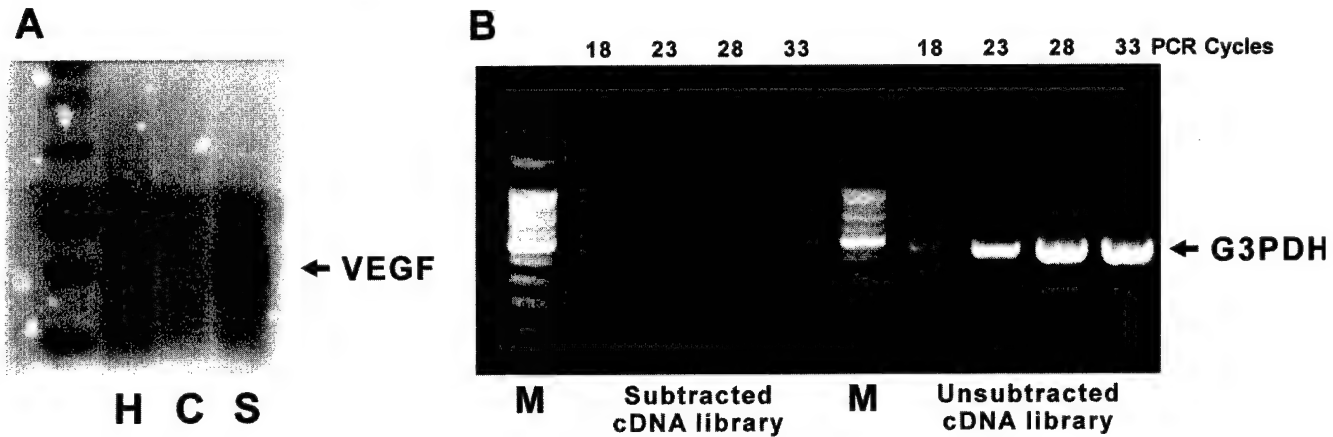


Fig. 3. Vascular endothelial growth factor (VEGF) is enriched in the SSH library and G3PDH is excluded. (A) Pooled cDNA samples from to hypoxia (H, 1% O<sub>2</sub> for 6 h), or control conditions (C), and a cDNA sample from the subtracted library (S) were subjected to 'virtual Northern blot' analysis using a <sup>32</sup>P-labeled probe specific for VEGF. (B) cDNA samples from the subtracted and unsubtracted cDNA libraries were subjected to 18, 23, 28 or 33 cycles of PCR using primers specific for G3PDH, as indicated.

#### 4. Use of functional genomics and proteomics to study global gene and protein expression patterns

Research into the role of specific genes in mediating the response to an environmental stimulus has historically been restricted to the study of single genes and/or proteins. It is highly simplistic to predict that complex physiological and pathological processes are mediated by regulation of a single gene. Rather, hundreds or, perhaps, thousands of genes and proteins would be expected to be regulated in these physiological responses [39–43]. Thus, a comprehensive understanding of complex biological processes at the cellular level requires a more global view of gene expression. Two recent technological advances have made this possible: (1) comprehensive DNA databases which have resulted from the various genome-sequencing projects; and (2) development of high-density nucleic acid microarrays. These new advances permit the analysis of global gene expression patterns, and facilitate a better understanding of complex physiological traits. This line of investigation has been termed 'functional genomics'. This term is broadly used to refer to mRNA (transcription) expression profile analysis. The complement of mRNAs transcribed from the genome is also referred to as the 'transcriptome'.

With this new technology, it is now possible to simultaneously monitor expression levels of thousands of genes, using cDNA microarrays. In this approach, a robotic 'arrayer' is used to spot individual DNA sequences, in the form of either cDNAs or oligonucleotides in closely spaced grids on either glass slides or nylon filters. Fig. 4 illustrates a schematic summary of the general methodology of this approach. In keeping with accepted microarray parlance, the DNA that is spotted or printed onto coated glass slide is called the 'probe' and the labeled DNA (from control and experimental RNA samples) is called the 'target'. Briefly, the cDNAs of interest are amplified by PCR from unique custom cDNA libraries, as described above. Following

purification and quality control, small aliquots (5 nl) are printed on poly-L-lysine coated glass slides using a computer-controlled precision microarrayer robot (Omnigrid, GeneMachines, San Carlos, CA, USA).

The "targets" that are used to interrogate the cDNA

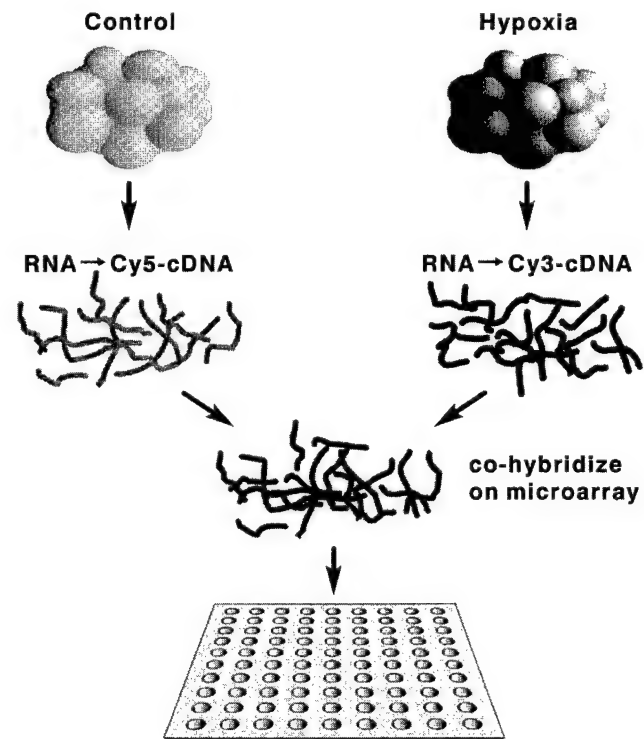


Fig. 4. Gene expression analysis using a DNA microarray. Deoxy-Gy5 (red fluorophore, shown as light grey)- and deoxy-Gy3 (green fluorophore, shown as dark grey)-labeled dNTPs and reverse transcriptase are used to convert RNA to fluorescently labeled cDNA. The two samples are mixed and hybridized with a cDNA microarray. The relative abundance in the hypoxia-exposed sample (Cy3, green) as compared to the control sample (Cy5, red) is reflected by the ratio of green to red fluorescence measured at the individual array elements representing each gene.

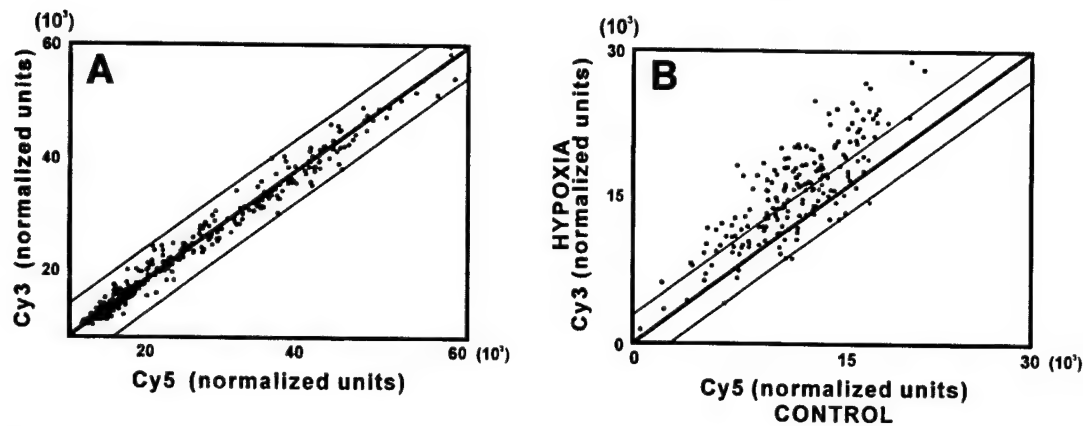


Fig. 5. Effect of hypoxia on expression of genes in the SSH library. Analysis of data from an experiment such as that described in Fig. 4. Background-subtracted fluorescence intensities were plotted for each spot. Equal amounts of control RNA was used to generate both Cy3 (green) and Cy5 (red) labeled cDNA. Co-hybridization showed spots with fluorescence intensities centering around the 1:1 ratio line. RNA from hypoxia treated cells was used to generate Cy3-labeled cDNA; control RNA was used to generate Cy5-labeled cDNA. The scatter plot shows spots with intensities shifted towards the green fluorescence, indicating that the majority of the genes on the microarray were unregulated.

templates printed on glass slides are generated from two RNA populations (control versus experimental, in this case, RNA from cells exposed to either normoxia or hypoxia). These two RNA populations are separately converted to fluorescently labeled cDNA with either green (Cy3) or red (Cy5) fluorophore-labeled deoxynucleotides using reverse transcriptase. Using this approach, changes in mRNAs that are differentially expressed by a minimum of approximately 2-fold can be detected. In a previous study, cDNA microarrays were used to identify and study the entire repertoire of glucose-regulated yeast genes [39]. In these experiments a glass slide microarray containing 6100 discrete cDNA probes corresponding to all genes of the yeast genome was used to identify the transcriptome responsible for regulating sugar metabolism in a single experiment. A study of this type using traditional hybridization techniques (e.g. Northern blot analysis of single genes) would require years to complete. Over the past year or two, a rapidly growing number of studies have confirmed the validity of using cDNA microarrays for mRNA profile analysis (for selected examples see [41,44–51]). An excellent example of the power of this approach comes from a recent study from the Brown laboratory at Stanford University, which examined the transcriptional response of more than 8000 human genes in fibroblasts to stimulation with serum over a detailed time course [41]. These studies described a previously unknown, highly complex level of coordinated gene regulation. We are using a similar approach to identify hypoxia-responsive genes in the PC12 cell line [52].

### 5. Analysis of gene expression patterns using cDNA microarrays and custom hypoxia-regulated subtractive libraries

We have microarrayed our subtractive PC12 cDNA library (6 h, 1%  $O_2$ ) onto glass slides. The results from an example

experiment are shown in Fig. 5. In this experiment, total RNA was isolated from PC12 cells and converted into fluorescently labeled cDNA, using reverse transcriptase. Panel A shows the results when a single RNA sample was divided into two reactions, one labeled with the Cy5 fluorophore (red), and the other labeled with the Cy3 fluorophore (green). Both labeled 'target' cDNA samples were then simultaneously hybridized to the 'probe' that was immobilized on the glass slides. Because both cDNA samples were derived from the same sample of total RNA, equal fluorescent intensities (i.e. control vs control; one sample labeled with Cy3 and the other with Cy5) should be equal for each spot. This is exactly what we found as indicated by the in the Cy5 vs Cy3 plot in Fig. 5A. The line of identity in the plot represents equal Cy5 and Cy3 hybridization (1:1 ratio). Importantly, the cDNA probes from hypoxia-exposed cells had a much greater level of hybridization (higher intensity Cy3 labeling) than did the cDNA probe from the control cells, as shown in Fig. 5B. This result was expected, since the cDNA sequences spotted on the slides are enriched in genes that display increased expression levels in response to hypoxia.

### 6. Confirmation of regulation of clones

Northern blots and RT-PCR are used to confirm the regulation of each selected target gene by hypoxia. Many of the hypoxia regulated genes may not be detectable by Northern blot, as a large fraction of mammalian mRNA consists of species that are expressed at relatively low levels [53,54]. Quantitative real time PCR (QRT-PCR) provides highly sensitive and accurate quantitation of levels of specific mRNAs present in small samples (for recent reviews see [53,54]. This method permits rapid detection and quantification by using fluorescent oligonucleotide probes with a 5' reporter dye and a downstream 3' quencher dye. Using this method, as little as a single molecule of DNA has been



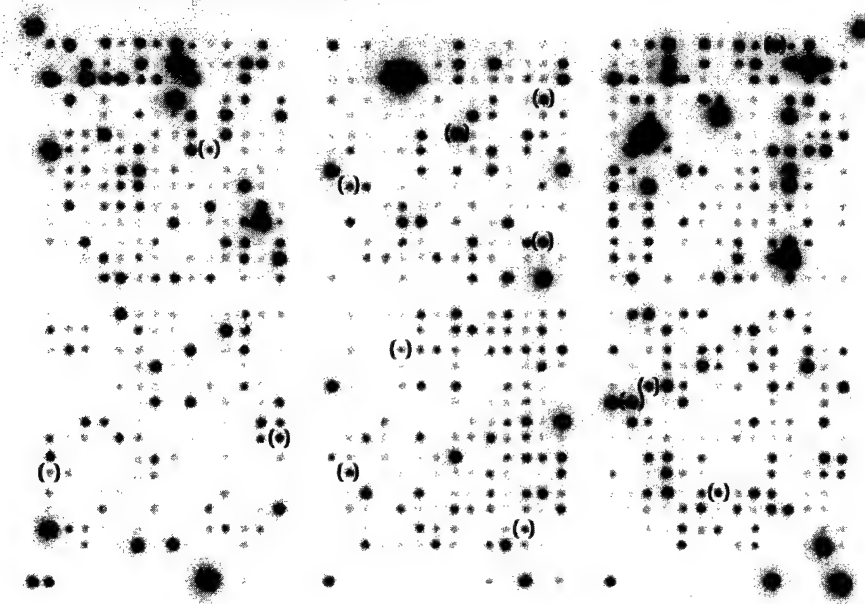
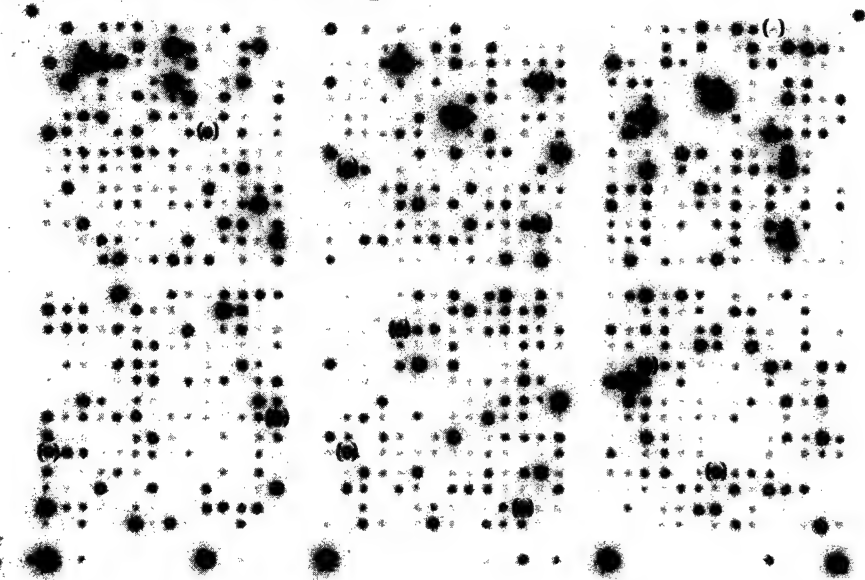
**A. Control, (normoxia)****B. Hypoxia, (6 hr., 1% O<sub>2</sub>)**

Fig. 6. Hypoxia regulates the overall gene expression pattern in PC12 cells. 10 g of total RNA from either control (A) or hypoxia = exposed (6 h 1% O<sub>2</sub>) (B) cells was converted to cDNA using reverse transcriptase and 32P-dATP, as described. Radiolabeled cDNA was then hybridized to filter-based cDNA microarrays containing 1176 cDNA sequences corresponding to various rat genes (Rat 1.2 AtlasArrays, Clontech, Palo Alto, CA, USA). Bracketed spots designate some of the genes that displayed large reproducible differences in gene expression levels between the two conditions.

detected from within a DNA mixture containing a high background of total genomic DNA [56].

#### 7. Identification of hypoxia-regulated known genes using filter-based cDNA microarrays

Custom subtractive cDNA libraries facilitate the identification of novel genes. It is both an asset and a limitation of this approach that many of the cDNA sequences obtained may be

previously unknown. Because of this, at least initially, our ability to assign functions to hypoxia-regulated genes may be limited. To quickly screen the effects of hypoxia on a pool of known genes, we have analyzed the effects of hypoxia on gene expression patterns using commercial filter-based microarrays (Clontech Atlas Rat 1.2 array). In these experiments, we again exposed PC12 cells to hypoxia (1% O<sub>2</sub>) for 6 h. Duplicate filters were separately hybridized with probes derived either from control or hypoxia-exposed cells. Representative arrays from such an experiment are shown in Fig. 6.

The data were analyzed using Atlas Image software (v. 1.0.1, Clontech). The data were then expressed as the ratio of normalized expression levels in control vs hypoxia. In these experiments, 105 out of 1176 genes (or 8.9%) were consistently regulated by greater than 2-fold by hypoxia in two separate experiments. Importantly, six of these are genes that others and we have previously shown by Northern blot or RT-PCR to be regulated by hypoxia in PC12 cells. The reproducibility between experiments was quite high; 105 out of 126, or 83% of the genes that were found to be regulated by hypoxia in the first experiment were similarly regulated in the second experiment. Moreover, all of the previously confirmed hypoxia-regulated genes were similarly regulated by hypoxia in both microarray experiments. Thus, cDNA microarray methodology can be used to accurately identify genes that are both up-regulated and down-regulated by hypoxia. Brackets in Fig. 6 indicate the fourteen genes that were most strongly regulated by hypoxia.

There are two major drawbacks to the commercial filter-based cDNA microarray technology described above. First, the researcher is limited to the pre-arrayed generic set of clones on the filter, which is relatively small in number and may contain even fewer target genes that are relevant to the specific problem being investigated. Thus, this approach provides no opportunity to discover novel genes. Second, the <sup>32</sup>P-labeling technology precludes simultaneous (competitive) hybridization. That is, control and experimental samples must be hybridized on separate filters or sequentially. Arraying custom libraries on glass slides effectively circumvents both of these problems, enabling researchers to simultaneously monitor the expression levels of thousands of genes, both known and unknown.

## 8. Summary

Transplantation of dopamine-secreting cells from fetal mesencephalon directly into the striatum has been successful in alleviating PD-associated motor dysfunction, both in patients and animal models. Unfortunately, the majority of these cells die within a short time following transplantation. It is likely that the hypoxic environment in the brain parenchyma is a primary factor in the death of these transplanted cells. This is supported by the work of Lopez-Barneo and associates who showed that the dopaminergic cells of the carotid body lead to long-term amelioration of PD-related symptoms [7,8]. This is most likely due to the high levels of tolerance these cells have for hypoxia. Thus, tolerance to hypoxia is a beneficial property, which may enhance the survivability of transplanted cells. We have used subtractive cDNA libraries and microarray analysis to begin identifying the genes that mediate hypoxia tolerance in the dopaminergic PC12 cells. Our findings indicate that the hypoxia-tolerant phenotype is mediated by an expression profile involving hundreds of genes. These genes are involved in virtually all aspects of cell function

including membrane function, signal transduction, transactivation, and neurotransmitter release. Our goal is to identify and characterize the gene expression profile that mediates the hypoxia-tolerant phenotype and thus enhanced survivability of transplanted cells.

## Acknowledgements

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## Hypoxia-Inducible Factor 2 $\alpha$ Binds to Cobalt *in Vitro*

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**The hypoxia-inducible factor (HIF) activates the expression of genes that contain a hypoxia response element (HRE). The  $\alpha$  subunit of the HIF transcription factors is degraded by proteasome pathways during normoxia, but stabilized under hypoxic conditions. It has previously been established that cobalt causes accumulation of HIF-2 $\alpha$  and HIF-1 $\alpha$ . However, little is known about the mechanism by which cobalt mimics hypoxia and stabilizes these transcription factors. We show here that cobalt binds directly to HIF-2 $\alpha$  *in vitro* with a high affinity and in an oxygen-dependent manner. We found that HIF-2 $\alpha$ , which had been stabilized with a proteasome inhibitor, could bind to cobalt, whereas hypoxia-stabilized HIF-2 $\alpha$  could not. Mutations within the oxygen-dependent degradation domain of HIF-2 $\alpha$  prevented cobalt binding and led to accumulation of HIF-2 $\alpha$  during normoxia. This suggests that transition metal such as iron may play a role in regulation of HIF-2 $\alpha$  *in vivo*. © 2001**

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Hypoxia is a critical stimulus in many physiological and disease states (1). Cells respond and adapt to hypoxia by regulation of a number of genes including erythropoietin, vascular endothelial growth factor, tyrosine hydroxylase and various glycolytic enzymes (2–5). This regulation is mediated in part by transcription factors of the hypoxia-inducible factor (HIF) family (6).

Abbreviations used: EPAS1, endothelial PAS-domain protein 1; EPO, erythropoietin; VEGF, vascular endothelial growth factor; ARNT, aryl hydrocarbon nuclear receptor translocation; HIF-1, hypoxia inducible factor-1; DMEM, Dulbecco's, modified Eagle medium; Cbz-LLL, N-CBZ-LEU-LEU-NORVALINAL; HRE, hypoxia regulated element; EMSA, electrophoretic mobility shift assay; ROS, reactive oxygen species; DFO, desferrioxamin; IRP2, iron-regulatory protein; pVHL, protein of von Hippel-Lindau disease; DMSO, dimethyl sulfoxide; DTT, dithiothreitol; MAP kinase, mitogen-activated protein kinase; ODD, oxygen dependent degradation.

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HIF-1 $\alpha$  and HIF-2 $\alpha$  are basic helix-loop-helix PAS domain (bHLH-PAS) proteins (7) that form a heterodimer with the aryl hydrocarbon nuclear receptor translocator (ARNT) protein. Previous studies have shown that HIF-1 $\alpha$  protein accumulates rapidly during hypoxia without a significant increase in HIF-1 $\alpha$  mRNA level (8). HIF-2 $\alpha$ , which is also known as endothelial PAS domain protein (EPAS1), has a close sequence and structural homology to HIF-1 $\alpha$  (9). Like HIF-1 $\alpha$ , the level of HIF-2 $\alpha$  protein is low during normoxia and accumulates upon exposure of cells to hypoxia, proteasomal inhibitors, transition metals (e.g., cobalt), iron chelators, and reducing agents (10). HIF-2 $\alpha$  is expressed in many tissue and in particularly abundant in the type I oxygen-sensing cells of the carotid body (11), and pheochromocytoma (PC12) cells (12). Here we report that HIF-2 $\alpha$  binds to cobalt *in vitro* via sites within the oxygen-dependent degradation domain, a region that is critical for HIF-2 $\alpha$  stabilization during hypoxia.

### EXPERIMENTAL PROCEDURES

**Cell culture and materials.** PC12 cells were cultured in Dulbecco's modified Eagle medium (DMEM)/Ham's F-12 (Life Technologies, Inc.) supplemented with 20 mM Hepes, pH 7.4, 10% fetal bovine serum (Life Technologies, Inc.), and with penicillin (100 unit/ml) and streptomycin (100  $\mu$ g/ml). Prior to experimentation, cells were grown to approximately 85% confluence in 100-mm tissue culture dishes (Corning Incorporated, Corning, NY). Hypoxia was achieved by exposing cells to 1% O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub> in an O<sub>2</sub>-regulated incubator (Forma Scientific, Marietta, OH). Proteasomal activity was inhibited with 10  $\mu$ M N-CBZ-LEU-LEU-NORVALINAL (Cbz-LLL). Control cells were treated with DMSO. Cobaltous chloride (100  $\mu$ M) was added directly to the culture medium. Transfections were performed with TransFast reagent (Promega), according to the manufacturer's recommended conditions.

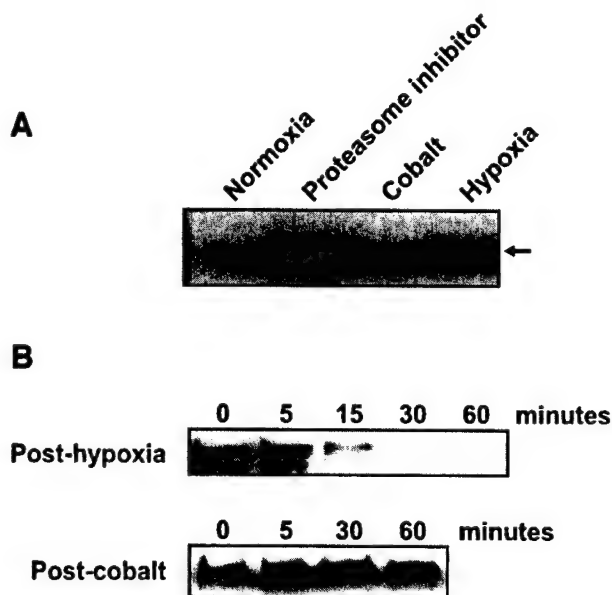
**Plasmid construction.** The *E. coli* expression plasmid pET28a was from Novagen. The human HIF-2 $\alpha$  cDNA was from Dr. Steven L. McKnight (University of Texas Southwestern, Dallas, TX). The following primer set was used to amplify the HIF-2 $\alpha$  open reading frame: HIF-2 $\alpha$  5' primer, 5'-TGGATCCATGACAGCTGACAAGG-AGAAG-3', and HIF-2 $\alpha$  3' primer, 5'-TAAGCTTAGGTGGCCTGGTCCAG-3'. *Bam*HI and *Hind*III sites were included in the flanking regions of the HIF-2 $\alpha$  5' and HIF-2 $\alpha$  3' primers, respectively. The resulting PCR product was cloned into the pCR 2.1-TOPO vector (Invitrogen). The HIF-2 $\alpha$  cDNA was released by digesting the plasmid with *Bam*HI and *Hind*III. This fragment was then ligated into

the *Bam*HI/*Hind*III sites of pET 28a to create pET 28a-HIF-2 $\alpha$ . HIF-2 $\alpha$  (48–688) truncation mutant was generated by cloning the *Sac*I/*Xho*I fragment of HIF-2 $\alpha$  into the *Sac*I/*Xho*I sites of the pTriEx-1 plasmid (Novagen). HIF-2 $\alpha$  (507–870), and EPAS1 (546–870) constructs were prepared by cloning PCR products corresponding to the 507–870 and 546–870 fragments of HIF-2 $\alpha$  into the *Eco*RV/*Not*I sites of the pTriEx-1 plasmid. These PCR product were amplified by the following primers: HIF-2 $\alpha$  (507) 5' CATGGACACAGAGCCAAGGACC 3', HIF-2 $\alpha$  (546) 5' CTGCCCCGAGGAGCGGCTCTTGG and T<sub>7</sub> terminator primer: 5' GCTAGTTATTGCTCAGCGGTGGCA 3', the template was pET28A-HIF-2 $\alpha$ . HIF-2 $\alpha$  (1–523,539–870) mutant was made by cloning two PCR products of HIF-2 $\alpha$  into *Bam*HI/*Not*I site of plasmid pTriEx-1. Upstream HIF-2 $\alpha$  fragment was amplified from pET28A-HIF-2 $\alpha$  by HIF-2 $\alpha$  5' primer and HIF-2 $\alpha$  ODD3' GCTAGCATTAGGGTCCGTCTGGGTACTGC. The PCR product was cut with *Bam*HI and *Nhe*I. Downstream HIF-2 $\alpha$  fragment was amplified by ODD5': GCTAGCTTCCAGCTAGCCCCATCTGC and T<sub>7</sub> terminator primer. The PCR product was cut with *Nhe*I and *Not*I.

**HIF-2 $\alpha$  *in vitro* cobalt binding experiment.** Fifty microliters of HisBind Resin (Novagen) was charged with 1 ml of 50 mM CoCl<sub>2</sub>. The charged resin was then washed and suspended in binding buffer (20 mM Tris-HCl, pH 7.4, and 500 mM NaCl). Cell extracts was made by snap freeze of cell in Cell Lysis Buffer (20 mM Tris-HCl, pH 7.4, 150 mM NaCl, 0.5% Triton X-100). 500  $\mu$ g of cell extracts were mixed with resin and incubated at 4°C for 15 min, and washed with 1 ml of binding buffer. The proteins were washed and eluted with binding buffer containing 60 mM imidazole, followed by elution with 1 M imidazole. The remaining uneluted proteins were solubilized with SDS-PAGE sample buffer and the resulting samples were subjected to Western blotting for HIF-2 $\alpha$ . Western blots were performed as described previously (12), using a polyclonal antibody against HIF-2 $\alpha$  from Novus Biologicals (Littleton, CO).

## RESULTS

HIF-2 $\alpha$  is the major hypoxia inducible transcription factor expressed in PC12 cells. Figure 1A shows the affects of hypoxia, cobalt and proteasome inhibitor on HIF-2 $\alpha$  level in PC12 cells. During normoxia the level of HIF-2 $\alpha$  is very low and then robustly induced by hypoxia. HIF-2 $\alpha$  is also increased by proteasome inhibitor and by transition metals such as cobalt. We next compared the stability of HIF-2 $\alpha$  following the removal of hypoxia and cobalt (Fig. 1B). HIF-2 $\alpha$  was quickly degraded following removal of hypoxia, whereas, the level of HIF-2 $\alpha$  was sustained for a much longer period following the removal of cobalt. A possible explanation for the sustained level of HIF-2 $\alpha$  following cobalt exposure is that cobalt directly binds to HIF-2 $\alpha$ . To test this possibility, we expressed HIF-2 $\alpha$  in *E. coli* as a histidine-tagged protein and examined the interaction between cobalt and HIF-2 $\alpha$  using a metal chelating resin. When the resin was charged with cobalt the recovery of HIF-2 $\alpha$  was markedly reduced even after washing with high concentration imidazole (Fig. 2A), a histidine analog that should elute any binding via the histidine-tag (Fig. 2B). The inability of high concentration of imidazole to elute HIF-2 $\alpha$  from the resin strongly suggests that there is a internal metal binding domain within the HIF-2 $\alpha$  protein.

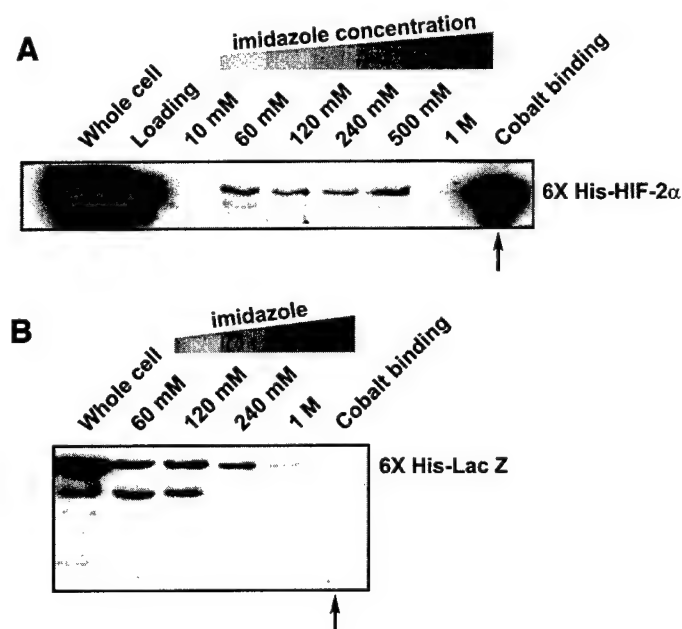


**FIG. 1.** HIF-2 $\alpha$  stabilized by different stimulus in PC12 cells have different half-life. (A) HIF-2 $\alpha$  is stabilized by hypoxia, proteasomal inhibitor, or cobalt in PC12 cells. PC12 cells were treated by 10  $\mu$ M proteasome inhibitor (Cbz-LLL), or 100  $\mu$ M CoCl<sub>2</sub> or 1% oxygen (hypoxia) for 4 h. Cell extracts were subject to Western blot to detect HIF-2 $\alpha$  protein level. (B) Cobalt stabilized HIF-2 $\alpha$  has longer half-life. PC12 cells were treated with hypoxia (1% oxygen) or 100  $\mu$ M CoCl<sub>2</sub> for 3 h. Cells were washed with PBS and changed to fresh drug-free medium and incubated under normoxia conditions for the time indicated. HIF-2 $\alpha$  protein levels were detected by Western blot.

We next evaluated the *in vitro* cobalt-binding activity of endogenous HIF-2 $\alpha$  extracted from PC12 cells. In these experiments, we found that HIF-2 $\alpha$ , which had been stabilized by hypoxia, was eluted from the cobalt charged resin with a low concentration of imidazole. In contrast, HIF-2 $\alpha$  isolated from cells that had been treated with proteasome inhibitor (Cbz-LLL) was more difficult to elute from the cobalt charged metal-chelating resin (Fig. 3A). In a control experiment, we found that HIF-2 $\alpha$  did not bind to uncharged resin (Fig. 3B). These results provide strong evidence that cobalt indeed could bind to HIF-2 $\alpha$ .

We next performed a series of experiments to determine which regions of the HIF-2 $\alpha$  protein participate in cobalt binding. The first experiments were performed using three truncated forms of HIF-2 $\alpha$ , HIF-2 $\alpha$  (48–688), HIF-2 $\alpha$  (507–870), and HIF-2 $\alpha$  (546–870). The region of HIF-2 $\alpha$  that contains the oxygen-dependent degradation domain (ODD) is located between amino acids 517 to 682 (13). These constructs were transfected into CHO cells which were then exposed to either normoxia or hypoxia. We chose CHO for the transfection assay in order to avoid high background signal generated by endogenous HIF-2 $\alpha$  in PC12 cells. As expected, HIF-2 $\alpha$  levels were very low in the cells that were transfected with HIF-2 $\alpha$  with an intact ODD domain: full-length HIF-2 $\alpha$ , HIF-2 $\alpha$  (48–

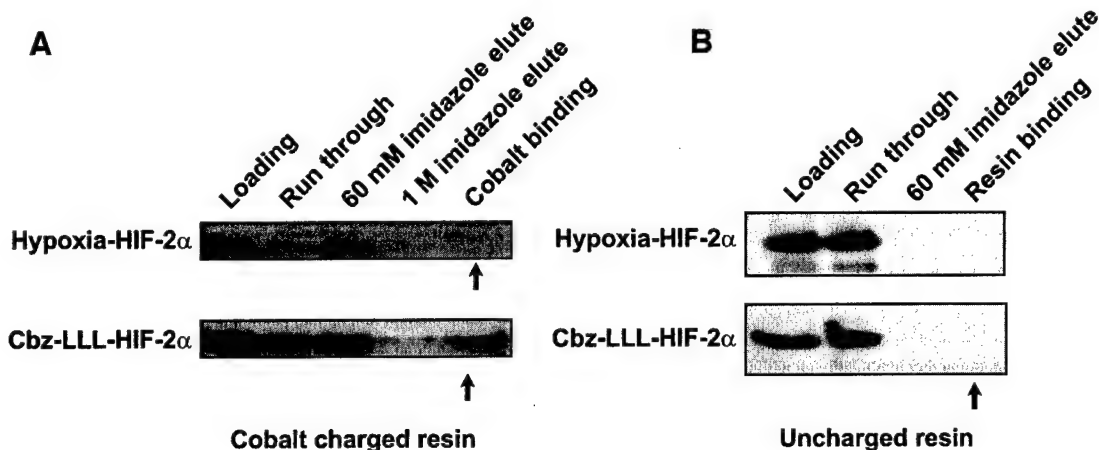




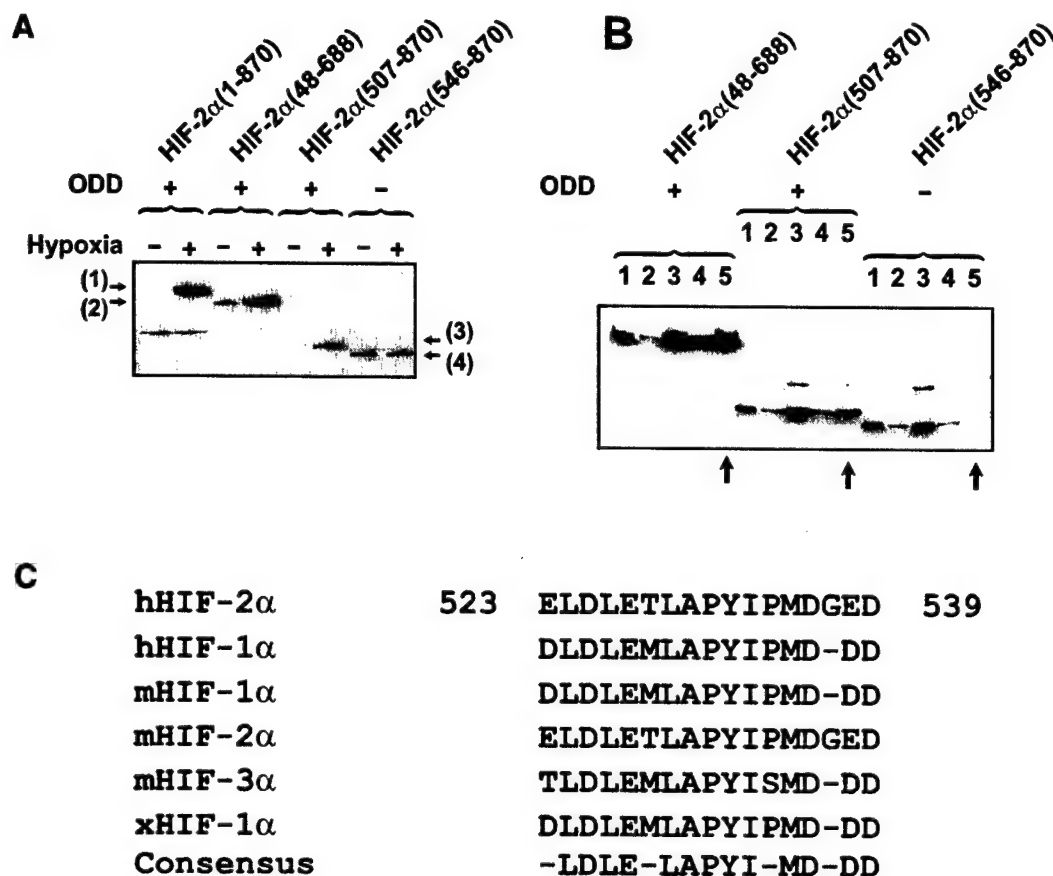
**FIG. 2.** Recombinant HIF-2 $\alpha$  could bind to cobalt *in vitro* in high affinity. (A) *E. coli* expressed recombinant HIF-2 $\alpha$  binds to cobalt *in vitro*. Plasmid pET28a- HIF-2 $\alpha$  was transform into *E. coli* BL21 (DE3) and was induced by 1 mM IPTG for 4 h. Bacteria were sonicated in binding buffer and the supernatant was mixed with cobalt-charged resin for 15 min at 4°C. The resin was then eluted and washed with different concentrations of imidazole and finally SDS-PAGE sample buffer. Arrow indicates the amount of HIF-2 $\alpha$  that sticks on the resin after 1 M imidazole washing. (B) Histidine-tagged LacZ protein did not bind to cobalt *in vitro*. Histidine-tagged LacZ expressed in the same system as recombinant HIF-2 $\alpha$  and protein was detected using anti-T<sub>7</sub> antibody after *in vitro* cobalt binding experiment; T<sub>7</sub> tag is a part of pET expressed fusion proteins. Arrow indicates that there was no target protein bound to resin after 1 M imidazole washing.

688), and HIF-2 $\alpha$  (507–870). In contrast, HIF-2 $\alpha$  levels were considerably higher in cells transfected with HIF-2 $\alpha$  (546–870), which lacks part of the ODD domain (Fig. 4A). Furthermore, upon exposure to hypoxia, the accumulation of the various HIF-2 $\alpha$  protein constructs that included the ODD was increased dramatically (Fig. 4A). These results confirm that the ODD domain regulates HIF-2 $\alpha$  level in response to alterations in oxygen tension. We next studied the cobalt-binding activity of these mutants. The various truncated forms of HIF-2 $\alpha$  were transfected into CHO cells and stabilized by treating the cells with the proteasomal inhibitor Cbz-LLL. Extracts from these cells were then incubated with cobalt charged HisBind resin and washed with 1 M imidazole, as described above. The HIF-2 $\alpha$  (48–688) and HIF-2 $\alpha$  (507–870) protein bound tightly to the cobalt charged HisBind resin (Fig. 4B). However, the mutant HIF-2 $\alpha$  (546–870) failed to bind to the cobalt charged resin. These data indicate that the region of HIF-2 $\alpha$  between 507–546 is critical for cobalt binding and the cobalt-binding site is localized within the ODD domain of HIF-2 $\alpha$ .

Amino acid 523–539 of HIF-2 $\alpha$  is the most conservative region within the ODD among all members of HIF proteins (Fig. 4B). There are six acidic amino acid residues (three Glutamate (E) and three Aspartate (D) residues) within this 17 amino acid sequence. These six acidic amino acid residues are the best candidates for mediating imidazole-resisted cobalt binding. To test the possibility that these amino acids participate in the binding, we deleted the highly conserved seventeen amino acids which contain the 6 acidic amino acid residues. We found that this mutant lost its cobalt binding activity and became stable even during nor-



**FIG. 3.** Endogenous HIF-2 $\alpha$  from PC12 cells could bind to cobalt *in vitro* in oxygen-dependent manner. (A) Proteasomal inhibitor stabilized HIF-2 $\alpha$  binds cobalt *in vitro*. PC12 cells were treated with 1% oxygen or 10  $\mu$ M proteasome inhibitor Cbz-LLL for 4 h; cell extracts were made and subjected to cobalt binding experiment as described under Experimental Procedures. Eluted HIF-2 $\alpha$  was detected by Western blot; hypoxia HIF-2 $\alpha$  means HIF-2 $\alpha$  from hypoxia-treated PC12 cells and Cbz-LLL HIF-2 $\alpha$  means HIF-2 $\alpha$  from Cbz-LLL-treated PC12 cells. The arrow indicates the amount of HIF-2 $\alpha$  sticking on resin after 1 M imidazole washing. (B) Endogenous HIF-2 $\alpha$  does not bind to metal chelating resin *in vitro*. Control experiments were performed using the same cell extracts except that the metal chelating resin was not charged with cobalt.



**FIG. 4.** Large region truncation mutation shows cobalt-binding domain of HIF-2α is within its oxygen dependent degradation domain. (A) The stability of HIF-2α is regulated by oxygen via its ODD domain. This figure shows hypoxia regulation of full-length HIF-2α from PC12 cells and different truncation mutants of HIF-2α transfected into CHO cells. Arrows 1 to 4 indicate the position of HIF-2α protein bands. Mutants without intact ODD domain were not regulated by oxygen concentrations. (B) Cobalt binding activity of different EPAS1 truncation mutants. 1 μg plasmid was transfected into CHO cells after seeding in a 33-mm plate. 48 h after transfection cells were treated with 10 μM Cbz-LLL for 4 h and the cobalt binding experiment was performed as described under Experimental Procedures. In each experiment lane 1 is loading, lane 2 is run through, lane 3 is 60 mM imidazole elution, lane 4 is 1 M imidazole elution, and lane 5 is SDS-PAGE sample buffer elution. The arrows indicate the amount of HIF-2α sticking on the resin after 1 M imidazole washing. Mutant without intact ODD domain cannot bind to cobalt *in vitro*. (C) Homology of the 17 conservative amino acid sequence of all known HIFs. Note there are 6 acidic amino acids (D and E) in this short sequence.

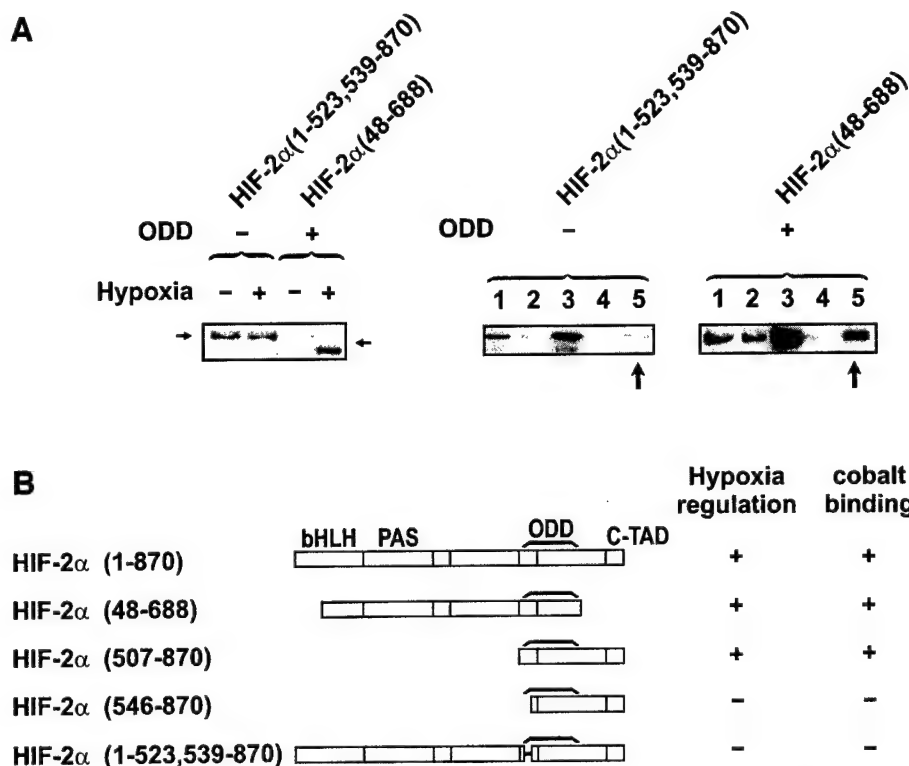
moxia (Fig. 5A). This finding strongly indicates that the conserved 17 amino acid region of the ODD in HIF-2α is involved in cobalt-binding and oxygen regulation of HIF-2α (Fig. 5B).

## DISCUSSION

The cellular response to hypoxia includes the regulation of a specific set of genes that contain the binding sites for transcription factors of the hypoxia-inducible factor family. These factors are rapidly degraded during normoxia, and accumulate during hypoxia (8). Interestingly, transition metals such as cobalt also lead to accumulation of HIFs (9). The exact mechanism by which either hypoxia or cobalt stabilizes HIFs remains unknown. In the current study, we found HIF-2α binds to cobalt *in vitro* and that the binding site is within its oxygen-dependent degradation (ODD) domain. To our

knowledge, this is the first evidence that transition metal (cobalt) binds to HIF-2α *in vitro*. It will lead to the discovery of a novel mechanism by which cobalt activates HIF.

Two hypotheses have been developed previously to describe the mechanisms by which cobalt mimics hypoxia. One hypothesis proposes that the oxygen sensor is a heme protein. This is based primarily on the ability of transition metal ions, such as cobalt, nickel and manganese to substitute for ferrous ions in the porphyrin ring of the heme molecule (14). This interaction locks the heme protein in a "deoxy" conformation, which presumably will activate the hypoxia response. However, this model does not explain why desferrioxamin (DFO), an iron chelator, can also mimic hypoxia since the iron in the heme is unavailable for chelation (15). Moreover, Horiguchi and Bunn reported that inhibition of heme biosynthesis does not suppress hyp-



**FIG. 5.** Cobalt binding domain is located on the most conservative sequence of all HIFs. (A) The oxygen regulation and cobalt binding activity of the mutant HIF-2 $\alpha$  with the 17 amino acids of ODD deleted. Mutant without this 17 amino acid was not regulated by oxygen. In addition this mutant lost its ability to bind to cobalt *in vitro*. Left panel shows the hypoxia regulation of the mutant HIF-2 $\alpha$  (1-523,539-870) and HIF-2 $\alpha$  (48-688) with intact ODD. Arrows 1 and 2 show the position of two HIF-2 $\alpha$  protein bands. The right panel shows the cobalt binding activity of these two HIF-2 $\alpha$  constructs. In each experiment lane 1 is loading, lane 2 is run through, lane 3 is 60 mM imidazole elution, lane 4 is 1 M imidazole elution, and lane 5 is SDS-PAGE sample buffer elution. The arrow indicates the amount of HIF-2 $\alpha$  that remained bound to the resin after 1 M imidazole washing. (B) Schematic representation showing protein constructs of different HIF-2 $\alpha$  truncation mutants and their hypoxia regulation and cobalt binding activity. bHLH, basic-helix-loop-helix. PAS, Period Arnt Similar. ODD, oxygen dependent degradation domain, and C-TAD, C-terminal transactivation domain.

oxia-regulated gene expression, suggesting that cobalt is unlikely to act by substituting for heme iron (16).

The second model proposes that cobalt mimics hypoxia (i.e., stabilize HIF-1 $\alpha$ ) by stimulating the generation of reactive oxygen species (ROS), via a mitochondria-independent mechanism (17). However, there are conflicting results concerning the role of ROS in regulating HIF accumulation (18). For example, the free radical scavenger  $\beta$ -mercaptoethanol efficiently attenuated ROS production by cobalt or hypoxia but failed to suppress hypoxia induced gene expression. Cells treated with H<sub>2</sub>O<sub>2</sub> caused the stabilization of HIF-1 $\alpha$  (19). However, it is entirely possible that the stabilization of HIF-1 $\alpha$  by H<sub>2</sub>O<sub>2</sub> was due to impairment of the proteasomal function since the 26S proteasome which mediates ubiquitin-dependent degradation is more sensitive to H<sub>2</sub>O<sub>2</sub> than 20S proteasome (20). It is also important to note that the proteasome cannot degrade HIF-1 $\alpha$  under hypoxic conditions, yet the proteasome pathways are fully functional. This was demonstrated by the finding that CREB protein could be degraded by proteasome under hypoxia condition (21).

The oxygen-dependent degradation domain of HIF-1 $\alpha$  located on C-terminal of the protein (amino acid 401-603) (13). The ODD is necessary and sufficient for regulation of protein stability as a function of oxygen concentration. There is a 17 amino acid sequence in this region conserved among all HIF family proteins in all species (Fig. 4B). It has been reported that this short sequence mediates the interaction between HIF-1 $\alpha$  and pVHL protein. pVHL protein mediates the degradation of HIF-1 $\alpha$  through ubiquitin-proteasome pathway. The interaction between pVHL and HIF-1 $\alpha$  is disrupted by cobalt (22). Our study suggests that cobalt binds to HIF-2 $\alpha$  *in vitro* and that the cobalt binding site overlaps with the VHL binding site. So it is possible that cobalt disrupts the interaction between pVHL and HIF-2 $\alpha$  by directly binding to HIF-2 $\alpha$  *in vivo*.

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## Hypoxia-induced Regulation of MAPK Phosphatase-1 as Identified by Subtractive Suppression Hybridization and cDNA Microarray Analysis\*

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Subtractive suppression hybridization was used to generate a cDNA library enriched in cDNA sequences corresponding to mRNA species that are specifically up-regulated by hypoxia (6 h, 1% O<sub>2</sub>) in the oxygen-responsive pheochromocytoma cell line. The dual specificity protein-tyrosine phosphatase MAPK phosphatase-1 (MKP-1) was highly represented in this library. Clones were arrayed on glass slides to create a hypoxia-specific cDNA microarray chip. Microarray, northern blot, and western blot analyses confirmed that MKP-1 mRNA and protein levels were up-regulated by hypoxia by ~8-fold. The magnitude of the effect of hypoxia on MKP-1 was approximately equal to that induced by KCl depolarization and much larger than the effects of either epidermal growth factor or nerve growth factor on MKP-1 mRNA levels. In contrast to the calcium-dependent induction of MKP-1 by KCl depolarization, the effect of hypoxia on MKP-1 persisted under calcium-free conditions. Cobalt and deferoxamine also increased MKP-1 mRNA levels, suggesting that hypoxia-inducible factor proteins may play a role in the regulation of MKP-1 by hypoxia. Pretreatment of cells with SB203580, which inhibits p38 kinase activity, significantly reduced the hypoxia-induced increase in MKP-1 RNA levels. Thus, hypoxia robustly increases MKP-1 levels, at least in part through a p38 kinase-mediated mechanism.

Hypoxia is a critical physiological stimulus in a variety of disease states, including ischemia, respiratory disorders, and tumorigenesis (1, 2). In recent years, the mechanisms by which cells respond and adapt to decreased O<sub>2</sub> levels have begun to be elucidated. For example, the hypoxia-inducible factor (HIF)<sup>1</sup> family of proteins includes transcription factors that are stabilized and activated specifically under conditions of low O<sub>2</sub> (for

review, see Ref. 3). Upon activation by hypoxia, the HIF- $\alpha/\beta$  heterodimer can enhance expression of genes that contain the hypoxia response element motif (5'-RCGTG-3') in their 5'-flanking regions, such as vascular endothelial growth factor, erythropoietin, glucose transporter-1, and many others (see Ref. 3). Other transcription factors that can be activated by hypoxia include the cAMP response element-binding protein, c-Fos, JunB, Elk-1, and nuclear factor- $\kappa$ B (4–10). The intracellular signaling pathways that are modulated by hypoxia have also begun to be characterized, and these include calcium-dependent signaling pathways, mitogen-activated protein kinase (MAPK), the p38 stress-activated protein kinases (SAPKs), Akt, and Src (6, 11–15).

Thus, a growing number of hypoxia-responsive genes have now been identified. However, the coordinated processes by which these genes mediate the whole cellular response to hypoxia are virtually unknown. A better understanding of the cellular and molecular mechanisms by which cells respond to and adapt to a reduction in O<sub>2</sub> levels would provide important insight toward developing useful therapies against hypoxia-related disorders. To address this issue, we have used subtractive suppression hybridization (SSH) to generate a cDNA library enriched in transcripts that are specifically regulated by hypoxia in pheochromocytoma PC12 cells. Coupled with cDNA microarray analysis, this study represents the first step toward delineating the global gene expression profile that is regulated by hypoxia.

One of the genes that was most frequently represented in this SSH library was identified as MAPK phosphatase-1 (MKP-1; also termed 3CH134 and CL100) (16, 17). This phosphatase is one member of a family of dual specificity phosphatases or MKPs that oppose the effects of MAPKs and SAPKs (18–20). Phosphorylation of MAPKs and SAPKs can be induced by a wide array of cellular stimuli (for review, see Refs. 21 and 22). Upon phosphorylation in specific Thr-X-Tyr motifs, these enzymes become activated and can translocate to the nucleus and phosphorylate various transcription factors, thereby regulating gene expression. The MKP enzymes are capable of dephosphorylating both phosphothreonine and phosphotyrosine in Thr-X-Tyr motifs, such as those found in MAPKs and SAPKs. Thus, MKPs oppose the effects of MAPKs and SAPKs. Activation of MAPKs and SAPKs is frequently associated with activation of MKPs, suggesting that MKPs play a role in feedback control of MAPK signaling (23).

MKPs can be generally classified as being primarily localized either in the nucleus (MKP-1 and MKP-2) or in the cytosol (MKP-3, MKP-4, MKP-5, and M3/6) (19). The nuclear MKPs are highly inducible and are considered to be immediate-early genes. Recently, it has been shown that the physical interaction of MAPKs or SAPKs with MKPs can stimulate the cata-

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<sup>1</sup> The abbreviations used are: HIF, hypoxia-inducible factor; MAPK, mitogen-activated protein kinase; SAPK, stress-activated protein kinase; SSH, subtractive suppression hybridization; MKP-1, MAPK phosphatase-1; BAPTA-AM, 1,2-bis(2-aminophenoxy)ethane-*N,N,N',N'*-tetraacetic acid acetoxymethyl ester; NGF, nerve growth factor; EGF, epidermal growth factor; PCR, polymerase chain reaction; MEK, MAPK/extracellular signal-regulated kinase kinase; PI3K, phosphatidylinositol 3'-kinase.



lytic activity of both cytosolic and nuclear MKPs (24–27). It has also been suggested that an increase in MKP gene expression represents another level of negative feedback regulation on MAPK signaling pathways (23, 28).

In previous studies, we (13, 14) and others (6, 29) have shown that MAPKs and certain SAPKs are activated in response to hypoxia. Here, we employ SSH coupled with cDNA microarray analysis to demonstrate that MKP-1 is strongly induced by hypoxia in PC12 cells. We also show that this occurs in a calcium-independent manner and that the p38 SAPKs are involved in mediating this effect.

#### EXPERIMENTAL PROCEDURES

**Cell Culture**—PC12 cells were cultured as described previously (4). HepG2 and Hep3B cells were grown in the same culture medium used for PC12 cells. HEK293 and COS-7 cells were grown in Dulbecco's modified Eagle's medium supplemented with 15 mM Hepes, pH 7.4, 10% fetal bovine serum, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin. In some experiments, cells were switched to serum-free Dulbecco's modified Eagle's medium or serum-free Dulbecco's modified Eagle's medium formulated in the absence of calcium (Life Technologies, Inc.) and supplemented with 1 mM EGTA as described previously (4). Prior to experimentation, cells were grown to ~80% confluence on 100-mm plates in an environment of 21% O<sub>2</sub> and 5% CO<sub>2</sub>. Hypoxia was delivered in an O<sub>2</sub>-regulated incubator (Forma Science, Inc., Marietta, OH) as described previously (30).

**Drug Treatments**—In some experiments, cells were switched to serum-free medium and treated with various drugs (or the corresponding vehicle) for 1 h prior to the initiation of hypoxia. BAPTA-AM, cobalt chloride, and deferoxamine mesylate were obtained from Sigma. Wortmannin, PD098059, and SB203580 were all obtained from Calbiochem. Nerve growth factor (NGF) (Alomone Labs, Jerusalem, Israel) and epidermal growth factor I (EGF) (Calbiochem) were applied for 20 min under normoxic conditions at final concentrations of 50 ng/ml. When peptide growth factors were used, experiments were performed in serum-free medium supplemented with 0.1% bovine serum albumin in the presence or absence of the indicated growth factors.

**RNA Preparation**—RNA was isolated from PC12 cells with Triagent™ (Molecular Research Center, Inc., Cincinnati, OH), essentially according to the suggested protocol, with the addition of two extra acid phenol/chloroform extractions following the single chloroform extraction recommended by the manufacturer. This modification significantly improved the quality of RNA that was recovered. RNA was resuspended in nuclease-free water and quantified with a spectrophotometer as the average of triplicate absorbance readings at 260 nm. RNA quality was verified by visualization of 20  $\mu$ g on formaldehyde-containing 1% agarose gels containing SYBR® Green II (Molecular Probes, Inc., Eugene, OR). For cDNA library construction, mRNA was isolated from total RNA using the Oligotex Direct mRNA minikit (QIAGEN Inc., Valencia, CA) according to the manufacturer's protocol. For real-time polymerase chain reaction (PCR), RNA was treated with amplification-grade DNase I (Life Technologies, Inc.) to remove genomic DNA.

**cDNA Library Construction**—A custom-subtracted cDNA library was constructed using the PCR-Select™ cDNA subtraction kit (CLONTECH, Palo Alto, CA) according to the manufacturer's protocol. Briefly, PC12 cells were exposed to hypoxic or normoxic conditions for 6 h, and mRNA was isolated as described above. Double-stranded cDNA was generated and subjected to restriction digest with *Rsa*I. Following the digest, the hypoxic (tester) sample was split into two pools. Each pool was ligated with a different adaptor (N1 or N2R). Each ligated pool was then denatured and hybridized with an excess of denatured normoxic (driver) cDNA. The hybridized pools were mixed, and a second round of hybridization was performed with an excess of denatured driver. The population of hybrid molecules that contains both adaptors (N1 and N2R) is the population that represents the differentially expressed tester sequences. The entire population of hybridized molecules was subjected to PCR to amplify these desired sequences. In this PCR, driver sequence does not get amplified because it has no adaptors. Tester/driver hybrids are only linearly amplified because they contain adaptor at only one end. Tester/tester hybrids that have the same adaptor at both ends will form hairpin loops under the conditions used and will not be amplified. The amplified fragments were then ligated into the pCR®2.1-TOPO® vector (Invitrogen, Carlsbad, CA). Ligated clones were electroporated into DH10B cells. The library was amplified in LB medium containing 50  $\mu$ g/ml kanamycin (growth medium), and the titer was determined. A portion of the library was plated, and

colonies were picked into 96-well microtiter plates containing growth medium. Copies of the library were stored in this format at -80 °C as a glycerol stock. The entire library was subjected to DNA sequencing by MWG Biotech, Inc. (High Point, NC) using the M13 reverse primer.

**Microarray Production**—Clone inserts were amplified by bacterial PCR using either M13 forward (-40) and reverse primers or primers to the adaptor sequences from the PCR-Select™ kit. PCR products were isopropyl alcohol-precipitated and resuspended in 3× SSC. The final concentration of each PCR product was 0.2–1  $\mu$ g/ $\mu$ l. PCR products were spotted onto poly-L-lysine-coated slides using an OmniGrid™ robot (GeneMachines, San Carlos, CA). Poly-L-lysine slides were either prepared by the method of Brown *et al.* (31) or purchased from CEL Associates (Houston, TX); there was no difference in quality between the two types of slides. Slides were post-processed using the succinic anhydride method (31) and stored at room temperature in a desiccator cabinet until used.

**Microarray Probe Labeling and Hybridization**—Probes for cDNA microarrays were generated using 100  $\mu$ g of total RNA from cells exposed to normoxia (21% O<sub>2</sub>) or hypoxia (1% O<sub>2</sub>) in a standard reverse transcriptase reaction in which some of the dTTP was replaced with either 50  $\mu$ M Cy3-labeled dUTP or 75  $\mu$ M Cy5-labeled dUTP (Amersham Pharmacia Biotech). In some experiments, the hypoxic sample was labeled with Cy3; and in others, it was labeled with Cy5, with essentially identical results. Probes were cleaned using the QIAquick nucleotide removal kit (QIAGEN Inc.). Probes were combined and hybridized to the array overnight at 58 °C in buffer containing 0.57  $\mu$ g/ $\mu$ l COT-1 DNA, 0.57  $\mu$ g/ $\mu$ l (dA)<sub>40–60</sub>, 0.23  $\mu$ g/ $\mu$ l yeast tRNA, 3.5× SSC, and 0.3% SDS. Slides were washed in the following buffers at room temperature: 1) 10 min in 2× SSC and 0.2% SDS; 2) 5 min in 1× SSC and 0.2% SDS; 3) 1 min in 2× SSC; and 4) 1 min in 0.05× SSC. Slides were then dried by centrifugation at room temperature and scanned immediately.

**Microarray Data Analysis**—Slides were scanned with a GenePix 4000A scanner (Axon Instruments, Inc., Foster City, CA) at 532 nm (Cy3) and 635 nm (Cy5) simultaneously. The images were analyzed using GenePix Version 2.0 software. The background-subtracted median ratio value was calculated for each spot, and replicate spots on each slide were averaged.

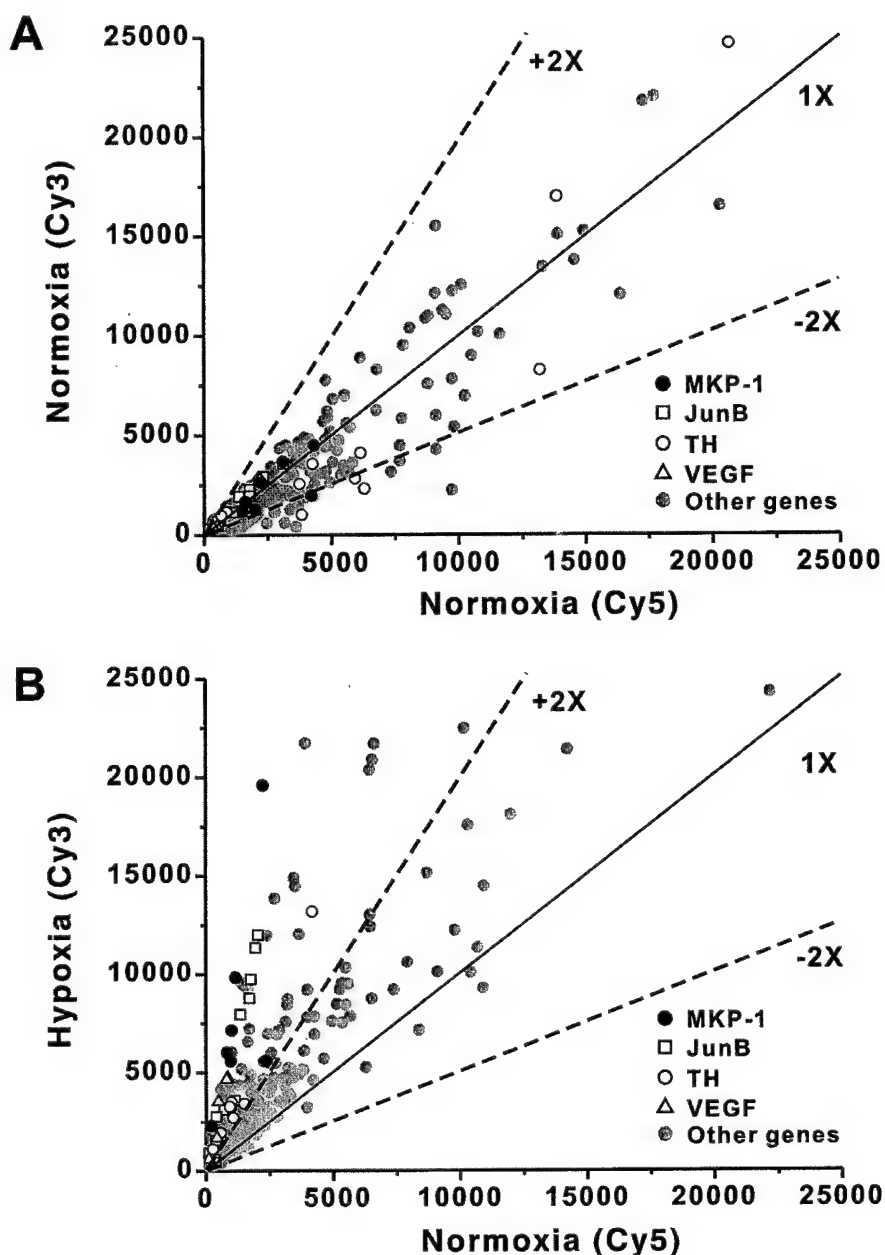
**Northern Blots**—RNA was isolated as described above, transferred to nylon membranes (Hybond™-N<sup>+</sup>, Amersham Pharmacia Biotech), and subjected to UV cross-linking. Membranes were stained with methylene blue to ensure quantitative transfer of the RNA to the membrane. Membranes were then prehybridized in a solution containing 1.0% SDS and 0.1 M NaCl in diethyl pyrocarbonate-treated water for a minimum of 1 h at 42 °C in a rotating hybridization tube.

One of the fragments identified as a partial cDNA sequence of rat MKP-1 was a 243-base pair *Rsa*I fragment corresponding to nucleotides 1498–1740 of this gene (99.4% identity to GenBank™/EBI Data Bank accession number X84004). This fragment was released from the pCR2.1-TOPO vector by enzymatic digestion with *Rsa*I and then excised and purified from a 1.2% agarose gel. The insert (25 ng) was labeled with deoxycytidine 5'-[<sup>32</sup>P]-triphosphate (PerkinElmer Life Sciences) by the random priming method (Prime-a-Gene, Promega, Madison, WI). Probes containing 1 × 10<sup>7</sup> cpm were added to 10 ml of high efficiency system hybridization solution with 50% formamide (Molecular Research Center, Inc.). Blots were hybridized for a minimum of 18 h at 42 °C in a rotating hybridization tube. Blots were washed three times in 15 ml of 1× SSC (3.0 M sodium chloride and 0.3 M sodium citrate, pH 7.0) and 1.0% SDS in diethyl pyrocarbonate-treated aqueous solution. mRNA signals were detected and quantified using a PhosphorImager (Molecular Dynamics, Inc., Sunnyvale, CA).

**Western Blots**—Following exposure to hypoxia, cells were harvested and prepared for SDS-polyacrylamide gel electrophoresis and immunoblotting as described previously (13). Immunoreactivity levels were evaluated by subjecting samples of whole cell lysates (60  $\mu$ g of protein) to immunoblotting with antibodies specific for MKP-1 (V-15, 1:1000 dilution; Santa Cruz Biotechnology, Santa Cruz, CA), phospho-p42/p44 MAPK (1:1000 dilution; New England Biolabs Inc., Beverly, MA), total p42/p44 MAPK (1:1000 dilution; New England Biolabs Inc.), phospho-Ser<sup>473</sup> Akt (1:1000 dilution; New England Biolabs Inc.), or total Akt (1:1000 dilution; New England Biolabs Inc.). Immunoreactivity was detected by ECL (Amersham Pharmacia Biotech) and quantified by densitometric analysis using an ImagePro digital analysis system.

**Real-time PCR**—Cells were exposed to normoxia or hypoxia, and total RNA was isolated as described above. First-strand cDNA synthesis was performed using the SuperScript™ first-strand synthesis system for real-time PCR (Life Technologies, Inc.) with oligo(dT) as the primer according to the manufacturer's directions. Real-time PCR was performed in a Smart Cycler (Cepheid, Sunnyvale, CA) using the Light-

**FIG. 1. MKP-1 mRNA levels are up-regulated by hypoxia in microarray analysis.** PC12 cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>) for 6 h, and total RNA was isolated as described under "Experimental Procedures." **A**, comparison of control *versus* control gene expression pattern. Cy3- and Cy5-labeled probes were each generated from RNA derived from cells maintained in normoxia. Probes were hybridized to arrays as described under "Experimental Procedures." Background-subtracted median pixel intensities are plotted from a representative experiment. Each *symbol* represents one spot on the array. **B**, comparison of control *versus* hypoxia gene expression pattern. The Cy5-labeled probe was generated from RNA derived from cells maintained in normoxia, whereas the Cy3-labeled probe was generated from RNA derived from cells exposed to hypoxia. The data shown are representative of results obtained in three separate hybridization experiments.



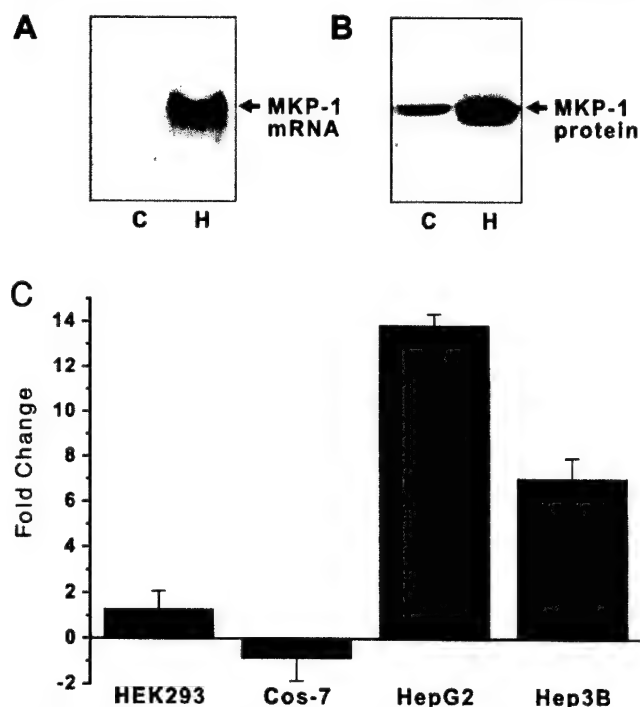
Cycler DNA Master SYBR Green I dye intercalation assay (Roche Molecular Biochemicals). Primers (forward, 5'-TGAACCTCAGCACATTCGGGACC-3'; and reverse, 5'-AGGGGCGAGCAAAAAGAAACC-3') were generated to human MKP-1 (GenBank™/EBI Data Bank accession number XM\_003720) and used to amplify a 113-base pair fragment. Measurements were taken at the end of the 72 °C extension step in each cycle, and the second-derivative method was used to calculate threshold cycle. Melt curve analysis showed a single sharp peak for all samples.

#### RESULTS

The sequence data obtained from the SSH library revealed that the library contained 200 different genes that corresponded to known sequences in the current public data bases. A number of sequences in the library were found to be present in multiple copies, including JunB (14 copies), tyrosine hydroxylase (five copies), and vascular endothelial growth factor (three copies), which are all genes that are strongly regulated by hypoxia (7, 30, 32). Six copies of the rat homolog of MKP-1, also known as CL100 or 3CH134, were also found in this library.

As a first step toward verifying regulation of the genes in the SSH library, PCR products derived from each clone in the library were spotted onto glass slides and evaluated by cDNA microarray analysis (Fig. 1) as described under "Experimental Procedures." cDNA microarray analysis revealed that tyrosine hydroxylase, JunB, and vascular endothelial growth factor were all strongly regulated by hypoxia (6 h, 1% O<sub>2</sub>), as expected. These experiments also demonstrated that MKP-1 mRNA was similarly increased by an average of ~5-fold.

To further confirm that MKP-1 was regulated by hypoxia, MKP-1 mRNA levels were determined by northern blot analysis. These experiments revealed that MKP-1 mRNA was up-regulated by an average of 8.6-fold in response to hypoxia (6 h, 1% O<sub>2</sub>) in PC12 cells (Fig. 2A). A similar increase was observed in MKP-1 immunoreactivity on western blots (Fig. 2B). Thus, hypoxia induces MKP-1 expression at both the mRNA and protein levels in PC12 cells. To determine whether or not this effect is specific to PC12 cells, the effect of hypoxia on MKP-1 expression levels was examined in HepG2, Hep3B, HEK293,

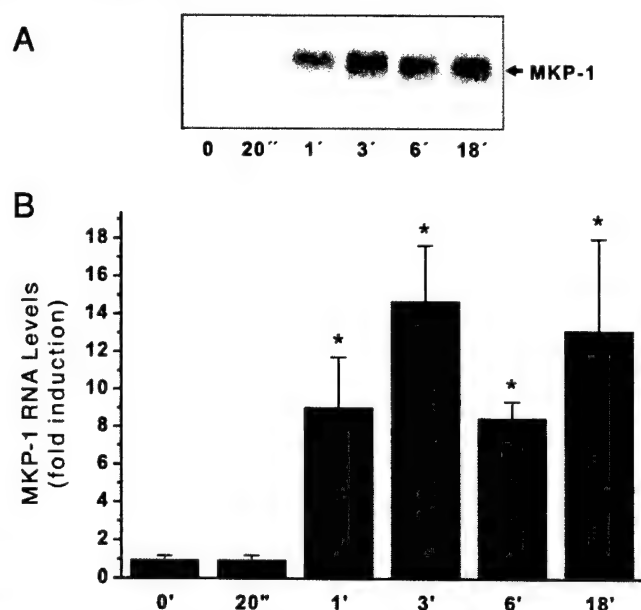


**FIG. 2. Hypoxia increases MKP-1 mRNA and protein levels.** A and B, PC12 cells were exposed to either normoxia (21% O<sub>2</sub>; control (C)) or hypoxia (1% O<sub>2</sub>; H) for 6 h as indicated. A, RNA was isolated and subjected to northern blot analysis as described under "Experimental Procedures." B, whole cell lysates were isolated and subjected to western blot analysis as described under "Experimental Procedures." Representative blots are shown. C, cells were exposed to hypoxia or normoxia for 4 h. RNA was isolated and subjected to real-time PCR analysis as described under "Experimental Procedures." MKP-1 RNA levels are expressed as -fold change in the hypoxic sample compared with the corresponding normoxic sample (mean  $\pm$  S.E.,  $n = 4$ ).

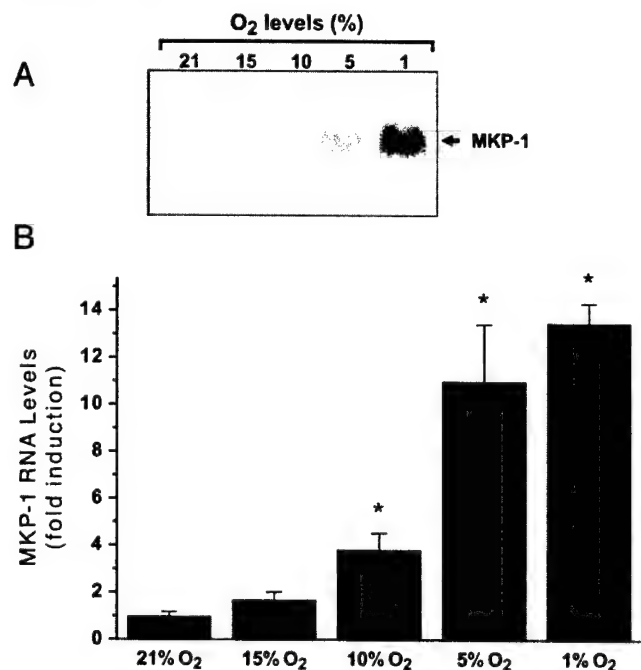
and COS-7 cell lines. The probe used for northern blotting was not sensitive enough to detect MKP-1 mRNA in these cell lines, so real-time PCR was used instead. Comparison of the threshold cycles for normoxic *versus* hypoxic samples showed a significant increase in MKP-1 mRNA expression in response to hypoxia in the HepG2 ( $20.89 \pm 0.22$  for normoxic *versus*  $16.73 \pm 0.32$  for hypoxic,  $p = 9e^{-5}$ ) and Hep3B ( $20.19 \pm 0.50$  for normoxic *versus*  $18.07 \pm 0.24$  for hypoxic,  $p = 0.004$ ) cell lines. This threshold cycle difference corresponds to a 13.89-fold up-regulation of MKP-1 in HepG2 cells and a 7.06-fold up-regulation of MKP-1 in Hep3B cells (Fig. 2C). There was a slight increase in MKP-1 mRNA levels in HEK293 cells and a slight decrease in MKP-1 mRNA in COS-7 cells, but these differences did not achieve statistical significance.

In other experiments, PC12 cells were exposed to hypoxia for various times between 20 min and 18 h (Fig. 3). The earliest time at which MKP-1 levels were elevated in response to hypoxia was 1 h. The maximal effect of hypoxia on MKP-1 mRNA levels occurred between 3 and 6 h of exposure to hypoxia. PC12 cells were also exposed to a range of oxygen levels between 21% (normoxia) and 1% O<sub>2</sub> for 4 h. These experiments showed that the effects of hypoxia occurred in a dose-dependent manner, with a modest effect at 10% and a maximal effect at 1% O<sub>2</sub> (Fig. 4).

To compare the effect of hypoxia on the regulation of MKP-1 with that of other stimuli, PC12 cells were treated with hypoxia, KCl, EGF, or NGF. As shown in Fig. 5A, the effects of both hypoxia and KCl on MKP-1 levels were quite robust, averaging 7.6- and 6.9-fold over basal levels, respectively (Fig. 5B). The effects of CoCl<sub>2</sub> and deferoxamine, two agents that mimic hypoxia, were also tested. CoCl<sub>2</sub> (Fig. 5C) and deferox-



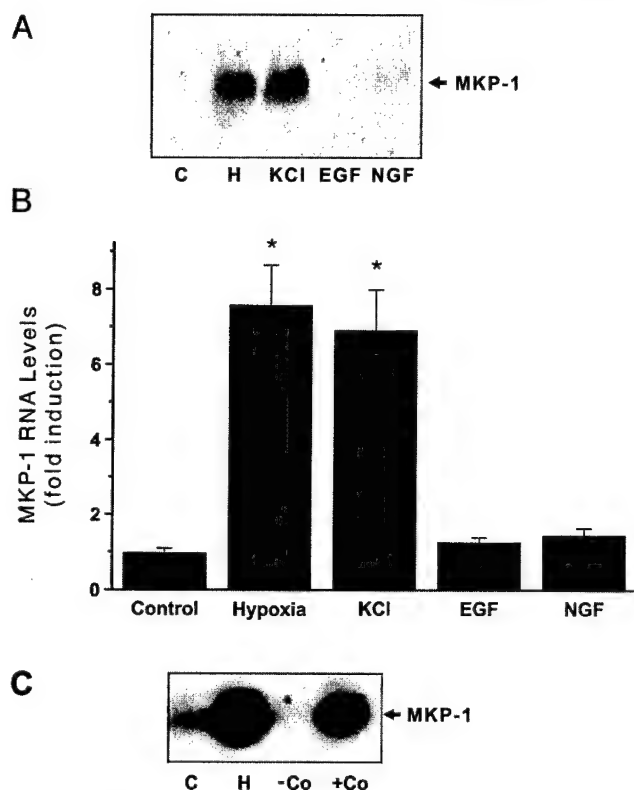
**FIG. 3. Hypoxia induces a persistent increase in MKP-1 mRNA levels.** PC12 cells were exposed to either normoxia (21% O<sub>2</sub>) or hypoxia (1% O<sub>2</sub>) for various times as indicated. RNA was isolated and subjected to northern blot analysis as described under "Experimental Procedures." A, a representative MKP-1 northern blot is shown. B, MKP-1 RNA levels are expressed as average -fold induction  $\pm$  S.E. ( $n = 4-8$  in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test).



**FIG. 4. Dose-dependent regulation of MKP-1 by hypoxia.** PC12 cells were exposed to various levels of hypoxia, between 21% (normoxia) and 1% O<sub>2</sub>, for 4 h as indicated. RNA was isolated and subjected to Northern blot analysis as described under "Experimental Procedures." A, a representative MKP-1 northern blot is shown. B, MKP-1 RNA levels are expressed as average -fold induction  $\pm$  S.E. ( $n = 6$  in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test).

amine (data not shown) also increased MKP-1 mRNA levels to a similar extent compared with hypoxia. In contrast, the effects of EGF and NGF on MKP-1 levels were modest in this cell type, averaging only 28 and 47% increases over control levels, respectively.

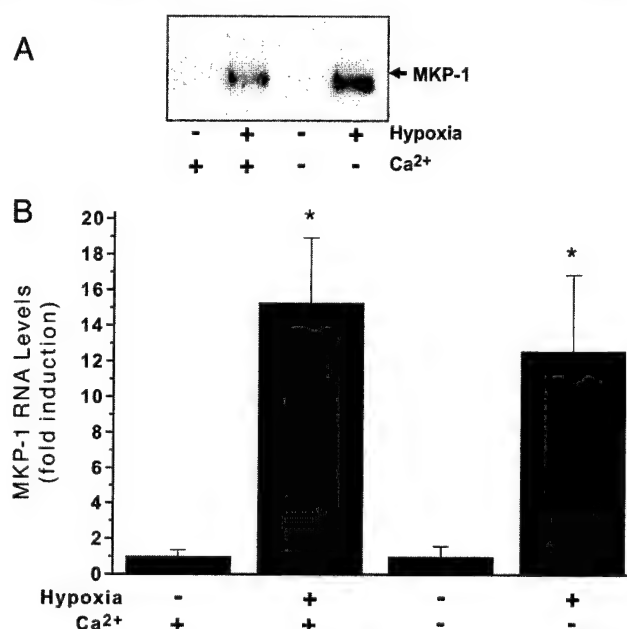
A series of experiments designed to identify the signaling



**FIG. 5. Effect of hypoxia versus EGF, NGF, and KCl on MKP-1.** A and B, PC12 cells were exposed to normoxia (21%  $O_2$ ; control (C)), hypoxia (1%  $O_2$ ; H), KCl (75 mM), EGF (50 ng/ml), or NGF (50 ng/ml) for 4 h. RNA was isolated and subjected to Northern blot analysis as described under "Experimental Procedures." A, a representative MKP-1 northern blot is shown. Similar effects of EGF and NGF on MKP-1 levels were observed after 20 min and 1 h of treatment with these growth factors (data not shown). B, MKP-1 RNA levels are expressed as average fold induction  $\pm$  S.E. ( $n = 6$  in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test). C, PC12 cells were exposed to normoxia (21%  $O_2$ ), hypoxia (1%  $O_2$ ), vehicle (-Co), or cobalt chloride (+Co; 100  $\mu$ M) for 4 h. RNA was isolated and subjected to northern blot analysis. A representative blot is shown.

pathways involved in the hypoxia-induced regulation of MKP-1 were then performed. To test whether the induction of MKP-1 expression is  $Ca^{2+}$ -dependent, PC12 cells were incubated in  $Ca^{2+}$ -free medium supplemented with 1 mM EGTA and then exposed to either normoxia (21%  $O_2$ ) or hypoxia (6 h, 1%  $O_2$ ). As shown in Fig. 6, the hypoxia-induced increase in MKP-1 mRNA persisted in the presence or absence of extracellular  $Ca^{2+}$ . The possibility that MKP-1 was induced by the release of  $Ca^{2+}$  from intracellular stores was next tested. Both extracellular and intracellular  $Ca^{2+}$  were removed by preloading cells with BAPTA-AM, a membrane-permeable calcium chelator, and then incubating cells in  $Ca^{2+}$ -free medium during exposure to hypoxia. As a control, cells that were depolarized with KCl were also included in this experiment. As shown in Fig. 7, the hypoxia-induced increase in MKP-1 persisted in the absence of both intracellular and extracellular calcium, whereas the effect of KCl depolarization was completely blocked under calcium-free conditions.

Next, it was of interest to determine whether stimulation of MKP-1 expression by hypoxia is dependent on enzymatic activation of MAPK. PC12 cells were pretreated with vehicle or PD98059, a specific inhibitor of MEK-1, the kinase directly upstream of MAPK in the Ras/Raf/MEK/MAPK signaling cascade. As shown Fig. 8 (A and B), MKP-1 expression was not diminished by pretreatment with PD98059. In fact, there was a tendency toward a greater effect of hypoxia on MKP-1 levels in the cells that were pretreated with PD98059 compared with



**FIG. 6. Induction of MKP-1 in response to hypoxia does not require extracellular calcium.** PC12 cells were preincubated for 40 min either in serum-free medium with  $Ca^{2+}$  ( $[Ca^{2+}]_{free} = 1$  mM) or in serum-free medium without  $Ca^{2+}$  ( $Ca^{2+}$ -free medium supplemented with 1 mM EGTA). After 40 min, the cells were exposed to normoxia (21%  $O_2$ ) or hypoxia (1%  $O_2$ ) for 4 h as indicated. A, a representative MKP-1 northern blot is shown. B, MKP-1 RNA levels are expressed as average fold induction  $\pm$  S.E. ( $n = 6-11$  in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test).

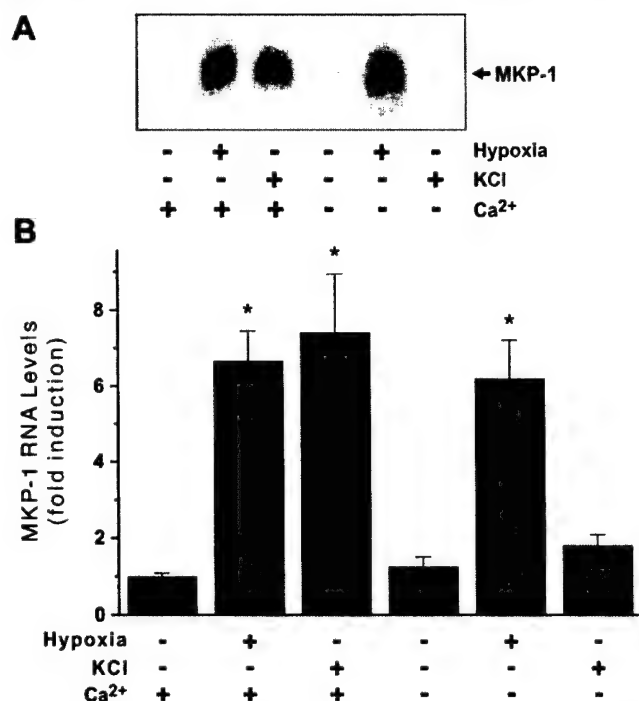
vehicle, although this effect did not achieve statistical significance ( $p > 0.1$ ). The PD98059 pretreatment was efficacious in that it blocked the hypoxia-induced increase in phospho-MAPK immunoreactivity, as shown in Fig. 8 (C and D).

To determine whether the p38 pathway might be involved in the regulation of MKP-1, the effect of SB203580 on the hypoxia-induced increase in MKP-1 RNA levels was tested. Prior to exposure to hypoxia, PC12 cells were treated either with SB203580, an inhibitor of the p38 $\alpha$  and p38 $\beta$  isoforms of the p38 family of protein kinases, or with vehicle. As shown in Fig. 9, although hypoxia still induced a significant increase in MKP-1 mRNA levels in cells that were pretreated with SB203580 (3.5-fold above control levels), this effect was significantly diminished compared with the effect of hypoxia on MKP-1 levels in cells that were pretreated with vehicle (7.5-fold).

Finally, a role for the phosphatidylinositol 3'-kinase (PI3K) signaling pathway in the regulation of MKP-1 by hypoxia was investigated. In a previous study by this laboratory, it was demonstrated that Akt, a protein kinase downstream of PI3K, is activated by hypoxia (15). The hypoxia-induced activation of Akt is blocked by wortmannin (15), a selective inhibitor of PI3K. Although wortmannin treatment abolished the hypoxia-induced phosphorylation of Akt (Fig. 10, C and D), the hypoxia-induced increase in MKP-1 levels persisted in the presence of wortmannin (A and B).

#### DISCUSSION

The mechanisms by which cells respond to and adapt to changes in oxygen levels are not well understood. To develop a better view of the coordinated pattern of genes that are regulated by hypoxia, we utilized SSH coupled with cDNA microarray analysis. One of the genes most frequently represented in the library and highly regulated on the cDNA microarrays corresponded to the dual specificity protein-tyrosine phosphatase MKP-1. This enzyme plays an important role in modulating both the MAPK and SAPK signaling pathways (20, 29), and



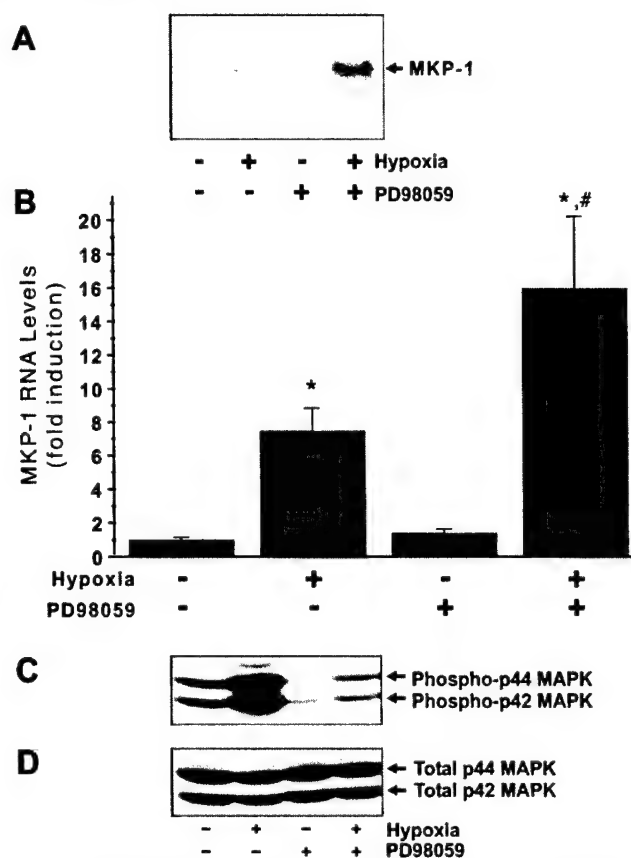
**FIG. 7. Induction of MKP-1 in response to hypoxia, but not KCl, persists in the absence of both intracellular and extracellular calcium.** Cells were preincubated for 40 min either in serum-free medium with Ca<sup>2+</sup> ([Ca<sup>2+</sup>]<sub>free</sub> = 1 mM) and vehicle or in serum-free medium without Ca<sup>2+</sup> (Ca<sup>2+</sup>-free medium supplemented with 1 mM EGTA) containing 100  $\mu$ M BAPTA-AM. After 40 min, the external Ca<sup>2+</sup>-containing or Ca<sup>2+</sup>-free medium was replaced (not including vehicle or BAPTA-AM), and the cells were exposed to normoxia (21% O<sub>2</sub>), hypoxia (1% O<sub>2</sub>), or KCl (75 mM) for 4 h as described under "Experimental Procedures." **A**, a representative MKP-1 northern blot is shown. **B**, MKP-1 RNA levels are expressed as average fold induction  $\pm$  S.E. ( $n$  = 6–11 in each group). \*, significantly different from the control ( $p$  < 0.01 by independent  $t$  test).

increased MKP-1 expression has been implicated in PC12 cell survival (33).

There has been some debate about the signaling mechanisms by which MKP-1 gene expression is regulated. Several studies have implicated calcium as playing a critical role in the regulation of MKP-1 gene expression (28, 34, 35). However, other studies have suggested that the SAPKs are also involved in MKP-1 expression (36, 37). Thus, it was of considerable interest to determine which, if any, of these pathways induce MKP-1 gene expression under conditions of hypoxia.

Northern and western blot analyses verified that MKP-1 mRNA and protein levels were dramatically up-regulated by hypoxia in PC12 cells. The effect of hypoxia on MKP-1 was robust (8-fold after 4 h in 1% O<sub>2</sub>) and comparable in magnitude to that induced by depolarization with KCl. The effects of hypoxia and KCl were much greater than those of EGF and NGF, which have been previously shown to induce only modest increases in MKP-1 expression in PC12 cells (38). Hypoxia also increased MKP-1 mRNA levels in two hypoxia-responsive cell lines, HepG2 and Hep3B, whereas the levels in COS-7 and HEK293 cells remained unchanged. Therefore, up-regulation of MKP-1 appears to be part of a generalized response to hypoxia in certain hypoxia-responsive cell types. It is interesting to note that the effects of hypoxia occurred in a dose-dependent manner, with a modest effect at 10% and a maximal effect at 1% O<sub>2</sub>. This contrasts with our previous results showing that the effects of hypoxia on tyrosine hydroxylase gene expression are maximal at 5% O<sub>2</sub> (30). This suggests that genes may be differentially responsive to various degrees of hypoxia.

One of the earliest known responses to hypoxia in PC12 cells

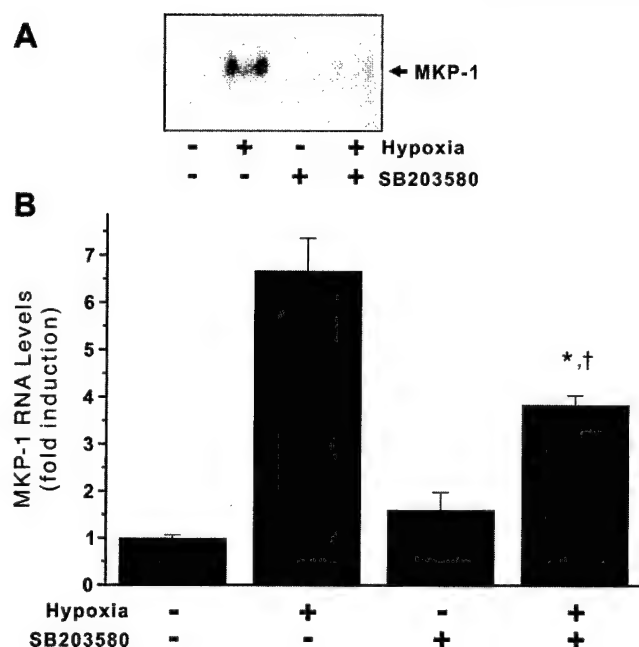


**FIG. 8. Induction of MKP-1 is not dependent on activation of the MEK-1/MAPK signaling pathway.** PC12 cells were pretreated for 1 h in serum-free medium with either vehicle (-) or 50  $\mu$ M PD98059 (+) and exposed to either normoxia or hypoxia as described under "Experimental Procedures." **A**, a representative MKP-1 northern blot is shown. **B**, MKP-1 RNA levels are expressed as average fold induction  $\pm$  S.E. ( $n$  = 5–6 in each group). \*, significantly different from the control ( $p$  < 0.01 by independent  $t$  test); #, not significantly different from hypoxia in the absence of PD98059 ( $p$  > 0.1 by independent  $t$  test). **C** and **D**, separate dishes of cells were identically pretreated with either vehicle (-) or 50  $\mu$ M PD98059 (+) and exposed to either normoxia or hypoxia. Whole cell lysates were harvested and subjected to immunoblotting with either an antibody that specifically recognizes phospho-p42/p44 MAPK (**C**) or an antibody that equally recognizes phospho- and dephospho-MAPK (total MAPK) (**D**).

is depolarization and an elevation of intracellular calcium levels (11). Because agents that elevate intracellular calcium levels have been shown to increase MKP-1 expression and because several previous studies have reported that MKP-1 is a Ca<sup>2+</sup>-induced protein phosphatase (28, 34, 35), we investigated whether calcium is critical for the hypoxia-induced regulation of MKP-1. Interestingly, the increase in MKP-1 mRNA induced by hypoxia was completely unaffected by the removal of extracellular calcium or both extracellular and intracellular calcium. This is in striking contrast to the effects of KCl-induced depolarization on MKP-1, which were abolished in the absence of calcium. Thus, although calcium can regulate MKP-1 gene expression under certain conditions (28, 34, 35), hypoxia clearly induces MKP-1 mRNA in PC12 cells in a calcium-independent manner.

It has been suggested that induction of MKP-1 gene expression occurs as a compensatory response to activation of MAPK (18, 20). Furthermore, previous studies in our laboratory have shown that hypoxia activates MAPK in PC12 cells with a time course similar to that of the regulation of MKP-1 mRNA by hypoxia (13, 14). To determine whether activation of MAPK is a prerequisite for up-regulation of MKP-1 mRNA, PC12 cells were pretreated with PD98059, a specific inhibitor of MEK, the upstream activa-



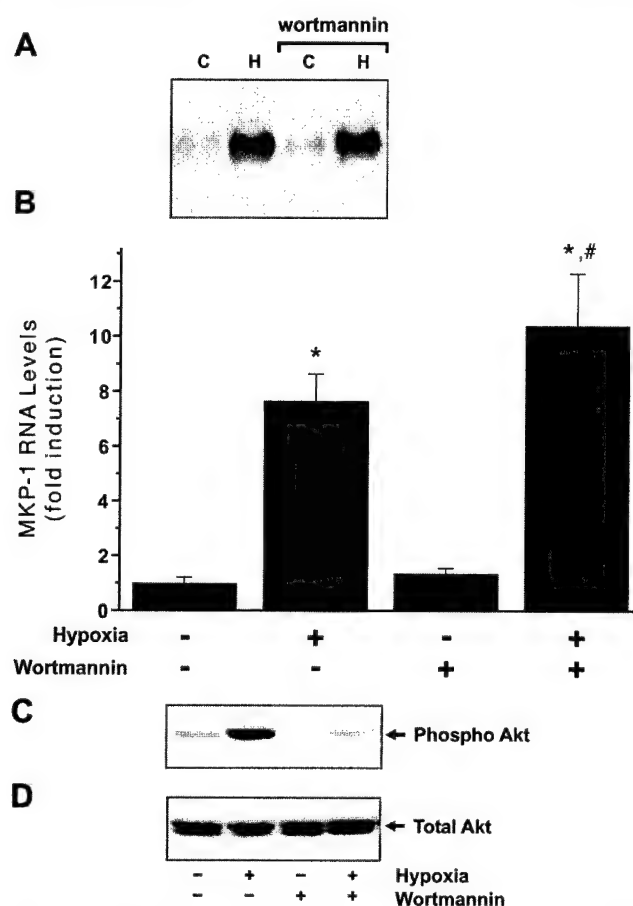


**FIG. 9. Effects of hypoxia on MKP-1 are at least partially mediated by a p38-dependent mechanism.** PC12 cells were pretreated for 1 h in serum-free medium with either vehicle (–) or 2  $\mu$ M SB203580 (+) and exposed to either normoxia or hypoxia as described under “Experimental Procedures.” A, a representative MKP-1 northern blot is shown. B, MKP-1 RNA levels are expressed as average -fold induction  $\pm$  S.E. ( $n = 5$ –10 in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test); †, significantly different from hypoxia in the absence of SB203580 ( $p < 0.01$  by independent  $t$  test).

tor of MAPK. Although PD98059 blocked hypoxia-induced phosphorylation of MAPK, it did not alter the effect of hypoxia on MKP-1. Therefore, the hypoxia-induced activation of MAPK is not essential for the increase in MKP-1 RNA levels. These findings are consistent with those of other studies, in which inhibition of MEK was also insufficient to prevent the induction of MKP-1 gene expression by various stimuli (38, 39).

Another intracellular signaling system that is stimulated by hypoxia is the PI3K/Akt pathway. Akt (also termed protein kinase B) is a cytosolic serine/threonine protein kinase that has been shown to be critical for cell survival under adverse conditions (40, 41). In an earlier study, we showed that Akt is activated by hypoxia in PC12 cells and that this effect is blocked by wortmannin (an inhibitor of PI3K, an upstream activator of Akt) (15). However, the effect of hypoxia on MKP-1 persisted in the presence of wortmannin, indicating that this effect is independent of the PI3K/Akt signaling pathway.

A significant finding in this study was that the effects of hypoxia on MKP-1 were markedly attenuated by SB203580, a specific inhibitor of the p38 family of SAPKs. Increasing evidence suggests that activation of p38 may have both apoptosis-promoting and cell-protective functions, depending on the particular cellular context (42–47). To date, there are five known members of the p38 family of SAPKs (for review, see Ref. 22). The p38 $\alpha$  and p38 $\beta$  subtypes are sensitive to inhibition by SB203580, but the p38 $\gamma$  and p38 $\delta$  subtypes are not (47–50). Importantly, we have previously shown that both the p38 $\alpha$  and p38 $\gamma$  subtypes are activated by hypoxia (13). Of these, the SB203580-insensitive p38 $\gamma$  isoform is most strongly activated in response to hypoxia in PC12 cells (13). Therefore, it is possible that the remaining ~3.5-fold increase in MKP-1 mRNA (i.e. that which is not inhibited by SB203580) is mediated by the SB203580-insensitive p38 $\gamma$  isoform. Indeed, the time course of activation of p38 $\gamma$  by hypoxia in PC12 cells closely parallels that of induction of MKP-1 mRNA (see Ref. 13 and



**FIG. 10. Induction of MKP-1 is not dependent on the PI3K signaling pathway.** PC12 cells were pretreated for 1 h in serum-free medium with either vehicle (–) or 100 nM wortmannin (+) and exposed to either normoxia (control (C)) or hypoxia (H) as described under “Experimental Procedures.” A, a representative MKP-1 northern blot is shown. B, MKP-1 RNA levels are expressed as average -fold induction  $\pm$  S.E. ( $n = 6$  in each group). \*, significantly different from the control ( $p < 0.01$  by independent  $t$  test); #, not significantly different from hypoxia in the absence of wortmannin ( $p > 0.1$  by independent  $t$  test). C and D, separate dishes of cells were identically pretreated with either vehicle (–) or 100 nM wortmannin (+) and exposed to either normoxia or hypoxia. Whole cell lysates were harvested and subjected to immunoblotting with either an antibody that specifically recognizes phospho-Akt (C) or an antibody that equally recognizes phospho- and dephospho-Akt (total Akt) (D).

Fig. 3A). However, at present, in the absence pharmacological inhibitors of the p38 $\gamma$  isoforms, we are unable to test this hypothesis directly. Alternatively, other as yet unidentified (non-p38) signaling pathways may also play a role in the regulation of MKP-1 by hypoxia.

Previous studies have shown that MKP-1 and other MKPs can dephosphorylate and thereby inactivate MAPKs and SAPKs (20, 25, 27). It has recently been shown that MKP-1 associates directly with p38 and that this interaction enhances the catalytic activity of MKP-1 (25). It has also been suggested that MKP-1 dephosphorylates the p38 kinase in cardiomyocytes (51). Although we have not measured this directly in our study, it is reasonable to assume that MKP-1 also dephosphorylates p38 in response to hypoxia as a negative feedback response. This hypothesis is consistent with the finding that the hypoxia-induced phosphorylation of p38 $\alpha$  and p38 $\gamma$  peaks at 6 h and tapers off thereafter (13),<sup>2</sup> whereas MKP-1 levels remain elevated during at least 18 h of exposure to

<sup>2</sup> P. W. Conrad, D. Beitner-Johnson, and D. E. Millhorn, unpublished data.

hypoxia. Our findings suggest, for the first time, that the p38 family of protein kinases can reciprocally up-regulate MKP-1 gene expression in response to hypoxia. This is consistent with a previous report showing that insulin-induced stimulation of MKP-1 mRNA in vascular smooth muscle cells can be inhibited by SB203580 (52), although this study reported that PD98059 also blocked the insulin-induced increase in MKP-1 levels.

In addition, we demonstrated that cobalt chloride and dexamethasone increase MKP-1 mRNA levels to a similar extent compared with hypoxia. Both agents have been shown to mimic the effects of hypoxia (53–55), in part by increasing HIF-1 $\alpha$  binding activity and protein levels. This suggests that the hypoxia-induced increase in MKP-1 mRNA is dependent upon HIF activation. In addition, HIF-1 $\alpha$  has recently been reported to be activated by phosphorylation of its regulatory domain by p38 (56). Thus, although there may be cell-specific differences in the mechanism of regulation, HIF-1 $\alpha$ , the p38 protein kinases, and MKP-1 appear to be linked in a complex pattern of co-regulation. Our study illustrates that the combined use of SSH libraries and cDNA microarray analysis provides a powerful approach to delineate the patterns of gene expression that are regulated by hypoxia and other environmental stimuli.

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## Calcium-dependent activation of Pyk2 by hypoxia

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### Abstract

The Pyk2 tyrosine kinase can be activated by both calcium-dependent and calcium-independent mechanisms. Exposure to moderate hypoxia (5% O<sub>2</sub>) induced a rapid and persistent tyrosine phosphorylation of Pyk2 in pheochromocytoma (PC12) cells. Hypoxia and KCl-depolarization increased the phosphotyrosine content of Pyk2 by twofold and fourfold, respectively. Both of these effects were abolished in the absence of extracellular calcium. There was a modest activation of MAPK in parallel with the onset of Pyk2 phosphorylation. However, there was no detectable activation of either JNK or c-src, two other known downstream targets of Pyk2. Thus, exposure to hypoxia may selectively target specific subsets of Pyk2 signalling pathways. © 2002 Elsevier Science Inc. All rights reserved.

**Keywords:** Oxygen sensing; Protein tyrosine kinase; Phosphorylation; MAP kinase; Depolarization; Pheochromocytoma

### 1. Introduction

Hypoxia is a critical physiological factor in the pathology of many disease states, including respiratory disorders, ischemia, and tumour progression. However, the mechanism(s) by which cells sense changes in oxygen levels and transduce this signal into the molecular events associated with changes in gene expression has not been well-established. The pheochromocytoma (PC12) cell line has been extensively used as a model system to study the signalling mechanisms by which cells adapt and respond to changes in oxygen levels. These excitable cells respond to hypoxia rapidly (within seconds) with inhibition of an oxygen-sensitive inward K<sup>+</sup> current, membrane depolarization, calcium influx, and catecholamine release [1–5]. Calcium signalling regulates a diverse array of cellular processes, from ion channel conductance to protein kinase activity to gene expression [6–8]. In

previous studies, we have found that hypoxia has both calcium-dependent [9–11] and calcium-independent [12] effects in this excitable cell type.

Pyk2 (also known as CADTK, CAK $\beta$ , and RAFTK) is a proline-rich nonreceptor tyrosine kinase that is activated by an increase in intracellular calcium levels and highly expressed in neural cell types and in PC12 cells [13–17]. Pyk2 can also be activated by a variety of other signals, including activation of m1 muscarinic acetylcholine receptors, protein kinase C, growth factors, fibronectin, reactive oxygen species, and various stress signals [13–20]. Pyk2 is structurally related to the focal adhesion kinase [13–15,21]. The proline-rich regions of Pyk2 provide binding sites for SH3 domain-containing proteins, such as p130<sup>cas</sup> and the GTPase-activating protein, Grb [22,23]. Activation of Pyk2 has been associated with an activation of Src, JNK, and MAPK [13,17,18,24].

In previous studies, we have shown that PC12 cells respond very quickly to hypoxia with an increase in intracellular calcium levels [1,2]. Furthermore, withdrawal of extracellular calcium blocks the hypoxia-induced increase in expression levels of certain hypoxia-regulated genes, including tyrosine hydroxylase and junB [9,25]. In this study, we have examined the effect of hypoxia on phosphorylation of Pyk2 and characterized the role played by calcium in this regulation.

**Abbreviations:** PC12, pheochromocytoma; MAPK, mitogen-activated protein kinase; JNK, c-Jun N-terminal kinase; CREB, cyclic AMP response element binding protein; SAPK, stress-activated protein kinase; Kv1.2, voltage-dependent K<sup>+</sup> channel 1.2

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## 2. Materials and methods

### 2.1. Cell culture

PC12 cells were cultured exactly as described previously [12]. Prior to experimentation, cells were grown to approximately 80% confluence on 100 mm plates in an environment of 21% O<sub>2</sub>, 5% CO<sub>2</sub>, balanced with N<sub>2</sub>. Hypoxia was achieved by exposing cells to either 5% in the presence of 5% CO<sub>2</sub>, balanced with N<sub>2</sub> for various times in an O<sub>2</sub> regulated incubator (Forma, Marietta, OH), as described previously [12]. In some experiments, cells were switched to serum-free standard DMEM/F12 medium or serum-free DMEM/F12 formulated in the absence of calcium (Life Technologies, Gaithersburg, MD) and supplemented with 1 mM EGTA, as described previously [12].

### 2.2. Immunoprecipitation and Western blotting

Following exposure to hypoxia, cells were washed with ice-cold phosphate-buffered saline (PBS) and harvested by adding 0.4 ml per dish of Buffer A containing 10 mM Tris (pH 7.4), 1% Triton X-100, 0.2 mM sodium vanadate, 10 mM sodium fluoride, 1 mM EDTA, 1 mM EGTA, 1 mM PMSF, 2 µg/ml leupeptin, and 2 µg/ml aprotinin. Pyk2 or c-Src were immunoprecipitated from cleared whole cell lysates containing 1000 µg of protein using either 3 µg of a goat polyclonal anti-Pyk2 antibody (N-19, Santa Cruz Biotechnology, Santa Cruz, CA) or 1.5 µg of a mouse monoclonal anti-Src antibody (GD11, Upstate Biotechnology, Lake Placid, NY), respectively. Antibodies were incubated with lysates overnight rocking at 4 °C in the presence of 30 µl of a 25% (w/v) slurry of protein G agarose beads (Santa Cruz Biotechnology). Immunoprecipitates were subjected to SDS-PAGE and immunoblotted for either antiphosphotyrosine (Upstate Biotechnology, 4G10, 1:1000) or for Pyk2 (mouse mAb, 1:1000 Transduction Labs, Lexington, KY). The phosphorylation state of p42/p44 MAPK was evaluated by immunoblotting whole cell lysates using an antibody that specifically recognizes phospho-Thr<sup>202</sup>/Tyr<sup>204</sup> MAP kinase (1:1000, New England Biolabs, Beverly, MA), or an antibody that equally recognizes phospho- and dephospho-MAP kinase (1:1000, New England Biolabs). The phosphorylation state of JNK was evaluated by immunoblotting whole cell lysates using an antibody that specifically recognizes phospho-Thr<sup>183</sup>/Tyr<sup>204</sup> JNK (1:1000, New England Biolabs), or an antibody that equally recognizes phospho- and dephospho-JNK (1:1000, New England Biolabs). Immunoreactivity was detected by ECL (Amersham) and quantified using densitometric analysis with an ImagePro digital analysis system.

### 2.3. Immune complex kinase assays

Cells were exposed to either normoxia or hypoxia, washed, and lysed exactly as described above. c-Src was

immunoprecipitated from cleared whole cell lysates containing 750 µg of total protein with 1 µg of a c-Src polyclonal Ab (UBI). The immunoprecipitation complex was washed twice with lysis buffer, twice with phosphate-buffered saline, and twice with kinase assay buffer (containing 20 mM MOPS, pH 7.2, 25 mM β-glycerophosphate, 5 mM EGTA, 1 mM sodium orthovanadate, and 1 mM dithiothreitol). In addition to Buffer A, the kinase assay reaction mixture contained final concentrations of 7.5 mM MgCl<sub>2</sub>, 50 µM ATP containing 20 µCi of γ[<sup>32</sup>P]-ATP, and 10 µg c-Src kinase substrate (Upstate Biotechnology) in a final volume of 100 µl. Reactions were initiated by the addition of 10 µl of γ[<sup>32</sup>P]-ATP and incubated for 20 min shaking at 30 °C. Reactions were stopped by spotting 25 µl aliquots on p81 filter paper. Protein kinase activity was defined as the difference between amount of <sup>32</sup>P incorporation into the Src substrate peptide and the amount of <sup>32</sup>P detected in samples without the Src substrate peptide included (background) as determined by liquid scintillation counting. Under these conditions, protein kinase activity was linear over a twentyfold range of protein concentrations.

## 3. Results

PC12 cells were cultured as described and then switched to either standard serum-free medium or Ca<sup>2+</sup>-free serum-free medium supplemented with 1 mM EGTA. Cells were then exposed to normoxia (21% O<sub>2</sub>), moderate hypoxia (5% O<sub>2</sub> for 1 h), or depolarization by 75 mM KCl for 5 min. Pyk2 was immunoprecipitated from cleared whole cell lysates and samples were subjected to anti-

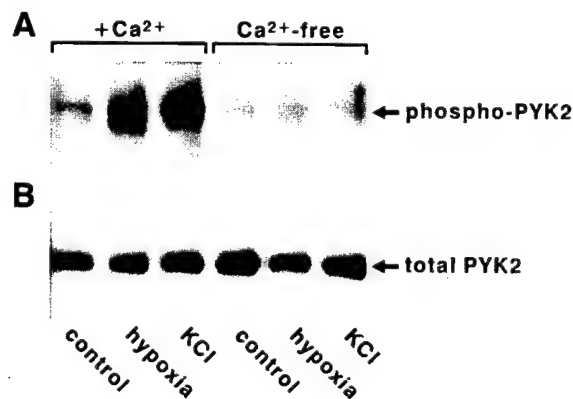


Fig. 1. Hypoxia induces a Ca<sup>2+</sup>-dependent tyrosine phosphorylation of Pyk2. PC12 cells were plated in 100-mm dishes. The next day, cells were switched to serum-free medium or in the presence or absence of Ca<sup>2+</sup>. Cells were then exposed to either normoxia (control), hypoxia (5% O<sub>2</sub>, 1 h), or depolarization by KCl (75 mM, 5 min). Samples of immunoprecipitated Pyk2 were then subjected to antiphosphotyrosine immunoblotting (panel A). Blots were then stripped and reblotted with an anti-Pyk2 antibody, showing that equal amounts of Pyk2 were present in all samples (panel B). The data shown are representative of those obtained in two separate experiments, with *n* = 6 in each group.

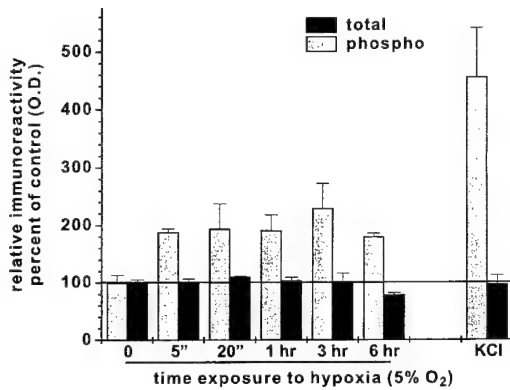


Fig. 2. Hypoxia-induced phosphorylation of Pyk2 is rapid and persistent. PC12 cells were exposed to either hypoxia (5% O<sub>2</sub>, for various times, as indicated) or depolarization by KCl (75 mM, 5 min), as indicated. Pyk2 was immunoprecipitated from cleared whole cell lysates and samples were subjected to antiphosphotyrosine immunoblotting (light grey bars, phospho). Blots were then stripped and reblotted with an anti-Pyk2 antibody (dark grey bars, total). Immunoreactivity was quantified by densitometry. The data are expressed as average percent change from control  $\pm$  S.E.M., with  $n = 3$  to 9 samples in each group.

phosphotyrosine and anti-Pyk2 immunoblotting, as described in Materials and Methods. As shown in Fig. 1, both hypoxia and depolarization with KCl induced a strong increase in phosphotyrosine content of Pyk2 in the presence of Ca<sup>2+</sup>. However, in Ca<sup>2+</sup>-free medium, the effects of both hypoxia and KCl on Pyk2 phosphorylation were completely abolished.

We next examined the time course of the effect of hypoxia on phosphorylation of Pyk2. PC12 cells were exposed to either hypoxia (5% O<sub>2</sub>) for various times between 5 min and 6 h, or to depolarization by KCl. As

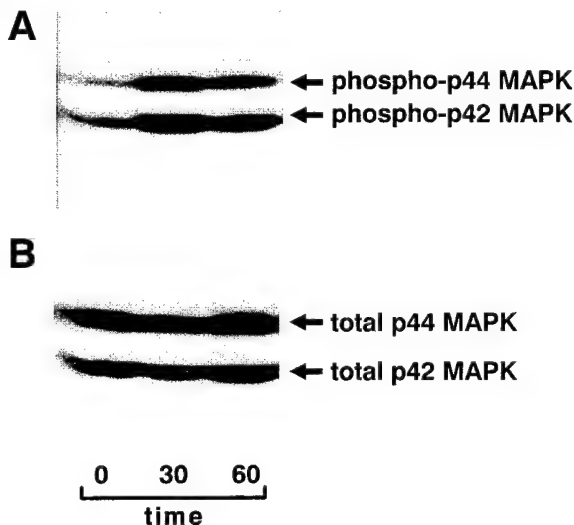


Fig. 3. Hypoxia induces a modest activation of MAPK. PC12 cells were exposed to hypoxia for either 0, 30, or 60 min, as indicated. Whole cell lysates were subjected to immunoblotting with either an anti-phospho MAPK antibody, as shown in panel A, or with an antibody that equally recognizes phospho- and dephospho-MAPK, as shown in panel B. Similar results were obtained in two separate experiments.

shown in Fig. 2, hypoxia induced a rapid increase in the tyrosine phosphorylation state of Pyk2, without changing the total levels of Pyk2 immunoreactivity. This effect was apparent after a 5-min exposure to hypoxia, and persisted for up to 6 h of exposure to hypoxia. On average, hypoxia induced a twofold increase in phosphotyrosine content of Pyk2, as compared to KCl-depolarization, which induced a fourfold increase in phospho-Pyk2 levels. Exposure to more severe levels of hypoxia (1% O<sub>2</sub>) resulted in a similar degree of tyrosine phosphorylation of Pyk2 as that produced by more moderate hypoxia, i.e., 5% O<sub>2</sub> (data not shown).

Downstream signalling pathways that are regulated by Pyk2 include MAPK, JNK, and c-src [13,16,17,26]. We found hypoxia modestly activates MAPK, as indicated by an increase in its phosphorylation state (Fig. 3). However, there was no effect of hypoxia on the phosphorylation state of the stress-activated protein kinase JNK, although JNK was robustly stimulated by other stimuli, including EGF, NGF, and UV irradiation (Fig. 4). These findings are similar to those we have reported in previous studies, at longer time points, showing that hypoxia modestly activates MAPK but has no effect on JNK in PC12 cells [27,28].

We also examined the effect of hypoxia on c-Src. c-Src kinase activity was measured in an immune-complex kinase assay, as described in Materials and Methods. As shown in Fig. 5, c-Src protein kinase activity was not different in lysates from cells exposed to hypoxia (1% O<sub>2</sub>) or normoxia (21% O<sub>2</sub>) for 1 h. There was also no effect of more moderate hypoxia, i.e., 5% O<sub>2</sub> on c-Src kinase activity (data not shown). Phospho-src immunoreactivity was also evaluated using three different antibodies specific for phospho-Src (Y<sup>416</sup>) (from New England Biolabs, Upstate Biotechnology, and Biosource International, Camarillo, CA). Western blotting with each of these antibodies revealed that phospho-src immunoreactivity levels were very low in whole cell lysates from PC12 cells, although total c-src immunoreactivity was readily detectable (data not shown). Furthermore, no significant differences in phospho-src immunoreactivity levels were detected between lysates from cells exposed to nor-

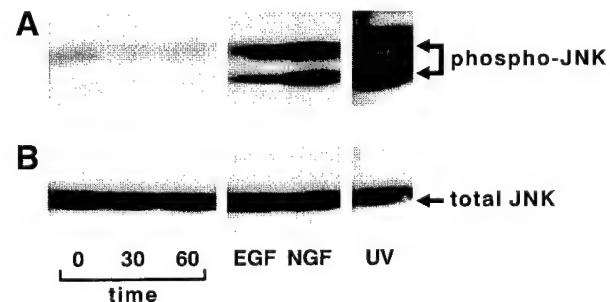


Fig. 4. Hypoxia has no effect on JNK. PC12 cells were exposed to hypoxia for either 0, 30, or 60 min, or to EGF (50 ng/ml for 30 min), NGF (50 ng/ml for 30 min), or UV light (300 J/m<sup>2</sup>), as indicated. Whole cell lysates were subjected to immunoblotting with either an anti-phospho JNK antibody, as shown in panel A, or with an antibody that equally recognizes phospho- and dephospho-JNK, as shown in panel B. Similar results were obtained in two separate experiments.



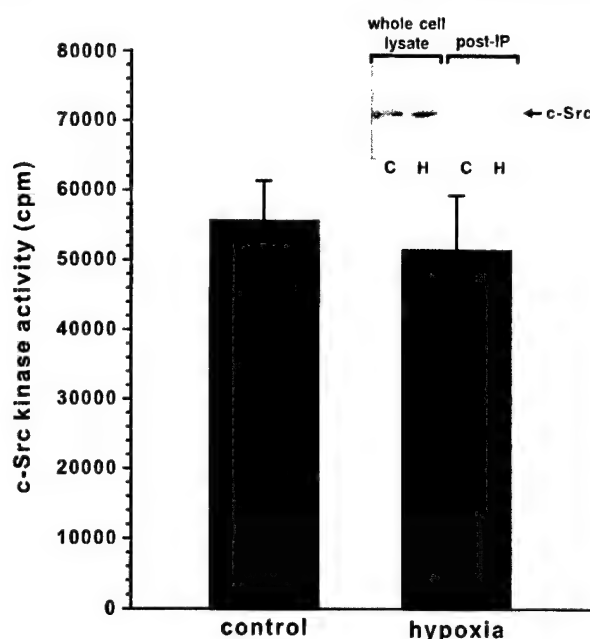


Fig. 5. Lack of effect of hypoxia on c-Src kinase activity. PC12 cells were exposed to either normoxia (C, 21% O<sub>2</sub>) or hypoxia (H, 1% O<sub>2</sub>) for 1 h. Inset: c-Src was immunoprecipitated (IP) from cleared whole cell lysates as described in Materials and Methods. Supernatants from postimmunoprecipitated samples were also immunoblotted for c-Src, to verify that c-Src had been quantitatively removed from the lysates. c-Src kinase activity was measured as described in Materials and Methods. Data are expressed as average percent change from control  $\pm$  S.E.M., with  $n = 10$  dishes in each group, performed in two separate experiments.

moxia, hypoxia (5% or 1% O<sub>2</sub>), EGF, NGF, IGF-I, or KCl depolarization for various times between 20 min and 6 h (data not shown). Thus, it appears that activation of c-src is very difficult to detect in this cell type.

#### 4. Discussion

The specific intracellular signalling pathways involved in cellular responses and adaptation to hypoxia are not yet well defined. However, an elevation in intracellular calcium levels has been identified as one of the very early responses to exposure to hypoxia in PC12 cells [1]. PC12 cells are oxygen-sensitive cells that have been shown to respond to hypoxia with depolarization, calcium influx, and dopamine release [1–5]. Regulation of the tyrosine hydroxylase, junB, c-fos, and the adenosine 2A receptor genes by hypoxia is dependent on the presence of calcium [9,10,25,29]. However, it has also been established that some responses to hypoxia in this and other cell types are clearly calcium-independent [2,12,30]. These studies were undertaken to evaluate whether Pyk2 is activated upon exposure to hypoxia, and whether this occurs in a calcium-dependent manner.

Previous studies have shown that Pyk2 can be activated by both calcium-dependent and calcium-independent mechanisms [15,31]. KCl, calcium ionophores, carbachol, and agents that activate protein kinase C have all been shown to

activate Pyk2 in PC12 and other cell types [13,31]. When extracellular calcium is removed, regulation of Pyk2 by these agents is abolished [13,15]. In contrast, treatment with tumor necrosis factor- $\alpha$ , lysophosphatidic acid, or reactive oxygen species activates Pyk2 equally well in the presence or absence of extracellular calcium [17,18]. Thus, it was possible that hypoxia could activate Pyk2 via either a calcium-dependent mechanism, or by a calcium-independent mechanism.

Exposing PC12 cells to moderate hypoxia induced tyrosine phosphorylation of Pyk2, which is associated with its enzymatic activation [13]. This response was rapid and, like that of KCl, was completely abolished in the absence of extracellular calcium. Thus, hypoxia-induced tyrosine phosphorylation of Pyk2 is dependent on hypoxia-induced depolarization and calcium influx, which occurs rapidly and persistently in response to hypoxia [1]. This is similar to the hypoxia-induced up-regulation of tyrosine hydroxylase, c-fos, junB, and adenosine 2A receptor gene expression, which are also calcium-dependent [9,10,25]. However, the calcium-dependent regulation of Pyk2 by hypoxia contrasts with the hypoxia-induced phosphorylation of the transcription factor CREB in PC12 cells. Hypoxia induces Ser<sup>133</sup> phosphorylation of CREB, which occurs equally in the presence or absence of calcium [12]. Furthermore, the time of onset of CREB phosphorylation is delayed compared to that of PYK2, first appearing after 20-min exposure to hypoxia and peaking at 6 h [12]. Thus, hypoxia targets both calcium-dependent and calcium-independent signalling pathways across different time frames.

The downstream targets of Pyk2 include the MAPK, JNK, and c-src signalling systems [16,31]. The activation of Pyk2 by hypoxia may mediate the hypoxia-induced stimulation of the MAPK signalling cascade [27,28]. However, there appears to be no link between activation of Pyk2 and the downstream stress-activated protein kinase JNK. We also observed no effect of hypoxia (5% O<sub>2</sub> or 1% O<sub>2</sub>) on either the phosphorylation state or enzymatic activation of c-src. However, we were unable to observe an activation (phosphorylation) of c-src in response to any other stimuli we applied, including EGF, NGF, IGF-I, or KCl depolarization, although c-src immunoreactivity was readily detectable. Thus, we cannot exclude the possibility that activation of Pyk2 by hypoxia is associated with activation of c-src in PC12 cells. However, activation of c-src appears to be very difficult to detect in this cell type.

It is possible that the acute activation of Pyk2 by hypoxia specifically targets still other downstream substrates in addition to MAPK. For example, one of the known targets of Pyk2 is the voltage-dependent K<sup>+</sup> channel, Kv1.2 [13,19]. Pyk2 has been shown to phosphorylate Kv1.2 on one or more tyrosine residues within the cytosolic carboxyl terminal portion of the channel [19]. Interestingly, Kv1.2 is an O<sub>2</sub>-sensitive K<sup>+</sup> channel. One of the earliest known cellular events in response to hypoxia is a partial inhibition of the conductance of Kv1.2 channels [2,5]. Furthermore,

when oocytes expressing wild-type Pyk2 and Kv1.2 are treated with phorbol myristol acetate, Kv1.2 currents are markedly inhibited [13]. However, this effect is absent when a mutant (kinase-inactive) form of Pyk2 is coexpressed with Kv1.2 in oocytes [13]. Our laboratory has clearly shown that the rapid inhibition of the Kv1.2 current by hypoxia is not a calcium-dependent process per se [1,2]. Thus, the acute calcium-dependent activation of Pyk2 by hypoxia does not appear to be the mechanism by which hypoxia inhibits the Kv1.2 current. However, phosphorylation of Kv1.2 by Pyk2 may well have other, more long-term regulatory effects on the channel and its associated proteins. For example, long-term exposure to hypoxia selectively up-regulates gene expression for the  $\alpha$  subunit of Kv1.2 in PC12 cells [2]. Further studies will be required to delineate what, if any, role Pyk2 plays in the long-term regulation of Kv1.2 function and protein/protein interactions.

Taken together, these studies show that activation of the Pyk2 protein tyrosine kinase is one of the early events in response to hypoxia in the  $O_2$ -responsive PC12 cell line. This effect occurs in a calcium-dependent manner, and is therefore likely to be mediated by the rapid depolarization and calcium influx that occurs in response to hypoxia in these excitable cells. In summary, the results of this and previous studies suggest that hypoxia regulates a complex array of immediate, short-term, and long-term targets by both calcium-dependent and calcium-independent mechanisms.

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## MOLECULAR DETERMINANTS OF Kv1.2 CHANNEL OXYGEN-SENSITIVITY

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## ABSTRACT

Oxygen-sensitive  $K^+$  channels are important elements in the cellular response to hypoxia. Although much progress has been made in identifying their molecular composition, the site(s) of interaction with  $O_2$  (direct or indirect) is not yet understood. It has been previously proposed that the Kv1.2 alpha subunit comprises the hypoxia-sensitive  $K^+$  channel in pulmonary artery smooth muscle and the chemosensitive PC12 cells. Heterologous expression of Kv1.2 subunits, but not Kv2.1 subunits, produces  $O_2$ -sensitive  $K^+$  channels in *Xenopus* oocytes. Recombinant Kv1.2 currents are inhibited by anoxia and their inhibition is associated with a change in current kinetics. To elucidate the molecular mechanism for the  $O_2$ -sensitivity of Kv1.2 channels, we analyzed the response to hypoxia of chimeric channels consisting of Kv1.2 and Kv2.1 polypeptides. Expression of chimeric Kv2.1 channels each containing the S4, the S1-S3 or the S6-COOH segments of Kv1.2 polypeptide resulted in a  $K^+$  current insensitive to hypoxia. In contrast, transferring the S5-S6 segment of Kv1.2 into Kv2.1 produced an  $O_2$ -sensitive  $K^+$  current. Finally, mutating the proposed redox-sensitive methionine residue (M380) of Kv1.2 polypeptide did not affect  $O_2$ -sensitivity. Thus, the S5 to S6 segment of Kv1.2 polypeptide (the pore and its surrounding regions) confers its hypoxic inhibition in a methionine oxidation-independent manner.

## INTRODUCTION

Oxygen-sensitive  $K^+$  ( $K_{O_2}$ ) channels are expressed in many chemosensitive cells such as carotid body, neuroepithelial body, pheochromocytoma (PC12) and pulmonary artery smooth muscle cells. The inhibition of the activity of these channels is an early event in the detection of changes in  $O_2$  tension ( $P_{O_2}$ ) that leads to cell depolarization, increase in intracellular  $Ca^{2+}$  and ultimately alterations in gene expression or irreversible cellular damage (Ganformina and Lopez-Barneo, 1992; Archer et al., 1996; Conforti and Millhorn, 1997; Osipenko et al., 2000; Perez-Garcia et al., 2000) Thus,  $K_{O_2}$  channels play important roles in the process of  $O_2$  chemoreception in many tissues in the body by closely coupling cellular responses to the prevailing level of hypoxia.

Although  $K_{O_2}$  channels in chemosensitive cells have been investigated extensively using electrophysiological techniques, there remains little information about their molecular identity or possible  $O_2$ -sensitive sites. Experiments in native cells and heterologous expression studies have indicated that the majority of these channels show voltage-dependent activation.(Ganformina and Lopez-Barneo, 1992; Archer et al., 1996; Conforti and Millhorn, 1997; Patel et al., 1997; Hulme et al., 1999; Osipenko et al., 2000; Perez-Garcia et al., 2000) Voltage-dependent  $K^+$  ( $K_v$ ) channels are heteromeric proteins formed by  $\alpha$  and  $\beta$  subunits.(Coetzee et al., 1999) Various  $K_v\alpha$  subunits expressed in chemosensitive cells have been shown to give rise to recombinant  $K_{O_2}$  channels:  $K_v1.2$ ,  $K_v2.1$ ,  $K_v3.1b$  and  $K_v4.2$ .(Patel et al., 1997; Hulme et al., 1999; Conforti et al., 2000; Osipenko et al., 2000) Still the mechanisms that mediate their hypoxic-inhibition are not fully understood. Changes in intracellular redox state as well as membrane associated events have been implicated in mediating the hypoxic response.(Lopez-Barneo et al., 2001) For instance, glutathione and other redox-sensing intracellular compounds as well as various



membrane-bound proteins including NADPH-oxidase, metal-binding proteins and Kv  $\beta$  subunits have been suggested as O<sub>2</sub> sensors to modulate these K<sub>O2</sub> channels. Finally, it has also been proposed that O<sub>2</sub> could interact directly with the K<sub>O2</sub> channel itself (i.e. modifying the redox state of amino acid residues in the pore forming channel protein or auxiliary subunits).(Ruppersberg et al., 1991) Recently, oxidation and reduction of a specific methionine residue in the *Shaker* channel pore region has been identified as a possible mechanism for the O<sub>2</sub>-sensitive regulation of these K<sup>+</sup> channels.(Ciorba et al., 1997; Chen et al., 2000) Hence, distinct molecular mechanisms may mediate the regulation of different Kv channels by hypoxia. Yet, the structural features of Kv channels important for these regulatory mechanisms remain unclear.

In the present study we performed experiments to identify the O<sub>2</sub>-sensitive site/s of Kv1.2 channels. We took advantage of the difference in O<sub>2</sub> sensitivity between Kv1.2 and Kv2.1 homomeric channels in *Xenopus* oocytes. We have previously shown that recombinant Kv1.2 channels expressed in oocytes are O<sub>2</sub>-sensitive while Kv2.1 are O<sub>2</sub>-insensitive.(Conforti et al., 2000) Various chimeric channels between Kv1.2 and Kv2.1 and a point mutant of Kv1.2 protein were constructed and tested for O<sub>2</sub> sensitivity. We report here that the Kv1.2 pore and its surrounding regions confer oxygen-sensitivity to the otherwise O<sub>2</sub>-insensitive Kv2.1. Furthermore, we find that a methionine oxidation/reduction process does not mediate this hypoxic inhibition of Kv1.2 channels.

## MATERIAL AND METHODS

*Molecular Techniques.* Chimeric cDNAs of Kv2.1 and Kv1.2 were generated by PCR as previously described.(Koopmann et al., 1997) Chimeras were constructed as follows: Kv2.1(S1-S3..Kv1.2), the transmembrane domains S1, S2, S3 and the linkers between S1 and S2 and S2 and S3 of Kv2.1 were replaced with the corresponding segment of Kv1.2; Kv2.1(S4..Kv1.2), the transmembrane domain S4 of Kv2.1 was replaced with that of Kv1.2; Kv2.1(S5-S6..Kv1.2), the transmembrane domains S5, S6 and the linkers between them (referred as H5) of Kv2.1 were replaced with the corresponding segment of Kv1.2; Kv2.1(S6-COOH..Kv1.2), the transmembrane domain S6 and the C-terminal domain were replaced with those of Kv1.2. The Kv1.2 M380L was obtained by replacing the M in position 380 of Kv1.2 with L by site-directed mutagenesis (Invitrogen, CA). The chimeric and mutant constructs were verified by sequencing. cRNAs for injection into *Xenopus* oocytes were obtained as runoff transcripts of Kv1.2, Kv2.1/Kv1.2 chimeric and Kv2.1 cDNAs. The double-stranded DNA templates were linearized and *in vitro* transcribed to cRNAs with mMESSAGE mMACHINE kits from Ambion, according to the manufacture's protocol. The size of the *in vitro* transcription product, its quantity, and its quality were evaluated by denaturing agarose gel electrophoresis. The amount of cRNA injected into the oocytes varied from 125 pg for Kv1.2 to a maximum of 250 ng for the Kv2.1(S5-S6..Kv1.2) chimera. We found that the pore chimera channels typically did not express as well as the other channels, and, therefore, required microinjection of a larger amount of cRNA.

*Oocyte Preparation* - Stage IV-V oocytes were isolated as previously described.(Conforti et al., 2000) In brief, *Xenopus* frogs were anesthetized with 0.2% tricaine methansulphonate (MS 222, Sigma-Aldrich, St. Louis, MO) and clumps of oocytes surgically removed. Single oocytes were dissociated and the follicular membrane removed by collagenase (3 mg/ml type II collagenase,

Sigma-Aldrich, St. Louis, MO). Fifty nl of cRNA were injected with a Drummond 510 microdispenser via a sterile glass pipette with a tip of 20-30  $\mu\text{m}$ . After injection the oocytes were maintained in a solution of the following composition (in mM): 96 NaCl, 2.0 KCl, 1.0  $\text{MgCl}_2$ , 1.8  $\text{CaCl}_2$ , 5 HEPES, 2.5 Na pyruvate, 0.5 theophylline, 100U/ml penicillin and 100  $\mu\text{g/ml}$  streptomycin; pH 7.5. Injected oocytes were stored in an incubator at 19°C and were used for electrophysiological experiments after 24 h.

*Electrophysiology* - Electrophysiological experiments were performed 1-5 days after injection. Experiments were performed using an Axoclamp 2A amplifier (Axon Instruments, Foster City, CA, USA). Whole-cell current from injected *Xenopus* oocytes were recorded using the two-electrode voltage-clamp technique. (Conforti and Sperelakis, 2001) The digitized signals were stored and analyzed using pClamp 6.0.3 software (Axon Instruments, Foster City, CA, USA). The composition of the external solution was (mM): 115 NaCl, 2 KCl, 1.8  $\text{CaCl}_2$ , and 10 HEPES; pH 7.2. The two electrodes had a resistance of 1-2  $\text{M}\Omega$  and were filled with 3 mM KCl. Whole-cell leak and capacitative currents were subtracted using currents elicited by small hyperpolarizing pulses (P/4). Currents were digitized between 0.5 and 5 kHz after being filtered between 0.2 and 1 kHz. The temperature of the solution in the bath was monitor during each experiments and it was stable around 22°C. During electrophysiological experiments hypoxia was produced in the bath chamber by switching from a perfusion medium bubbled with air (21%  $\text{O}_2$ ) to a medium equilibrated with 100%  $\text{N}_2$  with 1-5 mM sodium dithionite ( $\text{Na}_2\text{S}_2\text{O}_4$ ; an  $\text{O}_2$  chelator, Sigma Chem.), which gives a  $\text{Po}_2$  in the chamber of 0 mmHg, as measured with an  $\text{O}_2$ -sensitive electrode (WPI, Sarasota, FL).

*Data analysis* - All data are presented as means  $\pm$  S.E.M. Statistical analyses were performed using Student's t-test (paired or unpaired);  $p \leq 0.05$  was defined as significant.

## RESULTS

Chimeric channels consisting of the O<sub>2</sub>-sensitive Kv1.2 and the O<sub>2</sub>-insensitive Kv2.1 polypeptides have been used to identify the domains of the Kv1.2 channel protein that is required for the response to hypoxia. Figure 1 shows a schematic representation of wild-type Kv1.2 and Kv2.1 and various Kv2.1/1.2 chimeras tested for O<sub>2</sub> sensitivity. Injection of wild type Kv1.2, Kv2.1 and Kv2.1/1.2 chimeric cRNAs into the oocytes resulted in production of functional K<sup>+</sup> channels (Fig. 1 right). Outward K<sup>+</sup> currents (I<sub>K</sub>) were elicited only in oocytes injected with K channel cRNAs. All the Kv2.1/Kv1.2 chimeras tested gave rise to functional K<sup>+</sup> channels with expected gating and voltage-dependent properties, such as a marked shift in voltage-dependent activation displayed by Kv2.1(S4..Kv1.2) chimera.(Koopmann et al., 1997)

The effect of decreased O<sub>2</sub> availability on the expressed Kv channels was then studied by exposing the injected oocytes for 2 min to the anoxic recording medium while K<sup>+</sup> currents were recorded every 15s (Fig. 2). Anoxia decreased wild-type Kv1.2 current, and this inhibition was fully recovered upon returning to normoxic conditions. No inhibition in wild-type Kv2.1 current was seen. The averaged inhibitions of K<sup>+</sup> currents observed after 2 min exposure to hypoxia were 11±1 % (n=15, p<0.001) for Kv1.2 I<sub>K</sub> and -1±1%, (n=13; p=0.8) for Kv2.1 I<sub>K</sub> (Fig. 3). Similarly to wild-type Kv2.1, anoxia did not inhibit chimeric channels each containing the S1 to the S3 segment [Kv2.1(S1-S3..Kv1.2)], the S4 segment [Kv2.1(S4..Kv1.2)], or the S6 segment to the C-terminus [Kv2.1(S6-COOH..Kv1.2)] of Kv1.2 polypeptide. In contrast, introduction of the S5-S6 segment of Kv1.2 into Kv2.1 transferred the O<sub>2</sub>-responsiveness to the otherwise O<sub>2</sub>-insensitive Kv2.1 channel. Anoxia inhibited K<sup>+</sup> current (I<sub>K</sub>) carried by this chimera by 20±2% (n=4, p<0.05; Fig. 3). Unlike wild-type Kv1.2 I<sub>K</sub>, however, I<sub>K</sub> carried by the chimera did not recover upon returning to normoxia (Fig. 2). The lack of anoxic inhibition in wild-type Kv2.1

and Kv2.1(S1-S3..Kv1.2), Kv2.1(S4..Kv1.2), or Kv2.1(S6-COOH..Kv1.2) chimeric channels is not due to changes in voltage-dependent gating properties (Fig. 4). Current-voltage (I-V) relationship of  $I_K$  carried by these channels was nearly identical under normoxic and anoxic conditions. In contrast, Kv2.1(S5-S6..Kv1.2)  $I_K$  inhibition by hypoxia was observed over the whole range of potentials similarly to wild-type Kv1.2 current. Hypoxia did not shift I-V curve of this chimeric  $I_K$ .

The S5-S6 segment of Kv channels includes the pore and its surrounding regions. These portions are known to affect current inactivation. (Kukuljan et al., 1995; Rasmusson et al., 1998) Thus, we studied the effect of decreased  $O_2$  availability on Kv1.2 current kinetics. The inhibition by anoxia of recombinant Kv1.2 channels expressed in oocytes was associated with a slow-down of Kv1.2 current inactivation (Fig. 5). The current inactivation is expressed as percentage inactivation and it was determined as the normalized difference between the peak and apparent steady-state currents. The percentage inactivation was significantly decreased by anoxia by 22% ( $p < 0.05$ ;  $n = 7$ ; Fig. 5C). This response was reversible, and the percentage inactivation after returning to normoxia was not statistically different from that measured initially in normoxia. The current activation was also affected by anoxia. The activation kinetics was determined by fitting a single exponential to the latter 50% of activation current. (White and Bezanilla, 1985) The time constant of activation was increased by 70% from  $10.2 \pm 1$  ms in normoxia to  $16.8 \pm 2.0$  ms in anoxia ( $n = 13$ ;  $p < 0.001$ ; Fig. 5D). This response was reversible, and the time constant of activation after returning to normoxia was not statistically different from that measured in normoxia ( $11.8 \pm 1.3$  ms;  $n = 13$ ).

The methionine (M) in the pore region of Kv channels was proposed as an important oxygen-sensitive amino acid. (Ciorba et al., 1997) Indeed, oxidation of this M results into an



acceleration of current inactivation.(Chen et al., 2000) Furthermore, mutating this methionine to leucine has been shown to prevent oxidation of the channel.(Chen et al., 2000) To test for the possible involvement of this methionine residue in hypoxic inhibition of Kv1.2 channels, we mutated a methionine at the corresponding site (M380) to a leucine (Kv1.2M380L). Injection of Kv1.2M380L cRNA into oocyte generated  $I_K$  with amplitude comparable to the wild-type channel. Application of hypoxia inhibits the Kv1.2M380L current by  $10 \pm 2\%$  ( $p < 0.005$ ;  $n = 9$ ; Fig. 5B). The  $I_K$  inhibition correlated with the introduction of the hypoxic stimulus and it was completely reversible upon returning to normoxia. This amount of  $I_K$  inhibition was not significantly different from that obtained with Kv1.2 in parallel experiments ( $8 \pm 1\%$ ,  $n = 5$ ). Hence, this redox-sensitive amino acid is not required for inhibition of Kv1.2 channel by hypoxia.

## DISCUSSION

Many studies with heterologous expression systems have shown that several molecularly defined Kv channels are sensitive to hypoxia.(Patel et al., 1997; Hulme et al., 1999; Perez-Garcia et al., 1999; Conforti et al., 2000; Osipenko et al., 2000) However, the mechanisms of hypoxic inhibition of these channels and the channel structural features required for this inhibition remain unclear. Using *Xenopus* oocyte expression of chimeric and mutant channels, we have shown here that the pore and its surrounding regions of the Kv1.2 polypeptide confer hypoxic sensitivity to these channels. Our data demonstrated that a structurally separable and transferable portion of the channel protein is sufficient for hypoxic inhibition. Moreover, the results with M380L Kv1.2 channel exclude involvement of changes in redox state of a methionine at the pore in hypoxic inhibition of Kv1.2 channels. Hence, a novel mechanism, delimited to the pore region and independent on methionine oxidation/reduction, mediates inhibition of the Kv1.2 channel by hypoxia.

The current study was based on the previous finding that Kv1.2, but not Kv2.1, channels are sensitive to hypoxia in *Xenopus* oocytes.(Conforti et al., 2000) It has also been shown that hypoxia inhibits heterologously expressed Kv1.2 channels in mouse L cells.(Hulme et al., 1999) Furthermore, using antibodies specific for each channel subunit we have previously shown that K<sub>o2</sub> channels in PC12 cells contain Kv1.2, but not Kv2.1, subunits.(Conforti et al., 2000) However, there have been contradictory findings regarding O<sub>2</sub> sensitivity of these two channels. For example, Kv1.2 channels were found to be insensitive to hypoxia in COS and B8 cells.(Patel et al., 1997; Osipenko et al., 2000) On the other hand, Kv2.1 channels appeared to be O<sub>2</sub> sensitive in COS cells (although the response to hypoxia was observed in only 20% of the cells) and L cells.(Patel et al., 1997; Hulme et al., 1999) These findings suggest that distinct O<sub>2</sub>-

sensing mechanisms are involved in modulating the same Kv channel activity in different cell types. For example, the presence of distinct endogenous channel-interacting proteins (i.e. other Kv alpha and beta subunits) differently expressed in various host cells may alter channel's sensitivity to hypoxia.(Conforti and Sperelakis, 2001) Various examples of subunit-subunit interactions that can affect sensitivity to hypoxia have been reported: Kv1.2/Kv1.5, Kv2.1/Kv9.3 and ultimately Kv4.2/Kv $\beta$ 1.2.(Patel et al., 1997; Hulme et al., 1999; Perez-Garcia et al., 2000)

The different O<sub>2</sub>-sensitivity of Kv1.2 and Kv2.1 expressed in the *Xenopus* oocytes enabled us to determine the portion of Kv1.2 important in O<sub>2</sub>-sensing. So far, very little is known about O<sub>2</sub>-sensitive sites of O<sub>2</sub>-sensitive ion channels. Recently, Fearon et al. have identified a 39-amino acid region in the C-terminal domain of L-type Ca<sup>2+</sup> channels responsible for oxygen sensing.(Fearon et al., 2000) The present study identified in the S5-S6 portion of Kv1.2 channel protein a transferable element for O<sub>2</sub> sensitivity. This segment comprises the pore and its surrounding areas.(MacKinnon et al., 1998) This segment has also been implicated in current inactivation. (Ogielska and Aldrich, 1999) Indeed, we observed that the effect of anoxia on Kv1.2 current involves a modification of current inactivation, thus confirming the participation of the pore and its surrounding regions to the O<sub>2</sub>-sensitivity of Kv1.2 channels. It is also possible that other segments participate in the overall response of Kv1.2 channels to hypoxia. We have in fact observed that anoxia has also an effect on current activation. A slow-down in current activation produced by hypoxia on O<sub>2</sub>-sensitive K channels in the carotid body was previously reported.(Lopez-Lopez et al., 1989) Because segments other than S5-S6 have been implicated in the Kv current activation, these data indicate that other portions of the Kv1.2 channel might be important for the hypoxic response.(Mathur et al., 1997) The lack of these other segments in the

Kv2.1(S5-S6..Kv1.2) chimera can perhaps explain the somehow different (non reversible) response of this chimera compared to wild-type Kv1.2 channels.

Of the amino acids that form the O<sub>2</sub>-sensitive portion of the Kv1.2 channel there are 24 differences between Kv1.2 and Kv2.1 (fig. 5A). Among them, the methionine (M) in position 380 of Kv1.2 is especially interesting because it is redox sensitive.(Stadtman, 1993) A methionine is also present in this same position of Kv3.1b, which was recently added to the family of O<sub>2</sub>-sensitive K<sup>+</sup> channels.(Yokoyama et al., 1989; Osipenko et al., 2000) We now have evidence that recombinant Kv3.1b channels expressed in *Xenopus* oocytes are also sensitive to hypoxia: ca. 17% I<sub>K</sub> inhibition by anoxia was observed in the same experimental conditions described in this manuscript (unpublished). The methionine at this site is absent in Kv2.1, where it is replaced by an isoleucine. The possible important role that M380 in Kv1.2 may play in O<sub>2</sub>-sensing is based on the fact that a methionine in the same position of the *Shaker* channel appeared being responsible for the response to oxidative conditions.(Chen et al., 2000) Using heterologously expressed *Drosophila* *Shaker* channels, Chen and colleagues have shown that oxidation resulted into the acceleration of P/C-type inactivation, which is known to be mediated in part by amino acids in S5-S6 segment of Kv channels.(Ogielska and Aldrich, 1999) In particular, substitution of M440 in the pore segment of the *Shaker* channel with L prevented the oxidative response.(Chen et al., 2000) Therefore it was proposed that this channel senses changes in redox state through oxidation or reduction of this residue. In this study, we found that Kv1.2 I<sub>K</sub> is inhibited by hypoxia regardless of the presence or absence of this potential redox-sensing methionine residue. Therefore, inhibition of Kv1.2 and possibly some other channels by hypoxia involves an O<sub>2</sub>-sensing mechanism that is distinct from a methionine oxidation/reduction. Nevertheless, more detailed identification of residues important for the hypoxic inhibition along

with protein structural studies may be required for further understanding the O<sub>2</sub>-sensing mechanism and its effect on channel gating.

In conclusion, the present findings provide new insights into the mechanisms of O<sub>2</sub> sensing. The fact that hypoxia targets the pore region of Kv1.2 suggests that this channel might be either directly sensitive to hypoxia or that membrane-delimited mechanisms, without the involvement of cytoplasmic soluble factors, are at the basis of the hypoxic response. This hypothesis is supported also by the findings that K<sub>O2</sub> channels in PC12 cells and carotid body cells as well as recombinant O<sub>2</sub>-sensitive Kv3.1b channels maintained their O<sub>2</sub>-sensitivity in excised patches.(Ganformina and Lopez-Barneo, 1992; Conforti and Millhorn, 1997; Osipenko et al., 2000) Expression of Kv1.2 immunoreactive proteins was reported in pulmonary artery, PC12 cells and recently we have observed abundant expression in mouse carotid body (unpublished).(Conforti and Millhorn, 1997; Wang et al., 1997; Archer et al., 1998) Hence, the mechanism described in the present study may be common for regulation of Kv channels by hypoxia in many cell types.



## ACKNOWLEDGMENTS

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## FIGURE LEGENDS

Figure 1. Schematic representation of the Kv1.2, Kv2.1 wild type and different Kv2.1/1.2 chimeric channel  $\alpha$  subunits expressed in *Xenopus* oocytes. The Kv $\alpha$  subunit consists of six conserved hydrophobic transmembrane domains (S1-S6) and variable cytoplasmic flanking amino and carboxy terminal sequences. The linker between S5 and S6 (H5), together with the S4-S5 loop and the S6 segment, forms the pore. The S4 segment acts as a voltage sensor. The corresponding K<sup>+</sup> currents elicited by depolarizing voltage steps from -60 mV to +50 mV in 10 mV increments from a holding potential of -80 mV are shown in the left panel.

Figure 2. Effect of decreased Po<sub>2</sub> on Kv1.2, Kv2.1 and Kv2.1/1.2 chimeric channels. K<sup>+</sup> currents were elicited with depolarizing voltage steps from -80 mV HP to 0 - +50 mV, every 15 s with two-electrode voltage-clamp technique in oocytes injected with Kv1.2, Kv2.1 and Kv2.1/1.2 chimeric cRNAs. The time-course of the effect of anoxia on the K<sup>+</sup> current amplitude from the same recording is shown in the right panel. Shaded area corresponds to the time of application of anoxia. Representative K<sup>+</sup> currents recorded in normoxia (N; 21% O<sub>2</sub>), after 2 min exposure to anoxia (H), and after returning to normoxia (R) are shown in the right panel.

Figure 3. Averaged inhibitory response to anoxia of K<sup>+</sup> currents in Kv1.2, Kv2.1 and Kv2.1/1.2 cRNA injected oocytes. The percentage inhibition of K<sup>+</sup> current by anoxia was determined after 2 min exposure to anoxia (same protocol as figure 2). The Kv2.1/1.2 chimeric channels are indicated as follows: Kv2.1(S1-S3..Kv1.2) as S1-S3; Kv2.1(S4..Kv1.2) as S4; Kv2.1(S5-S6..Kv1.2) as S5 - S6 and Kv2.1(S6-COOH..Kv1.2) as S6-COOH. The number of experiments

was 15 for wild-type Kv1.2, 13 for Kv2.1, 6 for Kv2.1(S1-S3..Kv1.2), 3 for Kv2.1(S4..Kv1.2), 4 for Kv2.1(S5-S6..Kv1.2) and 5 for Kv2.1(S6-COOH..Kv1.2).

Figure 4. Effect of decreased  $P_{O_2}$  on the current-voltage relationship in Kv1.2, Kv2.1 and Kv2.1/1.2 injected oocytes.  $K^+$  currents were elicited by depolarizing voltage steps from  $-60$  mV to test potentials in  $10$  mV increments from a HP of  $-80$  mV. Currents were measured in normoxia (open circles) and after  $2$  min exposure to anoxia (closed circles).  $K^+$  currents were normalized for the maximum current recorded in normoxia. Data are expressed as mean  $\pm$  SE of  $n$  number of oocytes ( $n = 7$  for Kv1.2;  $n = 4$  for Kv2.1, Kv2.1(S1-S3..Kv1.2), Kv2.1(S4..Kv1.2), Kv2.1(S5-S6..Kv1.2) and Kv2.1(S6-COOH..Kv1.2).

Figure 5. Effect of anoxia on Kv1.2 current kinetics. A. Effect of anoxia on Kv1.2 current. Outward K currents were elicited by depolarizing steps from  $-80$  mV HP to  $+50$  mV ( $800$  ms duration) in normoxia (N), after  $2$  min in anoxia (H) and after returning to normoxia (R). B. Comparison of Kv1.2 currents in normoxia and anoxia. For comparison the current are normalized to the current steady-state values. Same traces as panel A. C. Effect of anoxia on current inactivation. Percentage of inactivation was calculated as  $100\% \times ((\text{peak-steady state})/\text{peak})$  and plotted for each condition. Data represent mean $\pm$ SE of  $7$  separate experiments. D. Effect of anoxia on Kv1.2 current activation kinetics. The activation kinetics were determined by fitting a single exponential to the latter  $50\%$  of activation current (white and Bezanilla). Data represent mean $\pm$ SE of  $13$  separate experiments. \*  $p < 0.001$ , otherwise no significant differences were detected ( $p > 0.05$ ).

Figure 6. Role of the redox-sensitive amino acid methionine in mediating the anoxic response of Kv1.2. A. S5-H5 protein sequence of Kv1.2. The residues that differ with those in the Kv2.1 sequence are labeled in gray. The corresponding Kv2.1 amino acids are indicated with smaller letter. The methionine (M) in position 380 of Kv1.2 (\*) was replaced with L. B. Response to anoxia of the M380L mutant.  $K^+$  currents were elicited with depolarizing voltage steps from -80 mV HP to +50 mV, every 15 s with two-electrode voltage-clamp technique in oocytes injected with Kv1.2M380L cRNA. The time-course of the effect of anoxia on the  $K^+$  current amplitude is reported as  $K^+$  current amplitude over time. The times of introduction of anoxia and returning to normoxic conditions (wo) are indicated by arrows. The corresponding  $K^+$  currents recorded in normoxia (N; 21%  $O_2$ ), after 2 min exposure to anoxia (H), and after returning to normoxia (R) are shown as inset.

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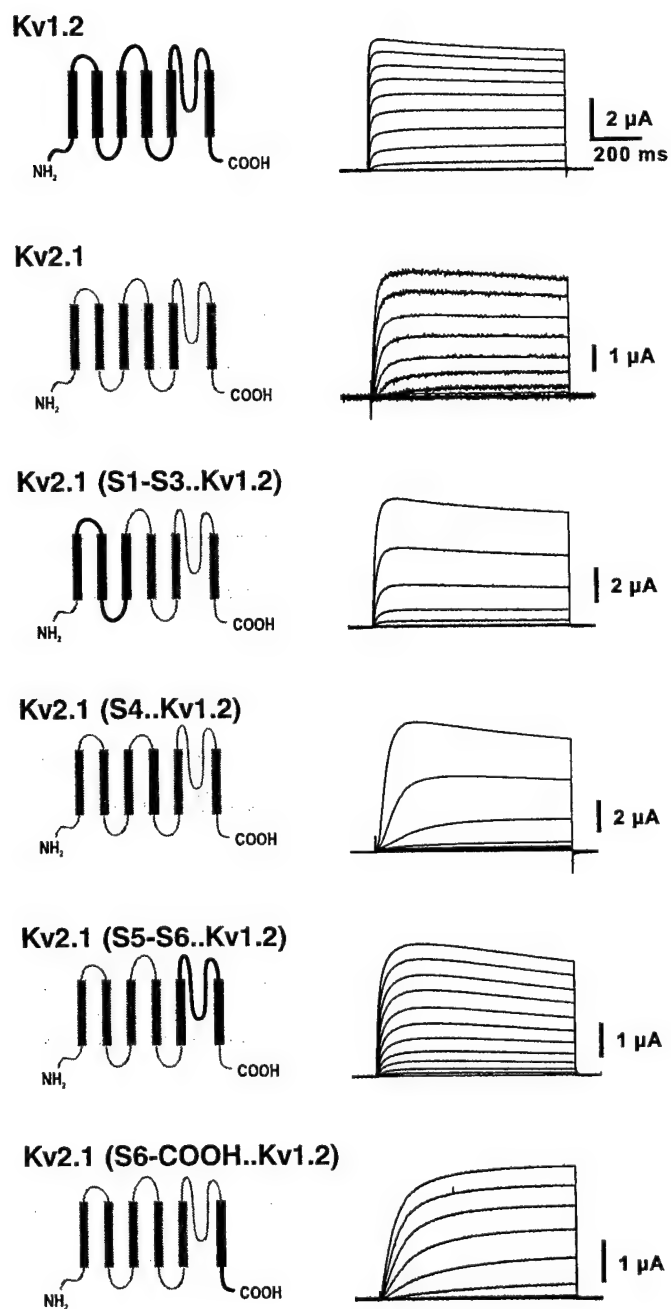
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**Figure 1**

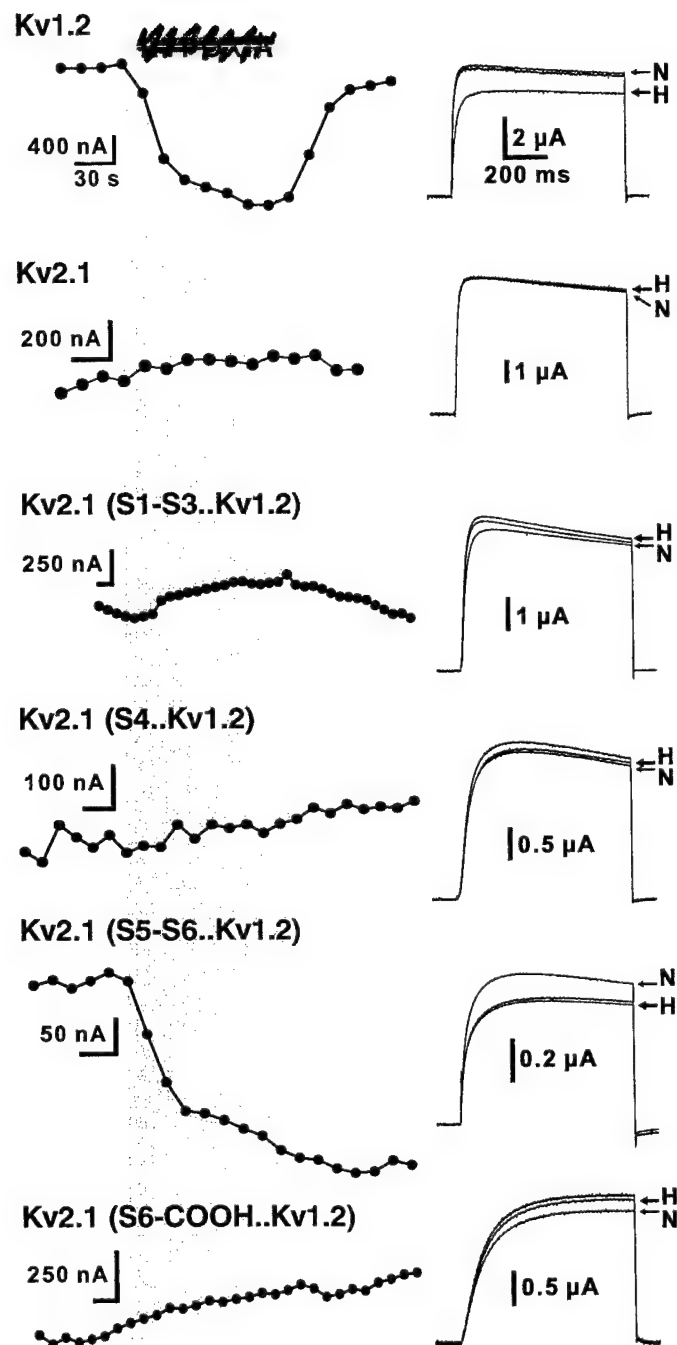


Figure 2

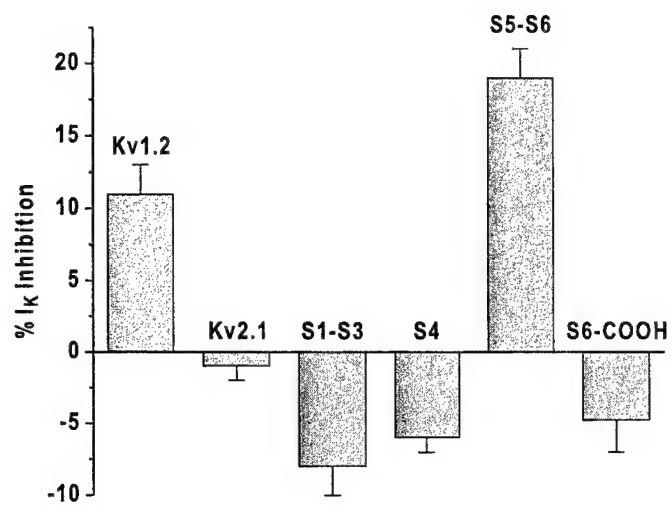


Figure 3



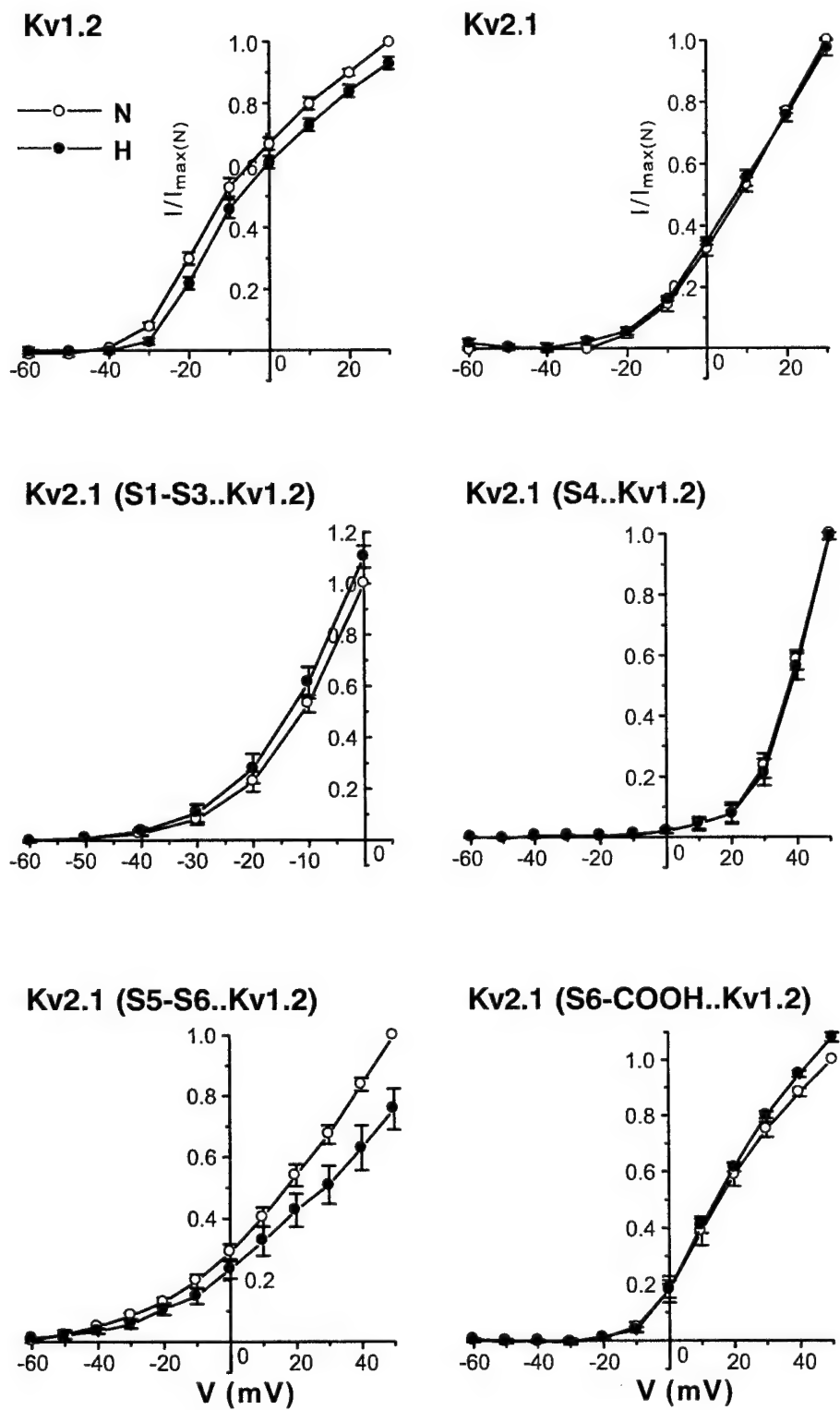


Figure 4

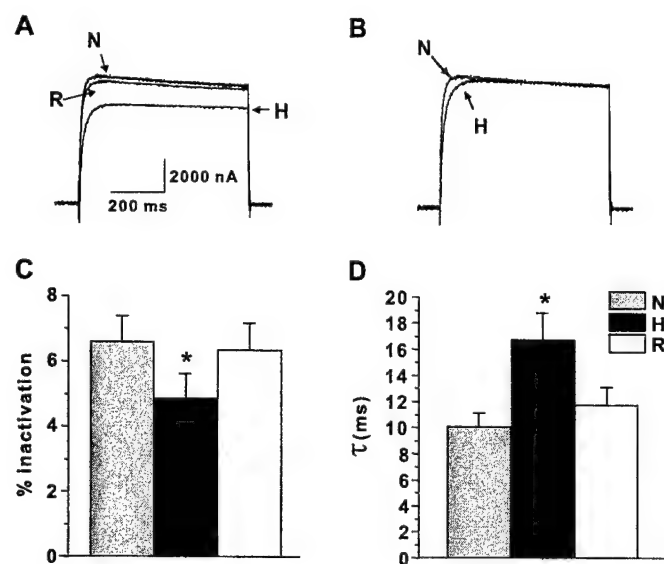


Figure 5

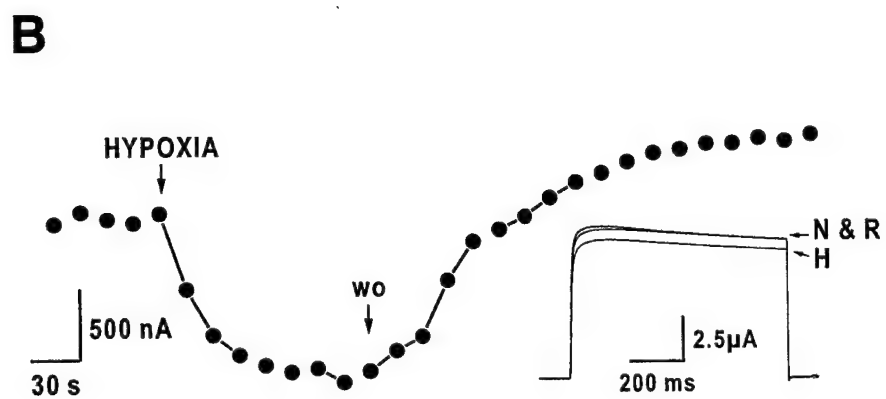
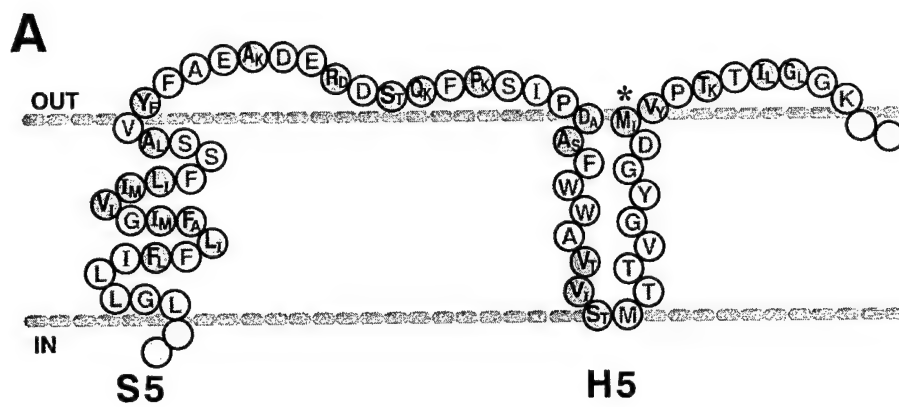


Figure 6

Running Title: Molecular Response to Hypoxia in PC12 Cells

## **GENOMIC AND PHYSIOLOGICAL ANALYSIS OF OXYGEN SENSITIVITY AND HYPOXIA TOLERANCE IN PC12 CELLS**

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## ABSTRACT

The mechanisms by which cells adapt and respond to changes in oxygen tension remain largely unknown. Our laboratory has utilized the PC12 cell line to study both biophysical and molecular responses to hypoxia. This chapter summarizes our findings. We found that membrane depolarization occurred when PC12 cells were exposed to reduced  $O_2$  was mediated by a specific potassium channel, the Kv1.2 channel. The membrane depolarization led to increased  $Ca^{2+}$  conductance through a voltage-sensitive channel, which in turn mediates the release of the neurotransmitters dopamine, adenosine, glutamate and GABA. In addition, increased intracellular  $Ca^{2+}$  and other signaling systems regulate hypoxia-induced gene expression, which contributes to the adaptive response to reduced  $O_2$ . We identified several critical signaling pathways that regulate a complex gene expression profile in PC12 cells during hypoxia. These include the cAMP-protein kinase A,  $Ca^{2+}$ -calmodulin, p42/44 mitogen-activated protein kinase (MAPK), stress-activated protein kinase (SAPK; p38 kinase), and the phosphatidylinositol 3-kinase-AKT as regulators of gene expression. Several of these pathways regulate hypoxia-specific transcription factors that are members of the Hypoxia-Induced Factor (HIF) family. Recently, we have successfully used subtractive cDNA libraries and microarray analysis to identify the genomic profile that mediates the cellular response to hypoxia.

**Key words:** Hypoxia, potassium channels, signal transduction, neurotransmitters, transcription factors, genomics, subtractive libraries, and microarray

Hypoxia is a primary factor a diverse range of pathological conditions including stroke, wound healing, cardiopulmonary disease, and solid tumor proliferation. We are only now just beginning to understand the basic mechanisms by which cells adapt and respond to hypoxia. One major obstacle in gaining a more comprehensive understanding of the cellular response to hypoxia has been the lack of a suitable cell line. We discovered that pheochromocytoma (PC12) cells respond to reduced  $O_2$  in a manner that is reminiscent to  $O_2$ -sensitive cells (e.g. carotid body type I cells) in vivo.<sup>1</sup> We have therefore used this cell line to gain a better understanding of the molecular events involved in cellular adaptation to hypoxia. Here we shall briefly summarize our primary findings related to the biophysical, signal transduction, and gene regulatory mechanisms that regulate the response to hypoxia in PC12 cells.

*Membrane Depolarization and Ionic Conductance.* The initial event in the response to hypoxia in excitable cells is membrane depolarization, which is critical for regulating voltage-sensitive ion channels in  $O_2$ -sensitive cells. PC12 cells depolarize in a graded manner when exposed to a progressive reduction in  $O_2$ .<sup>2</sup> Our investigations of the ionic basis for the hypoxia-induced depolarization revealed the presence of an  $O_2$ -sensitive potassium channel ( $KO_2$ ) in PC12 cells.<sup>2,3</sup> Patch clamp studies revealed that the *Shaker*-type Kv1.2 channel was responsible for mediating the hypoxia-induced membrane depolarization.<sup>2,4</sup> Briefly, we found that depolarizing voltage steps to +50 mV from a holding potential of -90 mV elicited a slowly inactivating, tetraethylammonium chloride-sensitive, and  $Ca^{2+}$ -insensitive potassium conductance that was reversibly inhibited by reduced  $O_2$  tension. This potassium channel is a delayed -rectifier, which shows a relatively small outward conductance of 20 pS. Importantly, this channel is active at



resting membrane potential and mediates approximately 20mV membrane depolarization during hypoxia.

Additional studies from our laboratory showed that transfection of the Kv1.2 O<sub>2</sub>-sensitive channel into *Xenopus* oocytes conferred hypoxia-induced depolarization, and that Kv1.2 channel gene expression is stimulated by reduced O<sub>2</sub> in PC12 cells.<sup>4,5</sup> Hypoxia-induced membrane depolarization in excitable cells is important for regulating the voltage-sensitive Ca<sup>2+</sup> channel, and the influx of extracellular Ca<sup>2+</sup>.<sup>2</sup> An increase in intracellular Ca<sup>2+</sup> regulates a number of important cellular events during hypoxia including transmitter release and gene expression.<sup>6</sup>

*Neurotransmitter Release and Receptors.* The primary neurotransmitter in PC12 cells is dopamine. Others and we showed that dopamine is released from PC12 cells during hypoxia in a Ca<sup>2+</sup>-dependent manner.<sup>2,7</sup> We also discovered that the metabolic enzymes involved in adenosine and glutamate synthesis, release, and re-uptake are regulated by hypoxia in PC12 cells.<sup>8,9</sup> It is interesting to note that receptors for dopamine, adenosine and glutamate are expressed in PC12 cells. We have performed several studies to determine if these receptors regulate the cellular response to hypoxia. We found this to be the case. For example, dopamine released from PC12 cells during hypoxia acts via the D<sub>2</sub> receptor to regulate ionic conductance for both potassium and calcium.<sup>10,11</sup> We also found that adenosine attenuated the hypoxia induced depolarization in PC12 cells by suppression of a voltage-sensitive potassium current.<sup>12,13</sup> In the same study, we noted that adenosine also attenuates the hypoxia-induced increase in intracellular Ca<sup>2+</sup>. The affects of adenosine on PC12 function during hypoxia are mediated by the A<sub>2A</sub> receptor.<sup>13</sup> In addition, we found that key enzymes relevant to glutamate production, metabolism and

transport were coordinately regulated by hypoxia.<sup>14</sup> Thus, a primary response to hypoxia is the release of various transmitters that can modulate post-synaptic elements as well as receptors located on PC12 cells.

*Gene Regulation.* Hypoxia leads to regulation of genes in PC12 cells that are involved in mediating specific functions, e.g. neurotransmitter biosynthesis and release. It is also important to recognize that hypoxia is a metabolic stress that compromises cell viability. Thus, it is entirely likely that many genes are regulated by hypoxia are involved in cell survival and apoptosis. Thus, the proteins encoded by these genes are not only responsible for mediating specific hypoxia-related functions, but also for allowing cells to survive prolonged exposures to hypoxia. An example of a gene that is regulated by hypoxia and performs a specific function is tyrosine hydroxylase (TH), which is responsible for biosynthesis in PC12 cells and in the O<sub>2</sub>-sensing cells of the mammalian carotid body.<sup>1,15</sup> Figure 1 shows the time-course for TH gene expression during exposure to moderate hypoxia (5% O<sub>2</sub>) in PC12 cells. We also found that TH gene expression is induced by mild hypoxia (10% O<sub>2</sub>) and that the magnitude expression increased with more severe hypoxia.<sup>1</sup>

We have used TH gene expression in PC12 cells as a model to gain insight into the mechanisms by which hypoxia regulates gene expression. Examination of the DNA sequence in the 5' flanking region of the TH gene revealed several cis-acting enhancer elements that are potentially important in regulation of gene expression. One of these elements shows considerable homology with the Hypoxia-Induced Factor (HIF) site.<sup>16</sup> The HIF family of transcription factors are basic helix-loop-helix proteins that bind to the consensus sequence 5'-GCCCTACGTGCTGTCTCA-3', which is commonly referred to

as the Hypoxia Response Element (HRE). Interestingly, the mRNA level for the HIF proteins is relatively constant regardless of the O<sub>2</sub> tension. However, HIF protein levels are enhanced by hypoxia due to reduced proteasome degradation. In most cell types the primary HIF is HIF1 $\alpha$ . However, in PC12 cells the primary HIF is HIF2 $\alpha$ , which is also known as EPAS1 (endothelial PAS domain protein-1). We showed that HIF2 $\alpha$  levels are very low during normoxia and increase significantly when PC12 cells are exposed to hypoxia (Figure 2).<sup>17</sup> As a first step towards characterizing the regulation of HIF2 $\alpha$  in PC12 cells, we evaluated the ability of HIF2 $\alpha$  to trans-activate an HRE-luciferase reporter gene. We found that titrating the level of hypoxia from 21% to 1% O<sub>2</sub> resulted in a dose-dependent increase in HRE-luciferase activity (Figure 3). These findings show that HIF2 $\alpha$  is regulated by hypoxia in PC12 cells and that the HRE is sufficient to promote enhanced gene expression during hypoxia in PC12 cells.

Another important hypoxia-regulated transcription factor is AP1. We have shown that the genes for two protein factors in the AP1 complex, c-Fos and JunB, are regulated by hypoxia, and that these factors bind to the AP1 element on the 5' flanking region of the TH gene.<sup>18</sup> It is almost certain that other factors, such as the cyclic AMP response element binding protein (CREB) are also involved in regulating hypoxia-responsive genes. We are currently attempting to identify other transcription factors and the signaling pathways that regulate hypoxia-induced gene expression.

*Signal Transduction.* We have performed extensive series to identify and characterize signal transduction pathways that regulate the cellular response to hypoxia. Much of this work has focused on the mitogen-activated protein kinase (MAPK) and the stress-activated protein kinase (SAPK: JNK and p38 kinase) pathways. We found that both the

p42/p44 MAPK and the p38 kinase, but not the JNK pathways are activated by hypoxia in PC12 cells.<sup>19</sup> We further examined the role of these pathways in regulation of the HIF transcription factors and hypoxia-responsive genes.

As mentioned above the HIF2 $\alpha$  protein level increases during hypoxia. However, there is little information concerning the signaling mechanisms that regulate the increase in HIF2 $\alpha$  in response to reduced O<sub>2</sub>. We focused much of our effort on the stress- and mitogen-activated protein kinase (SAPK and MAPK) pathways, which are known to play a critical role in the cellular response to stress, and in regulating changes in gene expression. In general, the SAPKs (p38 and JNK) are activated by noxious environmental stimuli such as: ultraviolet light, osmotic stress, inflammatory cytokines, and inhibition of protein synthesis. However, increasing evidence suggests that, at least under certain conditions, these pathways can also be activated by mitogenic and neurotrophic factors. MAPK is known to regulate a number of transcription factors, including c-fos, junB, CREB, and Elk-1. We examined the possibility that the SAPKs or MAPK pathways might be important for HIF2 $\alpha$  activation during hypoxia. Although both the p38 and MAPK pathways are activated by hypoxia, we found that hypoxia activation of HIF2 $\alpha$  required the MAPK pathway, but not the p38 pathway<sup>17</sup>. This is based on results, which showed that hypoxia activation of the HRE-luciferase reporter gene was prevented by pretreating cells with PD98059, an inhibitor of MEK. MEK is an upstream kinase in the MAPK pathway. In addition, we found that transfection of PC12 cells with a constitutively active MEK1 (pFC-MEK1) enhanced the hypoxia activation of HRE-luciferase activity. These findings indicate that the MAPK pathway regulates the activation of HIF2 $\alpha$  during hypoxia in PC12 cells. We also discovered that activation of

the MAPK pathway during hypoxia is independent of ras, but requires an intact  $\text{Ca}^{2+}$ -calmodulin pathway.<sup>17</sup> Pharmacological inhibition of calmodulin prevented activation of MAPK and the HRE-Luc reporter gene during hypoxia (Figure 4 a-c). This finding provides first evidence that the  $\text{Ca}^{2+}$ -calmodulin pathway activates MEK followed by activation of MAPK during hypoxia.

The mechanism by which MAPK regulates the HIF2 $\alpha$  transcription factor is not yet known. We were first to show that HIF2 $\alpha$  is phosphorylated during hypoxia.<sup>17</sup> However, experiments to determine if the phosphorylation was mediated by MAPK indicated that this was not the case. Thus we are led to conclude that even though MAPK stimulation is required for activation of HIF2 $\alpha$ , another yet unidentified kinase is responsible for the direct phosphorylation of HIF2 $\alpha$ .

Other signaling systems are also involved in the overall response of PC12 cells to hypoxia. For example, we identified a unique CREB kinase that is distinct and more complex than that induced by forskolin, depolarization, or nerve growth factor.<sup>20</sup> We have also shown that the phosphatidylinositol 3-kinase (PI3K)-AKT pathway is activated by hypoxia.<sup>21</sup> It is likely that many more signal transduction systems are involved in regulating the complex cellular response to hypoxia.

*Identification and Characterization of Hypoxia-Responsive Genes.* A small but growing number of genes are known to be regulated by low oxygen levels. However, the complex series of intricate physiological responses that are triggered by hypoxia are almost certainly mediated by more than the few previously identified hypoxia-responsive genes. It is likely that many genes are both induced and suppressed by hypoxia in a complex coordinated pattern. Thus, a clear understanding of the molecular basis of  $\text{O}_2$

chemosensitivity and tolerance to hypoxia requires a rapid, high throughput approach for identifying the global expression pattern induced by hypoxia.

To address this problem, we used subtractive suppression hybridization (SSH) to generate a custom cDNA library that is enriched in transcripts that are specifically regulated by hypoxia in PC12 cells. Coupled with cDNA microarray analysis, this represents a first step towards delineating the global gene expression profile that is regulated by reduced O<sub>2</sub> in an O<sub>2</sub>-sensitive cell line. We have created and characterized a library of hypoxia-regulated genes from the oxygen-sensitive PC12 cell line. This library contains approximately 200 unique hypoxia regulated genes. Genes that are strongly regulated by hypoxia, such as JunB, VEGF, and tyrosine hydroxylase (TH), are highly represented in the library. These genes, as well as many others, also show up-regulation by hypoxia in microarray analyses. We have selected a number of the hypoxia-regulated genes in the library for detailed study. Priority for further study was given to genes that have been implicated previously in signal transduction and gene regulation.

An example of gene discovery and characterization using this approach concerns one of the genes that was most frequently represented in the SSH library, which was identified as MAPK phosphatase-1 (MKP-1, also termed 3CH134 and CL100). This phosphatase is one member of a family of dual specificity phosphatases or MAP kinase phosphatases (MKPs) that oppose the effects of the mitogen- and stress-activated protein kinases (MAPKs and SAPKs). Phosphorylation of MAPKs and SAPKs can be induced by a wide array of cellular stimuli. The MKP enzymes are capable of dephosphorylating both phosphothreonine and phosphotyrosine in the MAPKs and SAPKs. In previous studies, we have shown that MAPKs and certain SAPKs are activated in PC12 cells in



response to hypoxia<sup>17,21</sup>. Our follow up studies showed that hypoxia-induced regulation of MPK-1 occurs in a  $\text{Ca}^{2+}$  manner, and that the p38 SAPKs are involved in mediating this effect. A role for p38 kinase in regulation of MKP-1 gene expression is based on our finding that pretreatment of cells with SB203580, which inhibits p38 kinase activity, significantly reduced the effects of hypoxia on MKP-1 mRNA levels. Moreover, we found that the MPK-1 is activated by cobalt, which activates the HIF-1 family of transcription factors and therefore mimics the effects of hypoxia on initiation of gene transcription.<sup>22</sup>

We have used this genomic approach to identify other potentially important genes that are involved in the cellular response to hypoxia. Some of these genes encode proteins that regulate membrane polarity, anaerobic metabolism, transcription, apoptosis, tolerance to hypoxia, and neurotransmitter biosynthesis. Thus, this approach offers an opportunity to identify key genes that mediate the response to hypoxia and to achieve a more comprehensive understanding of the cellular response to reduced  $\text{O}_2$ .

*Summary.* We have used PC12 cells as a model system to study the cellular and molecular response to both acute and chronic hypoxia. Our studies in PC12 cells have been successful in elucidating key elements involved in both  $\text{O}_2$  sensing and for conferring hypoxia tolerance. We have focused most of our effort on identifying the cellular and molecular mechanisms that regulate membrane depolarization, and signal transduction pathways that regulate gene expression leading to special functions (e.g. transmitter synthesis and release) and cell survival. In this regard, we have identified a specific potassium channel (Kv1.2) that regulates membrane depolarization in PC12 cells during hypoxia, and a number of signaling pathways that are regulated by reduced  $\text{O}_2$ ,

which are responsible for activation of transcription factors that regulate hypoxia-responsive genes. We have used genomic approaches to identify approximately 200 unique genes that represent the hypoxia transcriptome in PC12 cells. One of these genes is MKP-1 which is known to regulate both the MAPK and SAPK signaling pathways. We are currently performing detailed studies on MKP-1 and other key hypoxia-activated genes to determine their role in mediating the global response to reduced O<sub>2</sub>.

### **Acknowledgements**

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## Figure Legends

**Figure 1.** A northern blot showing the time course for activation of tyrosine hydroxylase (TH) gene expression in response to 5% O<sub>2</sub> in PC12 cells. B-actin gene expression was used as a control.

**Figure 2.** Immunoblot showing that EPAS1 (HIF2 $\alpha$ ) protein accumulates in PC12 cells during hypoxia (1% O<sub>2</sub> for 6 hr).

**Figure 3.** PC12 cells were seeded in 24-well dishes and transfected with the HRE-luciferase reporter gene. Forty-eight hours after transfection the cells were exposed to either normoxia or increasing levels of hypoxia, as indicated, and then assayed for luciferase activity. It is clear from these results that the reporter gene containing only the HRE site was stimulated by the increasing levels of hypoxia.

**Figure 4.** MAPK phosphorylation and EPAS1 (HIF2 $\alpha$ ) activity is calmodulin-dependent. PC12 cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>, 6hr) in the presence or absence of calmodulin antagonists W13 or calmidazolium (CMZ). (a) Representative immunoblot showing the effect of W13 on phospho-MAPK immunoreactivity. (b) Immunoreactivity levels of phospho-MAPK following hypoxic exposure in the absence or presence of W13. (c) Representative experiment showing the effect of W13 and CMZ on EPAS1 transactivation of the HRE-luc reporter gene. These results show that calmodulin is involved in hypoxia-activation of MAPK and transactivation of the HRE-luc reporter gene.



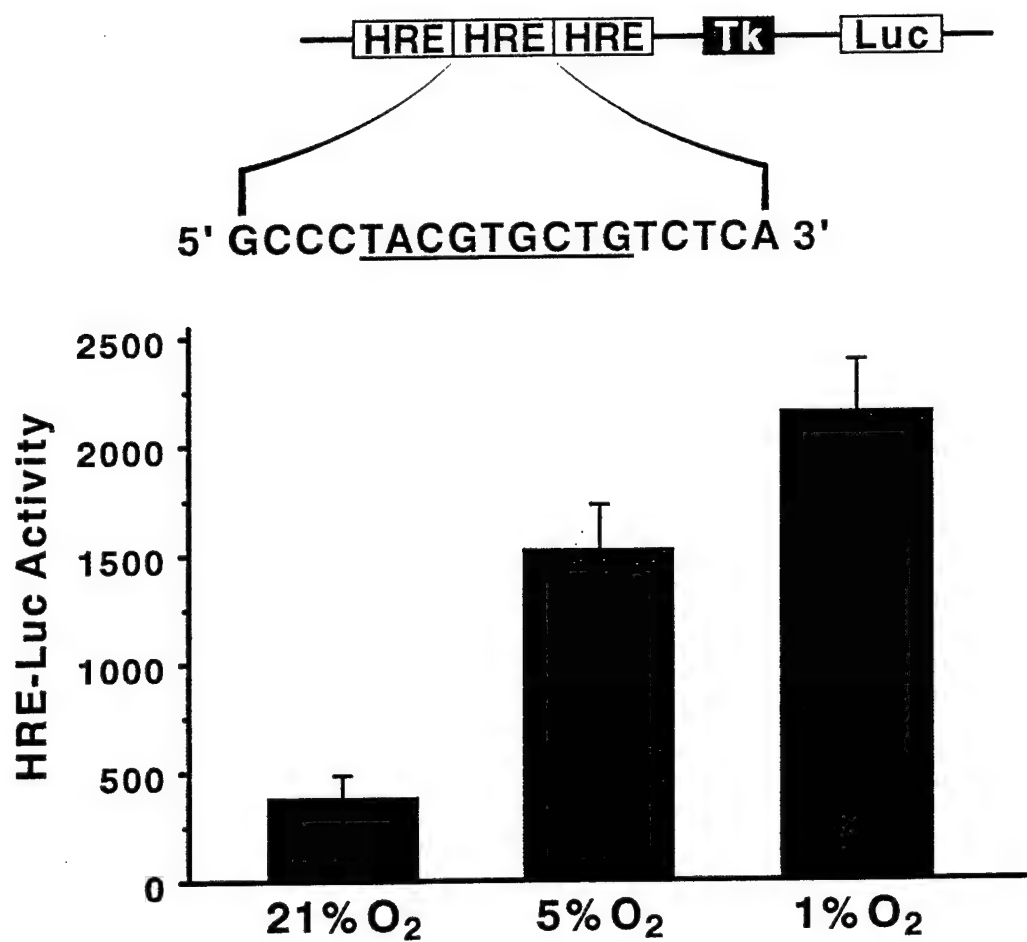


FIGURE 1

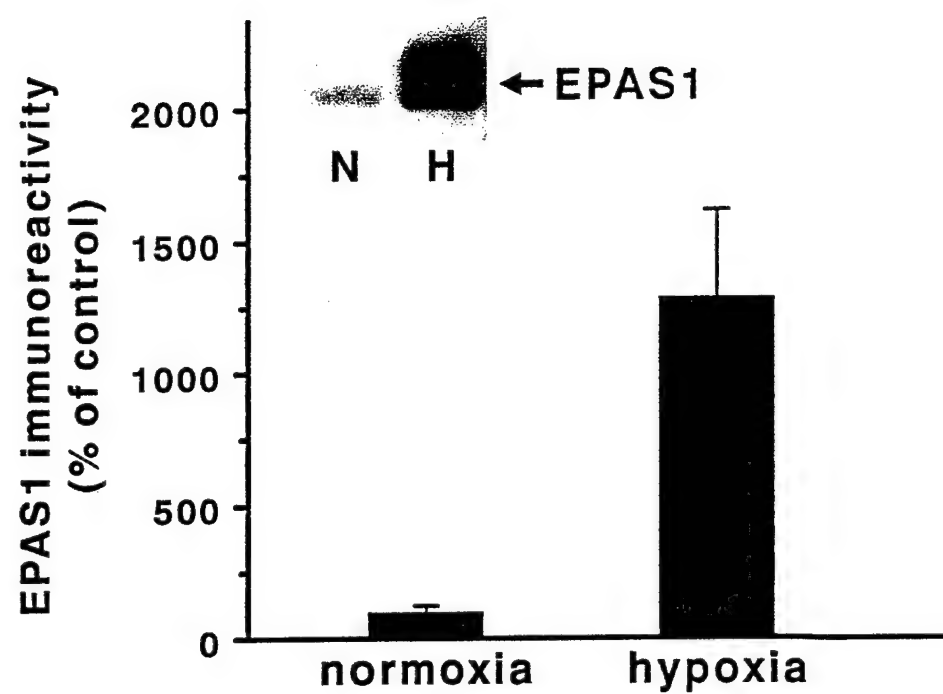
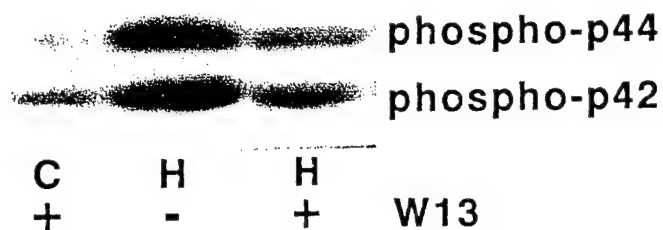
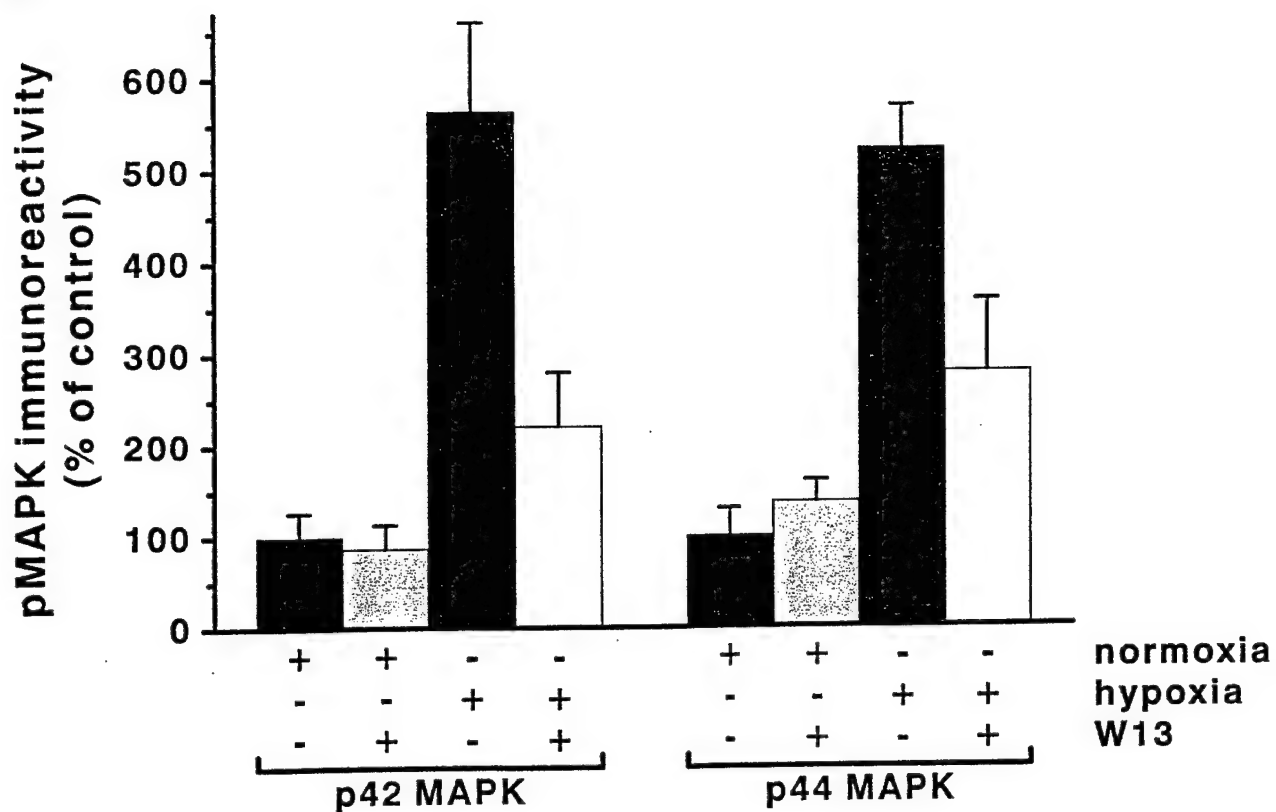


FIGURE 2

**A**



**B**



**C**

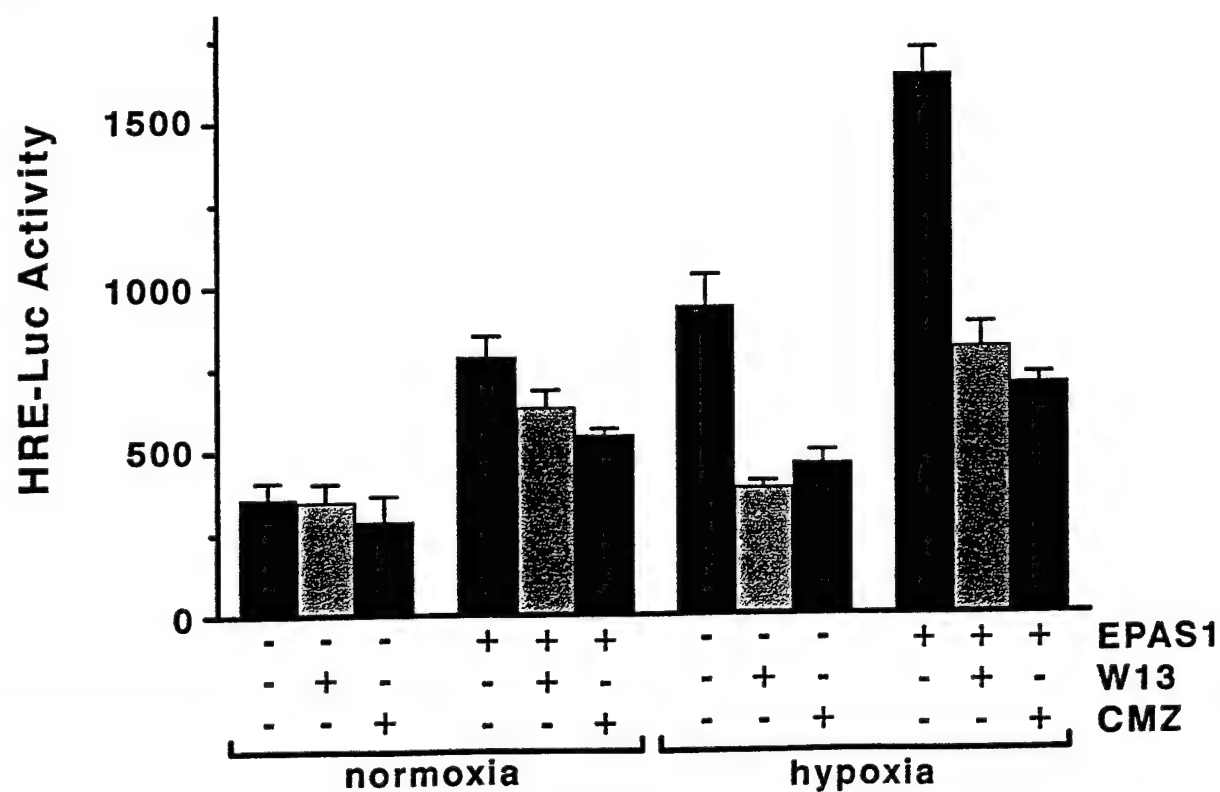


FIGURE 3

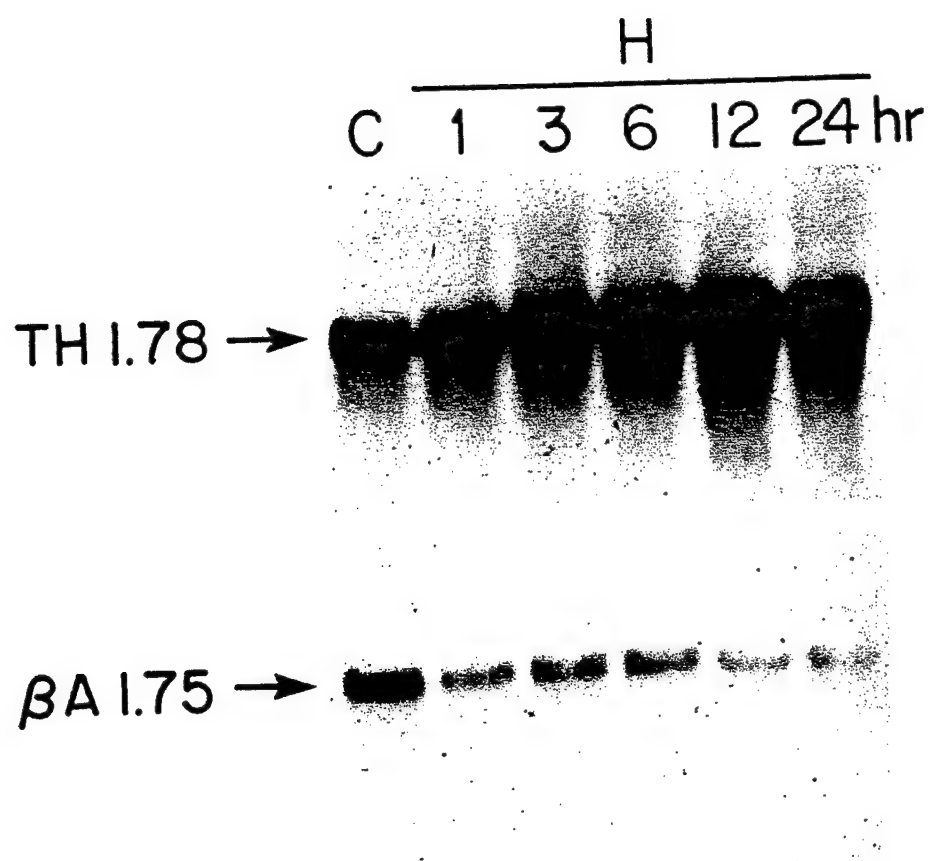


FIGURE 4

## Review Article

# Multiple Molecular Penumbras After Focal Cerebral Ischemia

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**Summary:** Though the ischemic penumbra has been classically described on the basis of blood flow and physiologic parameters, a variety of ischemic penumbras can be described in molecular terms. Apoptosis-related genes induced after focal ischemia may contribute to cell death in the core and the selective cell death adjacent to an infarct. The HSP70 heat shock protein is induced in glia at the edges of an infarct and in neurons often at some distance from the infarct. HSP70 proteins are induced in cells in response to denatured proteins that occur as a result of temporary energy failure. Hypoxia-

inducible factor (HIF) is also induced after focal ischemia in regions that can extend beyond the HSP70 induction. The region of HIF induction is proposed to represent the areas of decreased cerebral blood flow and decreased oxygen delivery. Immediate early genes are induced in cortex, hippocampus, thalamus, and other brain regions. These distant changes in gene expression occur because of ischemia-induced spreading depression or depolarization and could contribute to plastic changes in brain after stroke. **Key Words:** Stroke—Apoptosis—Genes—Stress genes—Hypoxia.

On the eve of knowing the sequence of the mouse and human genomes, the prospects for this information helping to diagnose and treat stroke and other polygenic neurological disorders has enormous potential. This is not meant to be a review of gene regulation following ischemia. Rather, it is an attempt to show how specific changes of gene expression may be used to infer mechanisms of injury or recovery after stroke that might lead to novel therapy.

Gene induction in brain, particularly stroke, cannot be studied in isolation. That is, the spatial, temporal, and cellular basis for the changes of expression must be known before speculations regarding therapeutic potential can be addressed. For example, genes induced after temporary ischemia in brain might reflect the prominent role of free radicals and oxidative stress (Chan, 1994), whereas the same genes might play a less important role in permanent arterial occlusion (Chan et al., 1993). Genes induced in inflammatory cells in the core of an infarct have different implications for mechanisms of injury and stroke therapy than do genes induced in neu-

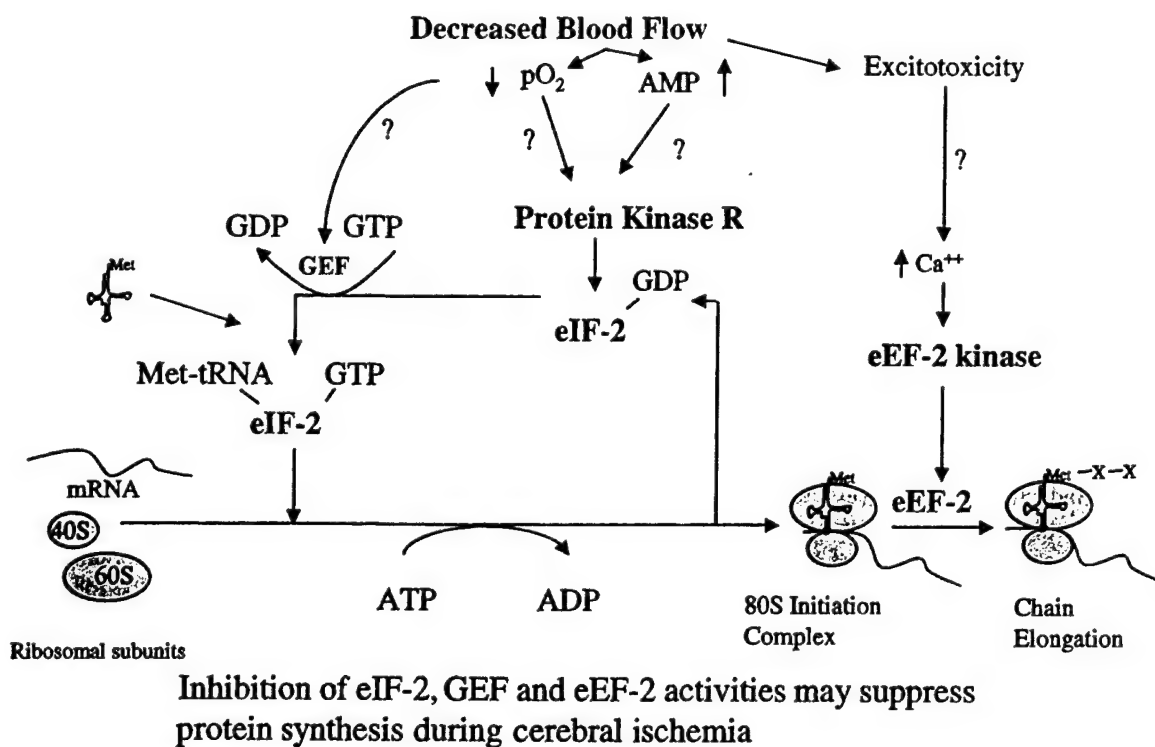
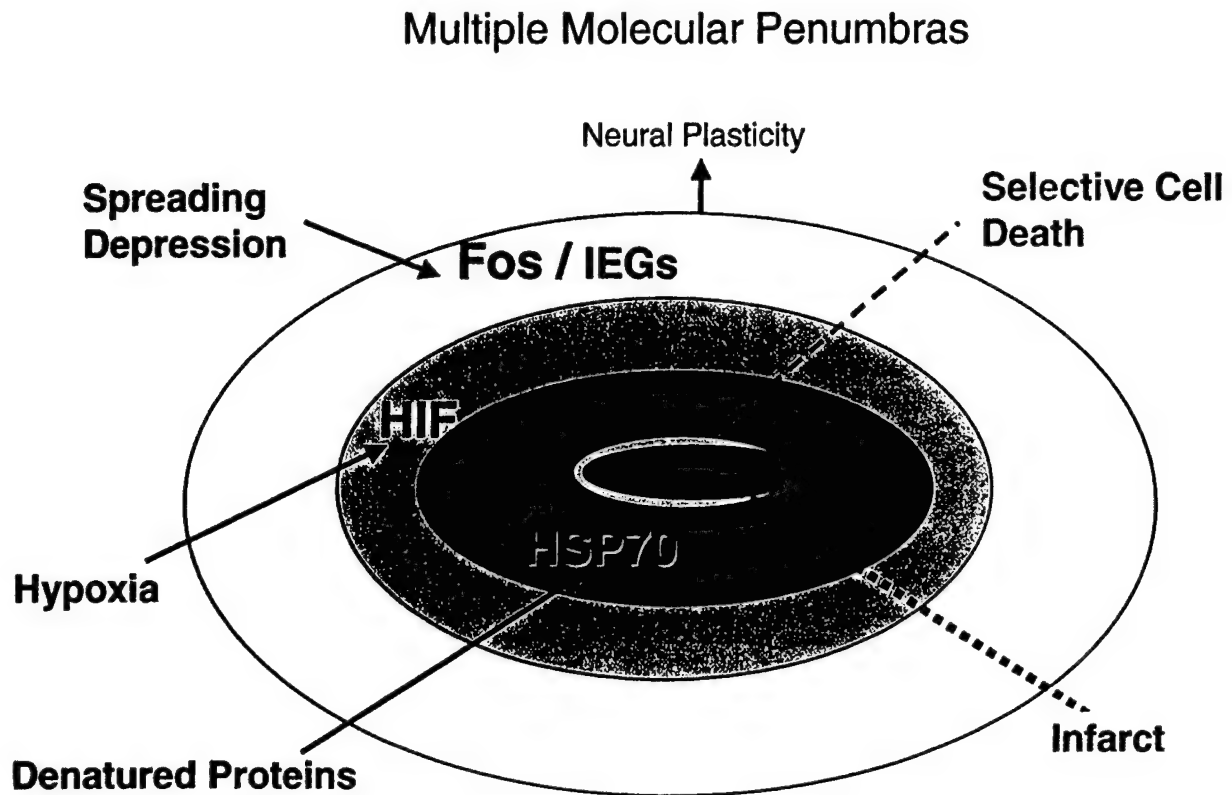
rons outside an infarct during the same time periods (del Zoppo, 1997; Dirnagl et al., 1999). Genes induced days after ischemia may be related to plasticity and recovery rather than to damage.

This paper could have been titled multiple molecular, spatial, temporal, and cellular penumbras after focal ischemia. Every gene in every cell can vary spatially and temporally with varying degrees of ischemia, making any representation a tremendous oversimplification (Fig. 1). Figure 1 attempts to condense large amounts of data. It is important to devise strategies to look at the most important genes because the changes of the majority of genes after stroke probably do not mediate either injury or protection. At this point it is difficult to know what the most important genes are. We refer to genes that are either the most familiar, or that have been the most studied, with the realization that much still needs to be learned and that many important genes for understanding the pathogenesis of stroke have yet to be identified.

It was often difficult to determine where most genes were induced after a stroke. The imprecise descriptions in most studies as to which cells in which brain regions express a gene hampers the interpretation of the factors that might induce these genes. We have made some assumptions about where each set of genes is induced after ischemia in order to compare this presumptive data with more precise information on gene induction in different

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**FIG. 2.** Protein synthesis on the ribosomes can be completely suppressed during focal ischemia through inhibition of several elongation factors, including eIF-2, GEF, and eEF. EIF-2 phosphorylation by PKR is affected by increases of adenosine monophosphate and decreased oxygen that occur during ischemia. Increased concentrations of glutamate released during ischemia can directly down-regulate eEF-2 kinase that regulates eEF-2 function during ischemia.

**FIG. 1.** Schematic of multiple molecular penumbras after a stroke. A zone of selective neuronal cell death borders the infarct. The zone of protein denaturation extends outside of this and is demarcated by HSP70 protein expression in injured neurons. Hypoxia inducible factor is induced in areas where blood flow is persistently decreased and oxygen delivery is impaired. This may be co-incident with HSP70 or extend over more widespread regions depending on collaterals. Ischemia-induced spreading depression induces *c-fos* and many other immediate early genes at some distances from the infarct, including the ipsilateral rat occipital and frontal lobes, contralateral cortex and many subcortical structures.

brain regions. Genes that have well described mechanisms of induction through oxygen, free radicals, denatured proteins, pH, and so on can provide molecular and biochemical insights into the injury. Though there are assumptions about how the genes may be induced in the intact brain, the inferences may be useful.

Though mRNA is frequently studied, in terms of effectors that mediate injury, it is essential that protein expression, or protein function (enzymatic activity and so on), be examined. Hence, if a gene is believed to mediate injury after stroke, its protein must be expressed. Because most proteins are not expressed in the core of a stroke, it is important to examine protein expression in the core, at the margins, and at some distance from the strokes.

Relatively few genes are discussed because of the enormity of the subject. Global ischemia was purposely excluded in order to focus on focal ischemia penumbras. However, there are broad reviews available on gene regulation after cerebral ischemia (Chan, 1994; Dirnagl et al., 1999; Koistinaho and Hokfelt, 1997; Kogure and Kato, 1993; Massa et al., 1996; del Zoppo, 1997; Chen and Simon, 1997; Feuerstein et al., 1997; Nowak and Jacewicz, 1994; Nowak, 1999). The speed and widespread availability of information today will cause this review to be out of date even before publication; however, we hope the general ideas will prove useful.

### INFARCT CORE - PROTEIN SYNTHESIS

A decrease or block of protein synthesis is one of the first biochemical changes to occur after focal cerebral ischemia (Fig. 2). This occurs when blood flow decreases approximately 50% (Mies et al., 1991). A decrease in ATP is not the signal for a block in protein synthesis because ATP does not decrease until flow falls to 20% of the normal level (Mies et al., 1991; Jacewicz et al., 1986). Ribosomal protein synthesis appears to be the sensitive step that responds to this reduced blood flow, occurring because of inactivation of initiation factor 2 (eIF2), guanine nucleotide exchange factor (eIF-2-GTP complex factor), and eukaryotic elongation factor (eEF-2) (Marin et al., 1997; Massa et al., 1996). Glutamate-dependent phosphorylation of eEF-2 (Marin et al., 1997) provides a direct link between ischemia-induced increases of extracellular glutamate and ischemia-induced inhibition of protein synthesis (Fig. 2). Phosphorylation of eIF-2 by Protein Kinase R also provides a control point in protein synthesis that is sensitive to oxy-

gen and/or adenosine monophosphate (Srivastava et al., 1998).

There is little or no synthesis of new proteins in neurons or astrocytes in the "core" of a cerebral infarct (Kleihues and Hossmann, 1971; Cooper et al., 1977; Mies et al., 1990, 1991; Hossmann, 1993, 1994). Most cerebral ischemia scientists use a change of histologic staining—hematoxylin and eosin, Nissl, or mitochondrial staining—to define the edges and volumes of infarction. Staining proteins by immunocytochemistry and Western blots within the core of an infarction is a function of the half-life of a protein. Proteins with short half-lives will disappear rapidly, without synthesis or rapid degradation. Because of their slow degradation proteins with long half-lives will continue to be detected long after histologic evidence of tissue infarction.

Protein synthesis continues in cells that survive an infarct. In some infarcts some or all of the blood vessels survive. When this occurs, protein synthesis can continue within blood vessels. For example, heat shock protein 70 (HSP70) continues to be expressed in blood vessels in an infarct (Gonzalez et al., 1989, 1991; Kinouchi et al., 1993a,b), as well as iNOS, eNOS (Iadecola et al., 1996) and many other genes. Importantly, there may be expression of cell adhesion molecules, cytokines, and chemokines by vascular cells within infarcts and at the margins of infarcts. Finally, inflammatory cells inside of infarcts, including neutrophils and macrophages, mount a specific genomic response to the dying and dead neurons and glia.

### CORE - ADHESION MOLECULES, CYTOKINES, CHEMOKINES

Intercellular adhesion molecule-1 (ICAM-1) is expressed by vessels in the core of the infarction and at the edges of an infarction (Yang et al., 1999c). ICAM-1 mRNA and endothelial leukocyte adhesion molecule-1 (ELAM-1) and selectin are induced by 3 hours and 6 hours, respectively, after ischemia and peak at 6 to 12 hours (Zhang et al., 1995b; del Zoppo, 1997; Feuerstein et al., 1997; Wang et al., 1994a; Wang and Feuerstein, 1995; Amberger et al., 1997). ICAM-1 protein is expressed mainly within the core of the infarct on endothelial cells (Kim, 1996) and plays a role in neutrophil invasion of ischemic tissue. Cytokine-induced neutrophil chemo-attractant protein (CINC) is also induced mainly within an infarct and at its margins (Liu et al., 1993; Yamasaki et al., 1995). CD11 positive neutrophils appear



within a day at the infarct site and are numerous by 3 days (Kato et al., 1996). Many studies that show that a reduction in inflammatory cells or inhibition of adhesion molecules lessens injury in experimental models of stroke suggest that adhesion molecules and inflammatory cells play a role in mediating focal ischemic brain injury (Chopp et al., 1996; del Zoppo, 1997; Feuerstein et al., 1997; Kitagawa et al., 1998; Soriano et al., 1999). This suggestion is balanced by a recently completed study of an anti-ICAM antibody in humans that failed to show benefit. This might relate in part because maximal treatment of infarction could be dependent upon reperfusion of the core so that antibodies reach all areas of ischemia, particularly because anti-ICAM antibodies work best after temporary ischemia (Zhang et al., 1995a, 1999).

Integrin alpha beta 3 is expressed primarily in the core of an infarct and is likely related to vascular responses (Abumiya et al., 1999). Monocyte-chemoattractant protein-1 and macrophage inflammatory protein-1 alpha (MIP-1) are induced primarily in the core and adjacent areas of ischemia (Kim et al., 1995). MIP-1 is induced first in the core, where the greatest damage occurs, and then in the regions adjacent to the infarction, (Takami et al., 1997) where damage is less severe and possibly where macrophages and microglia engulf single cells or small groups of cells that might die more slowly. CD18 positive macrophages, which immunostain for heme oxygenase-1 (Bergeron et al., 1997), begin to appear at 2 to 3 days in the core of an infarct and are quite numerous by 7 days (Kato et al., 1996).

### MATRIX METALLOPROTEINASES

The matrix metalloproteinases (MMPs) include MMP-7 (matrilysin), MMP-3,-10,-11,-13 (stromelysins), MMP-14 (membrane MMP), and MMP-2 and MMP-9 (gelatinase A and B, respectively) (Rosenberg et al., 1996; Mun-Bryce and Rosenberg, 1998). MMP-2 and MMP-4 have been the subject of recent studies because they attack type IV collagen, laminin, and fibronectin, the major components of the basal lamina around cerebral blood vessels. MMP-2 is expressed constitutively in brain and may play a role in ischemia (Clark et al., 1997; Gasche et al., 1999; Rosenberg et al., 1996). MMP-9, the 92kD type IV collagenase, is not expressed in normal brain. After ischemia, ProMMP-9 is induced in the core within 2 hours with enzymatic activity and mRNA induction being detected by 4 hours (Fujimura et al., 1999a; Gasche et al., 1999). Induction of MMP-9 mRNA could be mediated by a NF-kB site in the MMP-9 promoter (Mun-Bryce & Rosenberg, 1998) (Fig. 3). Activation of MMP-9 correlates with blood-brain barrier breakdown (Gasche et al., 1999) and in at least one study correlated with areas of hemorrhagic conversion after focal ischemia (Heo et al., 1999). MMPs may be impor-

tant for producing increases of blood brain barrier permeability and brain edema after stroke (Gasche et al., 1999). MMPs may also promote tissue invasion of neutrophils and macrophages, and contribute to hemorrhages that result after reperfusion of ischemic tissue (Mun-Bryce & Rosenberg, 1998; Heo et al., 1999).

### Therapeutic significance of the core

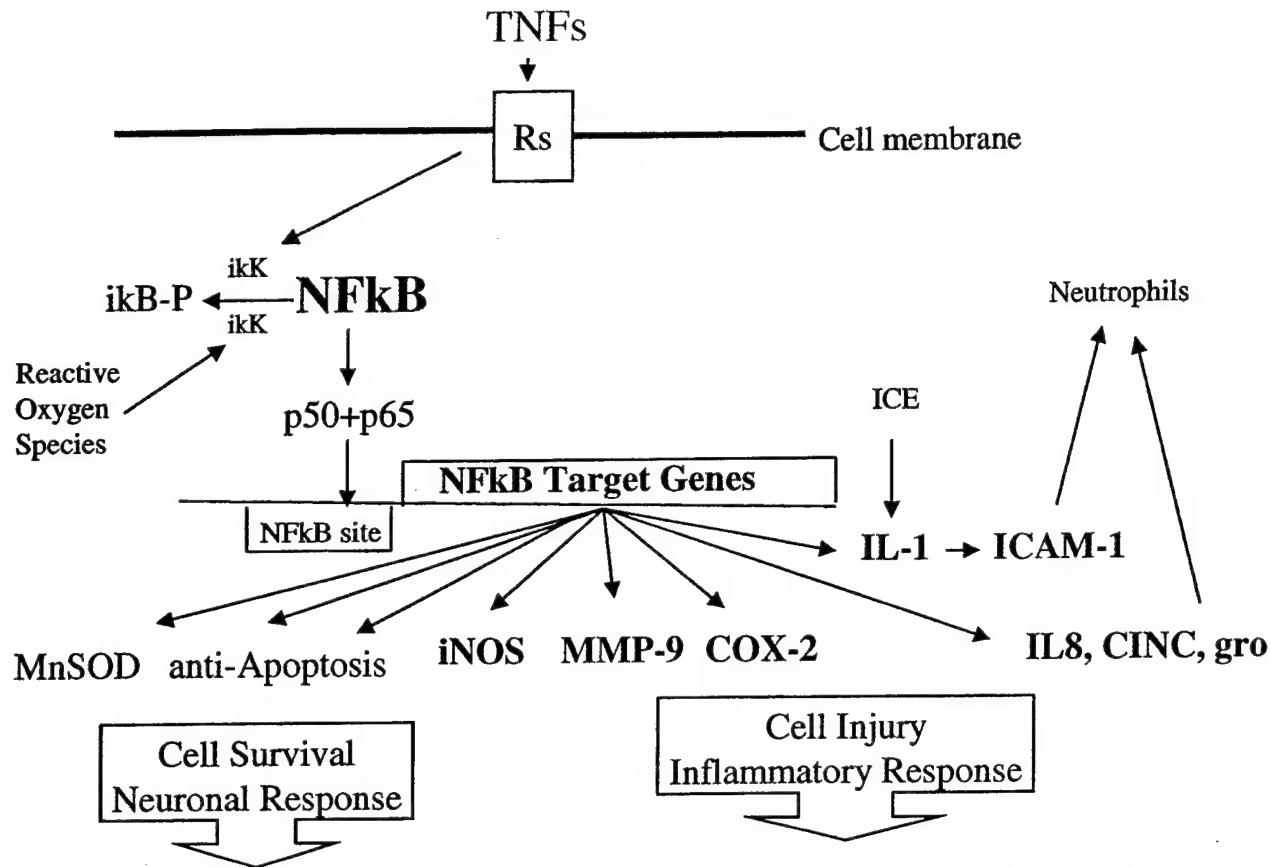
Many of the above genes are expressed mainly in the core of an infarct. When there is no reperfusion of the core, there would seem little hope of rescuing the core with current technology and little reason to think that manipulating the above genes might affect the outcome. However, if the core were reperfused either spontaneously or with tPA, the induction of these inflammatory molecules (Fig. 3) might prove to be important therapeutic targets (Zhang et al., 1999).

### ZONE OF SELECTIVE NEURONAL DEATH ADJACENT TO INFARCTS

The edges of infarcts appear to be fairly well demarcated on Nissl and hematoxylin and eosin-stained tissue sections at the gross level. However, on hematoxylin and eosin-stained sections there are eosinophilic cells, most of which are neurons, that are outside an infarct. This appears to represent a rim of selective neuronal cell death (Nedergaard, 1987; Nedergaard et al., 1987) (see Fig. 1). These histologic findings have been supported by TUNEL staining. TUNEL-stained neurons with fragmented DNA are found immediately outside the areas of infarction, at most a centimeter from the infarct, and vary in number depending upon the severity of ischemia and the brain region examined (Li et al., 1995c; Li et al., 1995b; States et al., 1996). Consequently, there is a zone of selective neuronal death that occurs adjacent to, and just outside of histologically defined infarct margins.

### APOPTOSIS, DNA DAMAGING INDUCIBLE AND DNA REPAIR GENES

DNA damage-inducible and DNA repair genes tend to be expressed either within the core or within the regions adjacent to the infarction. It is possible that these genes contribute to selective neuronal cell death, or contribute to tissue infarction itself, or both. Bax, the pro-apoptotic gene, is induced in the core of infarcts (Gillardot et al., 1996) and in cells just outside the infarct that have evidence of DNA fragmentation by TUNEL staining (Matsushita et al., 1998; Isenmann et al., 1998). Bcl-2 tends to decrease in cells that appear to be lethally injured. Bcl-2 and Bcl-xl, the anti-apoptotic genes, tend to be induced in cells that are immediately adjacent to an infarct (Asahi et al., 1997) and probably survive ischemia (Chen et al., 1995) (Isenmann et al., 1998). Bcl-2 can be induced in the entire middle cerebral artery (MCA) territory with



**FIG. 3.** Postulated mechanisms by which TNF and NFkB might mediate either cell survival or cell injury. This would depend upon which cells these genes are induced in and which target genes are induced. Note that NFkB is activated by phosphorylation of ikB and its release resulting in NFkB activation and binding of the p50-p65 complex to NFkB sites in target genes.

less severe degrees of ischemia (Chen et al., 1995). The cleaved portion of caspase 3, the protease associated with programmed cell death, is found in the MCA core and in the region adjacent to the core (Asahi et al., 1997; Namura et al., 1998).

Genes associated with DNA damage are expressed in and around the core after focal ischemia. Gadd45, a protein induced in response to DNA damage, was expressed at the edge of infarcts in cells that had little evidence of DNA fragmentation (Hou et al., 1997). This contrasted with another study that suggested that p53, Bax, MDM2, and Gadd45 were induced in cells that were dead or expected to die (Li et al., 1997). DNA repair proteins decrease in cells expected to die in the core (Fujimura et al., 1999b,c) and are induced in cells immediately adjacent to the core that appear to survive the ischemia (Li et al., 1997). Cell cycle genes and proteins can be induced in cells that survive ischemia and possibly in proliferating cells like microglia and astrocytes (Wiessner et al., 1996).

There is conflicting data about some of these genes. One study shows that p21 mRNA and protein and cyclin G1 increase; whereas p53 and Bax messenger RNA and protein levels, and protein levels of p27, cyclin-

dependent kinase 5, p35, and cyclin E decrease in the infarct core and border areas after middle cerebral artery occlusion (MCAO) (van Lookeren Campagne and Gill, 1998). Some of the disparity between these studies could be attributed to differences in how much of the core or adjacent surviving brain was sampled, because protein levels in the core for most genes would decrease. Also of interest is that at least one study shows induction of GADD45, growth arrest and DNA damage-inducible in neurons throughout an ischemic hemisphere, in areas inside and outside any region of ischemia (Jin et al., 1996). This pattern of gene induction is similar to that of immediate early genes (IEGs) described below; hence, it is likely induced in response to spreading depression rather than damaged DNA (though once induced it could respond to DNA damage after focal ischemia).

CD95 and tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) have also been studied after reversible MCAO in adult rats (Martin-Villalba et al., 1999). Both CD95 ligand and TRAIL were expressed in the rim around the infarct. Recombinant CD95 ligand and TRAIL proteins induced apoptosis in primary neurons and neuron-like cells *in vitro*. FK506 prevented postischemic expression of these death-inducing ligands

both *in vivo* and *in vitro* and abolished phosphorylation, but not expression, of the c-jun transcription factor involved in the transcriptional control of CD95 ligand. In addition, in *lpr* mice expressing dysfunctional CD95 reversible MCAO resulted in infarct volumes significantly smaller than those found in wild-type animals (Martin-Villalba et al., 1999).

Therefore, the evidence suggests that this narrow zone of selective neuronal injury, where various apoptosis-related and DNA damage and repair genes are expressed, could be important for stroke outcome. This is supported by recent studies showing that inhibiting caspases or introducing bcl-2 into brain improve outcome from stroke (Cheng et al., 1998; Lawrence et al., 1996a; Endres et al., 1998; Martinou et al., 1994). It is possible that the region of selective cell death might convert to an area of infarction with continuing or worsening ischemia (Du et al., 1996). Adjacent, previously unaffected regions could then develop selective cell death and so on. It remains to be seen whether manipulating apoptotic-related proteins will improve stroke outcomes.

### NOS AND NO

Immediately after focal infarction, NO (nitric oxide) is derived mainly from neuronal nNOS (NOS-1) and endothelial eNOS (NOS-3) in the core and margin of the infarct (Iadecola, 1997; Ashwal et al., 1998). Inhibiting nNOS at this point appears to improve stroke outcome (Iadecola et al., 1994, 1997; Dirnagl et al., 1999), with NO injury occurring through actions on the *N*-methyl-D-aspartate (NMDA) receptor and acting as a free radical (Coeroli et al., 1998; Dirnagl et al., 1999). eNOS appears to protect at this early stage by releasing NO to dilate vessels and accounting for nonspecific nitric oxide synthase (NOS) inhibitors either worsening (inhibiting eNOS) or improving (inhibiting nNOS) stroke when given before or just after ischemia (Iadecola et al., 1994, 1997; Dirnagl et al., 1999).

Inducible NOS (iNOS, NOS-2) is induced many hours after ischemia (Nagafuji et al., 1994; Iadecola, 1997). It is induced either in neutrophils or macrophages, in the core of the infarct, or in microglia, blood vessels, or astrocytes at the margins of the infarct (Iadecola et al., 1996; Coeroli et al., 1998; Forster et al., 1999; Loihl et al., 1999). Inhibition of iNOS (NOS-2) appears to improve stroke (Iadecola et al., 1995, 1996, 1997; Dirnagl et al., 1999).

### TNF AND NFkB

Some cytokines are expressed only within the infarct, whereas others are expressed at a considerable distance, possibly even in the opposite hemisphere. In addition, there are many differences in the temporal induction of the various genes (Hill et al., 1999). Tumor necrosis

factor- $\alpha$  (TNF- $\alpha$ ) is induced early, whereas interleukin-1 (IL-1) and transforming growth factor (TGF) are delayed (Hill et al., 1999). TGF expression can persist for weeks after a stroke (Wang et al., 1995b), as can interferon-inducible protein-10 (Wang et al., 1998b).

### TNF- $\alpha$

TNF- $\alpha$  is induced in the core and in the region adjacent to the infarction in neurons, astrocytes, and endothelial cells (Feuerstein et al., 1994; Gong et al., 1998; Liu et al., 1994; Feuerstein et al., 1997) within 1 to 6 hours the ischemia (Yang et al., 1999a). TNF- $\alpha$  expression can be observed in neurons and macrophages (Liu et al., 1994). Although TNF- $\alpha$  is mainly induced in the ischemic hemisphere, it can also be induced in the ipsilateral hippocampus (Gong et al., 1998) and the contralateral nonischemic hemisphere (Zhai et al., 1997).

TNF- $\alpha$  has often been thought to mediate injury and apoptotic cell death (Dawson et al., 1996; Mizuno and Yoshida, 1996; Yang et al., 1998), although more recent studies suggest that it can also be protective. Some investigators have shown that administration of TNF worsens infarcts, and binding TNFs with ligands or antibodies decreases stroke size (Barone et al., 1997; Dawson et al., 1996; Nawashiro et al., 1997). However, other studies have suggested that mice with knockouts of their TNF receptors have larger infarcts and greater injury because of excitotoxins (Bruce et al., 1996; Sullivan et al., 1999). These differences in the actions of TNF could depend upon the following: (1) which TNF is induced; (2) which receptor it acts on; and (3) which cells the TNF is induced in (Fig. 3). TNF induction in neutrophils and endothelial cells could mediate injury, whereas TNF induction in neurons could be protective (Bruce et al., 1996; Mattson, 1997) (Fig. 3).

### NFkB

Stimulation of TNF- $\alpha$  receptors leads to activation of NFkB, with phosphorylation and release of ikB from the NFkB complex (May and Ghosh, 1999; Li and Karin, 1999). The p50 and p65 dimer of NFkB then acts on NFkB target genes (Ghosh et al., 1998) (see Figure 3). The precise role of NFkB in ischemia remains unclear because some studies show that NFkB might mediate injury, whereas others suggest that it could protect brain.

For example, one study shows that NFkB/p65 decreases after focal ischemia (Botchkina et al., 1999a). However, other studies show that, although absolute amounts of p50 and p65 may not be predictive, NFkB DNA binding activity is increased after focal and global ischemia (Schneider et al., 1999; Carroll et al., 1998; Gabriel et al., 1999; Howard et al., 1998; Salminen et al., 1995). In one study stroke volumes were decreased in p50 knockout mice (Schneider et al., 1999) suggesting a harmful role for NFkB. However, blocking NFkB activity can exacerbate excitotoxic injury (Botchkina et al.,

1999b), and stroke and excitotoxic injury in p50 knock-out mice has been reported to be worse (Yu et al., 1999).

In addition to being regulated by TNF and reactive oxygen species (Schreck et al., 1991; Meyer et al., 1993), NFkB is also regulated by ikB kinases (ikKs). ikKs phosphorylate ikB, which activates the p50-p65 complex of NFkB. TNF activates NFkB by activating ikKs (Schottelius et al., 1999). Aspirin and NSAIDs down-regulate NFkB and TNF (Shi et al., 1999) in part by inhibiting ikKs (Pierce et al., 1996; Stevenson et al., 1999). IL-10 decreases NFkB activity in part by inhibiting ikKs, and also by blocking NFkB binding to target promoter elements (Schottelius et al., 1999).

Although NFkB is a major sensor and effector of oxidative stress in cells, it is not entirely clear how this occurs (Li and Karin, 1999). Thioredoxin (TRX) is a small disulfide protein induced in response to oxidative stress. TRX interacts with and activates NFkB (Weichsel et al., 1996). Although TRX expression decreases in an infarct, it is markedly induced adjacent to an infarct (Takagi et al., 1998). TRX overexpression in transgenic mice protects them against focal ischemia (Takagi et al., 1999).

NFkB could mediate cell protection and cell damage because of its many downstream target genes. Genes with NFkB binding sites in their promoters that would protect cells include MnSOD (Darville et al., 2000; Xu et al., 1999); calbindin (Bruce-Keller, 1999); bcl family genes, including bcl-2 and bcl-xl (Wang et al., 1998a; Chen et al., 1999a; Zong et al., 1999; Tsukahara et al., 1999; Tamatani et al., 1999); and TNFR-associated factors (TRAFs) and inhibitor of apoptosis proteins (IAPs) (Wang et al., 1998a).

A number of other genes can be regulated at least in part by NFkB can mediate cellular injury. These include IL-1, ICAM-1, CINC, IL-8, and gro, that promote neutrophil adhesion (Roebuck, 1999); COX-2, that metabolizes arachidonic acid (Lee and Burckart et al., 1998; Kotake et al., 1998; Plummer et al., 1999); MMP-9, that cleaves type 4 collagen at the blood-brain barrier (Mun-Bryce & Rosenberg, 1998; Lee and Burckart, 1998); heme oxygenase-1, that metabolizes heme to release iron; and iNOS, that releases NO and contributes to oxidative stress (Kotake et al., 1998). Lastly, the proapoptotic gene bcl-xs has a NFkB element in its promoter that is activated during brain ischemia (Dixon et al., 1997).

NFkB and TNF might promote cell injury or cell protection depending upon the cells and the circumstances of their induction (Li & Karin, 1999). For example, COX-2 is induced in smooth muscle cells but not through NFkB (Chen et al., 1999b). As a working hypothesis, it is conceivable that TNF and NFkB expression in neutrophils and endothelial cells might induce neural injury related genes after stroke (Schneider et al.,

1999). However, TNF and NFkB expression in neurons may induce neuroprotective genes and prevent injury caused by stroke and excitotoxins (Bruce-Keller, 1999) (Bruce et al., 1996) (Mattson, 1997) (Yu et al., 1999a). This dual action of TNF and NFkB is shown in Fig. 3.

## COX-2

Phospholipids are metabolized to arachidonic acid (AA) by phospholipase A2. AA is metabolized to prostaglandins by COX-2 and metabolized to leukotrienes by 5-lipoxygenase. COX-2 is induced by focal ischemia (Kinouchi et al., 1999b; Planas et al., 1995). COX-2 inhibitors decrease stroke volumes in some, but not all, studies (Nagayama et al., 1999; Hara et al., 1998). Cell protection produced by COX-2 inhibitors appears to be linked to iNOS mediated injury (Nagayama et al., 1999). It should be noted that spreading depression induces COX-2 throughout a hemisphere (Miettinen et al., 1997; Koistinaho et al., 1999) so that variable degrees of focal ischemia may induce COX-2 throughout one-half of the brain. This may explain why COX-2 is induced at great distances from the region of ischemia in rodents (Koistinaho et al., 1999) and in human stroke patients (Sairanen et al., 1998). This also suggests that spreading depression, not AA itself, is the likely stimulus for COX-2 induction after focal ischemia.

## INTERLEUKINS

### IL-1

IL-1 is markedly induced after focal ischemia (Szafarski et al., 1995; Betz et al., 1996; Rothwell and Relton, 1993; Yabuuchi et al., 1994; Rothwell et al., 1997). IL-1 is induced in the ischemic ipsilateral cortex and in the contralateral, nonischemic cortex (Zhai et al., 1997). It peaks at 6 hours after ischemia and persists for several days (Wang et al., 1994b). The bilateral IL-1 induction appears to occur in cerebral endothelial cells and microglia (Giulian et al., 1986; Zhang et al., 1998b). This suggests that although ischemia may only occur in one hemisphere, adverse cytokine responses may appear in the opposite hemisphere (Zhai et al., 1997). This could occur through ischemia induced spreading depression as described below to explain bilateral induction of fos and other IEGs after stroke. IL-1 appears to worsen ischemic injury (Betz et al., 1996; Stroemer and Rothwell, 1998), and to produce selective neuronal cell death and edema (Holmin and Mathiesen, 2000). Blocking IL-1 decreases ischemic injury (Loddick et al., 1997; Rothwell et al., 1997; Betz et al., 1996; Yang et al., 1999b). This could occur in part because IL-1 induces ICAM-1 and other proinflammatory molecules (Rothwell et al., 1997; Yang et al., 1999c) (Fig. 3).

IL-6 is also induced diffusely in brain after ischemia (Loddick et al., 1998). It is induced in neurons and microglia and is found in the ischemic hemisphere, the

ipsilateral hippocampus, and contralateral cortex (Suzuki et al., 1999). Mice deficient in IL-6 have markedly decreased astrocytic and microglial responses to injury (Klein et al., 1997) and administration of IL-6 protected against stroke (Loddick et al., 1998).

IL-10 is also induced by ischemia, although it is induced only in the ischemic hemisphere (Zhai et al., 1997). This monocyte chemo-attractant is induced mainly in regions of injury at early times after stroke and continues expression for days after stroke (Wang et al., 1998b).

### TGF AND PLASMINOGEN ACTIVATOR INHIBITOR

TGF- $\beta$ 1 mRNA is induced in the ischemic MCA territory, including cortex and striatum, and in the ischemic cingulate cortex (Lehrmann et al., 1998). Microglia and macrophages are the major source of TGF- $\beta$ 1 after ischemia (Lehrmann et al., 1998). Expression of mRNA was detected by 6 to 12 hours after ischemia (Wang et al., 1995b), was highly expressed at 1 week, and continued expression in a rim around the infarct at 3 weeks after infarction (Lehrmann et al., 1998). TGF $\beta$  appears to protect against focal ischemia (Ruocco et al., 1999).

The plasminogen activator inhibitor-1 (PAI-1) is a TGF target gene. Focal ischemia induces PAI-1 without any effect on protease nexin-a, neuroserpin, or tissue plasminogen activator. PAI-1 is expressed in astrocytes (Docagne et al., 1999). PAI-1 was modulated by TGF- $\beta$ 1 treatment through a TGF- $\beta$ -inducible element contained in the PAI-1 promoter (CAGA box) (Docagne et al., 1999). TGF-beta and activin induced the overexpression of PAI-1 in astrocytes; whereas bone morphogenetic proteins, glial cell line-derived neurotrophic factor, and neurturin did not. Protective effects of TGF $\beta$  may be mediated in part through binding of TGF $\beta$  to PAI-1 and its downstream effects. Others have confirmed that focal ischemia induces PAI-1 mRNA without effects on tPA or u-PA mRNAs (Ahn et al., 1999), although u-PA enzymatic activity increases and tPA enzymatic activity decreases after stroke (Rosenberg et al., 1996a). TGF could induce PAI-1mRNA through the combined actions of two sets of transcriptional activators, Smad3 and Smad4 in cooperation with AP-1 (Fig. 6) (Zhang et al., 1998a).

### GROWTH FACTORS

#### bFGF

bFGF is induced mainly in astrocytes in the MCA territory and ipsilateral hippocampus after ischemia (Lin et al., 1997). Others have noted global up-regulation of bFGF in the ischemic hemisphere (Lippoldt et al., 1993), including nonischemic regions of cingulate cortex, temporal cortex, and some nonischemic subcortical struc-

tures (Speliotis et al., 1996). This suggests that bFGF is probably induced by ischemia induced spreading depression (see below). Although bFGF protected against stroke in rodent models, it failed to protect in a recent human trial. However, it is still possible that bFGF and other growth factors might promote more rapid stroke rehabilitation and perhaps improve long-term recovery (Fisher and Finklestein, 1999; Ay et al., 1999).

#### BDNF

BDNF is also induced throughout an ischemic hemisphere (Hsu et al., 1993; Kokaia et al., 1998; Guegan et al., 1998; Kokaia et al., 1993). It is likely that BDNF is induced through spreading depression induction of Fos (An et al., 1993a) that then induces BDNF through an AP-1 site in its promoter (Cui et al., 1999). bFGF may be induced through a similar mechanism of spreading depression induction of fos that then acts on AP-1 sites in the promoter of the bFGF gene. Inflammatory cytokines like IL-1 also induce bFGF through an AP-1 site in its promoter (Faris et al., 1998). Once induced, BDNF, bFGF, and other growth factors have a large number of target genes that they also regulate (Aho et al., 1997; Semkova and Kriegelstein, 1999; Shieh and Ghosh, 1999; Black, 1999).

Glial derived nerve growth factor is also induced after stroke (Abe et al., 1997), as is EGF $\alpha$  (Planas et al., 1998). Insulin growth factors and binding proteins (Gluckman et al., 1992; Lee et al., 1996), platelet derived growth factor (Iihara et al., 1994), ciliary neurotrophic factor (Lin et al., 1998) and growth inhibitory factor (metallothionein III) (Yuguchi et al., 1997) are also induced after focal ischemia. Insulin growth factor like receptor-II (IGF-II) is induced in pyramidal neurons primarily in the core (Stephenson et al., 1995). Interestingly, growth inhibitory factor is induced throughout the hemisphere after a focal stroke, again suggesting possible induction of this gene through spreading depression. Fibroblast growth factor and ciliary neurotrophic factor have been reported to attenuate the thalamic atrophy that occurs after MCAOs in animals (Yamada et al., 1991; Kumon et al., 1996). When tested, the administration of most of the neurotrophic factors protects against focal ischemia (Semkova and Kriegelstein, 1999; Wang et al., 1997).

### HEAT SHOCK PROTEINS

#### HSP70 - zone of protein denaturation and renaturation

The induction of heat shock proteins (HSPs) after focal and global ischemia continues to be of interest because they are unique among most of the genes studied because they are specifically induced in cells responding to injury (Nowak and Jacewicz, 1994; Massa et al., 1996; Nowak, 1999; Welch and Brown, 1996), and these genes



protect against a wide variety of injuries (Massa et al., 1996; Rajdev et al., 1997, 2000; Chen and Simon, 1997).

HSP70 is the major inducible heat shock protein, being expressed at low levels in all cells (Welch and Gambetti, 1998; Craig et al., 1993). Any injury that contributes to protein denaturation appears to produce transcriptional activation of hsp70, including ischemia, heat shock, heavy metals, hypoglycemia, low pH, and disease states (Lindquist, 1992; Brown, 1995; Morimoto et al., 1997; Welch and Gambetti, 1998). The presence of the denatured proteins appears to be the major stimulus for hsp70 induction (Ananthan et al., 1986). The transcriptional activation of hsp70 occurs through heat shock factors (HSFs). HSFs are bound to HSP90 in normal cells in an inactivated state (Zou et al., 1998; Gass et al., 1994; Schumacher et al., 1996). With the appearance of denatured proteins, HSP90 binds to the denatured proteins releasing HSFs (Zhou et al., 1996; Zou et al., 1998). HSFs are activated—that is, phosphorylated and form a trimer—and bind to heat shock elements on hsp70 and other heat shock genes to stimulate the heat shock response (Zou et al., 1998).

Thus, the zone of HSP70 induction after focal ischemia can be viewed as the zone of protein denaturation associated with the injury (see Figures 1 and 4). Since HSP70, in concert with HSP90 and other heat shock protein chaperones, acts to renature the denatured proteins, hsp70 induction represents the zone of protein denaturation and attempted protein renaturation. After permanent ischemia or severe temporary focal ischemia hsp70 mRNA may not be expressed in the core of an infarct if ATP is limiting (Nowak, 1999; Welsh et al., 1992; Kobayashi and Welsh, 1995). However, even with vessel occlusions that lead to MCA infarction, hsp70 mRNA can be expressed inside and outside the region of infarction, with most of the hsp70 mRNA within the infarct being expressed in vessels (Kinouchi et al., 1993a,b, 1994b).

HSP70 protein is expressed mainly in blood vessels and sometimes in scattered microglia and astrocytes in areas inside an infarction (Kinouchi et al., 1993a; Soriano et al., 1994; Planas et al., 1997). HSP70 protein is expressed in glia at the margins of infarcts, and HSP70 protein is expressed in glia and neurons outside areas of infarction (Kinouchi et al., 1993a,b; Li et al., 1992, 1993; Soriano et al., 1994). As noted above, the neuronal expression of HSP70 protein can be interpreted as a molecularly defined penumbra of protein denaturation (Sharp et al., 1999) (Figs. 1 and 4). The zone of protein denaturation (HSP70) extends beyond the zone of selective neuronal cell death. This is based upon the finding that after a stroke, TUNEL-stained cells occur immediately adjacent to the infarct, whereas HSP70-stained neurons are found at much greater distances from the infarct

in the same brains (States et al., 1996; Planas et al., 1997; Li et al., 1993, 1995a,c).

HSP70 expression appears to protect cells against various types of injury (Xu and Giffard, 1997; Chen et al., 1996; Mailhos et al., 1994; Wagstaff et al., 1998; Yenari et al., 1998). However, it may not protect against apoptosis or relatively severe injury (Wagstaff et al., 1998). Most important, HSP70 overexpression can protect heart (Marber et al., 1995; Trost et al., 1998) and hippocampus (Plumier et al., 1997d) against ischemia. We have found that transgenic mice that overexpress HSP70 protein in brain are protected against strokes produced by permanent MCAO (Rajdev et al., 1998, 2000).

**HSP27.** There are many other heat shock proteins than HSP70. For example, HSP27 is another inducible HSP expressed at low levels in most brain cells. Notably, HSP27 is expressed at high levels in motor neurons in brainstem and spinal cord (Plumier et al., 1997d). HSP27 is also induced inside and outside the areas of infarction after focal ischemia in rodents (Plumier et al., 1997a,b,c), although its role is clearly different than HSP70. HSP27 is expressed almost entirely in astrocytes (Plumier et al., 1997a) and can be induced by a noninjurious stimulus like spreading depression (Plumier et al., 1997b). Hence, the expression of HSP27 does not appear to reflect the region of protein denaturation (Plumier et al., 1997b), but reflects a region of cell stress in astrocytes through an undescribed mechanism. HSP27 could associate with actin and astrocyte specific structural proteins to protect glia during stress, and perhaps even contribute to activated astrocyte phenotype (Huot et al., 1996; Loktionova and Kabakov, 1998; Sakamoto et al., 1998).

**HSC70.** HSC70 is the constitutive heat shock protein found in all cells. It probably chaperones all proteins as they are being formed on the ribosome to prevent abnormal folding during protein synthesis (Beckmann et al., 1990). HSC70 is modestly induced after global and focal ischemia (Abe et al., 1993; Kawagoe et al., 1993) and many other types of injury. Modest ischemia, including that which produces ischemia induced tolerance, can induce HSC70 (Abe et al., 1993; Aoki et al., 1993a,b; Chen et al., 1996; Chen and Simon, 1997; Kato et al., 1994).

**HSP32 (HO-1).** Heme oxygenase-1 (HO-1) is a complex, inducible heat shock protein (also called HSP32) that metabolizes heme to biliverdin, carbon monoxide, and iron (Maines, 1996, 1997). The HO system is analogous to NOS because HO-2 is a constitutive form of HO similar to NOS-1 that is found in neurons and is not generally inducible (Ewing and Maines, 1997). HO-1 and NOS-2 are both genes induced mainly in glia that release diffusible gases. There is a HO-3 gene, though it has not been characterized (McCoubrey et al., 1997). HO-1 induction by heat shock (Maines, 1988; Ewing and

## Heat Shock Protein 70

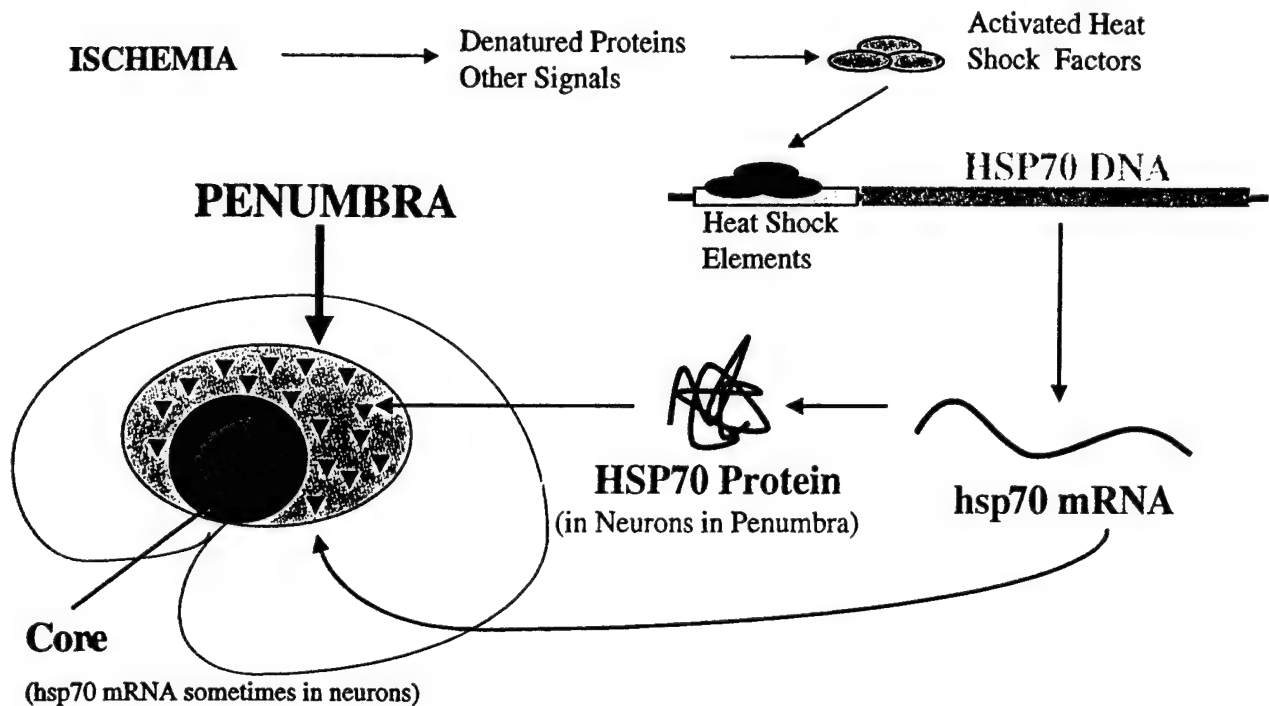


FIG. 4. The denatured protein penumbra. Induction of HSP70 after stroke delineates a region that includes the core of the infarct and regions adjacent to the infarct where the presence of denatured proteins within the cells signals the induction of hsp70. hsp70 mRNA can be detected in the core of infarct with moderate focal ischemia, but may not be synthesized after permanent vessel occlusions when ATP is rapidly depleted. hsp70 mRNA and protein are expressed in some glia and in many neurons at some distance from the infarct in a penumbra defined entirely upon the expression of a molecule.

## Hypoxia Inducible Factor

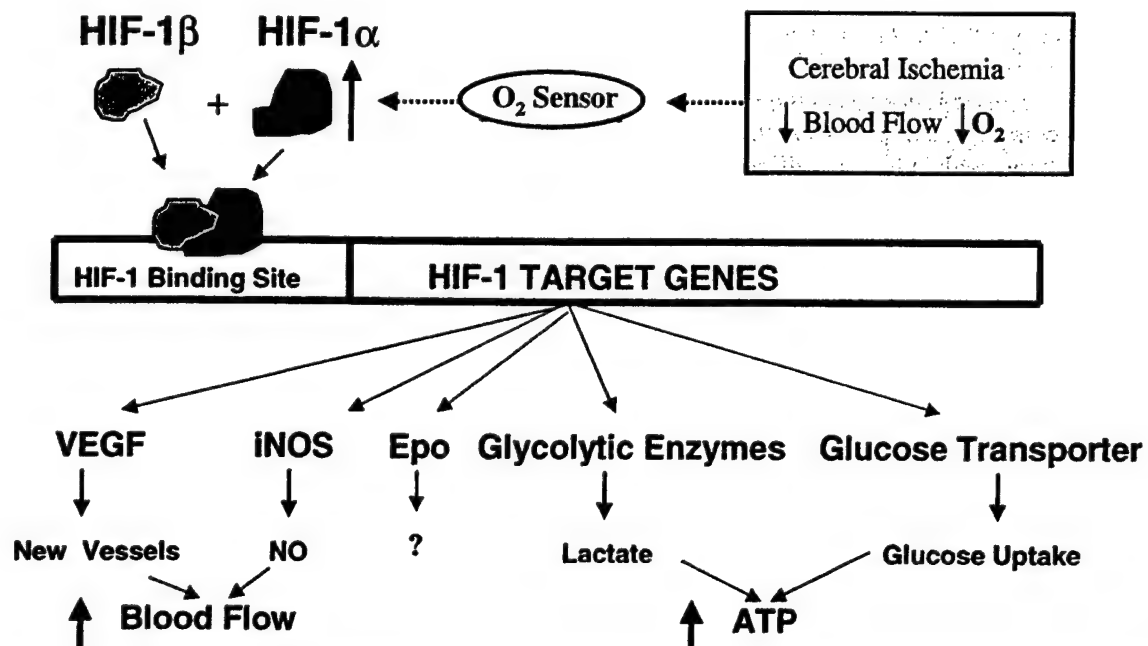


FIG. 5. Proposed mechanism by which stroke induces the hypoxia inducible-factor (HIF) in brain. Decreased blood flow decreases oxygen delivery that is presumed to be detected by a sensor of molecular oxygen. This induces HIF-1α, but not HIF-1β mRNA. The HIF-1α and HIF-1β proteins form a dimer that then bind to response elements in target genes. This interaction then induces the target genes, including the glucose transporter and glycolytic enzymes.



Maines, 1991) and ischemia (Maines et al., 1993) suggests that cells must metabolize heme containing proteins during times of stress and must deal with the subsequent release of iron (Dwyer et al., 1992).

HO-1 plays an important role in metabolizing heme released from hemoglobin after subarachnoid hemorrhage and intracerebral hemorrhage in brain (Matz et al., 1996; Matz et al., 1997; Turner et al., 1998). Heme-iron acts on the HO-1 promoter and is a potent HO-1 inducer (Alam et al., 1994). The major source of heme in ischemic brain however, probably comes from the heme found in mitochondrial electron transport heme proteins released after cell injury.

HO-1 is also induced after cerebral ischemia (Paschen et al., 1994; Geddes et al., 1996). After focal ischemia HO-1 is induced in vessels in the core of an infarct, and in microglia, scattered neurons, and astrocytes at the margin of an infarct (Nimura et al., 1996). At 1 day after a stroke, HO-1 protein is induced in microglia well outside regions of ischemia, including cingulate cortex—a pattern postulated because of spreading depression (Nimura et al., 1996). In fact, spreading depression can induce HO-1 (Koistinaho et al., 1999), *c-fos*, and COX-2 as described below (Koistinaho et al., 1999). This HO-1 induction could occur through spreading depression-*fos*-activated AP-1 sites in its promoter (Alam and Den, 1992). Although hypoxia can induce HO-1 through a hypoxia-inducible factor site (Lee et al., 1997) we found little evidence for hypoxia induction of HO-1 in neonatal brain (Bergeron et al., 1997).

HO-1 continues to be expressed in microglia and macrophages at very long time periods after stroke (Koistinaho et al., 1996; Bergeron et al., 1997). This could occur through NF $\kappa$ B sites in the HO-1 promoter (Lavrovsky et al., 1994), suggesting that HO-1 may play a role in inflammation as well (Ewing and Maines, 1993; Willis et al., 1996).

### HYPOXIA INDUCIBLE FACTOR - ZONE OF HYPOXIA

HIF-1 is a recently recognized transcription factor that is induced by changes in molecular oxygen levels in tissue (Semenza, 1999; Ratcliffe et al., 1998; Wang et al., 1995a). Mutations in the HIF-1 $\alpha$  gene result in the inability to induce erythropoietin and increase red blood cells after hypoxia (Semenza, 2000). HIF-1 $\alpha$  mRNA is induced by hypoxia and not by inhibitors of mitochondrial respiration. This suggests that HIF-1 is activated by molecular oxygen sensor, possibly a heme-protein (Bunn and Poyton, 1996; Huang et al., 1999).

Once induced, HIF-1 $\alpha$  protein binds to HIF-1 $\beta$ , which is constitutively expressed in most cells (Wood et al., 1996). The HIF-1 dimerization that stabilizes both proteins leads to binding to hypoxia response sequences in

various target genes. Hypoxia-inducible genes that have HIF-1 sites in their genes and may be regulated at least in part by hypoxia include erythropoietin (Huang et al., 1997), tyrosine hydroxylase (Millhorn et al., 1997), inducible NOS (iNOS, NOS2) (Melillo et al., 1997; Keinänen et al., 1999), vascular endothelial growth factor (VEGF) (Forsythe et al., 1996), glucose transporter-1 (GLUT-1), HO-1 (HSP32) (Lee et al., 1997), transferrin (Rolfs et al., 1997; Lok and Ponka, 1999) and all of the glycolytic enzymes including phosphofructokinase and lactate dehydrogenase (Firth et al., 1994, 1995; Semenza et al., 1996) (Fig. 5).

During reoxygenation, the HIF-1 protein complex has a very short half-life, a matter of minutes (Semenza, 2000). The rapid proteolysis of HIF occurs through the proteasome (Salceda and Caro, 1997; Srinivas et al., 1999; Kallio et al., 1999) that is regulated by the Van Hippel Landau tumor suppressor protein (Maxwell et al., 1999).

HIF is induced in brain after focal ischemia (Bergeron et al., 1999). Although HIF-1 $\alpha$  and HIF-1 $\beta$  mRNA are both present in normal brain, only HIF-1 $\alpha$  mRNA is induced in the cingulate cortex adjacent to an infarct after suture-induced MCAOs (Bergeron et al., 1999). HIF-1 $\alpha$  and HIF-1 $\beta$  protein increase, possibly because of hypoxia-induced stabilization of the dimer. HIF-1 target genes also were induced in the cingulate cortex after MCA inclusion, including GLUT-1, phosphofructokinase, lactate dehydrogenase and others (Bergeron et al., 1999). Because blood flow decreased in this region of HIF-1 expression outside the infarction, we proposed that this region of HIF-1 gene expression represented a region of chronically decreased blood flow that was also chronically hypoxic and that resulted in HIF-1 $\alpha$  gene induction. Hence, the region of HIF-1 $\alpha$  gene expression after a stroke could be interpreted to be the region of chronic hypoxia around the region of infarction (Figs. 1 and 5).

The region of HIF-1 $\alpha$  expression could be co-incident with HSP70 expression. However, the region of HIF-1 expression may be larger than that for HSP70 (Fig. 1), because after suture-induced MCAOs, HIF-1 $\alpha$  was expressed in the cingulate cortex (Bergeron et al., 1999) where HSP70 is rarely induced (Kinouchi et al., 1994a). The HIF induction in cingulate that occurs with the suture occlusion MCA model is likely caused by anterior cerebral artery ischemia without infarction because of a patent anterior communicating artery (Longa et al., 1989).

It is possible that many experimental models of ischemia may not induce HIF. Global ischemia models produce transient ischemia with only temporary hypoxia that may not induce HIF. Transient focal ischemia models in which blood flow and oxygen delivery are rapidly restored may not induce HIF.

Other hypoxia inducible factors have also been recognized, including HIF-2/HRF and EPAS-1 (Tian et al., 1997; Ema et al., 1997; Flamme et al., 1997). Because

EPAS1 is expressed in capillary endothelial cells (Tian et al., 1997), it might play a primary role in inducing vascular target genes that might be somewhat different or only partially overlap the target genes for HIF-1 (Conrad et al., 1999; Kobayashi et al., 1999). For example, EPAS1, HIF-related genes, or both might regulate VEGF expression in vessels (Ema et al., 1997; Flamme et al., 1997; Badr et al., 1999); whereas HIF-1 itself might regulate glycolytic enzymes to a greater degree in neurons (Bergeron et al., 1999; Badr et al., 1999). EPAS-1 is crucial for normal survival and oxygen sensing during development (Tian et al., 1998). Metal transcription factor-1 is another transcription factor that appears to mediate metal response element responses to hypoxia in metallothionein genes (Murphy et al., 1999).

Genes with HIF-EPAS sites often have other sites in the gene that mediate gene activation through other mechanisms. These include a glucose-regulated element in the glucose transporter GLUT-1 gene (Ebert et al., 1995) and NF $\kappa$ B sites in iNOS and HO-1. The HIF site in p53 does not appear to mediate hypoxic induction of p53 (Wenger et al., 1998). Ischemic induction of HO-1 in brain does not appear to occur through hypoxia, hence, the HIF site in the HO-1 gene (Bergeron et al., 1997). These results are important for showing that the presence of a particular promoter or enhancer element in a gene does not mean that that element is used, or that a particular stimulus uses that promoter element in a particular cell (Chen et al., 1999b).

Although the genes induced by HIF-1 and other hypoxia responsive transcription factors generally tend to increase blood flow, glucose delivery, and maintenance of energy after chronic hypoxia (Fig. 5), the role of HIF-1 in acute focal cerebral ischemia is unclear. For example, increased NO from iNOS (Palmer et al., 1998), dopamine from tyrosine hydroxylase (Millhorn et al., 1997) and lactate from lactate dehydrogenase (Semenza et al., 1996) may worsen ischemia. However, increased glucose transporter expression (Lawrence et al., 1996b; Vannucci et al., 1998) or erythropoietin (Bernaudin et al., 1999; Semenza, 1994) expression might protect brain. The role of HIF-1 remains to be determined. HIF-1 may have harmful roles in some cell types and beneficial roles in other cell types, with the ultimate harm or protection depending on the model, timing, and mode of the HIF induction in brain (Haltermann et al., 1999; Zaman et al., 1999). HIF-1 could play a role in mediating hypoxia-induced tolerance to cerebral ischemia (Gidday et al., 1994, 1999; Bergeron et al., 1999).

## VEGF

VEGF is a potential HIF-1 target gene (Levy et al., 1995, 1997) that is induced by focal ischemia. VEGF is induced in the core and ischemic border zone after focal ischemia Kovacs et al., 1996; Cobbs et al., 1998), al-

though some have reported bilateral cortical induction of VEGF after focal ischemia (Lennmyr et al., 1998). In primate ischemic models, noncapillary vessels in the ischemic core and the periphery of an infarct express VEGF mRNA (Abumiya et al., 1999). VEGF mRNA is located in both microglia-macrophages and in endothelial cells in regions adjacent to rodent infarcts (Plate et al., 1999). The VEGF receptors Flt-1 and Flk-1 were induced after ischemia as well, Flt-1 on neurons, glia, and endothelial cells; and Flk-1 mainly on glial and endothelial cells (Lennmyr et al., 1998). Induction of the VEGF receptors and other VEGF target genes could be mediated by Ets-1, a vascular-related transcription factor (Valter et al., 1999). The regulation of VEGF may involve multiple sites on the gene and may involve several transcription factors (Dibbens et al., 1999). HIF-like or EPAS-1 induction of VEGF could mediate the formation of new vessels after stroke (LaManna et al., 1998; Ment et al., 1997; Shweiki et al., 1992). However, because the formation of new vessels is considerably delayed, it seems unlikely that this would influence the outcome of an acute infarct. Expression of VEGF could influence the permeability of existing vessels and contribute to ischemia-induced edema, however (Ment et al., 1997; LaManna et al., 1998; van Bruggen et al., 1999).

## IMMEDIATE EARLY GENE INDUCTION

### Hemispheric spreading depression

After focal ischemia, a number of IEGs, including the c-fos gene, are induced throughout the entire hemisphere of the rat brain, and in the frontal, parietal, occipital, and limbic cortex including cingulate cortex (An et al., 1993; Hsu et al., 1993; Welsh et al., 1992; Kinouchi et al., 1994a; Lindsberg et al., 1996). Because most rodent MCAO models only produce infarction in the MCA distribution, it has been suggested that spreading depression accounts for induction of c-fos and other genes in the nonischemic portions of the hemisphere (Gass et al., 1992; Kinouchi et al., 1994d; Mancuso et al., 1999). This is supported by evidence showing that preventing ischemia induced spreading depression with NMDA antagonists, like MK-801, prevents c-fos induction in frontal and occipital poles after MCAOs (Gass et al., 1992; Kinouchi et al., 1994d; Collaco-Moraes et al., 1994). In addition, spreading depression produced by applying potassium chloride to the cortex, or by producing small cortical lesions induces these genes throughout the entire hemisphere (Herdegen et al., 1993; Sharp et al., 1989, 1990; Herrera and Robertson, 1989, 1990; Kobayashi et al., 1995; Koistinaho et al., 1999).

A large number of genes in addition to c-fos are induced throughout an ischemic hemisphere; hence, these genes are likely to be induced by spreading depression or repeated ischemic depolarizations (Koistinaho and Hok-

felt, 1997). These genes include junB (Comelli et al., 1993; Hsu et al., 1993; Kamii et al., 1994a; Kinouchi et al., 1994a), Zac1 and PACAP (Gillardon et al., 1998), NGFI-A,B,C (Lin et al., 1996; Honkaniemi et al., 1997), egr (Honkaniemi et al., 1997), Rheb (Kinouchi et al., 1999a), Arc (Kunizuka et al., 1999) and probably other IEGs. Hsp27, COX2, and PKC are induced by spreading depression (Plumier et al., 1997c; Miettinen et al., 1997; Koponen et al., 1999).

Some of the genes induced by ischemia induced spreading depression are likely to be fos-jun target genes (Fig. 6). BDNF, bFGF and GFAP are induced throughout a hemisphere after spreading depression (Kraig et al., 1991; Kokaia et al., 1993) and may play a role in protecting brain against stroke (Matsushima et al., 1998). Since BDNF, bFGF and GFAP have AP-1 sites in their promoters, members of the fos and jun families could induce these genes. However, the induction of any of these genes could be complex. Although GFAP could be induced through AP-1 sites, there are also NFkB-like sites in the GFAP gene that renders it responsive to both TGF-1 and IL-1 (Krohn et al., 1999). Other genes with AP-1 sites in their promoters that could be induced by fos-jun family members could include dynorphin, enkephalin, NPY, iNOS, HO-1, APP, tyrosine hydroxylase, GAP43, NGF, and many others (Nowak, 1999; Morgan and Curran, 1995). Hypoxia induction of tyrosine hydroxylase occurs specifically through junB/c-fos dimers binding to the AP-1 site in the tyrosine hydroxylase promoter (Norris and Millhorn, 1995; Millhorn et al., 1997).

### c-jun

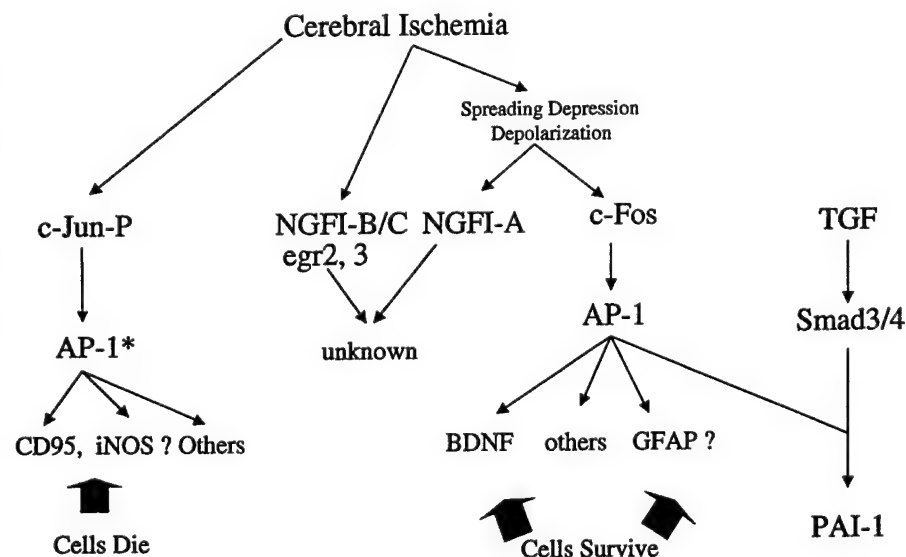
c-jun mRNA also appears to be induced throughout an ischemic hemisphere (Gass et al., 1992; An et al., 1993; Kinouchi et al., 1994a; Munell et al., 1994). The role of c-jun and its other family members is complex because

different family members likely have different target genes in different cells. In addition, c-jun can form a homodimer as well as complex with c-fos family members. Therefore, it is not too surprising that c-jun expression has been associated with cell survival as well as cell death. C-jun is expressed in axotomized motor neurons that will survive axotomy, and c-jun is expressed in ischemia-induced tolerance models in which cells survive ischemia (Herdegen and Leah, 1998; Sommer et al., 1995; Kato et al., 1995a). However, phosphorylated c-jun (c-jun-P) appears to be expressed in cells that undergo apoptosis and may be expressed in ischemic cells that are dying or dead (Gillardon et al., 1999; Matsuoka et al., 1999; Domanska-Janik et al., 1999; Walton et al., 1999). Phosphorylated c-jun is coexpressed with possible jun/AP-1\* target genes, APP, and CPP32 (caspase 3) in ischemic neurons (Walton et al., 1999).

### Hippocampus

A number of genes are induced in hippocampus after MCAOs in rodents, particularly using the suture model. This includes c-fos and c-jun family members, the zinc finger immediate early genes, and a variety of other genes including COX-2 (Kinouchi et al., 1994a,b,c, 1999a,b; Honkaniemi et al., 1997; Kamii et al., 1994b; Koistinaho et al., 1999). There are several possible explanations for such gene induction. First, there may be some hippocampal ischemia using the suture model. This is supported by TUNEL positive CA1 neurons in hippocampus using this model that is also associated with bilateral induction of HSP70 in CA1 pyramidal neurons (States et al., 1996). In addition, models that produce infarctions restricted to cortex do not generally induce the IEGs in hippocampus (Gass et al., 1992; Lindsberg et al., 1996).

**FIG. 6.** Ischemia induces a large number of transcription factors in brain. Spreading depression appears to be the stimulus for the induction of c-fos, NGFI-A and a large number of other immediate early genes. Though c-jun may be widely induced after focal ischemia, phosphorylated c-jun (c-jun-P) is associated with cell death in many paradigms and probably has different AP-1\* mediated target genes than c-fos mediated AP-1 target genes in areas of spreading depression where there is no cell death. Notably, AP-1 can interact with many other transcription factors including Ets, Smad3/4, and others to presumably regulate different sets of target genes.



It is also possible that IEGs are induced in hippocampus through excitatory mechanisms. Middle cerebral artery occlusions can produce repeated cortical spreading depressions that depolarize entorhinal cortex (Busch et al., 1995). Activation of entorhinal cortical inputs to hippocampus could account for the induction of many genes in hippocampus after MCAOs. This is supported by the suppression of hippocampal gene induction by MK-801 that prevents cortical spreading depression (Kinouchi et al., 1994c; Gass et al., 1992).

#### Gene induction in contralateral cortex and subcortical structures

Some studies also demonstrate that ipsilateral thalamus, ipsilateral substantia nigra, and contralateral cortex show induction of c-fos and other immediate early genes after an ipsilateral MCAO (Kinouchi et al., 1994a,b,c). NGFI-A is induced bilaterally after MCAOs (Lin et al., 1996). IL-1 and TNF- $\alpha$  are not only induced in the ischemic hemisphere, but they are also induced in the contralateral hemisphere at lower levels (Buttini et al., 1996; Zhai et al., 1997). HSP27 can be induced in both hemispheres after a unilateral MCA stroke (Kato et al., 1995b). Arc is induced bilaterally in hippocampus and amygdala after MCAOs (Kunizuka et al., 1999). GLUT-1 and GLUT-3 can be induced in both hemispheres after unilateral stroke (Lee and Bondy, 1993; Urabe et al., 1996). Changes of gene expression in cerebellum are of interest because of the well-described phenomenon of cerebellar diaschisis (Ginsberg, 1990). However, there is little information on gene regulation in cerebellum after stroke. cGMP changes in cerebellum after MCAOs (Kader et al., 1993) and biliverdin reductase is induced in cerebellum after permanent MCAOs (Panahian et al., 1999).

The gene induction in the contralateral hemisphere, cerebellum, and many other subcortical regions is not caused by ischemia. Gene induction in these remote regions may be caused in part by acute ischemia-induced depolarization in the period immediately after a focal stroke. At longer times, changes in gene expression likely represent plastic changes in neurons and glia that must occur in the contralateral cortex, pons, cerebellum, spinal cord, and other brain regions that are connected directly or indirectly to the cortex and basal ganglia that were infarcted by the MCAOs. These changes of gene expression offer fruitful possibilities for possibly enhancing brain plasticity and behavioral recovery after stroke. Changes in GABA receptor subunits many days after stroke may be related to plastic responses of GABAergic neurons that could mediate recovery mechanisms (Neumann-Haefelin et al., 1999). There are bilateral changes of NMDA receptors in cortex after focal ischemia (Que et al., 1999) that may play a role in plastic

changes in cortex and cortical motor and sensory maps (Nudo and Friel, 1999; Johansson, 2000).

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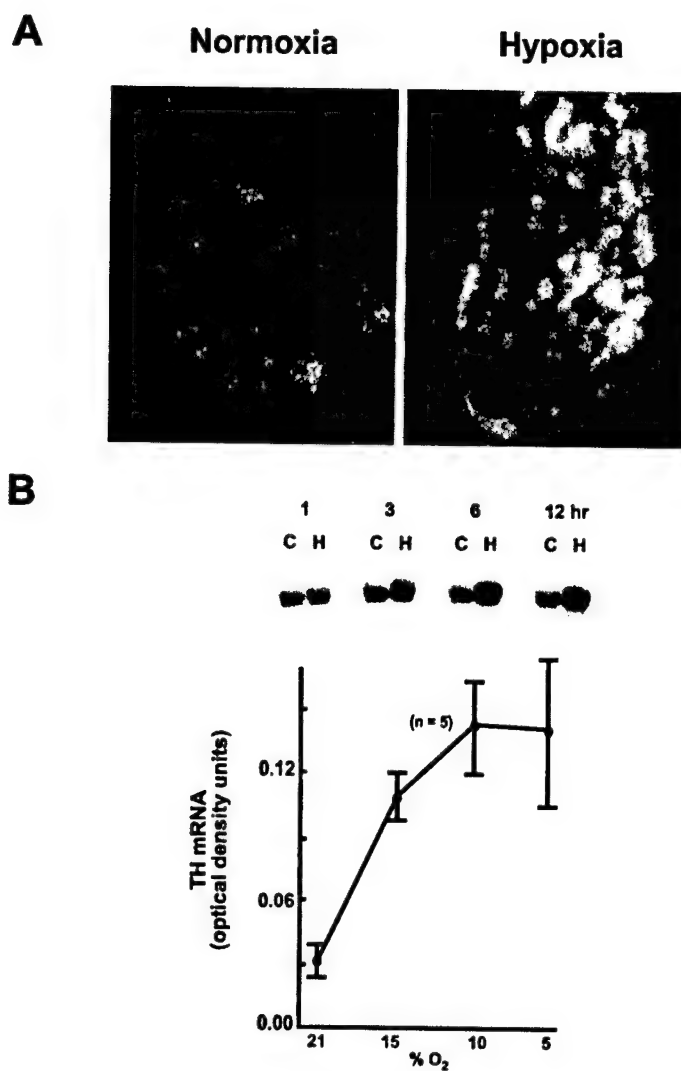
# Gene Regulation during Hypoxia in Excitable Oxygen-Sensing Cells: Depolarization-Transcription Coupling

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## 1. INTRODUCTION

Oxygen-sensing cells detect changes in oxygen tension and transduce this signal into various cellular responses including gene expression, protein synthesis and secretion. The mechanisms involved in regulation of these important responses may vary from tissue-to-tissue, and depending upon whether or not the oxygen-sensing cell is excitable, *i.e.* whether or not it depolarizes during hypoxia. Oxygen-sensing cells in the carotid body, pulmonary vasculature, and pulmonary neuroepithelial bodies depolarize during exposure to hypoxia (Lopez-Barneo, et al., 1988; Peers, 1990; Weir and Archer, 1995). We have shown that the oxygen-sensing pheochromocytoma (PC12) cell line also depolarizes during hypoxia, and that this depolarization occurs as the result of inhibition of a hypoxia-sensitive potassium (K) channel (Zhu et al., 1996). We also found that hypoxia regulates expression of the gene for tyrosine hydroxylase (TH), the rate-limiting enzyme for dopamine biosynthesis, in both carotid body type I cells and in PC12 cells (Czyzyk-Krzeska et al. 1992; Czyzyk-Krzeska et al., 1994) (Figure 1). These observations lead to the question is membrane depolarization coupled to gene regulation in excitable oxygen sensing cells? Here we shall describe work from our laboratory, which shows that this is indeed the case. Our experiments are performed mostly on PC12 cells, which we have established as a model cell line for investigations of the biophysical and molecular aspects of oxygen-sensing and gene regulation.





*Figure 1.* Regulation of gene expression for TH (TH) by hypoxia in (A) rat carotid body and (B) PC12 cells. In situ hybridization was used to measure TH mRNA in the carotid body and Northern blot analysis was used in PC12 cells. Note that TH gene expression is regulated by moderate changes in oxygen tension in both the carotid body (10% O<sub>2</sub>) and PC12 cells (5-15% O<sub>2</sub>).

## 2. MEMBRANE DEPOLARIZATION AND REGULATION OF CYTOSOLIC CALCIUM

An initial event in the response to hypoxia in both carotid body type I cells and PC12 cells is inhibition of an outward current, which leads to membrane depolarization (Lopez-Barneo et al., 1988; Zhu et al., 1996). Whole cell voltage-clamp experiments revealed that a voltage-gated K current ( $I_K$ ) was inhibited by reduced oxygen. The magnitude of inhibition of this current, and therefore membrane depolarization, is dependent upon the intensity of the hypoxia stimulus. Thus, a progressive reduction in oxygen tension led to an increase in the magnitude of inhibition of oxygen-sensitive  $I_K$ . We also found that neither  $Ca^{2+}$ -activated  $I_K$  nor inwardly rectifying  $I_K$  are responsible for the hypoxia-induced depolarization. These results were first to show that PC12 cells express an oxygen-sensitive  $I_K$ , inhibition of which leads to membrane depolarization and increased intracellular free  $Ca^{2+}$ , making this cell line a valuable model for studying the molecular and biophysical aspects of oxygen chemosensitivity.

We next performed studies to attempt to identify and characterize the oxygen-sensitive K channel. These studies revealed that the oxygen-sensitive K channel in PC12 cells belongs to the *Shaker* subfamily of voltage-gated K channels (Conforti and Millhorn, 1997). We found that PC12 cells express four types of electrophysiologically distinct voltage-gated K channels. Excised inside-out patch clamp recordings revealed that the oxygen-sensitive channel has a conductance of 20 pS and has slow-inactivating properties. We found that inhibition of this channel during hypoxia is due to a decrease in open probability rather than a decrease in single channel current amplitude.

We also examined the effect of prolonged hypoxia (18 hrs of 10%  $O_2$ ) on the oxygen-sensitive  $I_K$  in PC12 cells (Conforti and Millhorn, 1997). We found that prolonged hypoxic exposure lead to enhanced inhibition of  $I_K$ . Based on this finding we hypothesized that the gene for the oxygen-sensitive K channel would be up-regulated by chronic hypoxia. We therefore used reverse transcriptase-polymerase chain reaction (RT-PCR) to examine K channel gene expression during hypoxia. We found that expression of the  $\alpha$ -subunit of the Kv1.2 Shaker channel, but not the other voltage-gated K channels (Kv1.3, Kv2.1, Kv3.1 and Kv3.2), was markedly increased during prolonged exposure to hypoxia. Thus, the enhanced inhibition of the outward K current in PC12 cells during chronic hypoxia correlates well with the increased expression of the Kv1.2 channel exposed to the same hypoxic conditions. These data were the first evidence that the Kv1.2 channel mediates membrane depolarization during hypoxia. More direct evidence that this is the case comes from on-going research in our laboratory which

shows that the oxygen-sensitive  $I_K$  is blocked with antibody against Kv1.2, which is dialyzed into the cell. We also have results which show that injection of Kv1.2 cRNA into *Xenopus* Oocytes leads to expression of an oxygen-sensitive  $I_K$ .

A primary consequence of membrane depolarization is activation of voltage-dependent calcium ( $\text{Ca}^{2+}$ ) channels and a subsequent increase in intracellular free  $\text{Ca}^{2+}$ . We found that exposure to moderate hypoxia led to an increase in cytosolic  $\text{Ca}^{2+}$  in both carotid body type I cells and in PC12 cells (Raymond and Millhorn, 1997) (Figure 2). This hypoxia-induced increase in intracellular free  $\text{Ca}^{2+}$  was reversible upon return to normoxia. We also found that removal of extracellular  $\text{Ca}^{2+}$  ( $\text{Ca}^{2+}$ -free media plus EGTA) prevented the hypoxia-induced increase in intracellular free  $\text{Ca}^{2+}$ . These data indicate that membrane depolarization and activation of voltage-dependent  $\text{Ca}^{2+}$  channels in PC12 cells during hypoxia results in an increase in cytosolic  $\text{Ca}^{2+}$ .

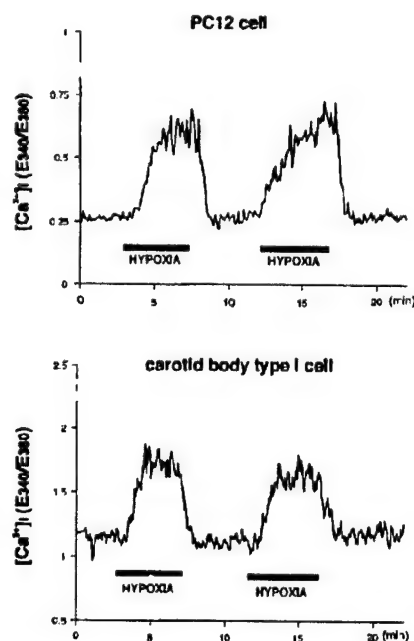


Figure 2.. Effect of repeated bouts of hypoxia on cytosolic calcium levels in PC12 cells and carotid body type I cells. Changes in intracellular free  $\text{Ca}^{2+}$  were detected using a BAPTA-AM technique (see Raymond and Millhorn, 1997).

The channels that mediate the increase in intracellular  $\text{Ca}^{2+}$  level during hypoxia are unknown, but could involve either the L-, N-, or P/Q-type channels; all of which are expressed in PC12 cells. In this regard, we did find that pharmacological blockade of the L-type channel failed to prevent the increase in cytosolic  $\text{Ca}^{2+}$  during hypoxia. It is becoming clear that

activation of the different  $\text{Ca}^{2+}$  channel types may be involved in different cellular functions in response to specific stimuli.

A major challenge is to determine which of the voltage-dependent  $\text{Ca}^{2+}$  channels mediate different  $\text{Ca}^{2+}$ -dependent cellular functions during hypoxia, e.g. exocytosis, gene regulation, etc. In this regard, it is interesting to note that Taylor and Peers (1998) found that  $\text{Ca}^{2+}$  influx via the N-type channel in PC12 cells is responsible for catecholamine exocytosis during hypoxia. This conclusion is based on findings which showed that pharmacological blockade of the N-type was sufficient to prevent hypoxia-induced exocytosis. Preliminary results in our laboratory also indicate that the N-, and P/Q-type channels may be important for mediating altered intracellular free  $\text{Ca}^{2+}$  during hypoxia. Recent preliminary results from our laboratory show that expression of the genes for the N- and P/Q-subtypes, but not the L-type channel gene, is up-regulated during hypoxia. A major question is how does an entry of  $\text{Ca}^{2+}$  via a specific voltage-dependent  $\text{Ca}^{2+}$  channel regulate specific cellular responses to environmental stimuli. Regardless, there is little doubt that depolarization and increased cytosolic  $\text{Ca}^{2+}$  is a major regulatory mechanism in excitable cells including oxygen-sensitive excitable cells.

### **3. THE ROLE OF CALCIUM IN REGULATION OF OXYGEN RESPONSIVE GENES**

The entry of  $\text{Ca}^{2+}$  into excitable cells through voltage-gated  $\text{Ca}^{2+}$  channels regulates a diverse set of functions including gene expression. It is possible that changes in intracellular free  $\text{Ca}^{2+}$  may play a central role in adaptation to environmental signals that involve altered gene expression (Ghosh and Greenberg, 1995). Here we shall discuss briefly our research on the role of intracellular  $\text{Ca}^{2+}$  in hypoxia-induced gene regulation.

Our foray into this problem began with our work to identify the cis-elements on the TH gene that confer hypoxia responsiveness (Czyzyk-Krzeska et al., 1994; Norris and Millhorn, 1995). We have used this gene as a model to study hypoxia-induced transcription. Our work on this gene revealed that only a short fragment of the 5' flanking region of the TH gene that extends from -284 to +27 nucleotides relative to transcription start-site is needed for increased transcription during hypoxia. Additional truncation closer to start-site abolished hypoxia-responsiveness. We were able to identify a fragment of the gene (-284 to -190) that is absolutely critical for hypoxia-induced regulation of the TH gene. Located within this critical region are two potentially important DNA elements; an Activator-Protein 1 (AP1;TGATTCA) site and a sequence that corresponds closely with a Hypoxia Regulatory Element (HRE;CCCTACGTCGTGCC). Gel shift

assays revealed enhanced protein binding at both the AP1 and HRE elements of the TH gene. Further investigations using super-shift and shift-western analysis showed that c-Fos and JunB, but not c-Jun, bind to the AP1 element during hypoxia and that these protein levels are stimulated by hypoxia. Importantly, site-specific mutation of this element prevented induction of transcription of the TH gene by reduced oxygen. This finding shows that the AP1 element is essential for hypoxia-mediated transcription of the TH gene.

In most reported cases, hypoxia-induced protein binding to the HRE involves a hypoxia-inducible protein referred to as HIF-1 $\alpha$ , which forms a dimer with the aromatic hydrocarbon nuclear translocator protein (ARNT) (Semenza and Wang, 1992; Wang and Semenza, 1993; Bunn and Poyton, 1996). Surprisingly, we found that HIF-1 $\alpha$  is not inducible by hypoxia in PC12 cells. However, we found that a closely related helix-loop-helix pas-domain protein called endothelial PAS domain protein 1 (EPAS1) is regulated by hypoxia and mediates transcription of a HRE-Luciferase reporter gene in PC12 cells during hypoxia (Conrad et al., 1999).

Does increased intracellular Ca<sup>2+</sup> play a role in the regulation of hypoxia-related transcription factors? To answer this question we first examined the role of cytosolic Ca<sup>2+</sup> in the regulation of the transcription factors (c-Fos and JunB) which we showed bind to the AP1 element on the TH gene during hypoxia (Norris and Millhorn, 1995). We found that a graded reduction in oxygen tension resulted in a progressive increase in *JunB* and c-fos (not shown) gene expression (Figure 3A). We next examined the effect of removal of Ca<sup>2+</sup> from the extracellular environment on expression of the c-fos and *JunB* genes (Figure 3B). It is clear from these data that reduced oxygen tension robustly activates both of these genes and that removal of extracellular Ca<sup>2+</sup> prevents induction of these genes by hypoxia. There is

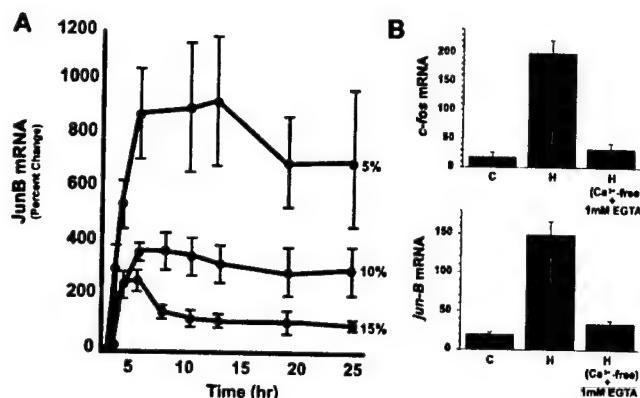


Figure 3. Effect of hypoxia on *c-fos* and *JunB* mRNA levels in PC12 cells. A. A progressive increase in hypoxia causes a graded increase in *JunB* mRNA. B. Reduced extracellular Ca<sup>2+</sup> prevents hypoxia activation of both the *c-fos* and *junB* genes.

growing evidence that implicates increased intracellular free  $\text{Ca}^{2+}$  in the regulation of these immediate early gene transcription factors in response to membrane depolarization or activation of membrane growth factor receptors (Morgan and Curran, 1986; Ghosh and Greenberg, 1995).

We next tested the possibility that regulation of the TH gene during hypoxia is dependent upon an increase in intracellular free  $\text{Ca}^{2+}$ . We found this to be the case. Briefly, we found that removal of extracellular  $\text{Ca}^{2+}$  from the media and chelation of cytosolic  $\text{Ca}^{2+}$  prevented the hypoxia-induction of the TH gene (Figure 4A). These data are strong evidence that an increase in intracellular  $\text{Ca}^{2+}$  is involved in the induction of gene expression by hypoxia. The biochemical effects of cytosolic  $\text{Ca}^{2+}$  are mediated by an assortment of different proteins. One such protein is calmodulin, which acts as an intracellular modulator for increased cytosolic  $\text{Ca}^{2+}$ .  $\text{Ca}^{2+}$ -calmodulin modulates the activity of a number of different kinases and phosphatases. We have examined the possibility that calmodulin is involved in regulation of TH gene expression during hypoxia. We found that pretreatment of cell with calmidazolium chloride (CMZ), an anti-calmodulin drug, blocked the hypoxia-induction of TH gene expression (Figure 4B). These results show that expression of the TH gene and the transcription factors, c-Fos and JunB, are regulated by hypoxia in a  $\text{Ca}^{2+}$  dependent manner, and that calmodulin is involved in this regulation.

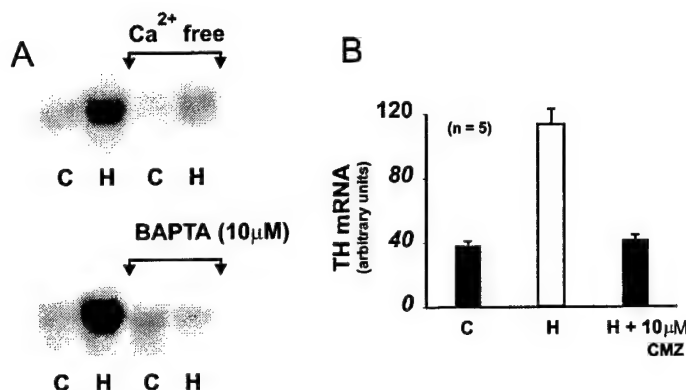


Figure 4. A. Effect of calcium-free media and BAPTA-AM on TH gene expression in PC12 cells. B. Effect of pharmacological blockade of calmodulin on expression of TH mRNA during hypoxia.

We have also initiated a series of experiments to investigate the role of the HRE in regulation of TH gene expression. As we mentioned above,



HIF-1 $\alpha$  is not induced by hypoxia in PC12 cells. However, we have found that a similar basic HLH PAS protein (EPAS1) is robustly regulated in PC12 cells during hypoxia. How does this regulation occur? Again, we examined the possibility that an increase in intracellular Ca<sup>2+</sup> is involved, and found this to be the case (Conrad et al., 1999). Briefly, we found that accumulation of EPAS1 during hypoxia was either abolished or markedly attenuated in the absence of extracellular Ca<sup>2+</sup>. Moreover, we found that transactivation of a transfected HRE-Luciferase reporter gene by EPAS1 during hypoxia was prevented by removal of extracellular Ca<sup>2+</sup>. Thus, an increase in intracellular Ca<sup>2+</sup> is involved in both the accumulation of EPAS1 and regulation of transactivation of HRE target genes containing by EPAS1.

Is cytosolic Ca<sup>2+</sup> the only signaling mechanism involved in regulation of EPAS1 function during hypoxia? We now have convincing evidence that the mitogen-activated protein kinase (MAPK) pathway is also involved. This is based on our finding that pharmacological blockade of this pathway prevents transactivation of the HRE-Luciferase reporter gene, but does not prevent accumulation of EPAS1 by reduced oxygen. Thus, it appears that EPAS1 function is a two-step process that involves EPAS1 accumulation and subsequent transactivation of genes that contain an HRE. We also have data which shows that calmodulin is involved in the induction of EPAS1 activity during hypoxia. Additional support for the involvement of the MAPK pathway comes from studies in which transfected MEK, an intermediate enzyme in this pathway, enhanced the transactivation of the HRE-Luciferase reporter gene. In addition, inhibition of MEK markedly attenuated the transactivation of the HRE-Luciferase reporter.

Thus our research indicates that Ca<sup>2+</sup> plays a major role in regulation of gene expression during hypoxia in excitable oxygen-sensitive cells. We found that stimulation of gene expression for the immediate early genes that interact with the AP1 element, and EPAS1 which interacts with the HRE require an increase in intracellular calcium and subsequent activation of calmodulin. An important observation is that MAPK is also involved in the activation of EPAS1 activity during hypoxia.

#### **4. REGULATION OF INTRACELLULAR CALCIUM HOMEOSTASIS BY MEMBRANE FEEDBACK MECHANISMS**

We have established that an increase in cytosolic Ca<sup>2+</sup> is an important signal for regulation of gene expression in PC12 cells during hypoxia. In addition, intracellular Ca<sup>2+</sup> is also involved in exocytosis of a number of substances from PC12 cells (and carotid body type I cells) including dopamine and adenosine. PC12 cells and carotid body type I cells both express receptors for dopamine (D<sub>2</sub>) and adenosine (A<sub>2</sub>) (Figure 5). Both of

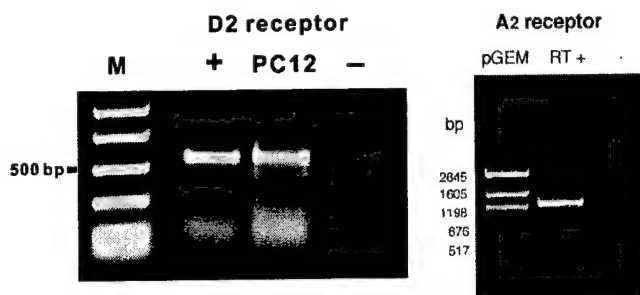


Figure 5. Reverse transcriptase PCR (RT-PCR) was used to demonstrate that PC12 cells express both the D<sub>2</sub> and A<sub>2</sub> receptors.

these receptor types are coupled to protein kinase A (PKA); activation of the D<sub>2</sub> receptor causes inhibition of protein kinase A (PKA), whereas activation of the A<sub>2</sub> receptor causes stimulation of PKA. We wondered therefore if these receptors might be involved in "feedback" regulation of Ca<sup>2+</sup> homeostasis during hypoxia.

We found that D<sub>2</sub> receptor stimulation with quinpirole, a D<sub>2</sub> receptor agonist, caused reversible inhibition of a voltage-dependent Ca<sup>2+</sup> current in PC12 cells (Zhu et al., 1997). Inhibition of the voltage-dependent Ca<sup>2+</sup> current by quinpirole resulted in an attenuation of the hypoxia induced increase in intracellular Ca<sup>2+</sup> (Figure 6). This effect of quinpirole on intracellular Ca<sup>2+</sup> levels during hypoxia was reversed by the D<sub>2</sub> receptor

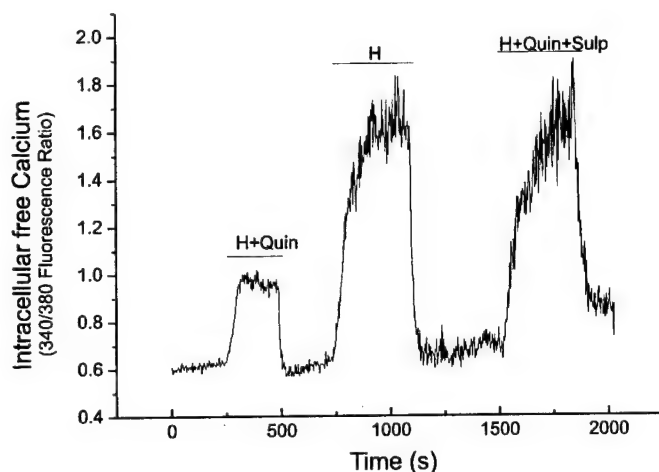


Figure 6. The increased level of intracellular free Ca<sup>2+</sup> in PC12 cell (middle trace) is prevented by stimulation of the D<sub>2</sub> receptor with quinpirole (first trace). The inhibitory effect of quinpirole on the hypoxia induced increase in intracellular Ca<sup>2+</sup> is blocked by application of the D<sub>2</sub> antagonist sulpiride (third trace).

antagonist sulpiride. These results indicate that dopamine released from PC12 cells during hypoxia acts via a D<sub>2</sub> receptor to "autoregulate" the voltage-dependent Ca<sup>2+</sup> current and the increase in intracellular Ca<sup>2+</sup>.

We next performed experiments to determine if the PKA pathway mediates the effect of D<sub>2</sub> receptor stimulation on the voltage-dependent Ca<sup>2+</sup> current and the increase in intracellular free Ca<sup>2+</sup>. Briefly, we found that the D<sub>2</sub>-induced inhibition of the voltage-dependent Ca<sup>2+</sup> current during hypoxia is not PKA-dependent, as it persisted both in the presence of a specific PKA inhibitor (PKI) and in PKA-deficient PC12 cells. It is worth noting that exposure of PC12 cells to chronic hypoxia attenuated the inhibitory effect of the D<sub>2</sub> receptor on the Ca<sup>2+</sup> current, which may be an important adaptive response to prolonged hypoxia.

We have also examined the effect of adenosine on modulation of the Ca<sup>2+</sup> current and intracellular Ca<sup>2+</sup> levels during hypoxia. It is important to note that PC12 cells and carotid body type I cells express the adenosine A<sub>2</sub> receptor, not the A<sub>1</sub> or A<sub>3</sub> receptor subtypes (Kobayashi et al., 1998a). We have investigated the role adenosine and the A<sub>2</sub> receptor in the regulation of Ca<sup>2+</sup> homeostasis during hypoxia. Ca<sup>2+</sup> imaging studies revealed that the increase in intracellular Ca<sup>2+</sup> during hypoxia was attenuated significantly by adenosine (Kobayashi et al., 1998b) (Figure 7). Voltage-clamp studies showed that adenosine caused a reversible inhibition of the voltage-dependent Ca<sup>2+</sup> current in PC12 cells (Figure 7, inset). Moreover, this

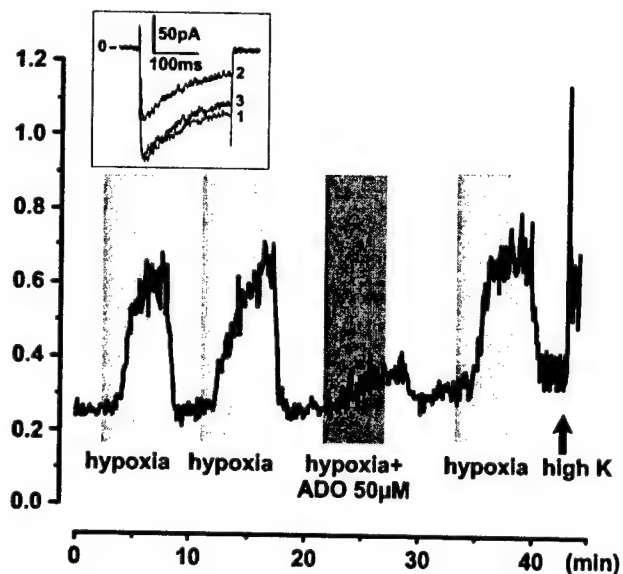


Figure 7. Effect of adenosine on the hypoxia-induced increase in intracellular Ca<sup>2+</sup> level and Ca<sup>2+</sup> current (inset) in PC12 cells. (Inset: 1=normoxia; 2=adenosine, 10μM; 3=washout recovery).

inhibition was abolished by the non-selective adenosine antagonist (8-phenyltheophylline) and by a selective A2 antagonist (ZM241385) (not shown).

We found that the inhibitory effect of adenosine on the  $\text{Ca}^{2+}$  current and intracellular levels of  $\text{Ca}^{2+}$  during hypoxia are mediated by PKA (Kobayashi et al., 1998a). The effect of adenosine on the hypoxia-activation of the  $\text{Ca}^{2+}$  current was absent in PKA-deficient PC12 cells. Importantly, we found the effect of adenosine on the  $\text{Ca}^{2+}$  current and intracellular  $\text{Ca}^{2+}$  was greatly attenuated by exposure of PC12 cells to chronic hypoxia. Thus, it appears that adenosine via the  $\text{A}_2$  receptor inhibits the hypoxia-induced increase in cytosolic  $\text{Ca}^{2+}$ . This regulation is perhaps important for maintaining intracellular  $\text{Ca}^{2+}$  at the proper level to mediate cellular functions and to prevent excessive intracellular  $\text{Ca}^{2+}$  which could result in  $\text{Ca}^{2+}$  toxicity and cell death.

Our results show that both dopamine and adenosine, via their respective receptors, can regulate  $\text{Ca}^{2+}$  homeostasis during hypoxia. It will be important to understand how these two "feedback" systems interact under conditions of acute and chronic hypoxia.

## 5. CONCLUSION

The manner in which excitable and non-excitable oxygen-sensing cells respond to reduced oxygen tension differ based on the regulation of membrane potential and intracellular free  $\text{Ca}^{2+}$ . We have shown that increased intracellular  $\text{Ca}^{2+}$  can play a pivotal role in gene regulation. The major challenge is to identify "downstream" signaling pathways and transcription factors that are regulated by the  $\text{Ca}^{2+}$  signal and to understand how individual voltage-dependent  $\text{Ca}^{2+}$  channels regulate specific cell functions during hypoxia.

## ACKNOWLEDGEMENTS

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# Genomic and Physiological Analysis of Oxygen Sensitivity and Hypoxia Tolerance in PC12 Cells

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**ABSTRACT:** The mechanisms by which cells adapt and respond to changes in oxygen tension remain largely unknown. Our laboratory has used the PC12 cell line to study both biophysical and molecular responses to hypoxia. This chapter summarizes our findings. We found that membrane depolarization that occurred when PC12 cells were exposed to reduced  $O_2$  was mediated by a specific potassium channel, the Kv1.2 channel. The membrane depolarization leads to increased  $Ca^{2+}$  conductance through a voltage-sensitive channel, which in turn mediates the release of the neurotransmitters dopamine, adenosine, glutamate, and GABA. In addition, increased intracellular  $Ca^{2+}$  and other signaling systems regulate hypoxia-induced gene expression, which contributes to the adaptive response to reduced  $O_2$ . We identified several critical signaling pathways that regulate a complex gene expression profile in PC12 cells during hypoxia. These include the cAMP-protein kinase A,  $Ca^{2+}$ -calmodulin, p42/44 mitogen-activated protein kinase (MAPK), stress-activated protein kinase (SAPK; p38 kinase), and the phosphatidylinositol 3-kinase-AKT as regulators of gene expression. Several of these pathways regulate hypoxia-specific transcription factors that are members of the hypoxia-induced factor (HIF) family. Recently, we have successfully used subtractive cDNA libraries and microarray analysis to identify the genomic profile that mediates the cellular response to hypoxia.

**KEYWORDS:** hypoxia; potassium channels; signal transduction; neurotransmitters; transcription factors; genomics; subtractive libraries; microarray

Hypoxia is a primary factor in a diverse range of pathological conditions including stroke, wound healing, cardiopulmonary disease, and solid tumor proliferation. We are only now just beginning to understand the basic mechanisms by which cells adapt and respond to hypoxia. One major obstacle in gaining a more comprehensive

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understanding of the cellular response to hypoxia has been the lack of a suitable cell line. We discovered that pheochromocytoma (PC12) cells respond to reduced  $O_2$  in a manner that is reminiscent of  $O_2$ -sensitive cells (e.g., carotid body type I cells) *in vivo*.<sup>1</sup> We have, therefore, used this cell line to gain a better understanding of the molecular events involved in cellular adaptation to hypoxia. Here we shall briefly summarize our primary findings related to the biophysical, signal transduction, and gene regulatory mechanisms that regulate the response to hypoxia in PC12 cells.

### MEMBRANE DEPOLARIZATION AND IONIC CONDUCTANCE

The initial event in the response to hypoxia in excitable cells is membrane depolarization, which is critical for regulating voltage-sensitive ion channels in  $O_2$ -sensitive cells. PC12 cells depolarize in a graded manner when exposed to a progressive reduction in  $O_2$ .<sup>2</sup> Our investigations of the ionic basis for the hypoxia-induced depolarization revealed the presence of an  $O_2$ -sensitive potassium channel ( $KO_2$ ) in PC12 cells.<sup>2,3</sup> Patch clamp studies revealed that the *Shaker* type  $Kv1.2$  channel was responsible for mediating the hypoxia-induced membrane depolarization.<sup>2,4</sup> Briefly, we found that depolarizing voltage steps to +50 mV from a holding potential of -90 mV elicited a slowly inactivating, tetraethylammonium chloride-sensitive, and  $Ca^{2+}$ -insensitive potassium conductance that was reversibly inhibited by reduced  $O_2$  tension. This potassium channel is a delayed rectifier; it shows a relatively small outward conductance of 20 pS. Importantly, this channel is active at resting membrane potential and mediates approximately 20 mV membrane depolarization during hypoxia.

Additional studies from our laboratory showed that transfection of the  $Kv1.2$   $O_2$ -sensitive channel into *Xenopus* oocytes confers hypoxia-induced depolarization, and that  $Kv1.2$  channel gene expression is stimulated by reduced  $O_2$  in PC12 cells.<sup>4,5</sup> Hypoxia-induced membrane depolarization in excitable cells is important for regulating the voltage-sensitive  $Ca^{2+}$  channel and the influx of extracellular  $Ca^{2+}$ .<sup>2</sup> An increase in intracellular  $Ca^{2+}$  regulates a number of important cellular events during hypoxia including transmitter release and gene expression.<sup>6</sup>

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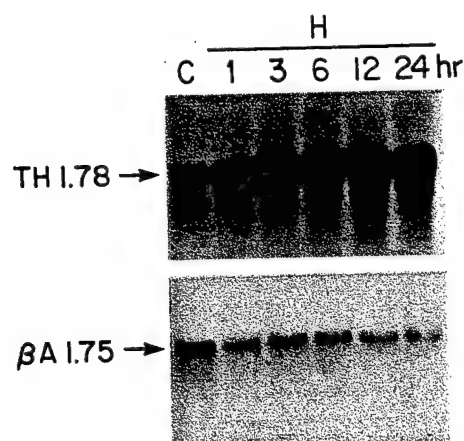
The primary neurotransmitter in PC12 cells is dopamine. Others and we have shown that dopamine is released from PC12 cells during hypoxia in a  $Ca^{2+}$ -dependent manner.<sup>2,7</sup> We also discovered that the metabolic enzymes involved in adenosine and glutamate synthesis, release, and reuptake are regulated by hypoxia in PC12 cells.<sup>8,9</sup> It is interesting to note that receptors for dopamine, adenosine, and glutamate are expressed in PC12 cells. We have performed several studies to determine if these receptors regulate the cellular response to hypoxia. We found this to be the case. For example, dopamine released from PC12 cells during hypoxia acts via the  $D_2$  receptor to regulate ionic conductance for both potassium and calcium.<sup>10,11</sup> We also found that adenosine attenuated the hypoxia-induced depolarization in PC12 cells by suppression of a voltage-sensitive potassium current.<sup>12,13</sup> In the same study, we noted that adenosine also attenuates the hypoxia-induced increase in intra-

cellular  $\text{Ca}^{2+}$ . The <sup>e</sup>ffects of adenosine on PC12 function during hypoxia are mediated by the  $\text{A}_{2\text{A}}$  receptor.<sup>13</sup> In addition, we found that key enzymes relevant to glutamate production, metabolism, and transport were coordinately regulated by hypoxia.<sup>14</sup> Thus, a primary response to hypoxia is the release of various transmitters that can modulate postsynaptic elements as well as receptors located on PC12 cells.

### GENE REGULATION

Hypoxia leads to regulation of genes in PC12 cells that are involved in mediating specific functions—for example, neurotransmitter biosynthesis and release. Also, it is important to recognize that hypoxia is a metabolic stress that compromises cell viability. Thus, it is entirely likely that many genes regulated by hypoxia are involved in cell survival and apoptosis. Thus, the proteins encoded by these genes are responsible not only for mediating specific hypoxia-related functions, but also for allowing cells to survive prolonged exposures to hypoxia. An example of a gene that is regulated by hypoxia and performs a specific function is tyrosine hydroxylase (TH), which is responsible for biosynthesis in PC12 cells and in the  $\text{O}_2$ -sensing cells of the mammalian carotid body.<sup>1,15</sup> FIGURE 1 shows the time course for TH gene expression during exposure to moderate hypoxia (5%  $\text{O}_2$ ) in PC12 cells. We also found that TH gene expression is induced by mild hypoxia (10%  $\text{O}_2$ ) and that the magnitude of expression increased with more severe hypoxia.<sup>1</sup>

We have used TH gene expression in PC12 cells as a model to gain insight into the mechanisms by which hypoxia regulates gene expression. Examination of the DNA sequence in the 5' flanking region of the TH gene revealed several *cis*-acting enhancer elements that are potentially important in the regulation of gene expression. One of these elements shows considerable homology with the hypoxia-induced



**FIGURE 1.** Results of Northern blot showing the time course for activation of tyrosine hydroxylase (TH) gene expression in response to 5%  $\text{O}_2$  in PC12 cells. B-actin gene expression was used as a control.

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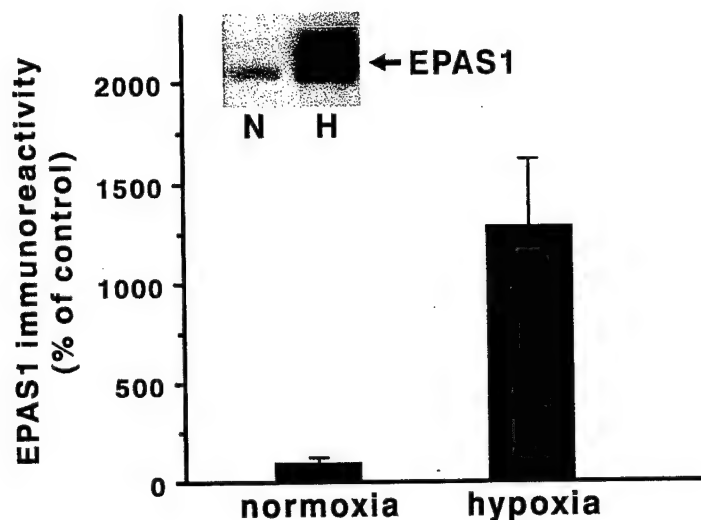
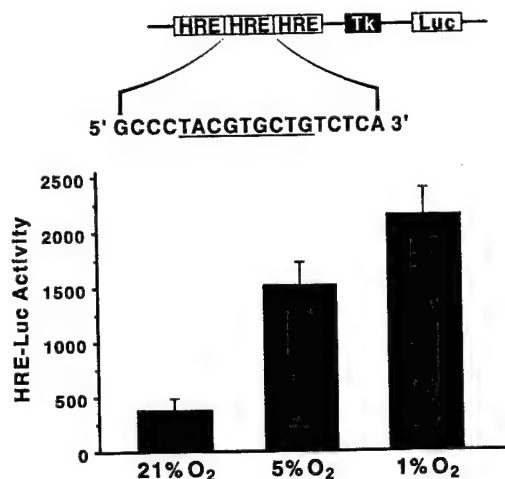


FIGURE 2. Immunoblot showing that EPAS1 (HIF2 $\alpha$ ) protein accumulates in PC12 cells during hypoxia (1% O<sub>2</sub> for 6 h).

factor (HIF) site.<sup>16</sup> The HIF family of transcription factors are basic helix-loop-helix proteins that bind to the consensus sequence 5'-GCCCTACGTGCTGTCTCA-3', which is commonly referred to as the hypoxia response element (HRE). Interestingly, the mRNA level for the HIF proteins is relatively constant regardless of the O<sub>2</sub> tension. However, HIF protein levels are enhanced by hypoxia due to reduced proteasome degradation. In most cell types the primary HIF is HIF1 $\alpha$ . However, in PC12 cells the primary HIF is HIF2 $\alpha$ , which is also known as EPAS1 (endothelial PAS domain protein-1). We showed that HIF2 $\alpha$  levels are very low during normoxia and increase significantly when PC12 cells are exposed to hypoxia (Fig. 2).<sup>17</sup> As a first step towards characterizing the regulation of HIF2 $\alpha$  in PC12 cells, we evaluated the ability of HIF2 $\alpha$  to transactivate an HRE-luciferase reporter gene. We found that titrating the level of hypoxia from 21% to 1% O<sub>2</sub> resulted in a dose-dependent increase in HRE-luciferase activity (Fig. 3). These findings show that HIF2 $\alpha$  is regulated by hypoxia in PC12 cells and that the HRE is sufficient to promote enhanced gene expression during hypoxia in PC12 cells.

Another important hypoxia-regulated transcription factor is AP1. We have shown that the genes for two protein factors in the AP1 complex, c-Fos and JunB, are regulated by hypoxia, and that these factors bind to the AP1 element on the 5' flanking region of the TH gene.<sup>18</sup> It is almost certain that other factors, such as the cyclic AMP response element binding protein (CREB) are also involved in regulating hypoxia-responsive genes. We are currently attempting to identify other transcription factors and the signaling pathways that regulate hypoxia-induced gene expression.



**FIGURE 3.** PC12 cells were seeded in 24-well dishes and transfected with the HRE-luciferase reporter gene. Forty-eight hours after transfection the cells were exposed to either normoxia or increasing levels of hypoxia, as indicated, and then assayed for luciferase activity. It is clear from these results that the reporter gene containing only the HRE site was stimulated by the increasing levels of hypoxia.

### SIGNAL TRANSDUCTION

We have performed extensive series of studies to identify and characterize signal transduction pathways that regulate the cellular response to hypoxia. Much of this work has focused on the mitogen-activated protein kinase (MAPK) and the stress-activated protein kinase (SAPK: JNK and p38 kinase) pathways. We found that both the p42/p44 MAPK and the p38 kinase, but not the JNK pathways, are activated by hypoxia in PC12 cells.<sup>19</sup> We further examined the role of these pathways in regulation of the HIF transcription factors and hypoxia-responsive genes.

As mentioned above, the HIF2 $\alpha$  protein level increases during hypoxia. However, there is little information concerning the signaling mechanisms that regulate the increase in HIF2 $\alpha$  in response to reduced O<sub>2</sub>. We focused much of our effort on the stress- and mitogen-activated protein kinase (SAPK and MAPK) pathways, which are known to play a critical role in the cellular response to stress and in regulating changes in gene expression. In general, the SAPKs (p38 and JNK) are activated by noxious environmental stimuli such as ultraviolet light, osmotic stress, inflammatory cytokines, and inhibition of protein synthesis. However, increasing evidence suggests that, at least under certain conditions, these pathways can also be activated by mitogenic and neurotrophic factors. MAPK is known to regulate a number of transcription factors, including c-fos, junB, CREB, and Elk-1. We examined the possibility that the SAPKs or MAPK pathways might be important for HIF2 $\alpha$  activation during hypoxia. Although both the p38 and MAPK pathways are activated by hypoxia, we found that hypoxia activation of HIF2 $\alpha$  required the MAPK pathway, but not the p38 pathway.<sup>17</sup> This is based on results that showed that hypoxia activation

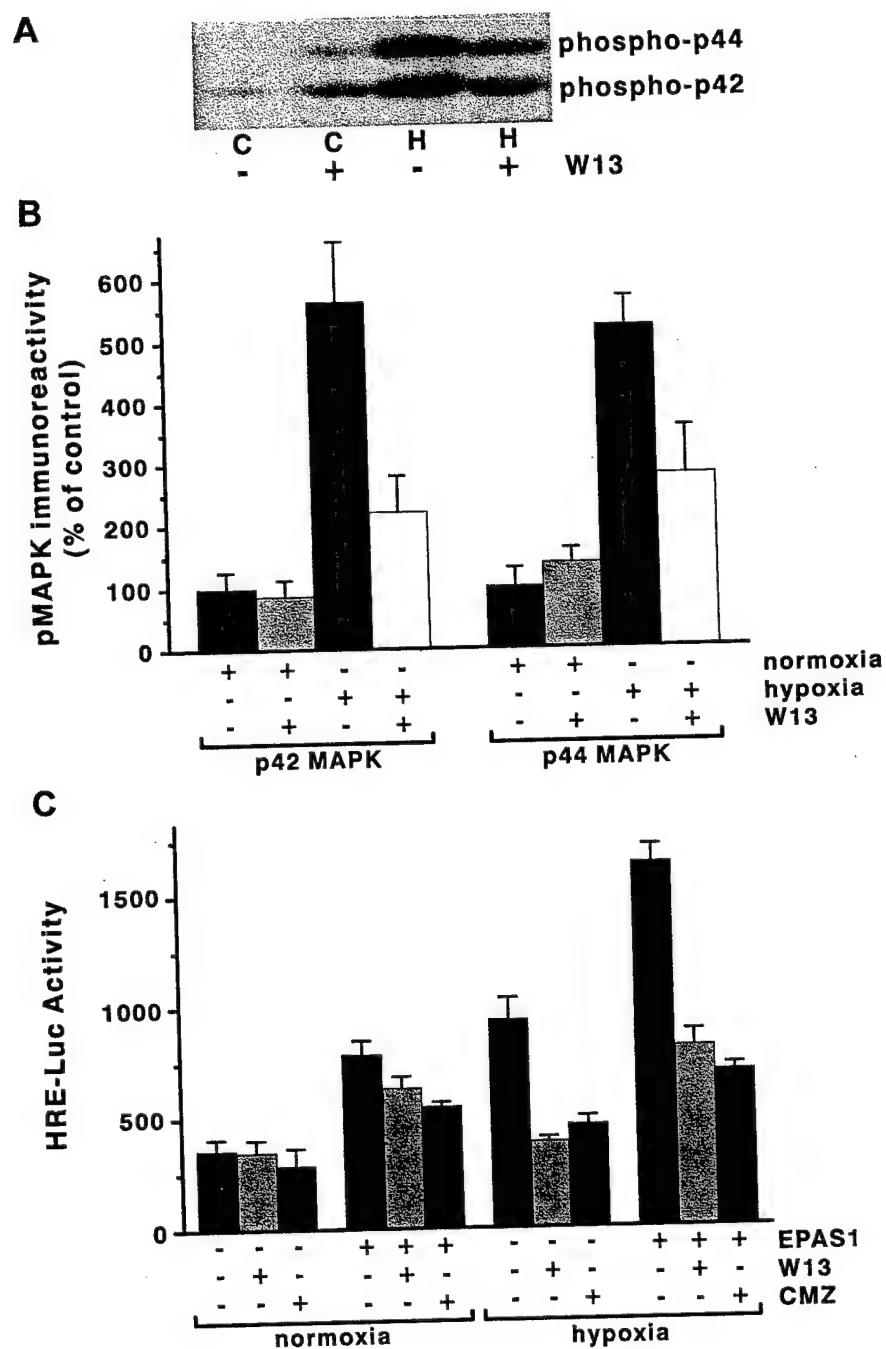


FIGURE 4. See following page for legend.

of the HRE-luciferase reporter gene was prevented by pretreating cells with PD98059, an inhibitor of MEK. MEK is an upstream kinase in the MAPK pathway. In addition, we found that transfection of PC12 cells with a constitutively active MEK1 (pFC-MEK1) enhanced the hypoxia activation of HRE-luciferase activity. These findings indicate that the MAPK pathway regulates the activation of HIF2 $\alpha$  during hypoxia in PC12 cells. We also discovered that activation of the MAPK pathway during hypoxia is independent of ras, but requires an intact Ca<sup>2+</sup>-calmodulin pathway.<sup>17</sup> Pharmacological inhibition of calmodulin prevented activation of MAPK and the HRE-Luc reporter gene during hypoxia (Fig. 4 a-c). This finding provides the first evidence that the Ca<sup>2+</sup>-calmodulin pathway activates MEK followed by activation of MAPK during hypoxia.

The mechanism by which MAPK regulates the HIF2 $\alpha$  transcription factor is not yet known. We were first to show that HIF2 $\alpha$  is phosphorylated during hypoxia.<sup>17</sup> However, experiments to determine if the phosphorylation was mediated by MAPK indicated that this was not the case. Thus we are led to conclude that even though MAPK stimulation is required for activation of HIF2 $\alpha$ , another still-unidentified kinase is responsible for the direct phosphorylation of HIF2 $\alpha$ .

Other signaling systems are also involved in the overall response of PC12 cells to hypoxia. For example, we identified a unique CREB kinase that is distinct and more complex than that induced by forskolin, depolarization, or nerve growth factor.<sup>20</sup> We have also shown that the phosphatidylinositol 3-kinase (PI3K)-AKT pathway is activated by hypoxia.<sup>21</sup> It is likely that many more signal transduction systems are involved in regulating the complex cellular response to hypoxia.

#### IDENTIFICATION AND CHARACTERIZATION OF HYPOXIA-RESPONSIVE GENES

A small but growing number of genes are known to be regulated by low oxygen levels. However, the complex series of intricate physiological responses that are triggered by hypoxia are almost certainly mediated by more than the few previously identified hypoxia-responsive genes. It is likely that many genes are both induced and suppressed by hypoxia in a complex, coordinated pattern. Thus, a clear understanding of the molecular basis of O<sub>2</sub> chemosensitivity and tolerance to hypoxia requires a rapid, high-throughput approach for identifying the global expression pattern induced by hypoxia.

To address this problem, we used subtractive suppression hybridization (SSH) to generate a custom cDNA library that is enriched in transcripts that are specifically regulated by hypoxia in PC12 cells. Coupled with cDNA microarray analysis, this

**FIGURE 4.** MAPK phosphorylation and EPAS1 (HIF2 $\alpha$ ) activity is calmodulin dependent. PC12 cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>, 6 h) in the presence or absence of calmodulin antagonists W13 or calmidazolium (CMZ). (A) Representative immunoblot showing the effect of W13 on phospho-MAPK immunoreactivity. (B) Immunoreactivity levels of phospho-MAPK following hypoxic exposure in the absence or presence of W13. (C) Representative experiment showing the effect of W13 and CMZ on EPAS1 transactivation of the HRE-luc reporter gene. These results show that calmodulin is involved in hypoxia activation of MAPK and transactivation of the HRE-luc reporter gene.

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represents a first step toward delineating the global gene expression profile that is regulated by reduced  $O_2$  in an  $O_2$ -sensitive cell line. We have created and characterized a library of hypoxia-regulated genes from the oxygen-sensitive PC12 cell line. This library contains approximately 200 unique hypoxia-regulated genes. Genes that are strongly regulated by hypoxia, such as JunB, VEGF, and tyrosine hydroxylase (TH), are highly represented in the library. These genes, as well as many others, also show upregulation by hypoxia in microarray analyses. We have selected a number of the hypoxia-regulated genes in the library for detailed study. Priority for further study was given to genes that have been implicated previously in signal transduction and gene regulation.

An example of gene discovery and characterization using this approach concerns one of the genes that was most frequently represented in the SSH library, identified as MAPK phosphatase-1 (MKP-1, also termed 3CH134 and CL100). This phosphatase is one member of a family of dual-specificity phosphatases or MAP kinase phosphatases (MKPs) that oppose the effects of the mitogen- and stress-activated protein kinases (MAPKs and SAPKs). Phosphorylation of MAPKs and SAPKs can be induced by a wide array of cellular stimuli. The MKP enzymes are capable of dephosphorylating both phosphothreonine and phosphotyrosine in the MAPKs and SAPKs. In previous studies, we have shown that MAPKs and certain SAPKs are activated in PC12 cells in response to hypoxia.<sup>17,21</sup> Our follow-up studies showed that hypoxia-induced regulation of MKP-1 occurs in a  $Ca^{2+}$ -independent manner and that the p38 SAPKs are involved in mediating this effect. A role for p38 kinase in the regulation of MKP-1 gene expression is based on our finding that pretreatment of cells with SB203580, which inhibits p38 kinase activity, significantly reduced the effects of hypoxia on MKP-1 mRNA levels. Moreover, we found that the MKP-1 is activated by cobalt, which activates the HIF-1 family of transcription factors and therefore mimics the effects of hypoxia on initiation of gene transcription.<sup>22</sup>

We have used this genomic approach to identify other potentially important genes that are involved in the cellular response to hypoxia. Some of these genes encode proteins that regulate membrane polarity, anaerobic metabolism, transcription, apoptosis, tolerance to hypoxia, and neurotransmitter biosynthesis. Thus, this approach offers an opportunity to identify key genes that mediate the response to hypoxia and to achieve a more comprehensive understanding of the cellular response to reduced  $O_2$ .

## SUMMARY

We have used PC12 cells as a model system to study the cellular and molecular response to both acute and chronic hypoxia. Our studies in PC12 cells have been successful in elucidating key elements involved in both sensing  $O_2$  and conferring hypoxia tolerance. We have focused most of our efforts on identifying the cellular and molecular mechanisms that regulate membrane depolarization and the signal transduction pathways that regulate gene expression leading to special functions (e.g., transmitter synthesis and release) and cell survival. In this regard, we have identified a specific potassium channel (Kv1.2) that regulates membrane depolarization in PC12 cells during hypoxia, and a number of signaling pathways regulated by reduced  $O_2$ , that are responsible for activation of transcription factors that regulate hy-

poxia-responsive genes. We have used genomic approaches to identify approximately 200 unique genes that represent the hypoxia transcriptome in PC12 cells. One of these genes is MKP-1, which is known to regulate both the MAPK and SAPK signaling pathways. We are currently performing detailed studies on MKP-1 and other key hypoxia-activated genes to determine their role in mediating the global response to reduced O<sub>2</sub>.

### ACKNOWLEDGMENTS

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Review

## The molecular basis of O<sub>2</sub>-sensing and hypoxia tolerance in pheochromocytoma cells

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### Abstract

Hypoxia is a common environmental stimulus. However, very little is known about the mechanisms by which cells sense and respond to changes in oxygen. Our laboratory has utilized the PC12 cell line in order to study the biophysical and molecular response to hypoxia. The current review summarizes our results. We demonstrate that the O<sub>2</sub>-sensitive K<sup>+</sup> channel, Kv1.2, is present in PC12 cells and plays a critical role in the hypoxia-induced depolarization of PC12 cells. Previous studies have shown that PC12 cells secrete a variety of autocrine/paracrine factors, including dopamine, norepinephrine, and adenosine during hypoxia. We investigated the mechanisms by which adenosine modulates cell function and the effect of chronic hypoxia on this modulation. Finally, we present results identifying the mitogen- and stress-activated protein kinases (MAPKs and SAPKs) as hypoxia-regulated protein kinases. Specifically, we show that p38 and an isoform, p38 $\gamma$ , are activated by hypoxia. In addition, our results demonstrate that the p42/p44 MAPK protein kinases are activated by hypoxia. We further show that p42/p44 MAPK is critical for the hypoxia-induced transactivation of endothelial PAS-domain protein 1 (EPAS1), a hypoxia-inducible transcription factor. Together, these results provide greater insight into the mechanisms by which cells sense and adapt to hypoxia. © 2001 Elsevier Science Inc. All rights reserved.

**Keywords:** Gene expression; Signal transduction; Transcription; Adenosine; Pheochromocytoma; PC12 cells; Mitogen-activated protein kinase (MAPK); p38; EPAS1

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## 1. Introduction

The research in our laboratory has focused on how cells sense and respond to hypoxia. Hypoxia is a condition having both environmental (e.g. altitude) and pathological (e.g. ischemia) origins. Cell survival requires that hypoxia elicit appropriate changes in gene expression and cell function. We have utilized PC12 cells, an O<sub>2</sub>-sensitive cell line, in order to study the biophysical and molecular mechanisms by which cells respond to hypoxia. In the current review, we discuss three different aspects of the hypoxic response. First, we provide evidence for the role of O<sub>2</sub>-regulated potassium channels (K<sub>O<sub>2</sub></sub>), particularly Kv1.2, in O<sub>2</sub> sensing. We next discuss the effects of hypoxia on modulation of adenosine-induced responses. We demonstrate that adenosine receptor binding plays a role in modulating hypoxia responsiveness and, perhaps, in protecting cells against the harmful effects of hypoxia. Finally, we discuss the effects of hypoxia on regulation of various signal transduction pathways and gene expression. Taken together, these results encompass a wide spectrum of hypoxia-induced changes, from the immediate sensing mechanism to the effects of chronic hypoxia on membrane excitability and gene expression. Although these studies address three distinct responses, there are almost certainly interactions and cross-talk between them. For example, each of these responses is dependent upon or modulates intracellular Ca<sup>2+</sup> levels. A detailed discussion of these relationships is also included.

## 2. PC12 cells contain the O<sub>2</sub>-sensitive Kv1.2 K<sup>+</sup> channel

The ability of cells to continually sense their environment and make appropriate changes in gene expression and cell function is critical for their survival. Cells have therefore evolved the capacity to exquisitely sense changes in their extracellular milieu. An example of this sensing ability is found in specialized O<sub>2</sub>-sensitive or chemoreceptor cells. These cells are localized in specific tissues within the body, including the carotid body, the pulmonary vasculature and pulmonary neuroepithelial bodies (for review see Lopez-Barneo, 1994). A decrease in pO<sub>2</sub> stimulates these cells, resulting in cardiovascular and pulmonary responses that optimize the delivery of

O<sub>2</sub> to vital organs. Such rapid responses have evolved to prevent global or localized O<sub>2</sub> deficits that can produce irreversible cellular damage.

The PC12 cell line has been used as a model to study O<sub>2</sub>-chemosensory mechanisms. There are a number of phenotypic similarities between type I carotid body cells and PC12 cells, including the presence of O<sub>2</sub>-sensitive K<sup>+</sup> channels (Lopez-Barneo et al., 1988; Conforti and Millhorn, 1997). In addition, both PC12 cells and type I cells respond to hypoxia with an increase in tyrosine hydroxylase gene expression (Czyzyk-Krzeska et al., 1992, 1994). Finally, both cell types depolarize and secrete the neurotransmitter dopamine in response to hypoxia (Krammer, 1978; Kumar et al., 1998; Taylor and Peers, 1998). We have therefore utilized PC12 cells to study the biophysical and molecular mechanisms by which cells sense and respond to hypoxia.

The presence of O<sub>2</sub>-sensitive K<sup>+</sup> channels in chemosensitive cells is critical to sensing hypoxia. O<sub>2</sub>-sensitive K<sup>+</sup> (K<sub>O<sub>2</sub></sub>) channels have been identified in several chemosensitive cells. Inhibition of K<sub>O<sub>2</sub></sub> channel activity is an important early event in the process of O<sub>2</sub> chemoreception, initiating the process of cell depolarization, Ca<sup>2+</sup> influx, neurotransmitter release, muscle contraction, regulation of protein kinases, and alterations in gene expression. Therefore, K<sub>O<sub>2</sub></sub> channels have been proposed to be key elements in the detection of changes in O<sub>2</sub> availability by chemosensitive cells. Work from our lab has shown that PC12 cells express O<sub>2</sub>-sensitive potassium channels. Additional experiments identified the Kv1.2  $\alpha$  subunit as an important component of the K<sub>O<sub>2</sub></sub> in PC12 cells.

In order to identify the K<sub>O<sub>2</sub></sub> channel in PC12 cells, patch clamp experiments were performed at the single-channel level. Four types of voltage-dependent outward K<sup>+</sup> channel were identified in PC12 cells: a slow-inactivating or delayed-rectifier K<sup>+</sup> channel (20 pS conductance, K<sub>dr</sub>), a transient or fast-inactivating K<sup>+</sup> channel (20 pS), a small conductance (14 pS) and a Ca<sup>2+</sup>-activated K<sup>+</sup> channel (105 pS). In order to identify the K<sup>+</sup> channel inhibited by hypoxia, patches containing different types of channels were exposed to 10% O<sub>2</sub>. The activity of the slow-inactivating 20 pS K<sup>+</sup> channel was inhibited by reduced pO<sub>2</sub>. These were the most frequently observed channels in our recordings, and their current kinetics suggest that they belong to the delayed-rectifier family of

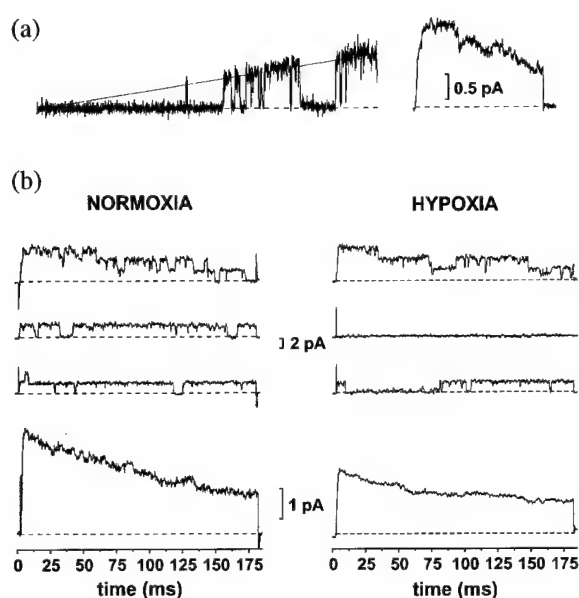


Fig. 1. A delayed-rectifier  $K^+$  channel in PC12 cells is inhibited by hypoxia. (a) The left trace shows the  $i$ - $V$  relationship obtained with ramp pulse depolarization (from a  $V_h$  of  $-60$  mV to  $+50$  mV, 800 ms duration,  $2.8$  mM  $K^+$  in the pipette) of a delayed-rectifier type of  $K^+$  channel ( $K_{dr}$ ). The recording was fitted with a straight line having a slope value of  $19$  pS. Outward  $K^+$  current was evoked in the same patch with step pulse depolarization from a  $V_h$  of  $-60$  mV to  $+50$  mV, 180 ms duration. The corresponding ensemble-averaged slow-inactivating outward current is shown in the right trace. Data shown are representative of a characteristic population of  $K^+$  channels in PC12 cells. (b) Shown are representative traces recorded during step depolarizing pulses (from a  $V_h$  of  $-60$  mV to  $+50$  mV, 180 ms duration) in normoxia and 2 min after exposure to hypoxia ( $10\%$   $O_2$ ). Leak and capacitive currents were subtracted from the record. Upward current deflections from the zero line correspond to the opening of the channel. Dashed lines represent the zero current. The ensemble-averaged currents from 100 consecutive traces are shown in the bottom panel.

$K^+$  channels ( $K_{dr}$ ). Fig. 1a shows the  $i$ - $V$  relationship for the  $KO_2$  channel. Application of a step depolarization caused the channel to open early on in the pulse depolarization and remain open for most of the pulse duration. Fig. 1b shows representative recordings from the  $20$ -pS  $K_{dr}$  channel in normoxia and after 2 min exposure to hypoxia. We also found that the inhibitory effect of hypoxia on the  $20$  pS  $K_{dr}$  channel persisted in inside-out patches (Conforti and Millhorn, 1997), excluding cytoplasmic soluble factors as mediators of the hypoxic response.

The molecular composition of  $KO_2$  channels is still poorly understood. Recently, a  $K^+$  channel composed of Kv2.1 and the silent Kv9.3  $\alpha$  subunit

was proposed as a possible  $KO_2$  channel in pulmonary artery smooth muscle cells (Patel et al., 1997). Other subunits, including Kv1.2 and Kv1.5, have also been proposed to form  $KO_2$  channels in the pulmonary artery. Recently, it has been shown that the Kv1.2  $\alpha$  subunit confers  $O_2$ -sensitivity to the Kv1.5  $K^+$  channel and that both Kv1.2 and Kv2.1  $K^+$  channels expressed in mouse *L* cells were inhibited by hypoxia (Hulme et al., 1999). Our laboratory has identified the Kv1.2  $\alpha$  subunit as an important component of the native  $KO_2$  channel in PC12 cells. Because  $O_2$ -sensitive cells adapt to prolonged hypoxia, including a modified response to subsequent exposure to hypoxia (e.g. enhanced chemosensitivity of the carotid body; Stea et al., 1995), we hypothesized that the  $KO_2$  channel gene expression might be regulated during prolonged exposure to hypoxia. Fig. 2a shows the expression of the different Kv genes in PC12 cells exposed to normoxia or hypoxia (18 h,  $10\%$   $O_2$ ). The expression of the Shaker Kv1.2, but not the other  $K^+$  channel genes, was increased by prolonged exposure to hypoxia (Fig. 2a). The increased expression of the Kv1.2 gene correlated with an enhanced response to hypoxia in those cells exposed to  $10\%$   $O_2$  for 18 h prior to electrophysiological measurements (Fig. 2b,c). These data provided the first evidence that the Kv1.2 gene encodes the  $\alpha$  subunit(s) of the slow-inactivating  $KO_2$  channel in PC12 cells. Previous results support the involvement of the Kv1 subfamily of  $K^+$  channels, as the  $KO_2$  current in PC12 cells was blocked by 5 mM TEA (Zhu et al., 1996). Furthermore, the  $KO_2$  in PC12 cells is inhibited by charybdotoxin, a potent blocker of Kv1.2 and Kv1.3 and large conductance  $Ca^{2+}$ -activated  $K^+$  ( $K_{Ca}$ ) channels (Conforti et al., 2000). Although  $K_{Ca}$  channels are present in PC12 cells, we have shown previously that, under our experimental conditions, their contribution to the total outward current is negligible (Zhu et al., 1996). We have also shown that the  $K_{Ca}$  channels in PC12 cells are not inhibited by hypoxia (Conforti and Millhorn, 1997). Taken together, these data support a role for Kv1.2 in forming the  $KO_2$  in PC12 cells.

In addition to expression of the Kv1.2 gene, PC12 cells also express the Kv2.1  $\alpha$  subunit, which has been proposed as a possible  $K^+$  channel in pulmonary artery smooth muscle cells (Patel et al., 1997; Archer et al., 1998). Thus, we were interested in comparing the ability of Kv1.2 and



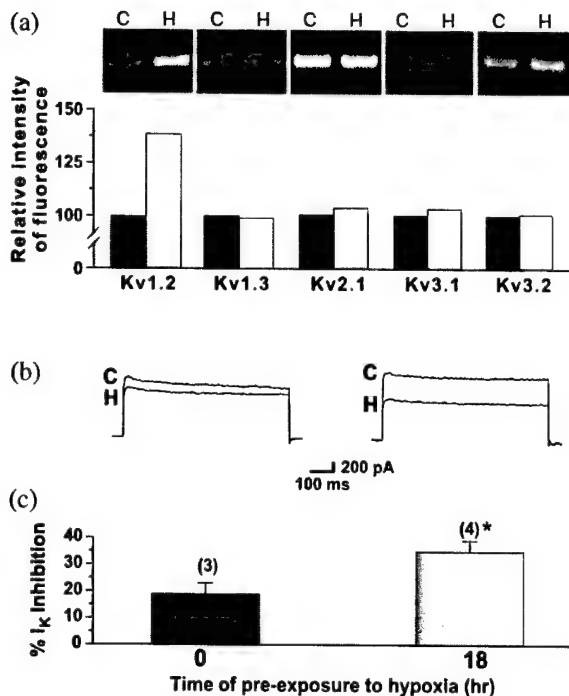


Fig. 2. The Kv1.2 gene is induced by hypoxia and correlates with increased  $O_2$ -sensitivity of PC12 cells to hypoxia after prolonged exposure to hypoxia. (a) The effect of prolonged hypoxia on Kv gene expression in PC12 cells was determined by RT-PCR of total RNA. Equal amounts of RNA from PC12 cells maintained in a normoxic (C) or hypoxic (10%  $O_2$ ) incubator for 18 h (H) were simultaneously tested for the Kv genes of interest. PCR products were collected before reaching saturation (30 cycles for Kv1.2, 25 cycles for all other genes). Agarose gels for the Kv gene PCR products are shown in the top panel. Each pair corresponds to the gene label on the abscissa of the graph below. The pairs are separated by a white lane for clarity, but were all analyzed in the same gel. The relative intensity of the ethidium bromide fluorescence of each band is reported in arbitrary units with respect to the control (set as 100) and shown in the bottom panel. The data are the mean of seven experiments for Kv1.2 and four experiments for the other genes. (b)  $O_2$  sensitivity was tested in cells maintained in normoxic conditions (left panel) or after 18 h exposure to 10%  $O_2$  (right panel).  $K^+$  currents ( $I_K$ ) were measured in whole-cell voltage clamp before and after 1 min exposure to hypoxia (H, 10%  $O_2$ ). Cells were depolarized to +50 mV ( $V_h$ , -70 mV) for 800 ms C). The bottom panel shows the amount of hypoxic inhibition of  $I_K$  in cells maintained in a hypoxic environment (18 h pre-exposure to hypoxia; 35%) compared with cells grown in a normoxic incubator (0 h pre-exposure to hypoxia; 19%). \* $P < 0.05$  using Student's unpaired  $t$ -test. The number of cells is given in parentheses.

Kv2.1 to form the  $Ko_2$  in PC12 cells. Expression of Kv1.2 and Kv2.1  $\alpha$  proteins in PC12 cells was determined by immunoblot analysis (Fig. 3a). Analysis with an affinity-purified antibody against

Kv1.2 revealed a single band of approximately 80 kDa. Antibodies against Kv2.1  $\alpha$  subunit detected a single band of approximately 110 kDa. Specificity of the Kv2.1 antibody has been shown previously (Archer et al., 1998), while the specificity of the Kv1.2 antibody was established by immunoblot and immunohistochemical analysis (Fig. 3a,b). The ability of the anti-Kv1.2 antibody to selectively block Kv1.2  $K^+$  channels was assessed in *Xenopus* oocytes. Recombinant Kv1.2  $K^+$  current amplitude was significantly decreased in oocytes injected with anti-Kv1.2 antibody (Fig. 3c). The same concentration of anti-Kv1.2 antibody did not reduce  $K^+$  current amplitude measured in oocytes expressing Kv2.1  $K^+$  channels (Fig. 3c).

We next tested the hypothesis that the  $Ko_2$  channel in PC12 cells is composed of Kv1.2  $\alpha$  subunit(s) by comparing the efficiency of anti-Kv1.2 and anti-Kv2.1 antibodies in blocking the  $Ko_2$  current. A similar approach has been used to establish the role of Kv2.1 in setting the resting potential of pulmonary artery smooth muscle cells (Archer et al., 1998). Others have also used this approach to modify ion channel activity in neuronal and skeletal muscle cells (Vassilev et al., 1988; Naciff et al., 1996). Whole-cell voltage-clamp experiments were performed with anti-Kv1.2 or anti-Kv2.1 antibodies delivered to the cell by dialysis through the patch pipette. Fig. 4 shows representative experiments performed in the presence of an anti-Kv1.2 antibody in the pipette. The left panel shows  $K^+$  currents recorded in normoxia upon breaking into whole-cell configuration ( $N_o$ ). Within 8–10 min after breaking into the whole-cell configuration, dialysis of Kv1.2 antibody through the patch pipette resulted in a  $32 \pm 6\%$  decrease in  $K^+$  current amplitude. Subsequent exposure to hypoxia (H, 10%  $O_2$ ) did not inhibit the  $K^+$  current. The averaged inhibition of the  $K^+$  current by hypoxia in cells dialyzed with antibody against Kv1.2 was  $4 \pm 3\%$  ( $n = 6$ , Fig. 4c).

Identical experiments were performed with an anti-Kv2.1 antibody in the patch pipette. Within 8–10 min after breaking into the whole-cell configuration, dialysis of anti-Kv2.1 antibody through the patch pipette resulted in a  $39 \pm 3\%$  ( $n = 3$ ) decrease in  $K^+$  current amplitude. Subsequent exposure to hypoxia (10%  $O_2$ ) inhibited the  $K^+$  current of  $24 \pm 2\%$  ( $n = 3$ ). This amount of inhibition is significantly different from that observed in cells dialyzed with the anti-Kv1.2 antibody ( $P \leq 0.01$ ). Control experiments using

an irrelevant antibody (rabbit anti-sheep IgG) in the pipette are shown in Fig. 4c. Ten minutes after breaking into the whole-cell configuration, no decrease in  $K^+$  current amplitude was observed, but application of hypoxia caused a

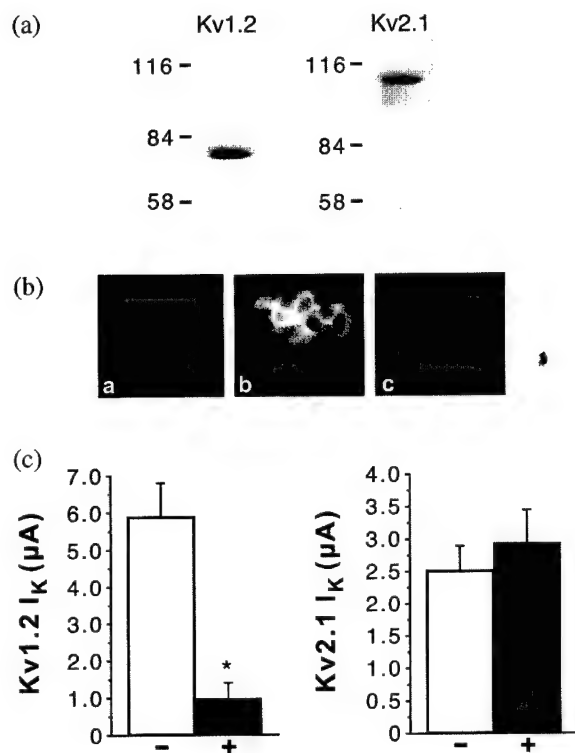


Fig. 3. The Kv1.2 and Kv2.1  $\alpha$  subunits of  $K^+$  channels are expressed in PC12 cells. (a) Representative Kv1.2 and Kv2.1 immunoblots in PC12 cell extracts (40  $\mu g$  protein). (b) Immunostaining analysis of Kv1.2 in PC12 cells. (Panel a): PC12 cells were subjected to all steps in the staining protocol, except that the primary antibody was omitted (background staining). (Panel b): Kv1.2 immunostaining. (Panel c): Immunostaining of PC12 cell with antiKv1.2 antibody pre-incubated with the antigen against which the antibody is directed. The intensity of the fluorescent signal is comparable to the background fluorescence observed in panel a. (c) The effect of anti-Kv1.2 antibodies on recombinant Kv1.2 and Kv2.1  $K^+$  channel function was evaluated. The left panel shows that the anti-Kv1.2 antibody quantitatively blocks  $K^+$  current in oocytes expressing Kv1.2  $K^+$  channels. Kv1.2  $K^+$  currents were recorded in control oocytes (–,  $n = 6$ ) and oocytes injected with anti-Kv1.2 antibody (0.01  $\mu g$  in 50 nl) 2 h before recording (+,  $n = 4$ ). \* $P < 0.01$  using Student's unpaired  $t$ -test. The right panel shows the lack of effect of anti-Kv1.2 antibody on  $K^+$  currents in oocytes expressing Kv2.1  $K^+$  channels. Kv2.1  $K^+$  currents were recorded in control oocytes (–,  $n = 5$ ) and oocytes injected with anti-Kv1.2 antibody (0.01  $\mu g$  in 50 nl) 2 h before recording (+,  $n = 6$ ). Kv2.1  $K^+$  currents were elicited from with voltage steps from –80 mV HP to –10.0 mV in two-electrode voltage-clamp experiments.

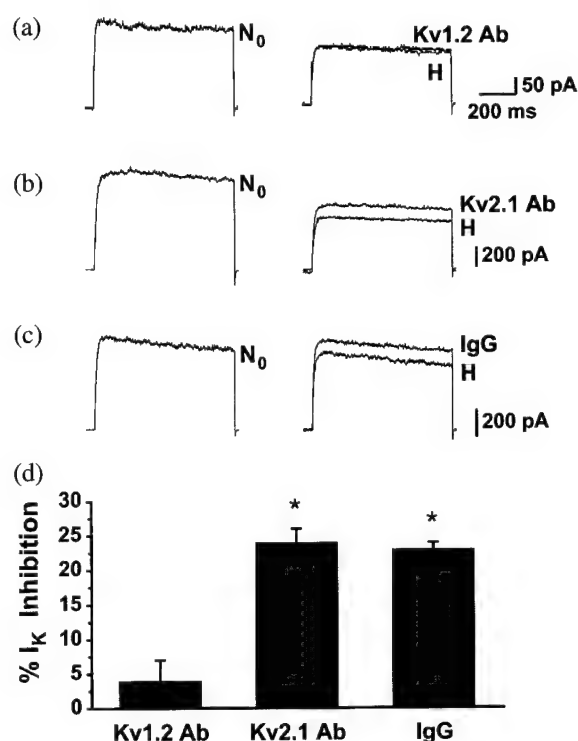


Fig. 4. Antibodies against Kv1.2, but not Kv2.1, block the effect of hypoxia on the  $K^+$  current.  $K^+$  currents were elicited with voltage steps from –70 mV HP to +50 mV (every 5 s) in experiments performed in presence of anti-Kv1.2 antibody (a), anti-Kv2.1 antibody (b) or irrelevant antibody (c) in the pipette. The representative  $K^+$  current traces were recorded in normoxia (21%  $O_2$ ) upon breaking into whole-cell configuration (left panel,  $N_0$ , in normoxia 8–10 min into whole-cell configuration (right panel, labeled with the name of the antibody used in each experiment), and after exposure to hypoxia (10%  $O_2$ , H). (d) Averaged amount of current inhibition by hypoxia in the presence of each antibody. \* $P \leq 0.001$

reversible inhibition of the  $K^+$  current. This level of inhibition of  $K^+$  current is not statistically different from the inhibition induced by hypoxia in the presence of the anti-Kv2.1 antibody. Taken together, these data indicate that the Kv1.2  $\alpha$  subunit plays a critical role in the response of PC12 cells to hypoxia. Future experiments are aimed at defining the mechanism by which Kv1.2 responds to hypoxia. In addition, we are also interested in investigating the interactions between Kv1.2 and its downstream effectors.

### 3. Hypoxia modulates the adenosine system

Adenosine is an endogenous metabolite of ATP that has been proposed to have a protective func-

tion in neurons (Scanziani et al., 1992; Lupica et al., 1992). Adenosine is released in the central nervous system in response to ischemia and hypoxia, where it acts to decrease pre- and post-synaptic excitability, thereby protecting neurons against the metabolic stress associated with  $O_2$  deprivation (Scanziani et al., 1992; Lupica et al., 1992). The cellular effects of adenosine are mediated via specific receptors cell surface receptors, classified as  $A_1$ ,  $A_{2A}$ ,  $A_{2B}$ , and  $A_3$  (Bruns, 1990; Dalziel and Westfall, 1994; Palmer and Stiles, 1994; Fredholm, 1995; Olah and Stiles, 1995). The  $A_1$  and  $A_3$  receptors are generally coupled to the  $G_i$  protein and mediate inhibition of adenylate cyclase activity, while the  $A_2$  receptor family is

coupled to the  $G_s$  protein, which stimulates adenylate cyclase activity.

We studied the effects of adenosine on the responses of these cells to both acute and chronic hypoxia. PC12 cells express the  $A_{2A}$  and  $A_{2B}$ , but not the  $A_1$ , or  $A_3$  adenosine receptor subtypes (Hide et al., 1992; Kobayashi et al., 1998a). One of the immediate cellular events in response to hypoxia in this cell type is an elevation in intracellular calcium levels ( $[Ca^{2+}]_i$ , Zhu et al., 1996). Acutely, the exogenous application of adenosine attenuated both the total cellular calcium current ( $I_{Ca}$ ) and the hypoxia-induced increase in  $[Ca^{2+}]_i$  in PC12 cells (Kobayashi et al., 1998a). These effects are mediated via the  $A_{2A}$  receptor and are dependent on protein kinase A (PKA, Kobayashi et al., 1998a).

The effect of adenosine on  $I_{Ca}$  following pre-exposure to hypoxia (10%  $O_2$ , 24 or 48 h) was also investigated. Fig. 5 shows that the inhibition of  $I_{Ca}$ , by adenosine was reduced when the cells had been pre-exposed to chronic hypoxia. These results suggested that chronic hypoxia might also attenuate the effect of adenosine on the hypoxia-induced increase in  $[Ca^{2+}]_i$ . To test this hypothesis, intracellular  $Ca^{2+}$  levels were analyzed in cells that were pre-exposed to hypoxia in the presence or absence of adenosine (Kobayashi et al., 1998b). The  $[Ca^{2+}]_i$  in PC12 cells is sharply increased in response to anoxia ( $< 10$  torr  $O_2$ ). Interestingly, the increase in  $[Ca^{2+}]_i$  in response to acute anoxia was greater in PC12 cells that had been pre-exposed to 48 h of moderate hypoxia (10%  $O_2$ ). Furthermore, pre-exposure to hypoxia for 48 h blunted the inhibitory effect of adenosine on  $[Ca^{2+}]_i$  in response to anoxia (Fig. 6). Thus, pre-exposure to prolonged hypoxia attenuates the acute inhibitory effects of  $I_{Ca}$  and  $[Ca^{2+}]_i$  in PC12 cells (Kobayashi et al., 1998b).

We next designed experiments to further elucidate the mechanism by which chronic hypoxia impairs the actions of adenosine on  $I_{Ca}$  and  $[Ca^{2+}]_i$ . As mentioned above, PC12 cells do not express either the  $A_1$  or  $A_3$  adenosine receptor subtypes, but do express the  $A_{2A}$  and  $A_{2B}$  receptor subtypes (Kobayashi et al., 1998a). As summarized in Fig. 7, activation of either the  $A_{2A}$  or the  $A_{2B}$  receptor causes activation of the  $G_s$  guanine nucleotide binding protein, which stimulates adenylate cyclase. Increased production of cyclic AMP then leads to activation of PKA. We found

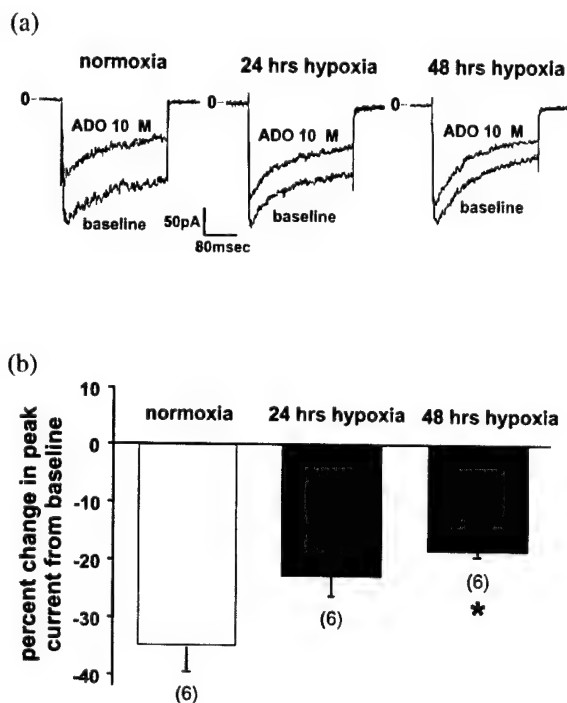


Fig. 5. Hypoxia attenuates the adenosine-induced inhibition of voltage-dependent  $Ca^{2+}$  current. (a) Representative traces show the effect of adenosine (ADO) on  $I_{Ca}$ .  $I_{Ca}$  was measured every 30 s by 160-ms test pulses from a  $V_h$  of  $-80$  to  $+20$  mV, using 20 mM  $Ba^{2+}$  as a charge carrier. Peak current amplitude was measured for evaluation. In normoxic controls, adenosine (10  $\mu$ M) caused a decrease in the amplitude of  $I_{Ca}$  (left panel). This effect was reduced when the cells were pretreated with hypoxia (10%  $O_2$ ) for 24 h (middle panel) or 48 h (right panel). (b) The effect of adenosine on  $I_{Ca}$  is shown quantitatively. The response to adenosine was evaluated as the percentage inhibition from baseline inward current. The number in parentheses indicates the number of cells examined. Data are expressed as mean  $\pm$  S.E.M., \*  $P < 0.05$ .

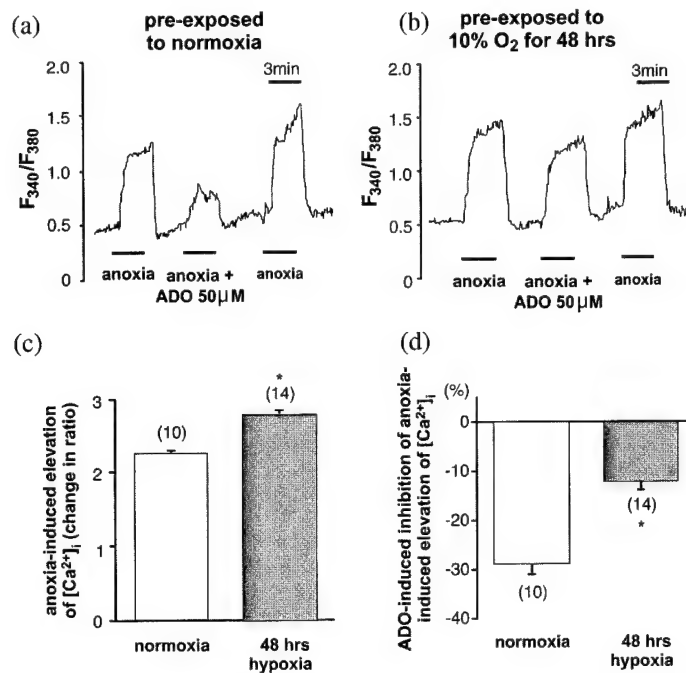


Fig. 6. Effect of adenosine on the anoxia-induced increase of intracellular free  $Ca^{2+}$ . (a) Cytosolic free  $Ca^{2+}$  concentration ( $[Ca^{2+}]_i$ ) was measured using the fluorescent  $Ca^{2+}$  indicator, Fura-2. The ratio of  $F_{340}/F_{380}$  reflects  $[Ca^{2+}]_i$ . Shown is a representative recording of  $[Ca^{2+}]_i$  in response to anoxia  $\pm$  ADO (50  $\mu$ M). (b) A representative recording of  $[Ca^{2+}]_i$  is shown from a cell pre-exposed to 10%  $O_2$  for 48 h. These results are summarized in (c,d). The numbers in parentheses indicate the number of cells examined. Data are expressed as mean  $\pm$  S.E.M., \* $P < 0.05$ .

that chronic hypoxia (24 to 48 h exposure to 5 or 10%  $O_2$ ) significantly reduced PKA immunoreactivity and enzyme activity in PC12 cells (Beitner-Johnson et al., 1998; Kobayashi et al., 1998b). However, chronic hypoxia had no effect on either forskolin or adenosine-stimulated adenylyl cyclase activity in PC12 cells (Kobayashi et al., 1998b). Down-regulation of  $G_{S\alpha}$  is also not the mechanism by which chronic hypoxia affects adenosine signaling, as prolonged hypoxia had no effect on  $G_{S\alpha}$  immunoreactivity levels (Kobayashi et al., 1998b). Finally, chronic hypoxia reduced the efficacy of 8-bromo-cAMP for inhibition of  $I_{Ca}$  (Kobayashi et al., 1998b). Taken together, these results are consistent with the hypothesis that down-regulation of PKA is the mechanism by which chronic hypoxia attenuates the effect of adenosine on  $I_{Ca}$  and  $[Ca^{2+}]_i$  (see Fig. 7). The down-regulation of the PKA signaling pathway has been hypothesized to be one of the defense mechanisms by which cells survive episodes of hypoxia (Hochachka et al., 1996). In our model system, the reduced actions of adenosine on  $I_{Ca}$  and  $[Ca^{2+}]_i$  and the concomitant down-regulation of PKA in response to chronic hypoxia might

function to activate specific cell survival programs.

Acute hypoxia induces the release of adenosine from PC12 cells into the extracellular milieu (Kobayashi et al., 2000). This effect is enhanced when cells are pre-exposed to chronic hypoxia (Kobayashi et al., 2000). Thus, it appears that adaptation to chronic hypoxia involves not only the modulation of  $I_{Ca}$  and  $[Ca^{2+}]_i$ , as discussed previously, but also the production and release of adenosine. We therefore investigated the mechanisms that underlie the regulation of adenosine synthesis and release by hypoxia. Fig. 8a schematically illustrates the various metabolic pathways leading to adenosine synthesis and degradation. We hypothesized that one or more of these enzymes was regulated by hypoxia such that adenosine metabolism was shifted toward net production.

One enzyme involved in the metabolic regulation of adenosine is 5'-nucleotidase (5'NT), which catalyzes the hydrolysis of AMP to adenosine and phosphate (Zimmermann, 1992). This reaction represents the final step in the hydrolysis of ATP to adenosine, a major pathway for the production

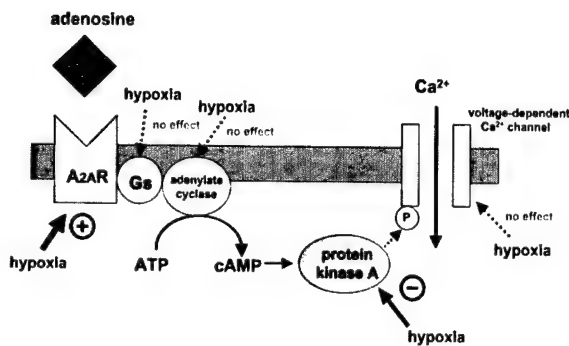


Fig. 7. Schematic summary of effects of hypoxia on adenosine signaling system. Binding of adenosine to the adenosine 2A receptor ( $A_{2A}R$ ) leads to activation of the stimulatory G-protein, which in turn activates adenylyl cyclase. This leads to increased synthesis of cAMP and activation of protein kinase A. As illustrated, protein kinase A can, under certain conditions, phosphorylate and thereby regulate voltage-dependent calcium channels. The solid arrow indicates an element that is upregulated by hypoxia, the outlined arrow indicates an element that is downregulated by hypoxia, and the dashed arrows designate cellular elements that are not affected by hypoxia.

of adenosine from adenine nucleotides (Zimmermann, 1992). We performed Northern blots to determine whether chronic hypoxia affected the steady-state levels of 5'-NT mRNA. Fig. 8b (top panel) shows that long-term exposure to hypoxia (24 and 48 h) strongly increased 5'-NT mRNA levels. Additional experiments demonstrated that 5'-NT enzyme activity was similarly increased (Fig. 8b, bottom panel). The increase in 5'-NT activity includes both the membrane-bound and cytoplasmic forms of 5'-NT (Kobayashi et al., 2000). Thus, enhanced 5'-NT gene expression and activity during hypoxia represents one mechanism whereby PC12 cells can increase the available pool of cellular adenosine.

Adenosine kinase (AK) phosphorylates adenosine to produce 5'-AMP, and serves as a primary regulator of cellular adenosine levels. We used Northern blot analysis to determine whether AK gene expression is modulated by hypoxia. Fig. 8c (top panel) shows that hypoxia progressively decreased AK mRNA levels in PC12 cells. This was correlated with a decrease in AK enzyme activity (Fig. 8c, bottom panel). Negative regulation of AK by chronic hypoxia is consistent with increased levels of adenosine, suggesting this is a major mechanism by which hypoxia enhances adenosine production and release.

Adenosine deaminase (ADA) is also involved in the metabolic regulation of adenosine. This

enzyme is responsible for the deamination of adenosine to inosine. ADA mRNA levels were largely unchanged during exposure to hypoxia though there was a modest but statistically significant decrease in both ADA protein levels and enzyme activity after 48 h exposure to hypoxia (Fig. 8d, bottom panel; Kobayashi et al., 2000). A decrease in ADA activity would be consistent with an increase in the amount of adenosine available for release into the extracellular milieu.

As discussed above, hypoxia primarily acts through the  $A_{2A}$  receptor ( $A_{2A}R$ ) in PC12 cells (Kobayashi et al., 1998a). Thus, we performed experiments to determine whether hypoxia also regulates the adenosine system the level of gene expression of cell surface receptors. Fig. 9a shows that exposure to either 10 or 5%  $O_2$  progressively increases  $A_{2A}R$  mRNA levels, with a greater effect at 5%  $O_2$  than at 10%  $O_2$ . Fig. 9b shows that there was also a corresponding increase in  $A_{2A}R$  immunoreactivity following in response to 5%  $O_2$ . Thus, upregulation of the  $A_{2A}R$  gene is another mechanism by which PC12 cells adapt to hypoxia.

The physiological consequences of increased adenosine production and receptor expression on cell function have not been established. However, this would be predicted to cause increased sensitivity to adenosine. We have shown that stimulation of the  $A_{2A}R$  promotes the viability of PC12 cells during hypoxia (Kobayashi and Millhorn, 1999). Thus, it is possible that increases in both adenosine production and  $A_{2A}R$  gene expression enhance the sensitivity of PC12 cells to adenosine, and thereby improve cell viability. Clearly, adenosine has important roles in modulating the cellular response to hypoxia.

#### 4. Regulation of the SAPKs and MAPKs by hypoxia is critical for regulation of hypoxia-responsive genes

$O_2$  sensing and the modulation of intracellular  $Ca^{2+}$  currents are critical aspects of the hypoxic response. However, many of the changes in cell function that occur during hypoxia require changes in gene expression. Thus, the hypoxic signal needs to be transmitted to the nucleus where changes in gene expression occur. The stress- and mitogen-activated protein kinase (SAPK and MAPK) pathways play a critical role in responding to cellular stress and promoting

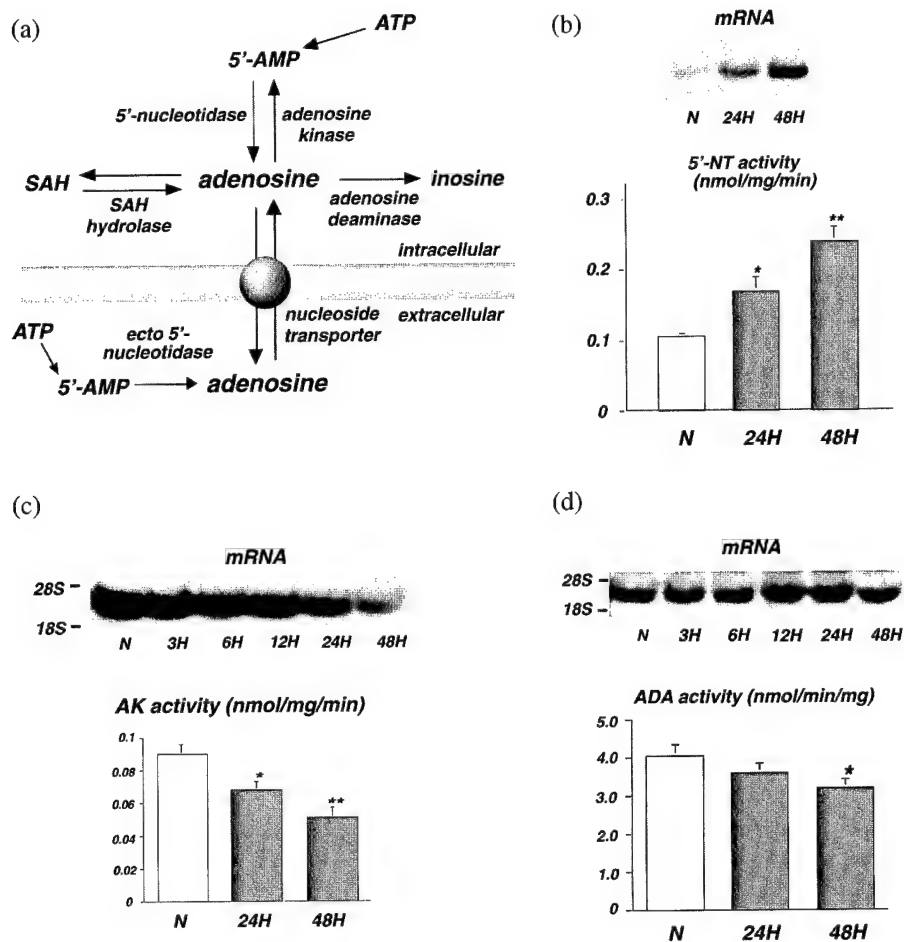


Fig. 8. Hypoxia modulates adenosine metabolism to increase production. PC12 cells were exposed to either normoxia (N, 21% O<sub>2</sub>) or hypoxia (H, 5% O<sub>2</sub>), for the amount of time indicated. (a) Schematic diagram showing the various metabolic pathways of ADO formation and degradation. (b) The effect of increasing amounts of hypoxia on 5'-NT mRNA is shown in the upper panel. The results are shown quantitatively in the lower panel. (c) The upper panel shows the effects of increasing amounts of hypoxia on AK mRNA. The lower panel shows results from AK enzyme activity assays. Cells were exposed to 5% O<sub>2</sub> for 24 and 48 h. AK activity was measured as the conversion of [<sup>14</sup>C]ADO to [<sup>14</sup>C]5'-AMP, in nmol/min mg of protein. Data are expressed as mean ± S.E.M. and represent *n* = 6 in each group, \**P* < 0.05, \*\**P* < 0.01. (d) Shown in the upper panel is a representative Northern blot of ADA mRNA following increasing amounts of hypoxia. The lower panel shows results from ADA enzyme activity assays. The ADA activity was evaluated as conversion of [<sup>14</sup>C]ADO to [<sup>14</sup>C]INO. Data are mean ± S.E.M. *P* < 0.05. These results were reproduced from the *Journal of Neurochemistry* 74, 621–632, 2000, with permission.

changes in gene expression, cell growth and cell survival (Widmann et al., 1999; Su and Karin, 1996). Five homologous subfamilies of these kinases have been identified, and the three major families include: p38/SAPK2/RK; JNK/SAPK; and p42/p44 MAPKs/ERKs (Rouse et al., 1994; Raingeaud et al., 1995; Kyriakis and Avruch, 1996; Su and Karin, 1996; Garrington and Johnson, 1999; Widmann et al., 1999). In general, the SAPKs (p38 and JNK) are activated primarily by noxious environmental stimuli such as: ultraviolet light, osmotic stress, inflammatory cytokines, and

inhibition of protein synthesis (Hibi et al., 1993; Derijard et al., 1994; Kyriakis et al., 1994; Han et al., 1994). However, increasing evidence suggests that, at least under certain conditions, these pathways can also be activated by mitogenic and neurotrophic factors (Logan et al., 1997; Xing et al., 1998). In contrast, p42/p44 MAP kinases are primarily stimulated by mitogenic and differentiative factors in a Ras-dependent manner (Raingeaud et al., 1995; Woodgett et al., 1996; Whitmarsh and Davis, 1994), although these enzymes can also be activated by certain environ-



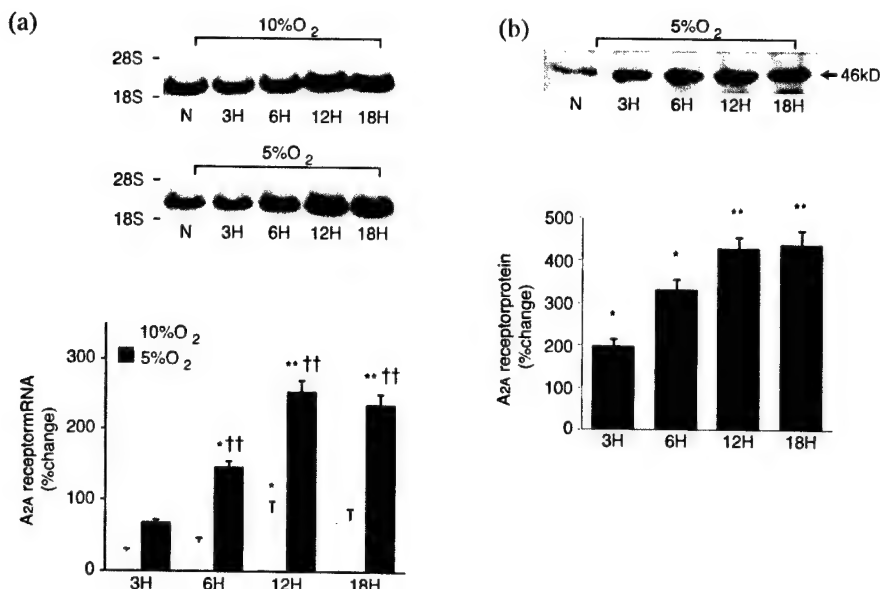


Fig. 9. Hypoxia increases expression of adenosine A2A receptor mRNA and protein in PC12 cells. PC12 cells were exposed to normoxia or hypoxia (10 or 5% O<sub>2</sub>) for increasing amounts of time, as indicated. (a) Cellular RNA was isolated and subjected to Northern blot analysis for adenosine A2A receptor expression. Representative blots following 10 or 5% O<sub>2</sub> are shown in the upper panels. These results are shown quantitatively in the lower panel, and represent averaged data from six separate experiments for each time point and O<sub>2</sub> level. Data are expressed as percent change from control and are expressed as mean  $\pm$  S.E.M., \* $P$  < 0.05, \*\* $P$  < 0.01. (b) PC12 cells exposed to increasing amounts of hypoxia were subjected to immunoblot analysis with an antibody specific for the adenosine A2A receptor. The upper panel shows a representative blot. The averaged results from four separate experiments are provided in the lower panel. Data are expressed as mean  $\pm$  S.E.M., \* $P$  < 0.05, \*\* $P$  < 0.01, †† $P$  < 0.01 from 10% O<sub>2</sub>.

mental stressors (Su and Karin, 1996; Widmann et al., 1999; Garrington and Johnson, 1999). Thus, we hypothesized that hypoxia, a prevalent physiological stressor in many disease states, may regulate the activity of the SAPK and MAPK signaling pathways.

To characterize the effects of hypoxia on p38 enzyme activity, PC12 cells were transfected with FLAG epitope-tagged versions of p38 $\alpha$ , p38 $\beta$ , p38 $\beta_2$ , p38 $\gamma$ , or p38 $\delta$ . Cells were then exposed to either normoxia (21% O<sub>2</sub>) or hypoxia (5% O<sub>2</sub>, 6 h). The various kinases were then immunoprecipitated with an anti-Flag antibody, and immune complex kinase assays were performed. Fig. 10a shows that hypoxia stimulated both p38 $\alpha$  and p38 $\gamma$  enzyme activity, as determined by the ability of each isoform to phosphorylate myelin basic protein. In contrast to these results, hypoxia did not significantly alter p38 $\beta$ , p38 $\beta_2$  or p38 $\delta$  enzyme activity (Fig. 10a,c). Hypoxia-induced changes in enzyme activity were not the result of differences in transfection efficiency as cell lysates blotted with anti-Flag show equal amounts of the transfected protein (Fig. 10b). It can be seen that the effect of hypoxia on the p38 $\gamma$  isoform is by

far the most robust (average 5.9-fold activation, Fig. 10c).

We next evaluated the effect of hypoxia on JNK, another stress-activated protein kinase. PC12 cells were exposed to hypoxia for various times, from 20 min to 6 h, and JNK enzyme activity was measured in an immune complex kinase assay. Unlike its effects on p38, hypoxia did not significantly alter JNK enzyme activity, whereas exposure of cells to UV light markedly increased JNK activity (Conrad et al., 1999a).

To determine the effect of hypoxia on p42/p44 MAPK, PC12 cells were again exposed to either normoxia (21% O<sub>2</sub>), or hypoxia (5% O<sub>2</sub>) for various times, between 20 min and 6 h. Samples of whole cell lysates were immunoblotted with either an antibody specific for tyrosine phosphorylated (activated) p42/p44 MAPK or an antibody that equally recognizes phospho- and dephospho-p42/p44 MAPK (total MAPK). Hypoxia had no significant effect on the levels of either phospho-p42/p44 MAPK at the earliest time points studied (Fig. 11a). However, exposure to hypoxia for 6 h caused an increase in the tyrosine phosphorylation of p42/p44 MAPK (Fig. 11a). The total

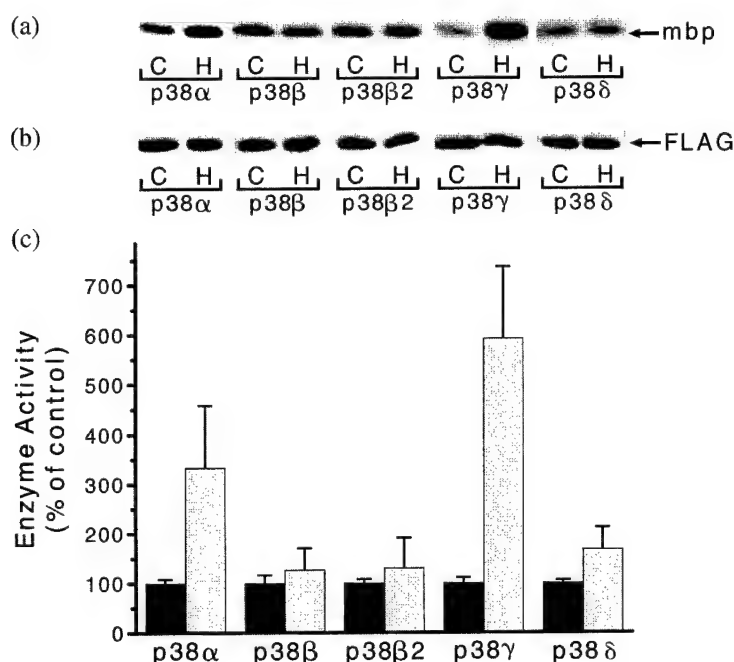


Fig. 10. Effect of hypoxia on enzyme activity of the various p38 isoforms. PC12 cells were transfected with either Flag-p38, Flag-p38 $\beta$ , Flag-p38 $\beta$ 2, Flag-p38 $\gamma$ , Flag-p38 $\delta$ , or the pCDNA3 vector. After 48 h, cells were exposed to either control conditions (C, 21% O<sub>2</sub>) or hypoxia (H, 5% O<sub>2</sub>, 6 h). (a) Enzyme activity of p38 isoforms, was determined in immunocomplex kinase assays by the amount of <sup>32</sup>P incorporation into myelin basic protein (mbp) as described in Experimental Procedures. (b) Whole cell lysates were immunoblotted for Flag as described in Experimental Procedures. (c) Protein kinase activity of the various p38 isoforms after exposure to normoxia (black bars) or hypoxia (shaded bars) are expressed as average percent of control  $\pm$  S.E.M, and represent  $n = 6-9$  dishes in each group, performed in at least two separate experiments.

amount of p42/p44 MAPK was not affected by hypoxia, as shown in Fig. 11b. An increase in the phosphorylation of MAPK is presumed to increase its enzyme activity. We next measured MAPK enzyme activity by immune complex kinase assay. Fig. 11c shows that p42 MAPK enzyme activity, like MAPK phosphorylation state, increased following 6 h of exposure to hypoxia.

The SAPKs and the MAPKs are known to mediate their effects via the activation of transcription factors. Thus, we were interested in identifying transcription factors regulated by these protein kinases during hypoxia. Endothelial PAS-domain protein 1 (EPAS1, also known as HIF2- $\alpha$ , HLF and HRF) is a recently identified hypoxia-inducible transcription factor (Tian et al., 1997; Ema et al., 1997; Flamme et al., 1997). EPAS1 is a basic helix-loop-helix transcription factor, which shares 48% sequence identity with hypoxia-inducible factor (HIF1- $\alpha$ ), a transcription factor involved in the regulation of vascular endothelial growth factor (VEGF), glycolytic enzymes, and several other hypoxia-regulated genes

(Tian et al., 1997). EPAS1 protein levels, like HIF1- $\alpha$  levels, are relatively low under basal conditions and accumulate upon exposure of cells to hypoxia (Wiesner et al. 1998). These factors then translocate to the nucleus and *trans*-activate target genes containing the sequence 5'GCCCTACGTGCTGTCTCA-3', which is commonly referred to as the Hypoxia Response Element (HRE) (Semenza and Wang, 1992; Tian et al., 1997). Interestingly, EPAS1 is particularly abundant in the type I O<sub>2</sub>-sensing cells of the carotid body (Tian et al., 1998). We have previously demonstrated the phenotypic similarities between the type I cells and PC12 cells. Thus, we hypothesized that EPAS1 would be regulated by hypoxia in PC12 cells.

As a first step towards characterizing the regulation of EPAS1 in PC12 cells, we evaluated EPAS1 protein levels following exposure to hypoxia. Fig. 12a shows that exposure to hypoxia (1% O<sub>2</sub>) for 6 h resulted in a 12-fold increase in EPAS1 protein levels. It has previously been established that EPAS1 can *trans*-activate an HRE-

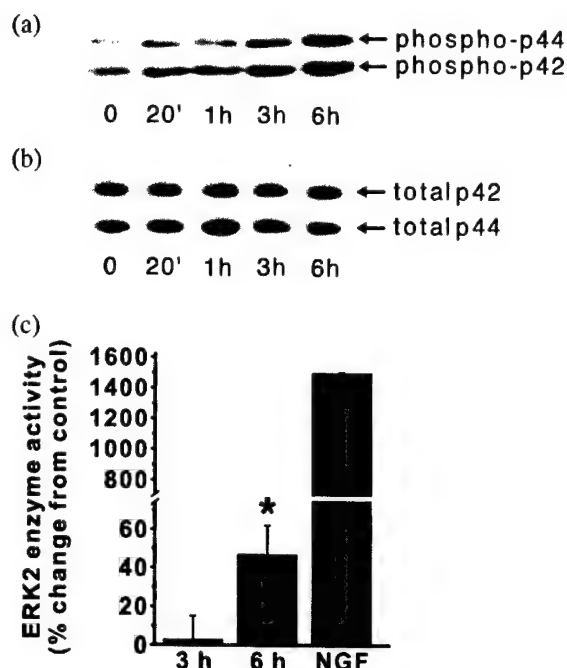


Fig. 11. Hypoxia modestly activates p42/p44 MAPK. PC12 cells were exposed to hypoxia (5%  $O_2$ ) for various times between 0 and 6 h, as indicated. In (a) and (b), lysates were subjected to SDS-PAGE and immunoblotted with antibodies specific for either  $tyr^{204}$ -phosphorylated p42/p44 MAPK or total (phospho- and dephospho-) MAPK, as described in Experimental Procedures. (a) Representative immunoblot showing phospho-p42/p44 MAP kinase immunoreactivity at the various time points studied. (b) Representative immunoblot showing total MAPK at the various time points studied. Similar results as those shown in (a) and (b) were observed in three separate experiments. (c) MAPK enzyme activity was determined in an immune-complex kinase assay by the amount of  $^{32}P$  incorporation into myelin basic protein as quantified by phosphorimager. Data shown are representative of that obtained in two separate experiments and represent  $n = 6$  dishes in each group.

luc reporter gene (Tian et al., 1997). We found that titrating the level of hypoxia from 21%  $O_2$  to 1%  $O_2$  resulted in a dose-dependent increase in HRE-luciferase activity (Fig. 12b).

The MAPK pathway is known to regulate a number of transcription factors, including c-fos, jun-B, CREB, and Elk-1 (Hipskind et al., 1994; Bernstein et al., 1994; Xing et al., 1996). We therefore hypothesized that the MAPK pathway might be important for EPAS1 activation during hypoxia. To test this hypothesis, PC12 cells were cotransfected with the HRE-luc reporter gene and a plasmid encoding the human EPAS1 cDNA or the empty expression vector, pcDNA3. Cells

were then pre-treated with either PD98059 (50  $\mu M$ ) or vehicle, and exposed to normoxia or hypoxia (1%  $O_2$ ) for 6 h. As reported by others (Tian et al., 1997) we found that expression of EPAS1 increased HRE-luc activity under both normoxic and hypoxic conditions (Fig. 13a). We also found that inhibition of MEK1, by PD98059,

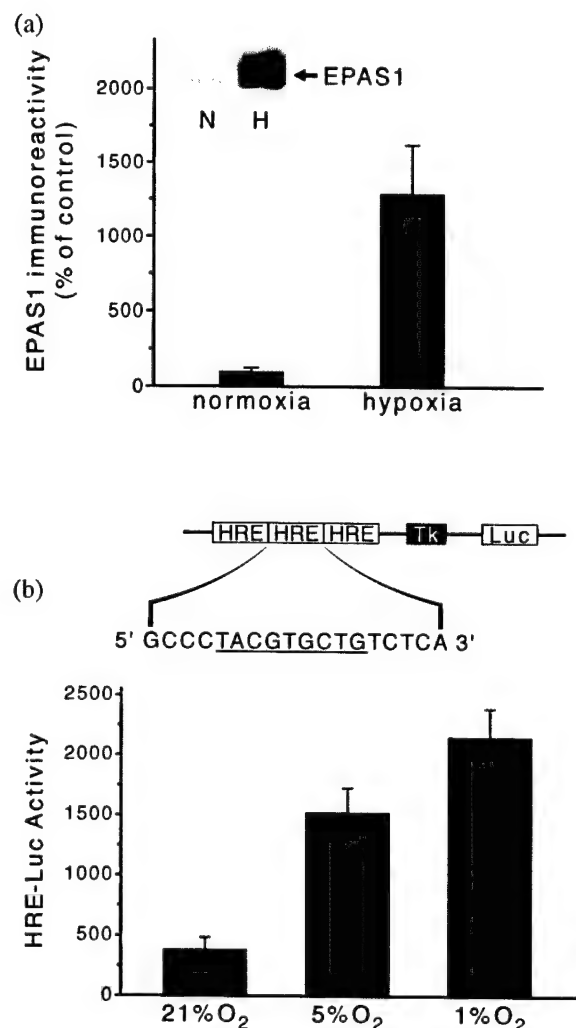


Fig. 12. EPAS1 protein accumulates and is activated by hypoxia. PC12 cells were exposed to normoxia (21%  $O_2$ ) or hypoxia (1%  $O_2$ , 6 h) followed by SDS-PAGE and immunoblotting with an  $\alpha$ -EPAS1 antibody. (a) Immunoblot showing the effect of hypoxia on EPAS1 immunoreactivity. Results are representative of  $n = 6$  performed in two separate experiments. (b) PC12 cells were seeded in 24-well dishes and transfected with the HRE-luc reporter gene (250 ng/dish). 48 h post-transfection, cells were exposed to normoxia, or increasing levels of hypoxia, as indicated, and then assayed for luciferase activity as described in Experimental Procedures. Data are representative of results performed in three experiments.

completely blocked the effect of hypoxia on both basal and EPAS1-stimulated HRE-luc activity (Fig. 13a). However, the hypoxia-induced phosphorylation of EPAS1 is not blocked by PD98059 (Conrad et al., 1999b). Thus, although MAPK does not appear to mediate phosphorylation of EPAS1, our results strongly suggest that the MEK1–MAPK signaling pathway is critical for activation of EPAS1 and HRE-dependent gene expression.

To test this, we measured the effect of expressing a constitutively active MEK1 (pFC-MEK1) on basal and hypoxia-induced HRE-luc activity. MEK1 is a dual specificity protein kinase that directly phosphorylates and activates MAPK (Garrington and Johnson, 1999). Fig. 13b shows that expression of pFC-MEK1 enhanced basal HRE-luc activity during both normoxia and hypoxia. However, when coexpressed with EPAS1, pFC-MEK1 caused a much larger increase in the *trans*-activation of the HRE-luc (data not shown). The relative increase in HRE-luc activity in the presence of pFC-MEK1 and EPAS1 was 13-fold higher than cells transfected with EPAS1 and exposed to normoxia (data not shown). In contrast, transfection with EPAS1 alone, followed by hypoxia, resulted in only a twofold increase in HRE-luc activity (data not shown). Taken together, these data strongly suggest that the MAPK pathway is involved in the hypoxia-induced *trans*-activation of EPAS1.

We were interested in identifying upstream protein kinases that led to MAPK and EPAS1 activation. MAPK is activated by growth factors in a Ras-dependent manner. In order to test whether Ras was involved in the EPAS1 *trans*-activation of the HRE-luc, PC12 cells were co-transfected with the EPAS1 expression plasmid, the HRE-luc plasmid, and increasing amounts of a dominant-negative Ras expression plasmid, RasN-17. Our results showed that increasing amounts of RasN-17 had no effect on the EPAS1 *trans*-activation of HRE-luc (data not shown; Conrad et al., 1999b). However, co-expression of the same amounts of RasN-17 blocked activation of a c-fos-luc reporter gene by nerve growth factor (NGF) in PC12 cells (Conrad et al., 1999b). Thus, EPAS1 activation by hypoxia occurs via a Ras-independent mechanism.

Several reports have shown that MAPK can be phosphorylated via a calmodulin-dependent

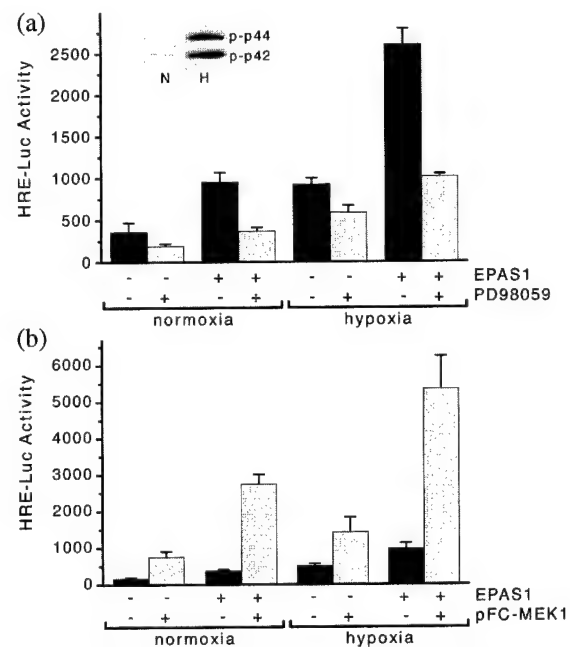


Fig. 13. p42/p44 MAPK is critical for EPAS1 *trans*-activation. PC12 cells were exposed to either normoxia (21% O<sub>2</sub>) or hypoxia (1% O<sub>2</sub>). (a) Inset panel is a representative immunoblot (from  $n = 6$ ) showing phospho-p42/p44 MAPK immunoreactivity following normoxia (N, 21% O<sub>2</sub>) or hypoxia (H, 1% O<sub>2</sub>, 6 h). PC12 cells were plated in 24-well dishes and transfected with the HRE-Luc reporter gene (250 ng/well) and either the EPAS1 cDNA (25 ng/well) or the empty expression vector, pcDNA3, as indicated. 48 h post-transfection, cells were exposed to normoxia or hypoxia (1% O<sub>2</sub>, 6 h) in the presence or absence of PD98059 (50  $\mu$ M), as indicated. Lysates were assayed for luciferase activity as described in Experimental Procedures. Data are representative of results obtained in four different experiments. (b) PC12 cells were transfected with the HRE-Luc reporter gene (250 ng/well), a constitutively active MEK1 construct (pFC-MEK1, 25 ng/well), the EPAS1 cDNA (25 ng/well), or the empty expression vector, pcDNA3, as indicated. Representative experiment showing the effect of constitutively-active MEK1 on EPAS1 *trans*-activation of the HRE reporter gene. Data are from one of three experiments.

mechanism. We therefore tested whether the calmodulin antagonist, W13, could block MAPK phosphorylation. Fig. 14a shows that treatment with W13 (20  $\mu$ g/ $\mu$ l) caused a pronounced reduction in hypoxia-induced MAPK phosphorylation. Consistent with these findings, Egea et al. have shown that depolarization of PC12 cells results in MAPK activation via a calmodulin-dependent mechanism (Egea et al., 1998, 1999). Because previous studies demonstrated that EPAS1 *trans*-activation was dependent on MAPK,

we hypothesized that calmodulin inhibitors would also block EPAS1 activation. Fig. 14c shows that treatment with either W13, or calmidazolium chloride (CMZ, 1  $\mu$ M), another calmodulin antagonist, inhibited both endogenous HRE activity, as well as the EPAS1 *trans*-activation of the HRE reporter gene (Fig. 14c). Thus, MAPK activation

of EPAS1 occurs via a calmodulin-dependent pathway.

The mechanism by which MAPK regulates the EPAS1 transcription factor is not yet known. The precise physiologic role of EPAS1 is also still uncertain. However, knock-out studies have demonstrated that EPAS1 is involved in catecholamine homeostasis. Tian et al. (1998) demonstrated that EPAS1-deficient mice die during development of cardiac failure, secondary to decreased catecholamine production. They further showed that addition of a dopamine precursor, DOPA-S, to the drinking water of pregnant females, was able to rescue this phenotype. The expression of EPAS1 in the type I cells of the carotid body also suggests a role in catecholamine production. These cells respond to reduced arterial  $pO_2$  by secreting catecholamines which initiate the hyperventilatory response. Our lab has shown that the rate-limiting enzyme in catecholamine biosynthesis, tyrosine hydroxylase (TH), is induced by hypoxia in both carotid body cells and the type I cells. Thus, it is tempting to speculate that EPAS1 is involved in the transcriptional activation of TH. Preliminary data from our laboratory using an *in vitro* transcription system showed that EPAS1 can indeed activate transcription via the 5' flanking region of the TH gene (Yuan et al., 2000).

It is important to note that both type I cells of the carotid body and PC12 cells are excitable catecholaminergic cells. Since EPAS1 has a specialized expression pattern (i.e. highly enriched in the type I cells of the carotid body), it is possible that this transcription factor has specific functions in excitable cells. The more widely distributed hypoxia-inducible factor-1 (HIF-1) may be the primary mechanism which gene regulates expression in non-excitable cells.

## 5. Summary and conclusions

Previous experiments have shown that exposing PC12 cells to hypoxia results in membrane depolarization and  $Ca^{2+}$  influx (Zhu et al., 1996; Raymond and Millhorn, 1997; Kumar et al., 1998). The results presented in this review demonstrate that the  $O_2$ -sensitive  $K^+$  channel, Kv1.2, mediates this depolarization. The subsequent increase in intracellular  $Ca^{2+}$  is known to be a critical mediator of gene expression and transcription factor

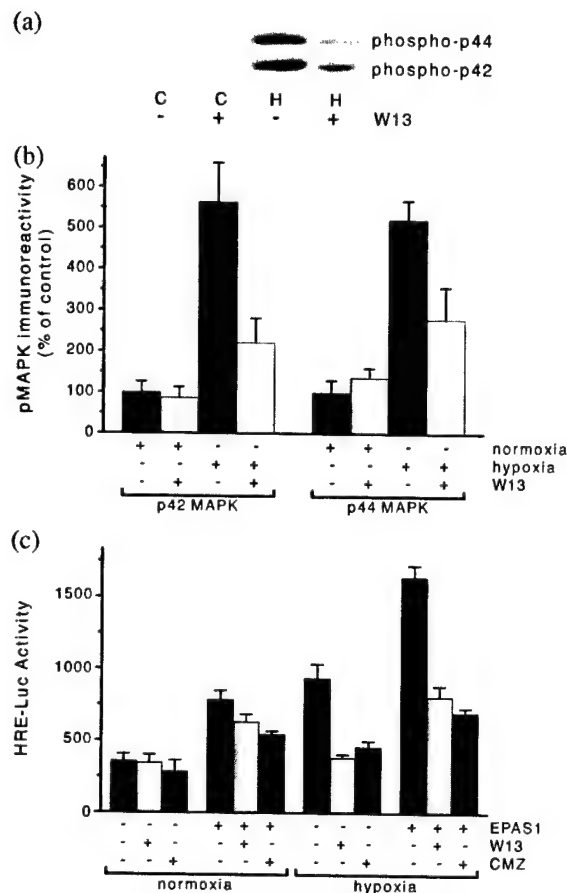


Fig. 14. MAPK phosphorylation and EPAS1 activity is calmodulin-dependent. PC12 cells were exposed to normoxia (21%  $O_2$ ) or hypoxia (1%  $O_2$ , 6 h) in the presence or absence of the calmodulin antagonists W13 (20  $\mu$ g/ $\mu$ l) or calmidazolium (1  $\mu$ M). (a) Representative immunoblot showing the effect of W13 on phospho-MAPK immunoreactivity. (b) Immunoreactivity levels of phospho-MAPK following hypoxic exposure in the absence or presence of W13. Data are expressed as average percent change from control  $\pm$  S.E.M., and represent  $n = 6$  dishes analyzed in two separate experiments. (c) Representative experiment showing the effect of W13 and CW on EPAS1 *trans*-activation of the HRE-luc gene. PC12 cells were seeded in 24-well dishes and transfected with the HRE-luc reporter gene (250 ng/well), the EPAS1 cDNA (25 ng/well), or the empty expression vector, pcDNA3, as indicated. Cells were pretreated with W13 (20  $\mu$ g/ $\mu$ l), CMZ (1  $\mu$ M), or vehicle and then exposed to normoxia or hypoxia. Two other experiments gave similar results.

activation. For example, the hypoxia-induced increase in TH gene expression is dependent on  $\text{Ca}^{2+}$  levels (Raymond and Millhorn, 1997). TH is the rate-limiting enzyme in catecholamine biosynthesis. Our lab has further shown that the immediate early genes *c-fos* and *jun-B* bind to the AP-1 site of the TH promoter (Norris and Millhorn, 1995). Removal of extracellular  $\text{Ca}^{2+}$  prevents the expression of *c-fos* and *jun-B* during hypoxia (unpublished data).

In addition to TH, we show that hypoxia also regulates the  $\text{A}_{2\text{A}}$ R gene. We performed experiments aimed at delimiting the signaling pathways that mediate this increase. Our results indicate that removal of extracellular  $\text{Ca}^{2+}$ , chelation of intracellular  $\text{Ca}^{2+}$ , and pretreatment with PKC inhibitors, block the hypoxia-induced increase in  $\text{A}_{2\text{A}}$ R (Kobayashi and Millhorn, 1999). These results provide further evidence that  $\text{Ca}^{2+}$  is a critical mediator of hypoxia-regulated gene expression. In addition to its dependence on  $\text{Ca}^{2+}$  for gene expression, activation of the  $\text{A}_{2\text{A}}$ R then modulates  $[\text{Ca}^{2+}]_i$ . Thus,  $\text{A}_{2\text{A}}$ R is able to regulate its own expression via its regulation of  $[\text{Ca}^{2+}]_i$ .

In this review, we have described some of the biophysical and biochemical changes that occur during hypoxia. Together, these events contribute to the hypoxic-response. These changes include membrane depolarization and the role of  $\text{Kv}1.2$ , as well as the modulation of membrane excitability by the adenosine  $\text{A}_{2\text{A}}$  receptor. We also demonstrate that the MAPK pathway is activated, causing *trans*-activation of the transcription factor, EPAS1. Our results show that the phosphorylation of MAPK and the subsequent *trans*-activation of EPAS1 are dependent on  $\text{Ca}^{2+}/\text{CaM}$ . Although these hypoxia-induced changes are separated spatially (membrane vs. cytoplasm) and temporally (acute vs. chronic hypoxia), they are both  $\text{Ca}^{2+}$ -dependent. Each of these events is responsible for either controlling  $\text{Ca}^{2+}$  levels within the cytoplasm or is critically dependent on the level of  $[\text{Ca}^{2+}]_i$ .

Although PC12 cells continue to be a model whereby  $\text{Ca}^{2+}$ -activated signal transduction pathways can be studied, we have also identified  $\text{Ca}^{2+}$ -independent pathways. Most notable among these is the cAMP response element binding protein (CREB), a transcription factor that is phosphorylated in response to hypoxia (Beitner-Johnson and Millhorn, 1998). Our experiments de-

monstrate that the hypoxia-induced phosphorylation of CREB persists in the absence of  $\text{Ca}^{2+}$  (Beitner-Johnson and Millhorn, 1998). The signaling mechanism that is responsible for CREB phosphorylation is unknown and may be a novel CREB kinase (Beitner-Johnson and Millhorn, 1998).

Finally, it is important to note that PC12 cells are an excitable cell line that depolarizes upon exposure to hypoxia. Thus, the critical role of  $\text{Ca}^{2+}$  is likely specific to these and possibly other excitable cell lines. Other  $\text{O}_2$  sensitive cells lines (HEP3B, HEPG2) are not excitable and are therefore likely to use other mechanisms in order to activate downstream protein kinases and target genes. The challenge for future studies will be the elucidation of the mechanisms by which  $\text{Ca}^{2+}$  leads to activation of downstream targets. In addition, it will be interesting to identify other genes that are induced by hypoxia in a  $\text{Ca}^{2+}$ -dependent manner.

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